

# Finite State Machines

CSE 311 Autumn 2020  
Lecture 24

# Announcements

Final will go from Saturday Dec. 12 at **noon** to Thursday Dec. 17 at **noon**

Practice materials will go up over the weekend (if not earlier)

For now, final homeworks from Spring 20 and Winter 20 are on their respective webpages.

Collaboration rules same as the midterm.

# Last Two Weeks

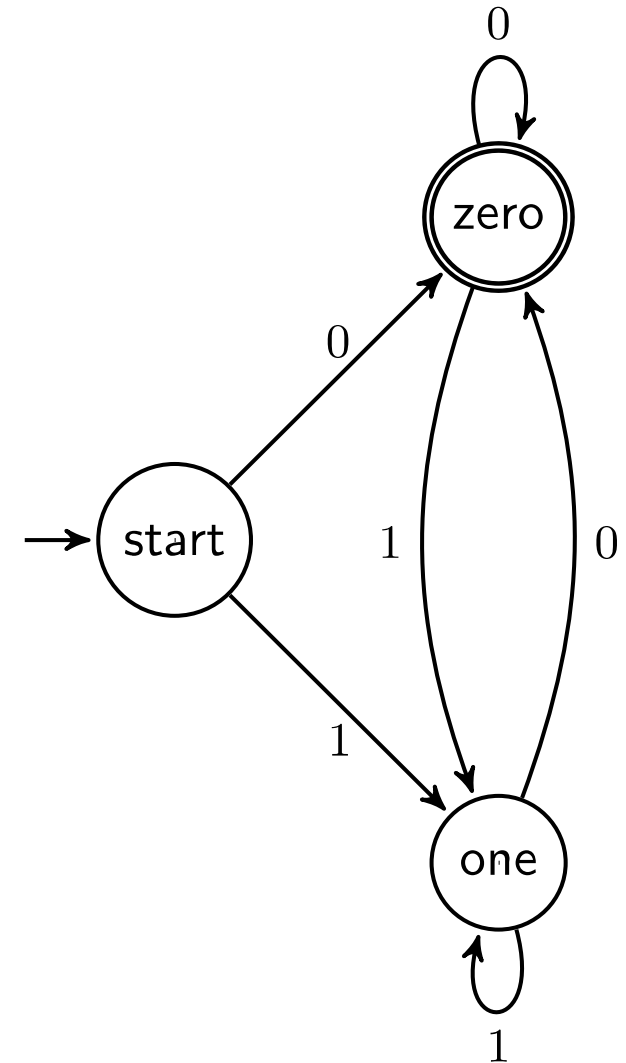
What computers can and can't do...  
Given any finite amount of time.

We'll start with the simplest model of computer – finite state machines.

# Deterministic Finite Automaton

Our machine is going to get a string as input. It will read one character at a time and update "its state." At every step, the machine thinks of itself as in one of the (finite number) vertices. When it reads the character it follows the arrow labeled with that character to its next state.

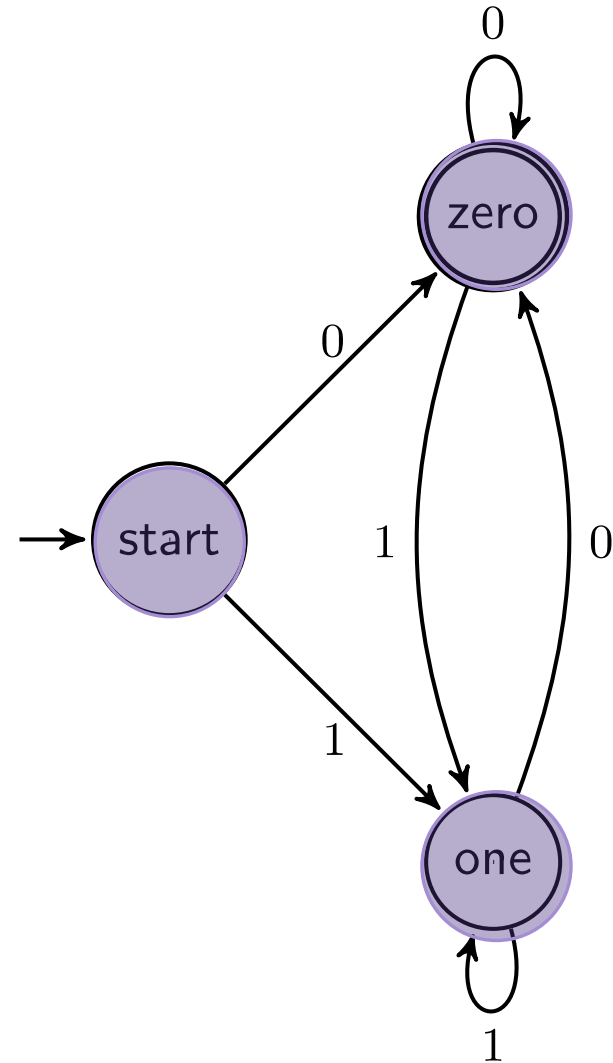
Start at the "start state" (unlabeled incoming arrow). After you've read the last character, accept the string if and only if you're in a "final state" (double circle).



# Let's see an example

Input string:

011  
↑  
1010

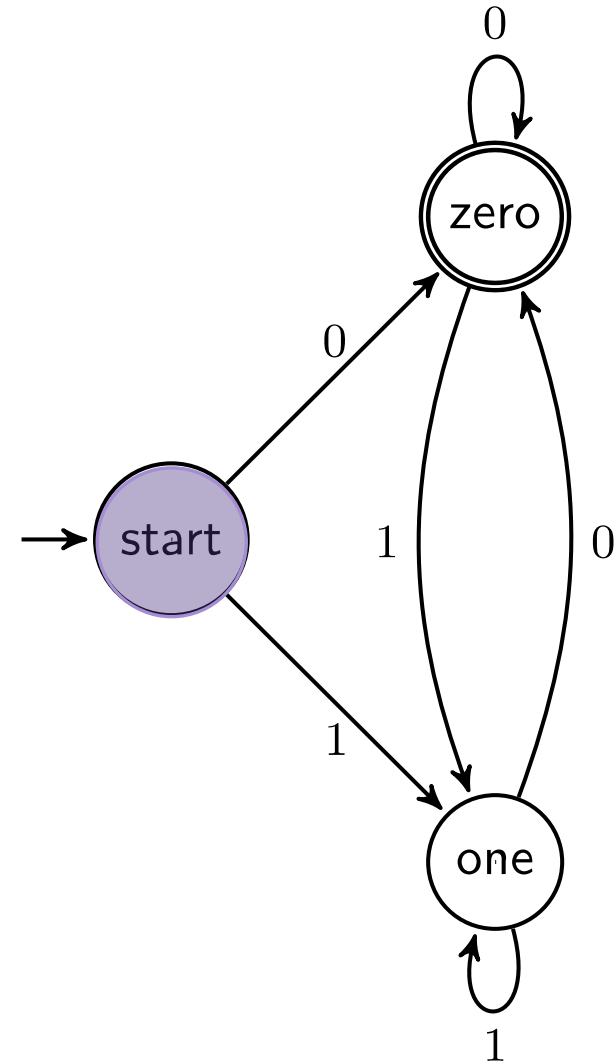


# Let's see an example

Input string:

011

1010

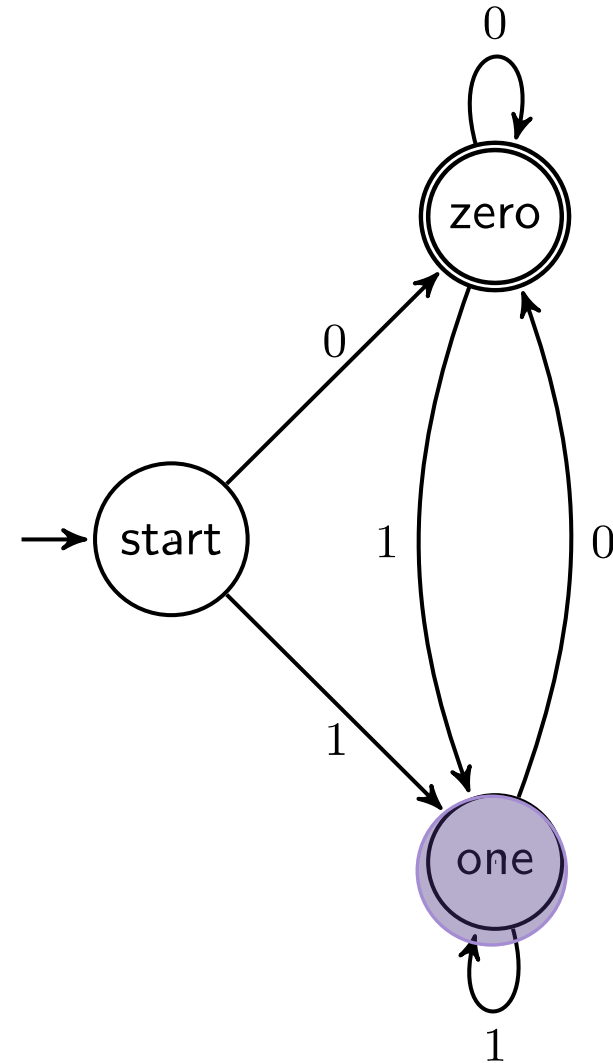


# Let's see an example

Input string:

011

1010

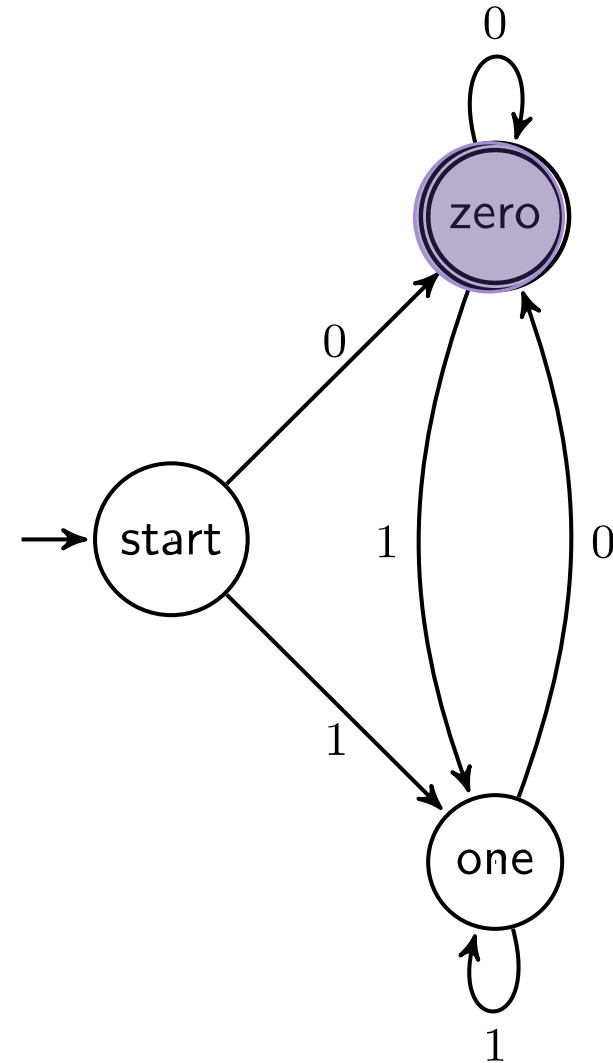


# Let's see an example

Input string:

011

1010



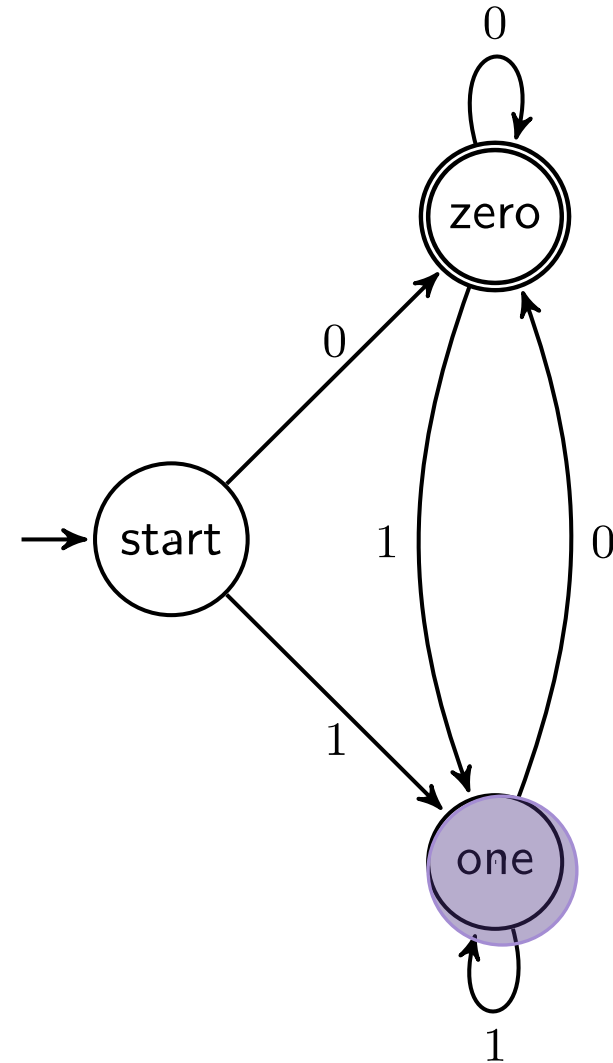


# Let's see an example

Input string:

011

1010

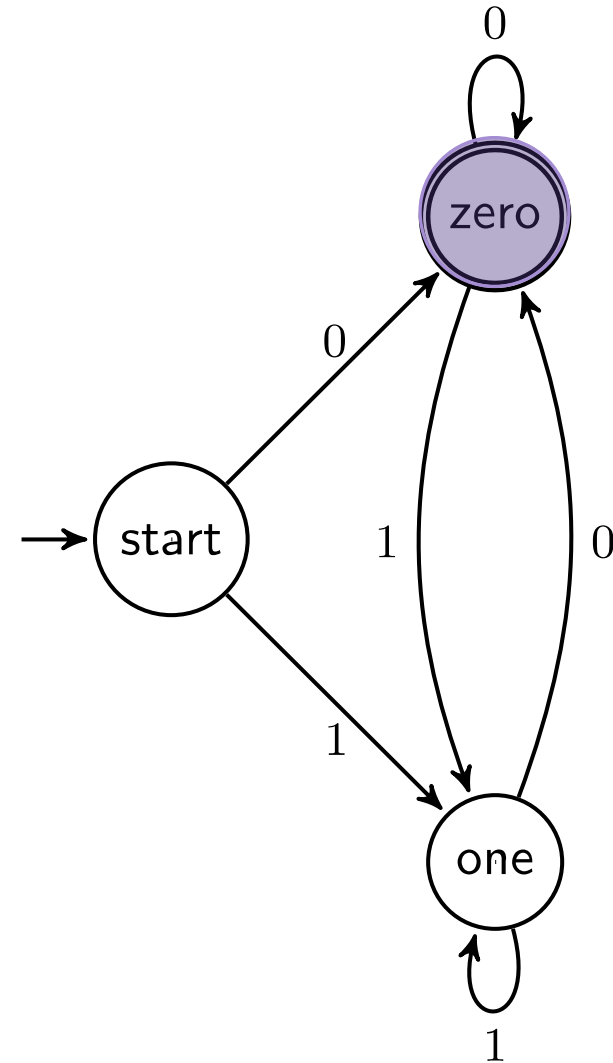


# Let's see an example

Input string:

011

1010



# Deterministic Finite Automata

Some more requirements:

Every machine is defined with respect to an alphabet  $\Sigma$

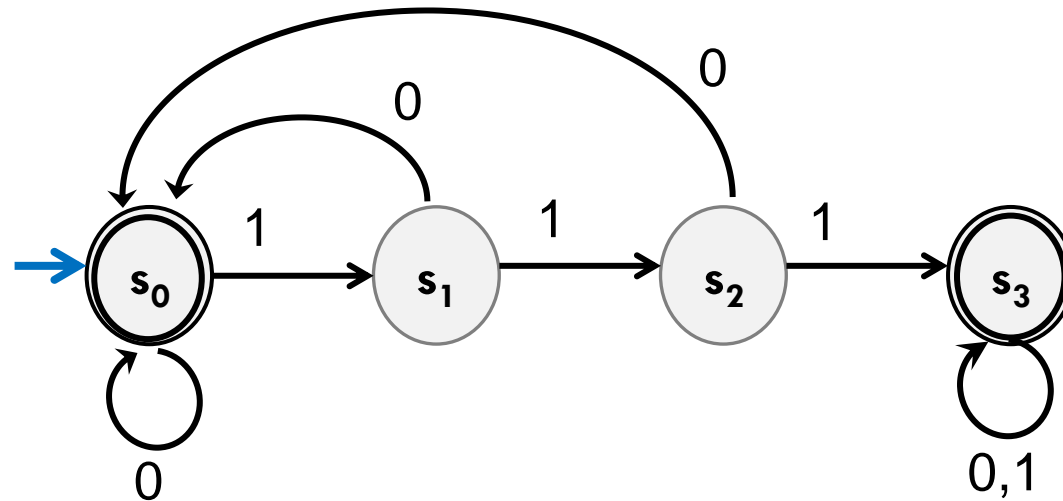
Every state has exactly one outgoing edge for every character in  $\Sigma$ .

There is exactly one start state; can have as many accept states (aka final states) as you want – including 0.

# Deterministic Finite Automata

Can also represent transitions with a table.

| Old State | 0     | 1     |
|-----------|-------|-------|
| $s_0$     | $s_0$ | $s_1$ |
| $s_1$     | $s_0$ | $s_2$ |
| $s_2$     | $s_0$ | $s_3$ |
| $s_3$     | $s_3$ | $s_3$ |

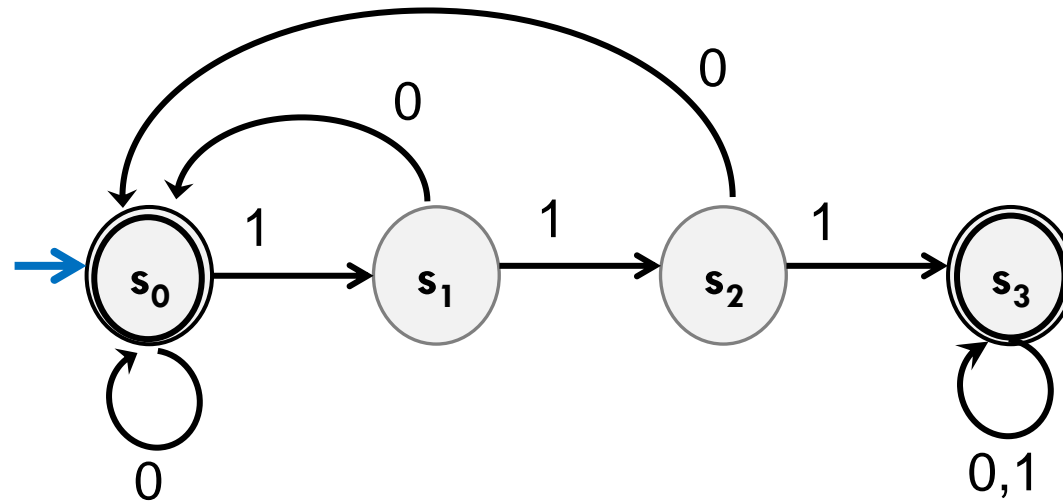


# Deterministic Finite Automata

What is the language of this DFA?

I.e. the set of all strings it accepts?

| Old State | 0     | 1     |
|-----------|-------|-------|
| $s_0$     | $s_0$ | $s_1$ |
| $s_1$     | $s_0$ | $s_2$ |
| $s_2$     | $s_0$ | $s_3$ |
| $s_3$     | $s_3$ | $s_3$ |



# Deterministic Finite Automata

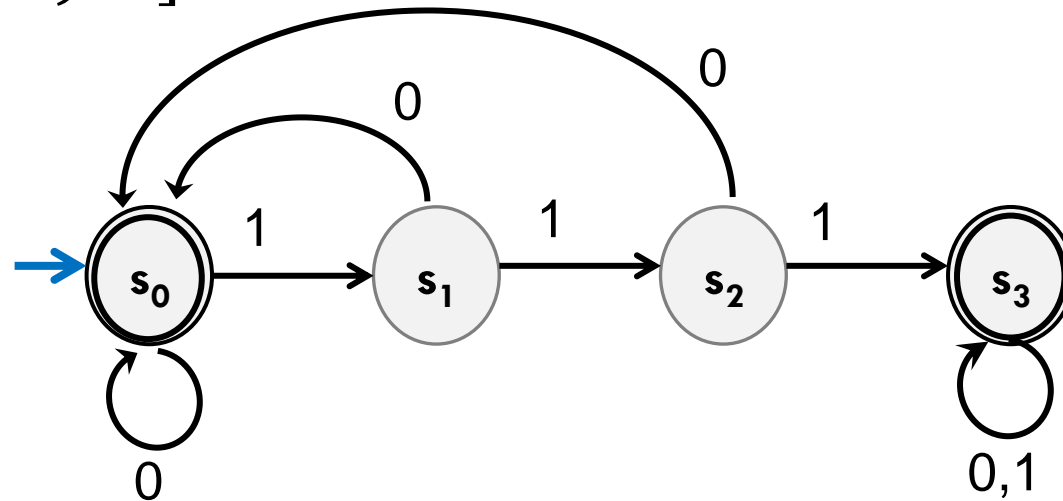
If the string has 111, then you'll end up in  $s_3$  and never leave.

If you end with a 0 you're back in  $s_0$  which also accepts.

And... $\epsilon$

$$[(0 \cup 1)^* 111(0 \cup 1)^*] \cup [(0 \cup 1)^* 1]^*$$

| Old State | 0     | 1     |
|-----------|-------|-------|
| $s_0$     | $s_0$ | $s_1$ |
| $s_1$     | $s_0$ | $s_2$ |
| $s_2$     | $s_0$ | $s_3$ |
| $s_3$     | $s_3$ | $s_3$ |



# Design some DFAs

Let  $\Sigma = \{0,1,2\}$

$M_1$  should recognize "strings with an even number of 2's.

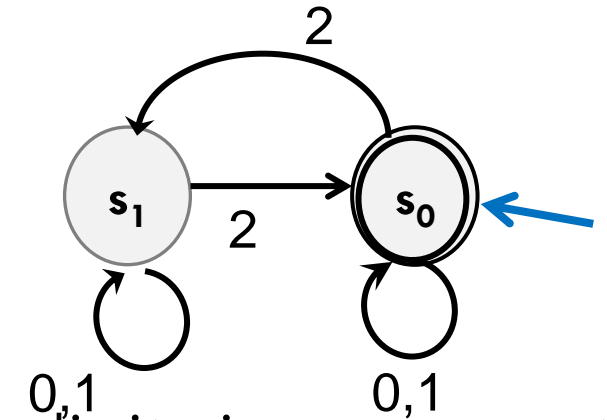
What do you need to remember?

$M_2$  should recognize "strings where the sum of the digits is congruent to 0 (*mod* 3)

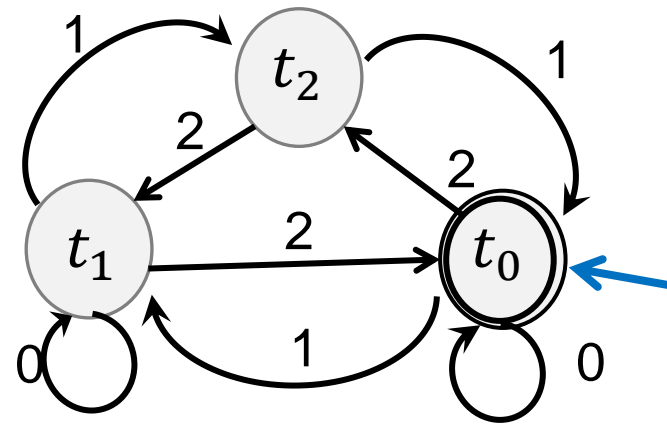
# Design some DFAs

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$M_1$  should recognize "strings with an even number of 2's.



$M_2$  should recognize "strings where the sum of the digits is congruent to 0 (mod 3)





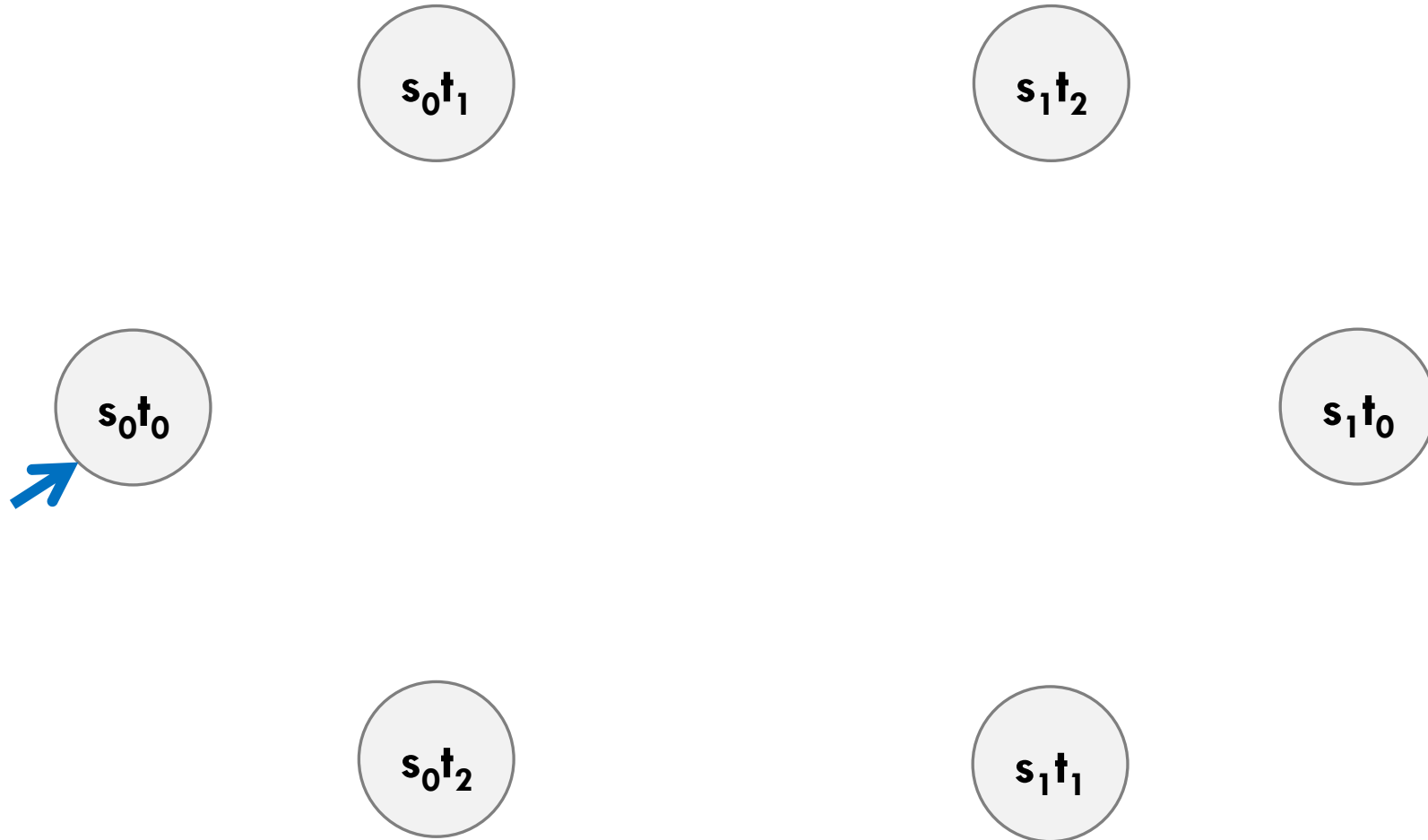
# Designing DFAs notes

DFAs can't "count arbitrarily high"

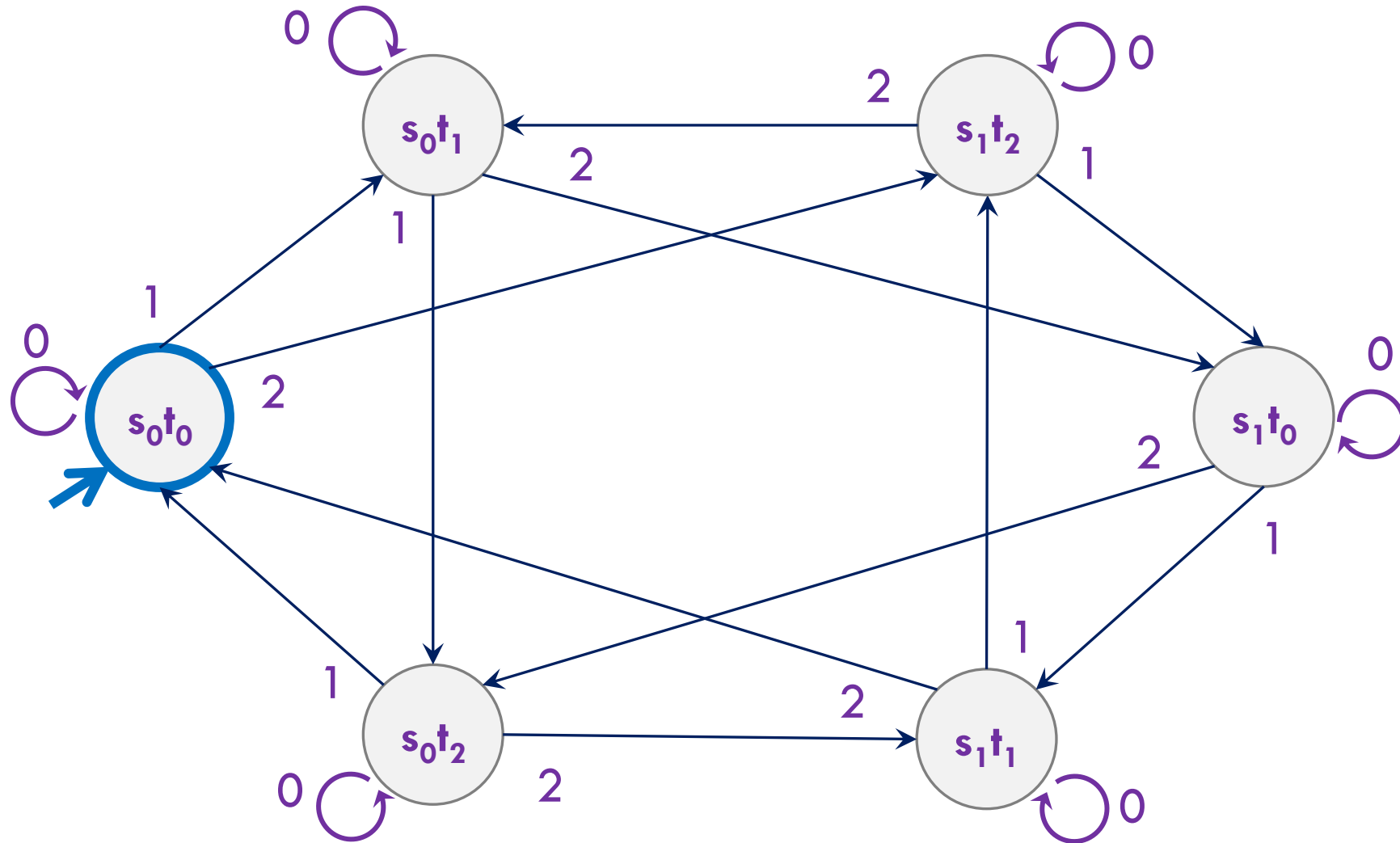
For example, we could not make a DFA that remembers the overall sum of all the digits (not taken % 3) and then

That would have infinitely many states! We're only allowed a finite number.

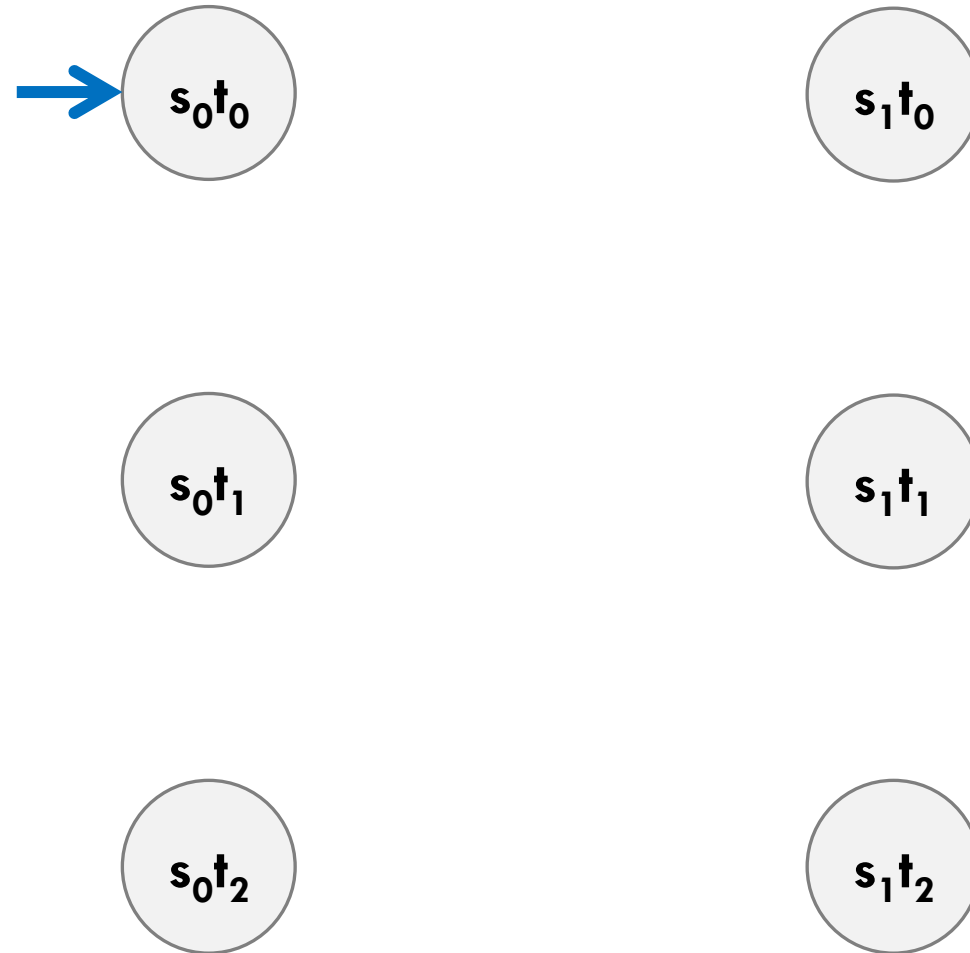
Strings over  $\{0,1,2\}$  w/ even number of 2's  
**and**  $\text{sum} \% 3 = 0$



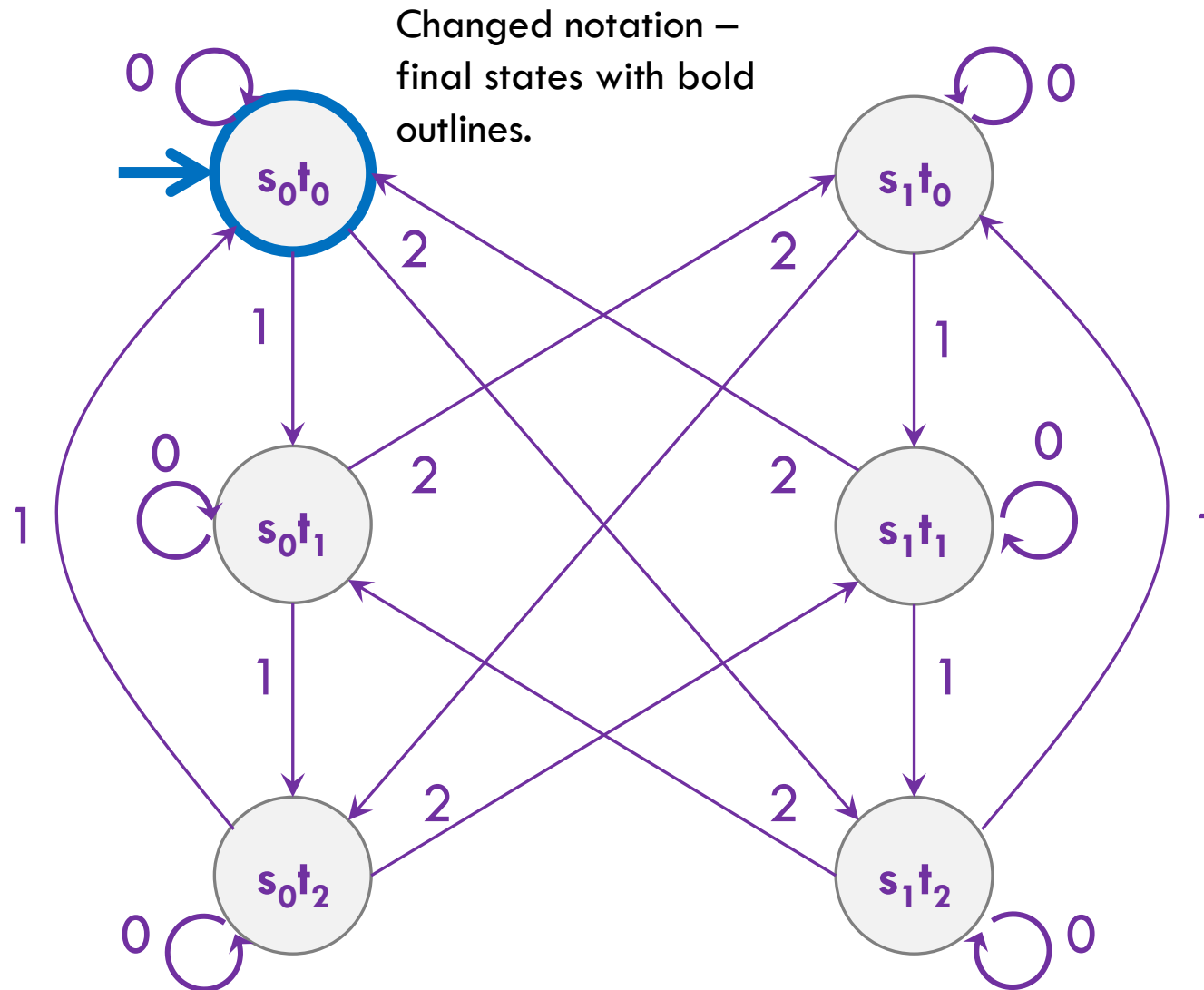
Strings over  $\{0,1,2\}$  w/ even number of 2's **and**  $\text{sum} \% 3 = 0$



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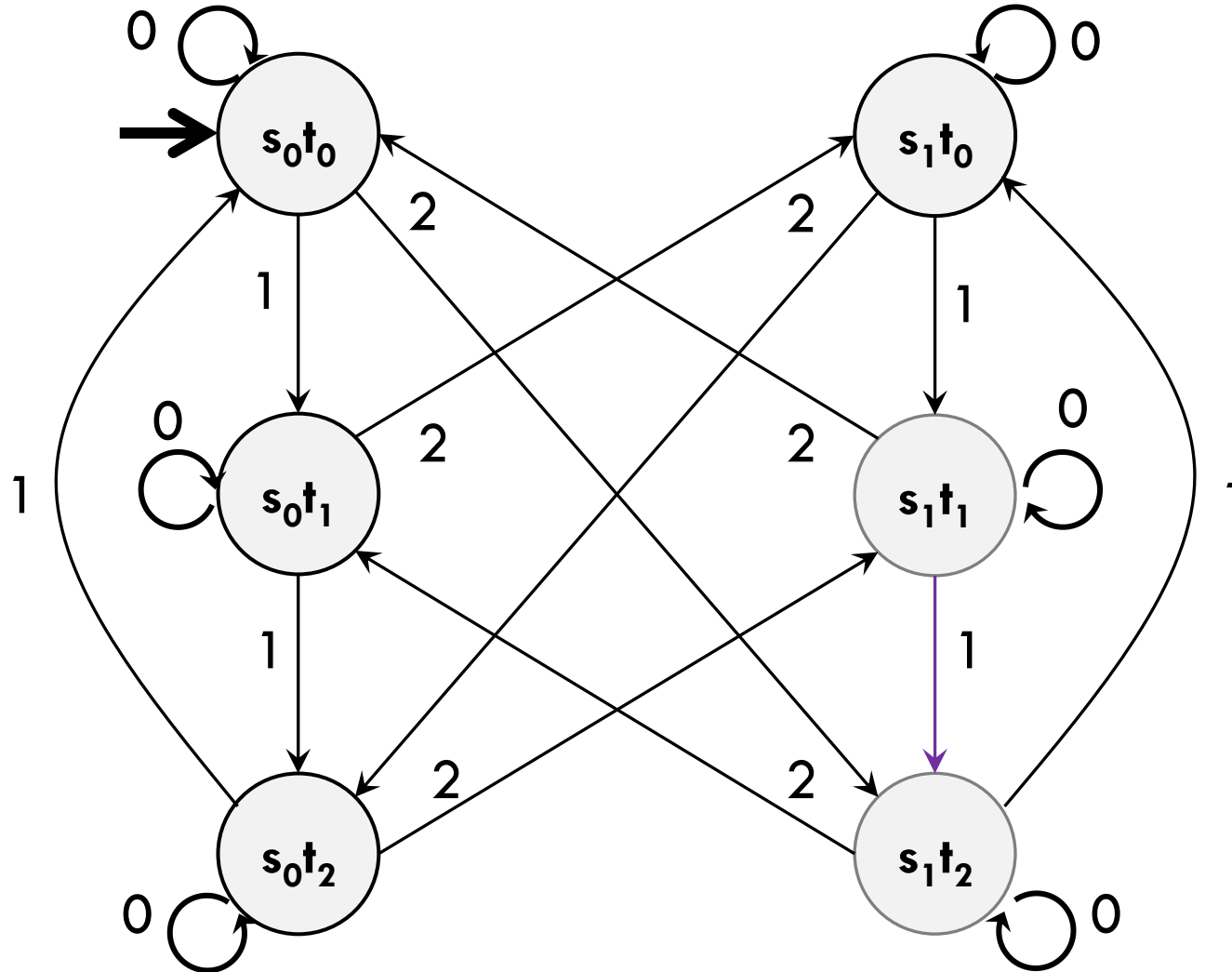


# Strings over $\{0,1,2\}$ w/ even number of 2's **and** $\text{sum} \% 3 = 0$



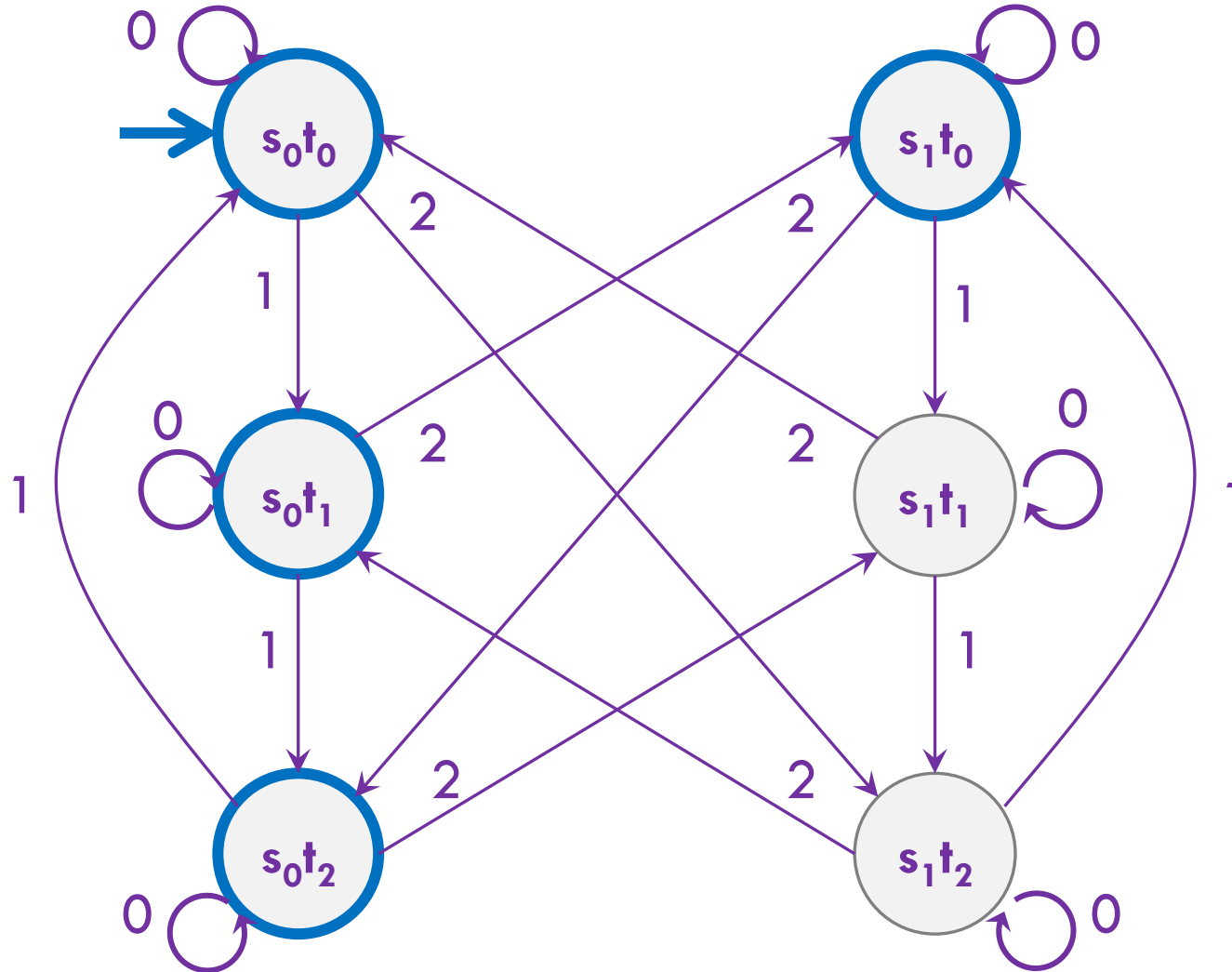
# Strings over $\{0,1,2\}$ w/ even number of 2's **OR** $\text{sum} \% 3 = 0$

Change the  
and to or –  
don't need to  
change states  
or transitions...



# Strings over $\{0,1,2\}$ w/ even number of 2's **OR** $\text{sum} \% 3 = 0$

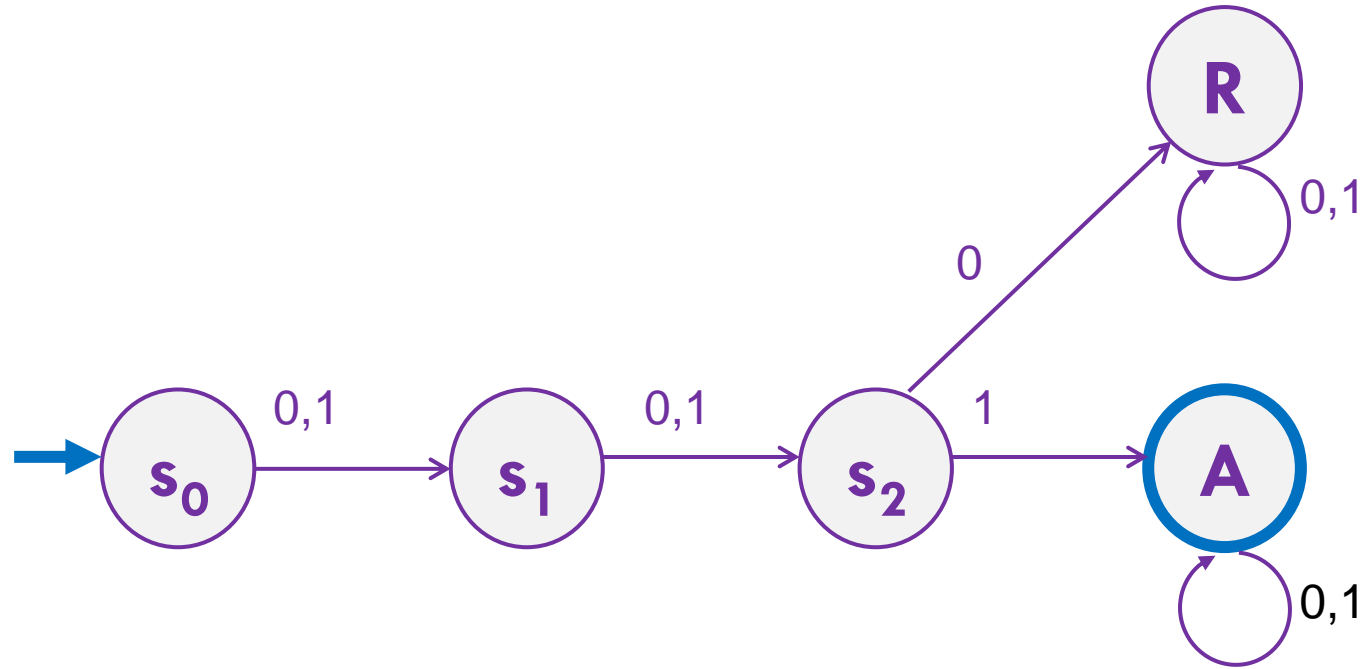
Change the  
and to or –  
don't need to  
change states  
or transitions...  
Just which  
states are  
accept.



The set of binary strings with a 1 in the 3<sup>rd</sup> position from the start



The set of binary strings with a 1 in the 3<sup>rd</sup> position from the start



# The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end

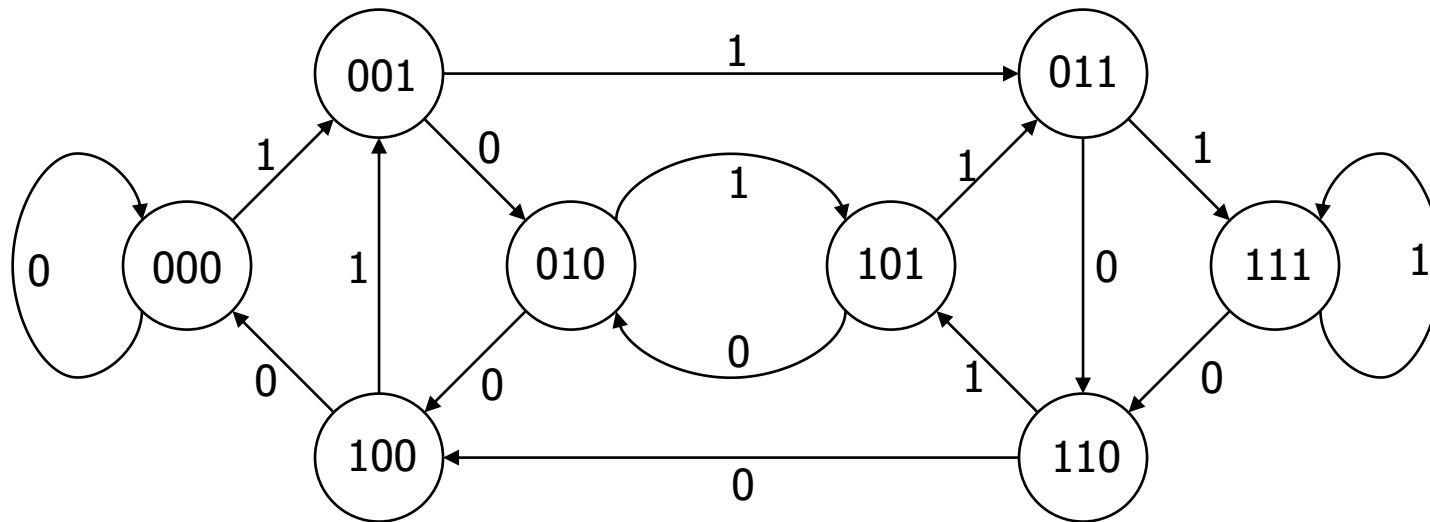
What do we need to remember?

We can't know what string was third from the end until we have read the last character.

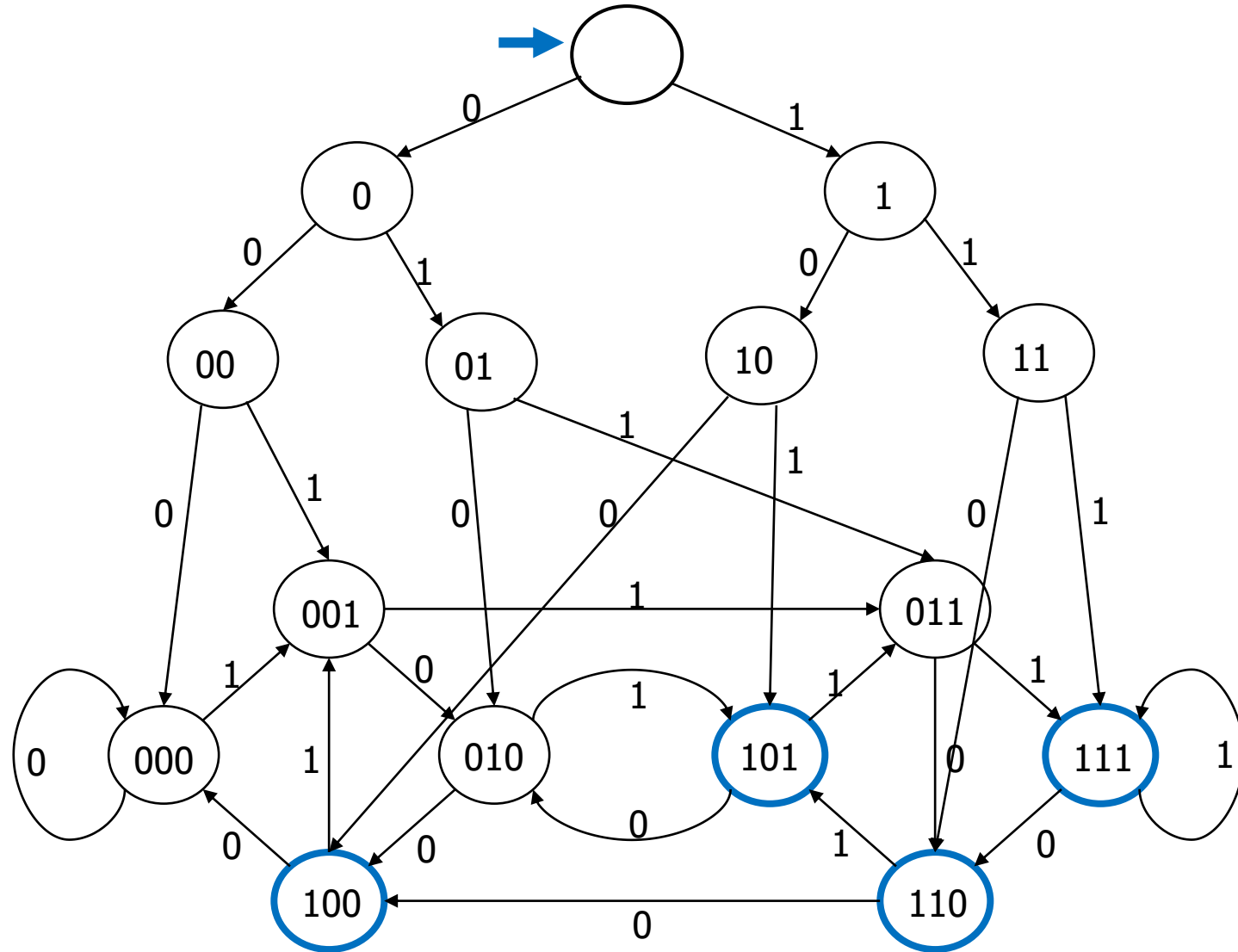
So we'll need to keep track of "the character that was 3 ago" in case this was the end of the string.

But if it's not...we'll need the character 2 ago, to update what the character 3 ago becomes. Same with the last character.

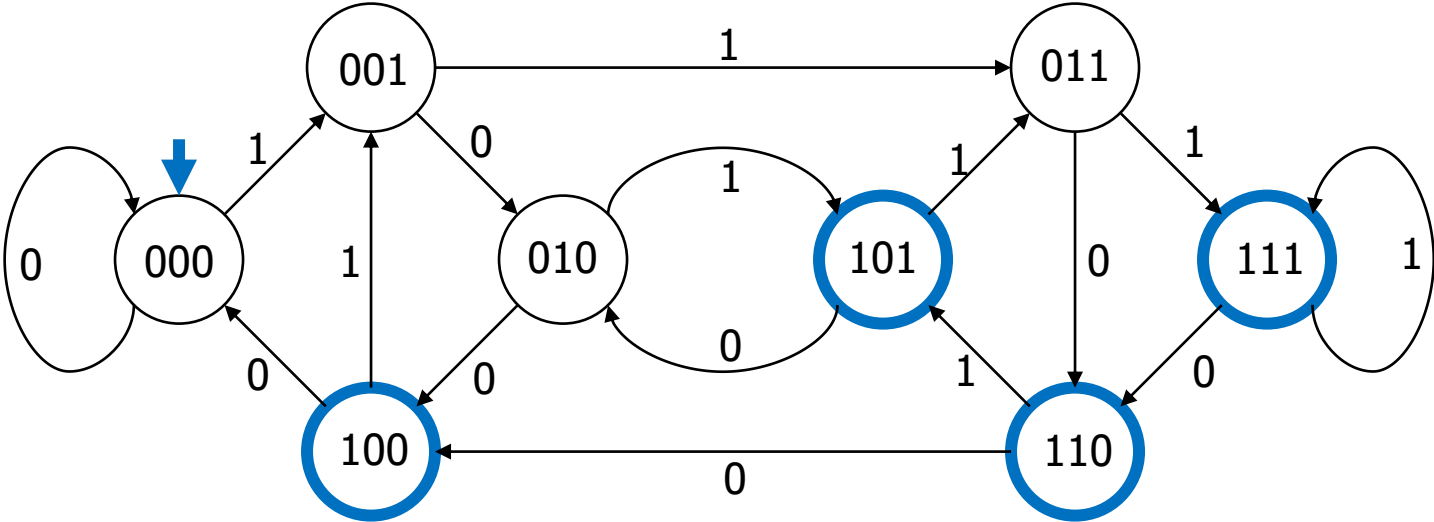
# 3 bit shift register “Remember the last three bits”



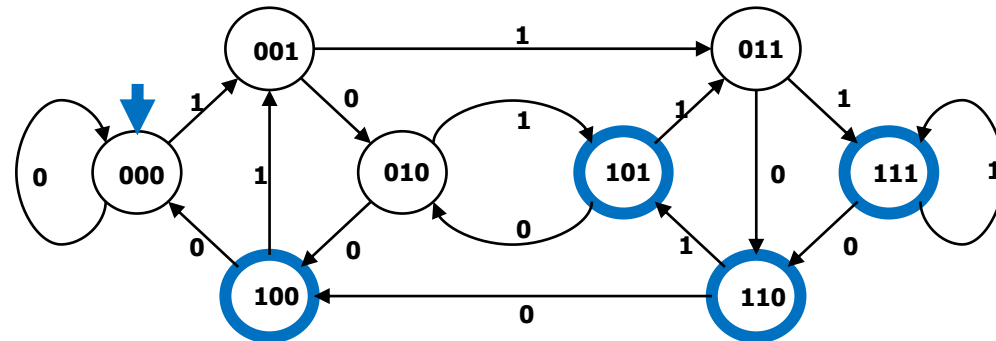
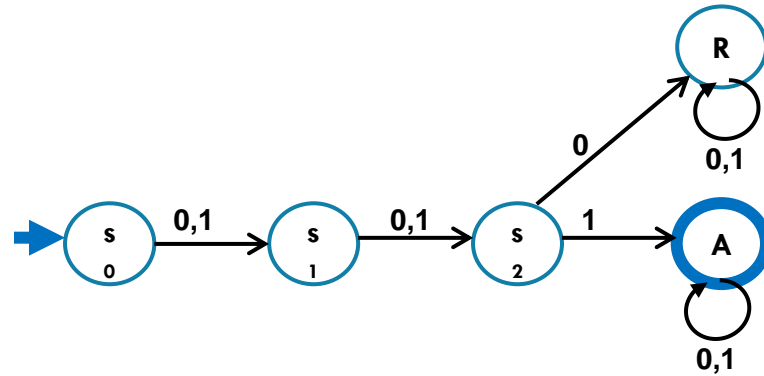
The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end



The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end



# The beginning versus the end



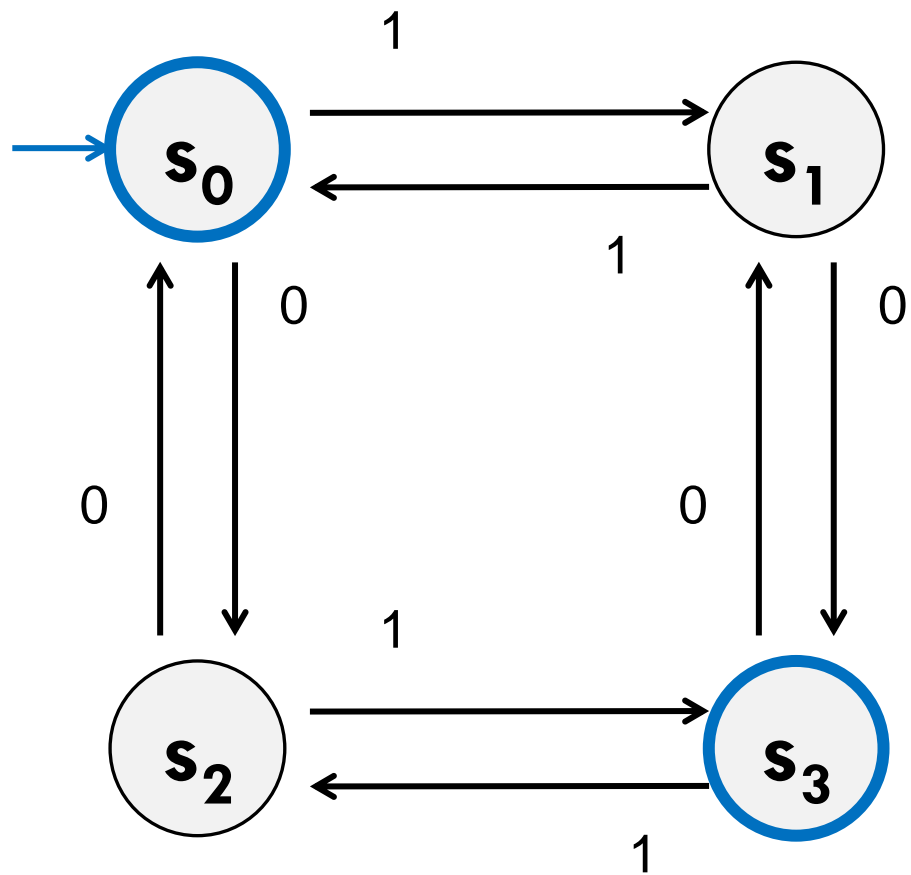
# From the beginning was “easier” than “from the end”

At least in the sense that we needed fewer states.

That might be surprising since a java program wouldn't be much different for those two.

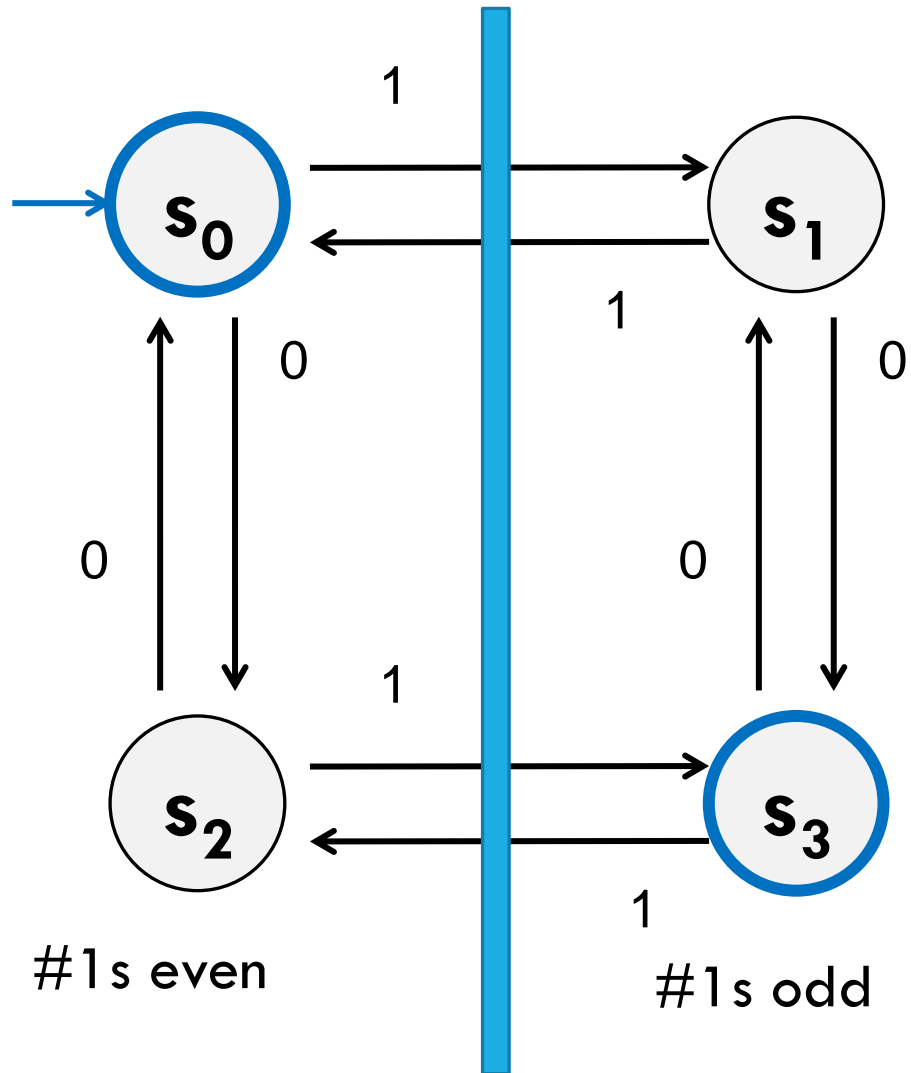
Not being able to access the full input at once limits your abilities somewhat and makes some jobs harder than others.

# What language does this machine recognize?

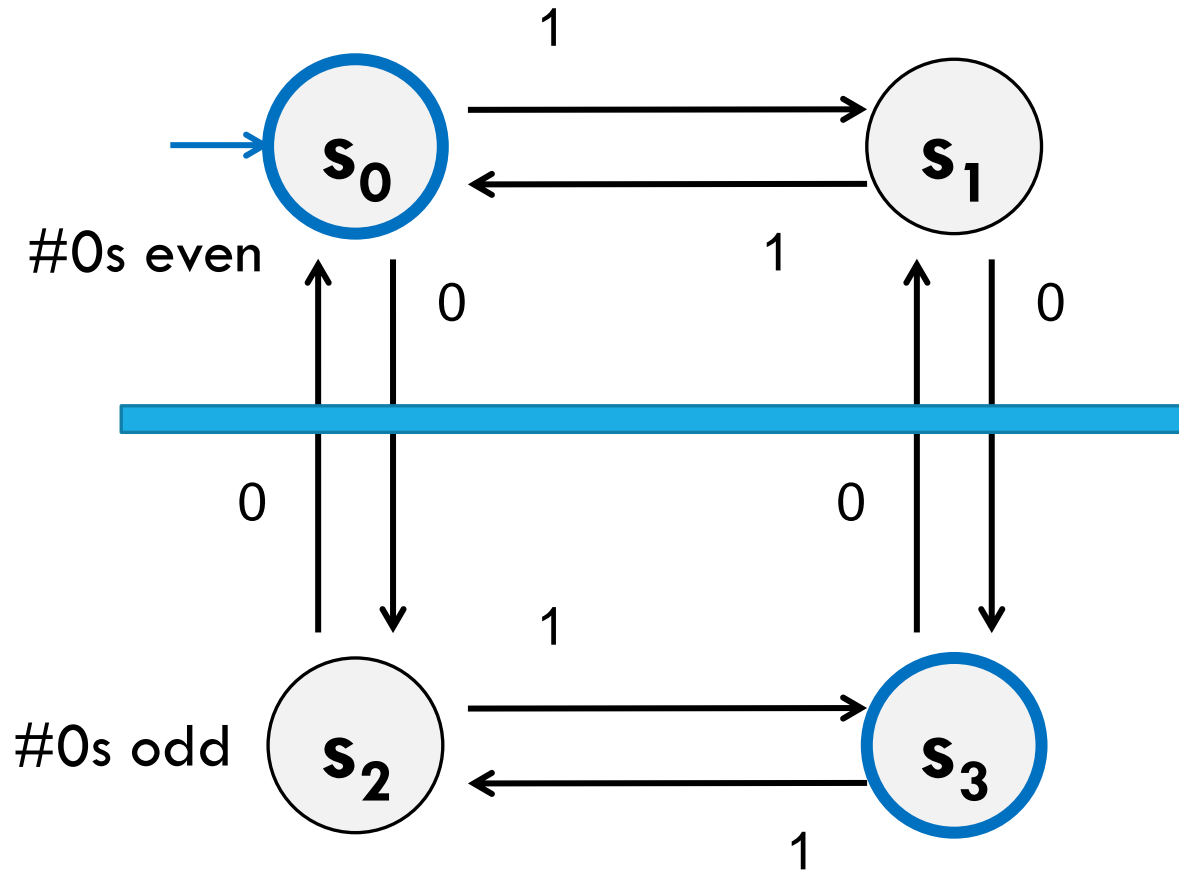




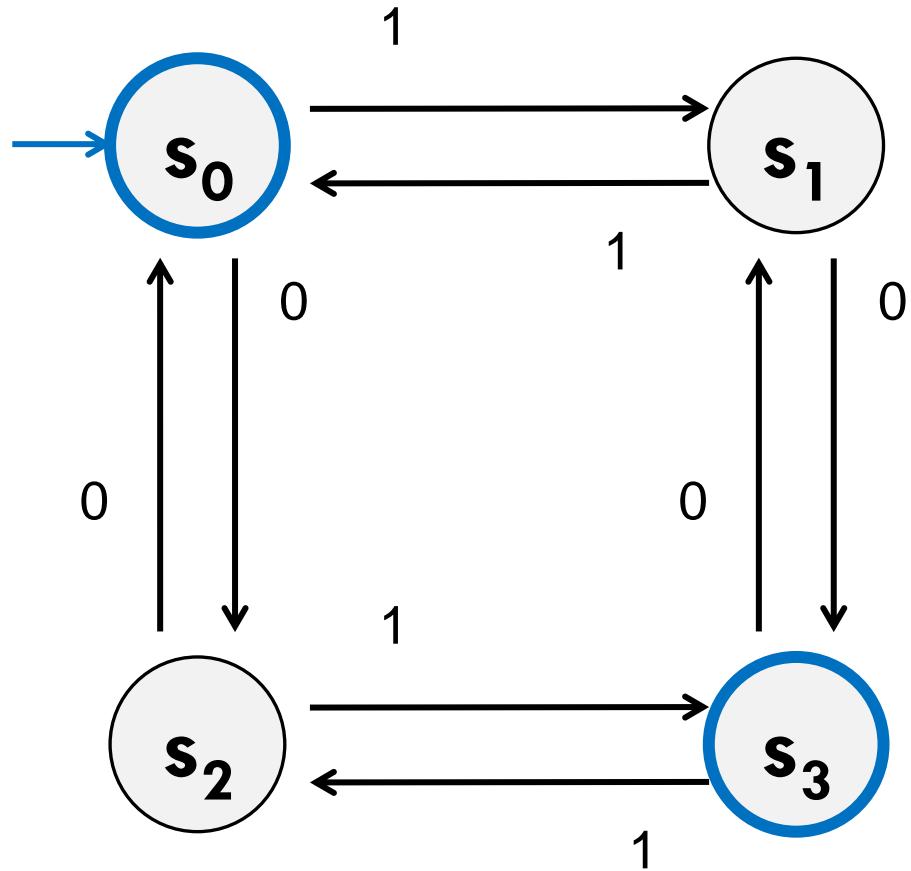
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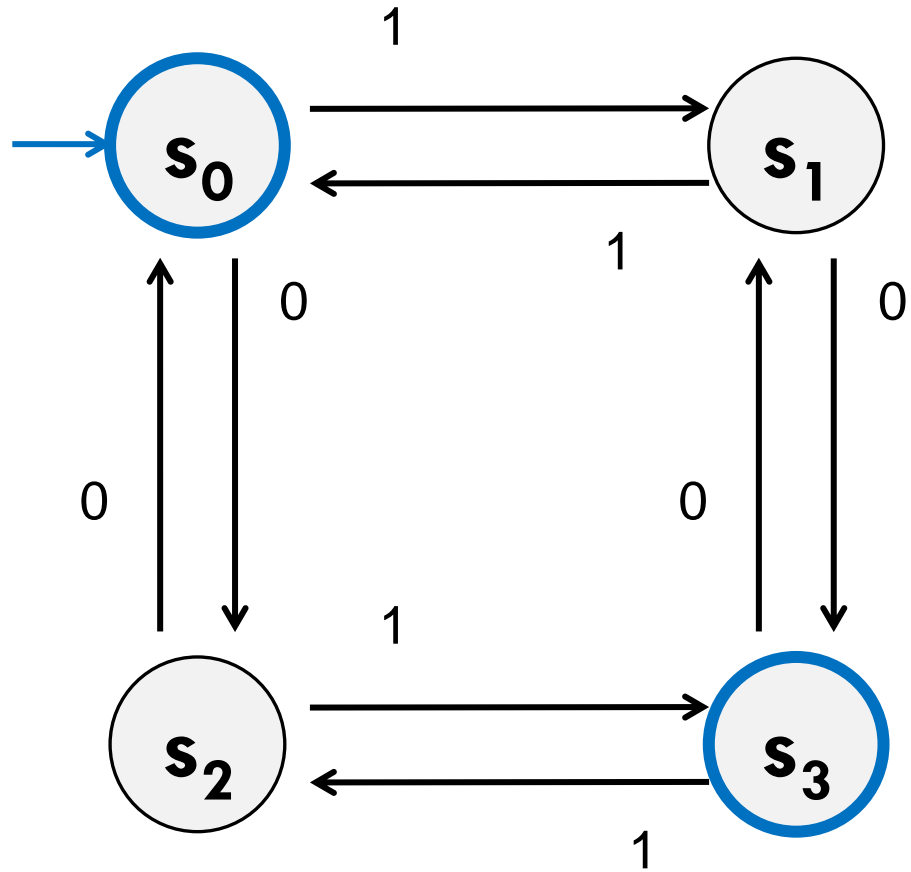
# What language does this machine recognize?



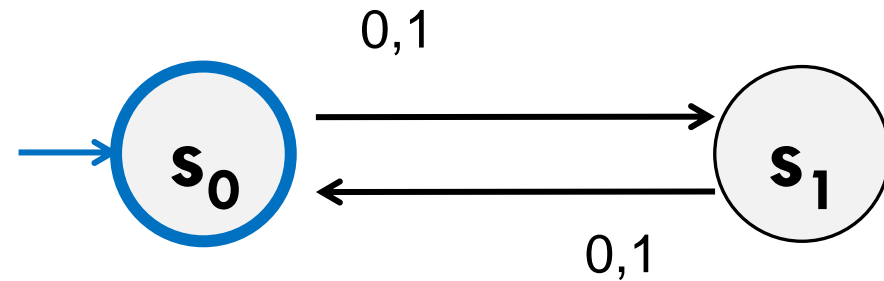
#0s is congruent to #1s (mod 2)

Wait...there's an easier way to describe that....

# What language does this machine recognize?



That's all binary strings of even length.



# Takeaways

The first DFA might not be the simplest.

Try to think of other descriptions – you might realize you can keep track of fewer things than you thought.

Boy...it'd be nice if we could know that we have the smallest possible DFA for a given language...

# DFA Minimization

We can know!

Fun fact: there is a **unique** minimum DFA for every language (up to renaming the states)

High level idea – final states and non-final states must be different.

Otherwise, hope that states can be the same, and iteratively separate when they have to go to different spots.

In a normal quarter, we'd cover it in detail. But...we ran out of time.  
Optional slides – won't be required in HW or final but you might find it useful/interesting for your own learning.

# Next Time

Some (historic and modern) applications of DFAs

There are some languages DFAs can't recognize (say,  $\{0^k 1^k \mid k \geq 0\}$ )

What if we give the DFAs a little more power...try to get them to do more things.