

# CSE 311: Foundations of Computing

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## Lecture 24: FSMs with Output and Minimization

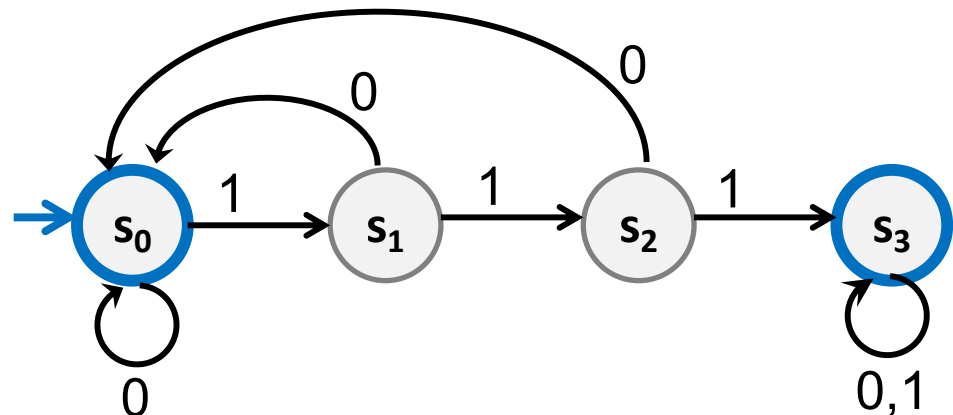


# Last class: Finite State Machines

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- States
- Transitions on input symbols
- Start state and final states
- The “language recognized” by the machine is the set of strings that reach a final state from the start

Old State	0	1
$s_0$	$s_0$	$s_1$
$s_1$	$s_0$	$s_2$
$s_2$	$s_0$	$s_3$
$s_3$	$s_3$	$s_3$

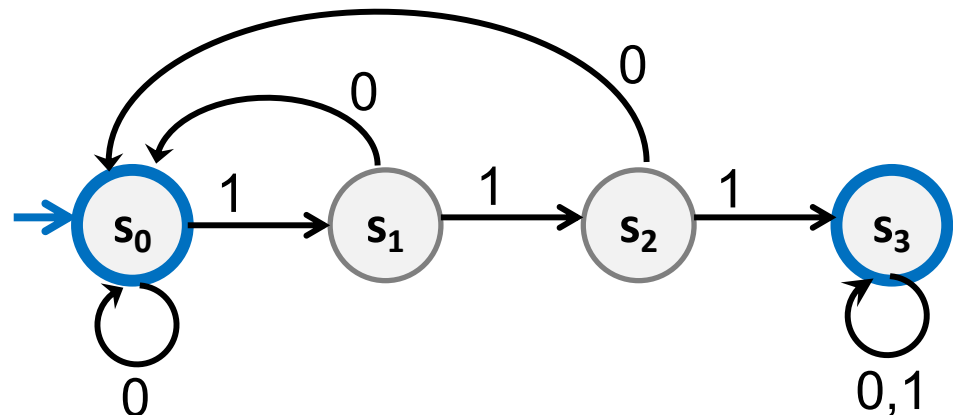


# Last class: Finite State Machines

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- Each machine designed for strings over some fixed alphabet  $\Sigma$ .
- Must have a transition defined from each state for **every** symbol in  $\Sigma$ .

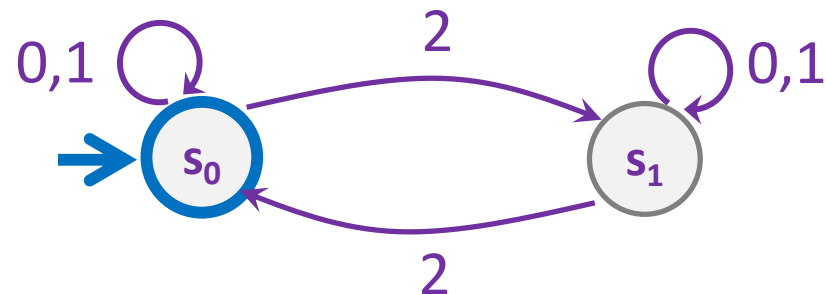
Old State	0	1
$s_0$	$s_0$	$s_1$
$s_1$	$s_0$	$s_2$
$s_2$	$s_0$	$s_3$
$s_3$	$s_3$	$s_3$



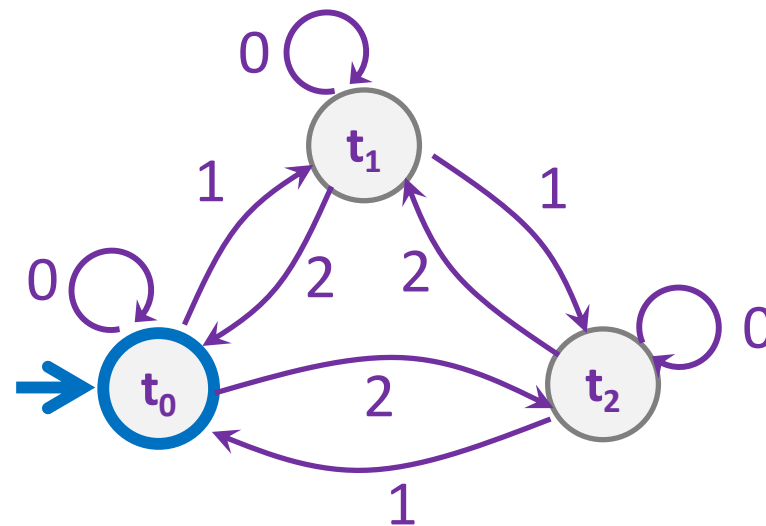
# Strings over $\{0, 1, 2\}$

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$M_1$ : Strings with an even number of 2's

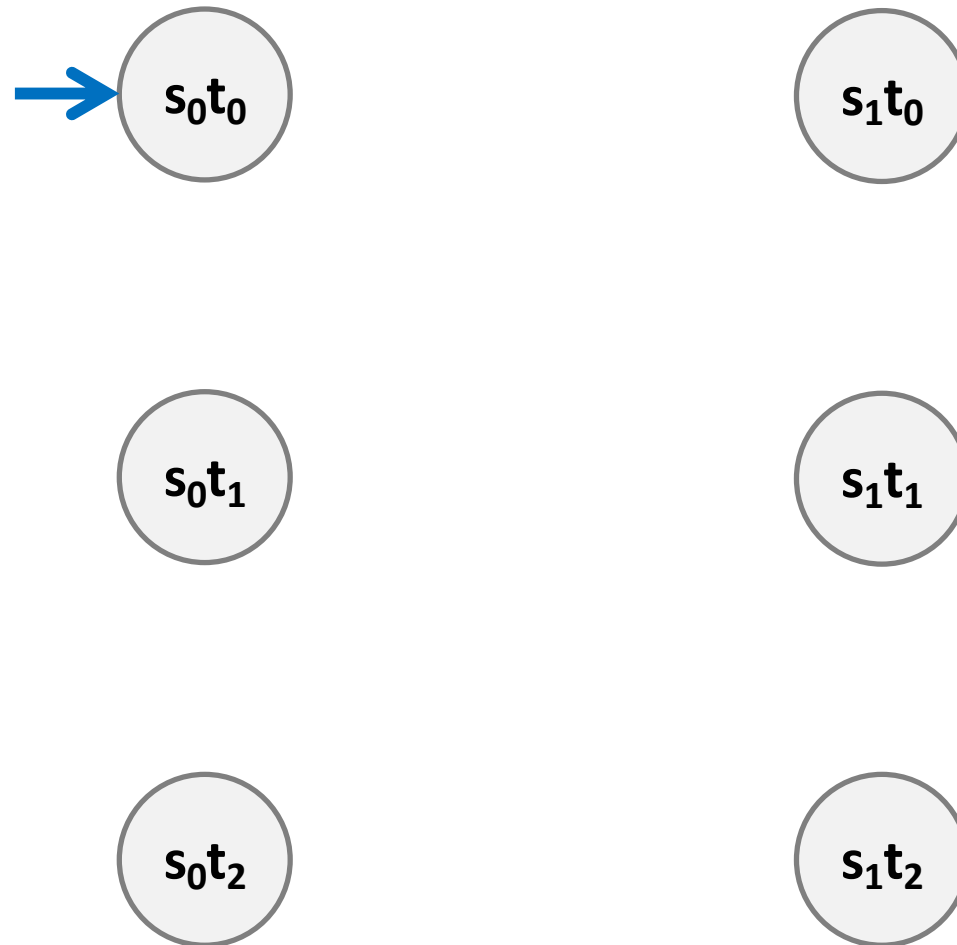


$M_2$ : Strings where the sum of digits mod 3 is 0



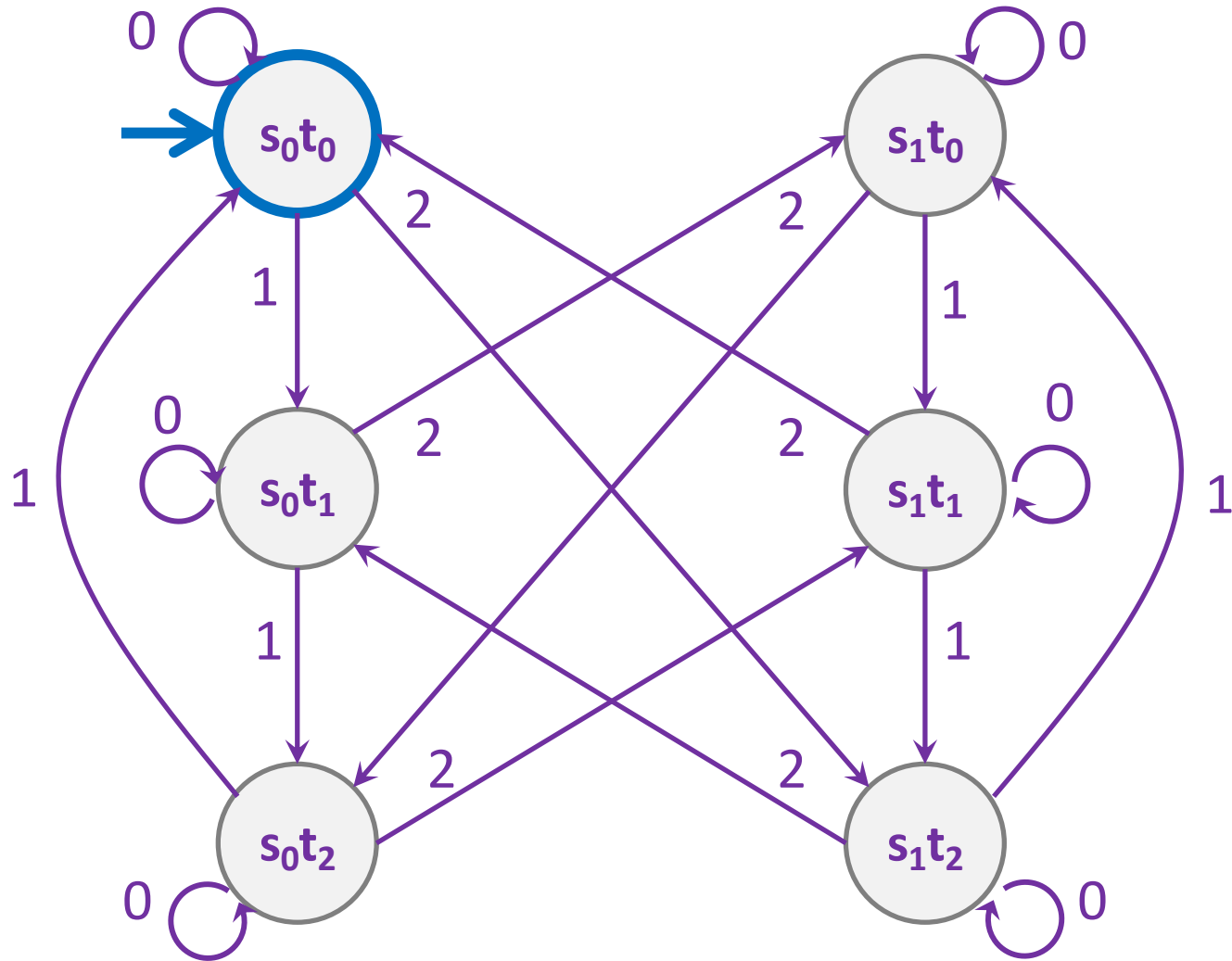
# Strings over $\{0,1,2\}$ w/ even number of 2's and mod 3 sum 0

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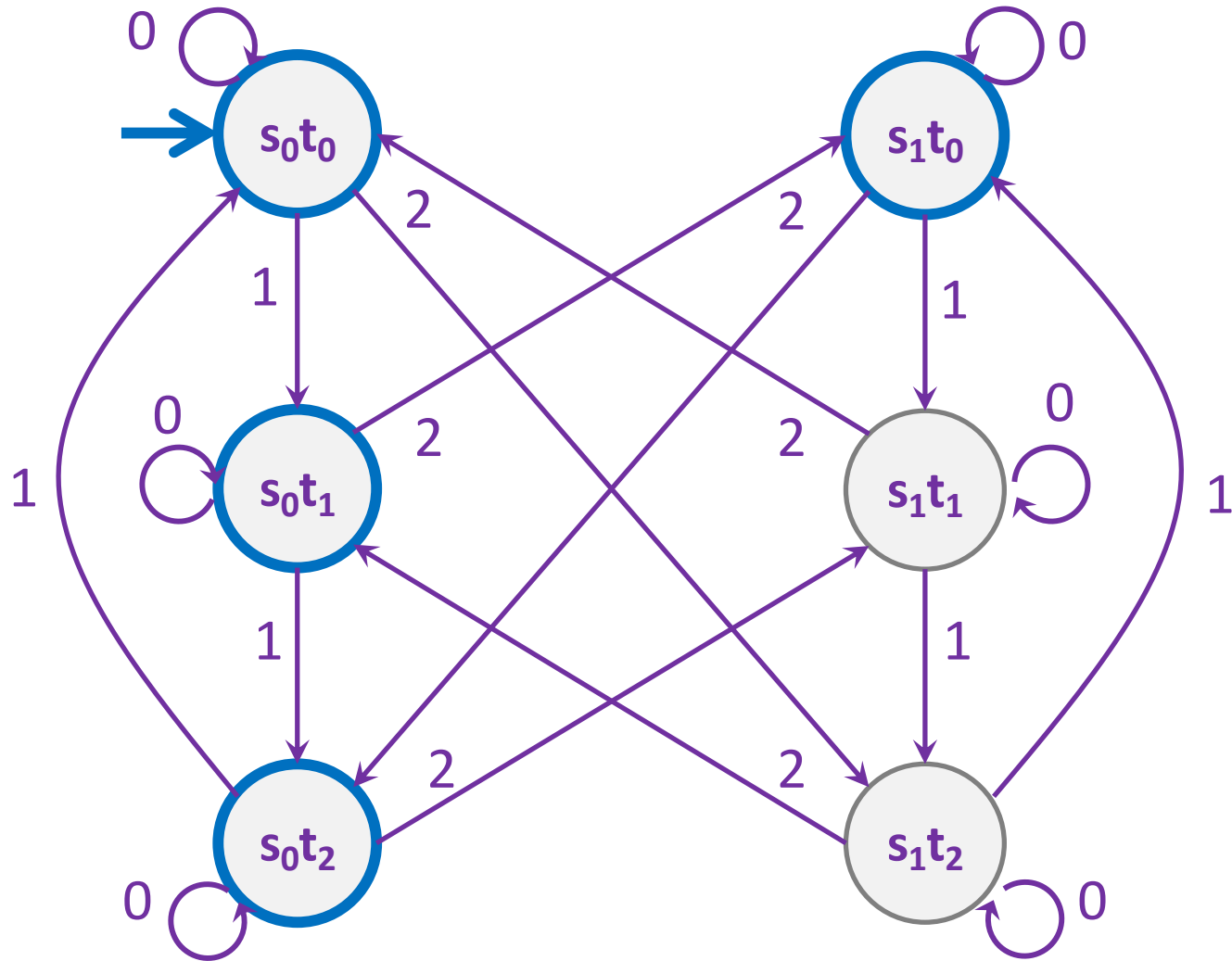
# Strings over $\{0,1,2\}$ w/ even number of 2's and mod 3 sum 0

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# Strings over $\{0,1,2\}$ w/ even number of 2's OR mod 3 sum 0

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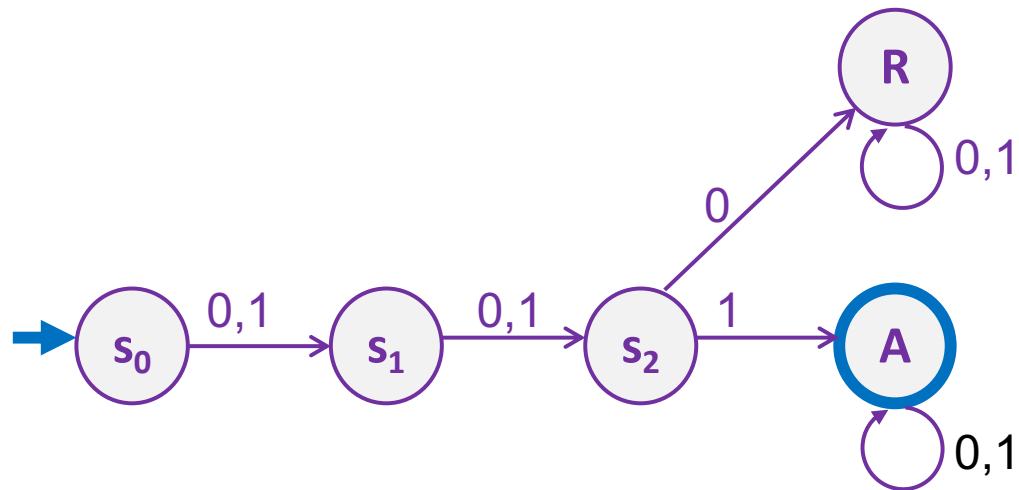
The set of binary strings with a **1** in the 3<sup>rd</sup> position from the start

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The set of binary strings with a **1** in the 3<sup>rd</sup> position from the start

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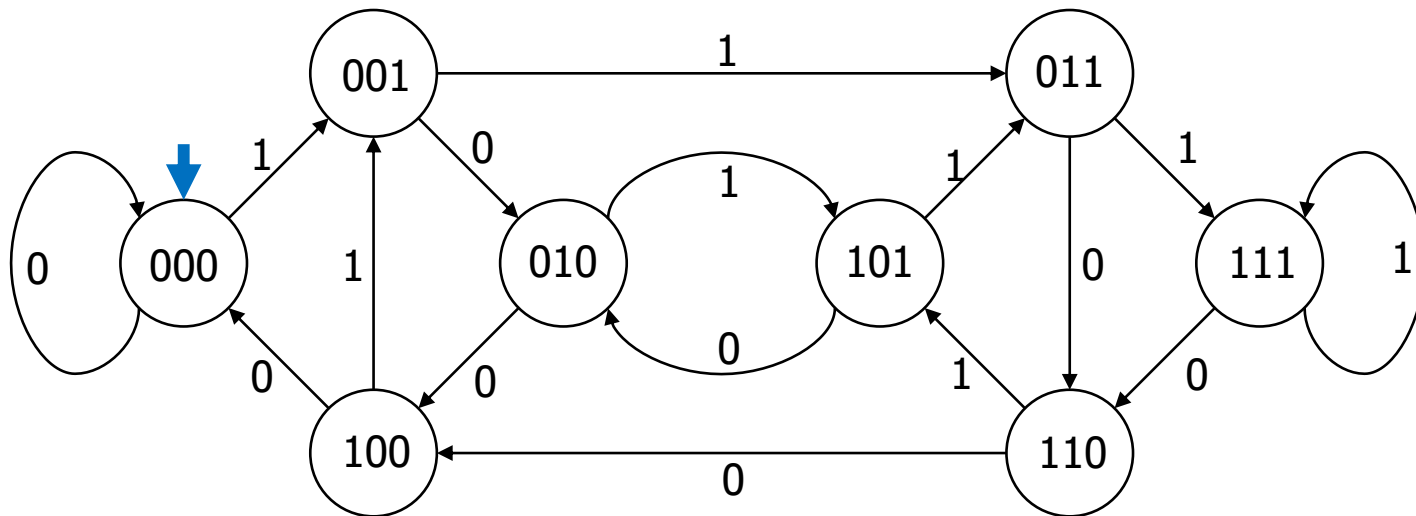


**The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end**

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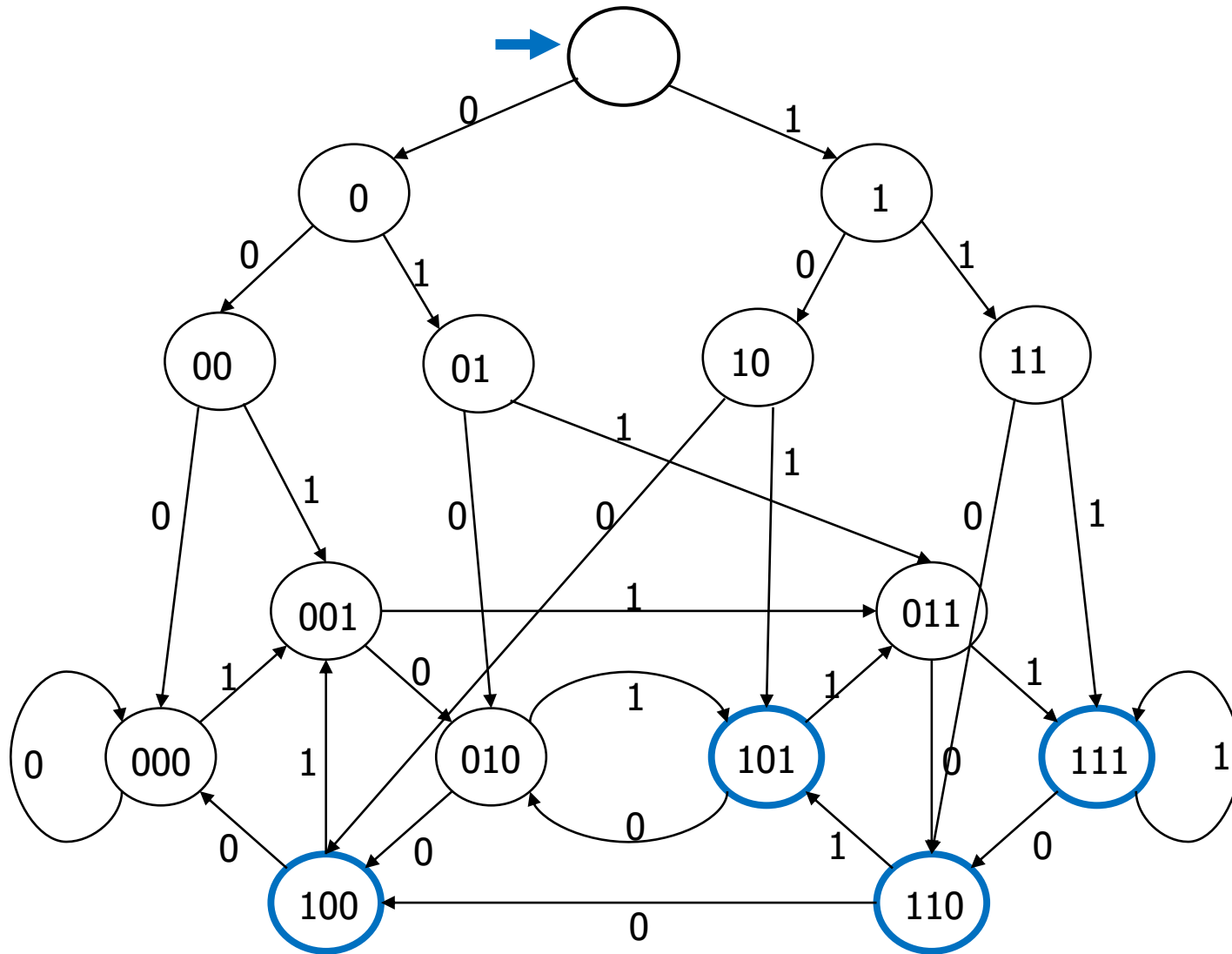
# 3 bit shift register “Remember the last three bits”

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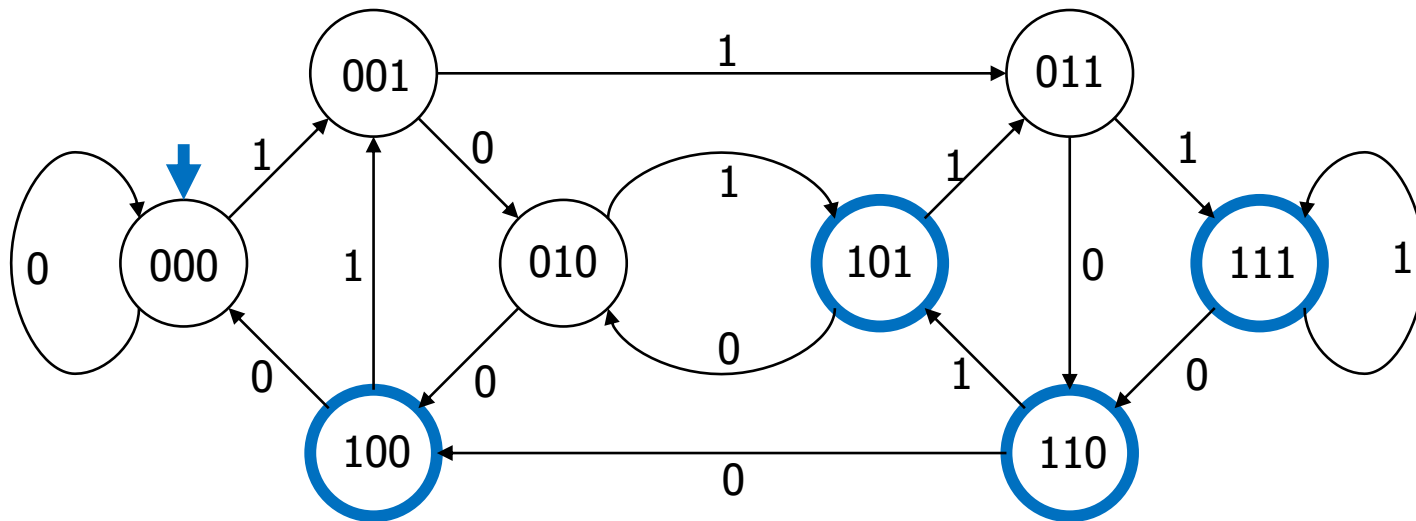
The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end

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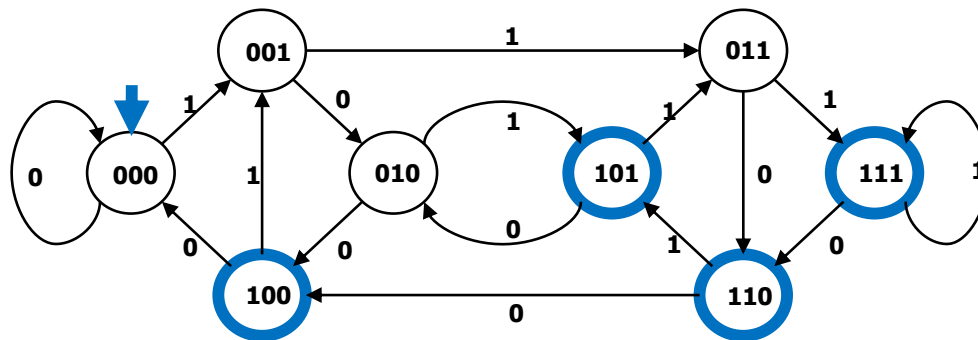
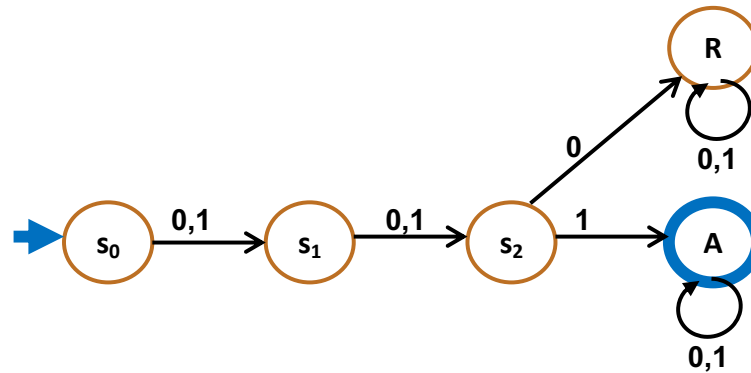
The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end

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# The beginning versus the end

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# Adding Output to Finite State Machines

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- So far, we have considered finite state machines that just accept/reject strings
  - called “Deterministic Finite Automata” or DFAs
- Now we consider finite state machines *with output*
  - These are the kinds used as controllers



# Vending Machine



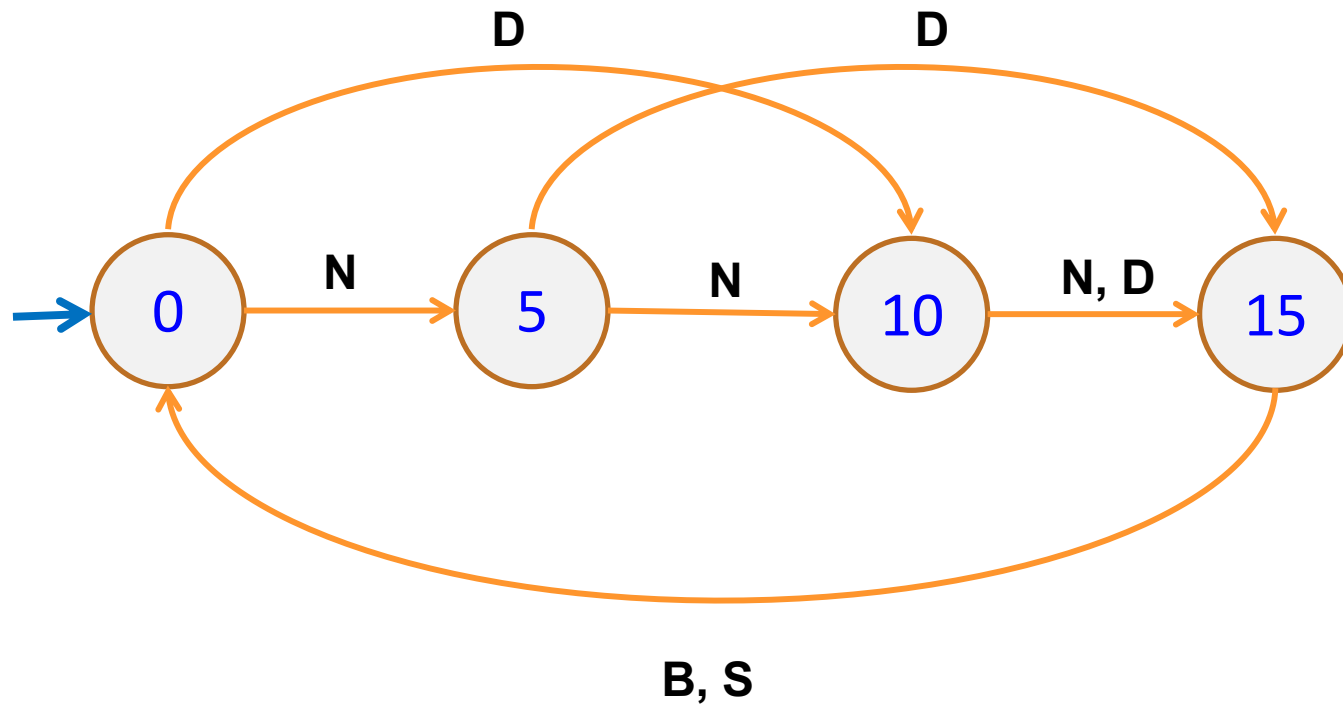
Enter 15 cents in dimes or nickels  
Press S or B for a candy bar





# Vending Machine, v0.1

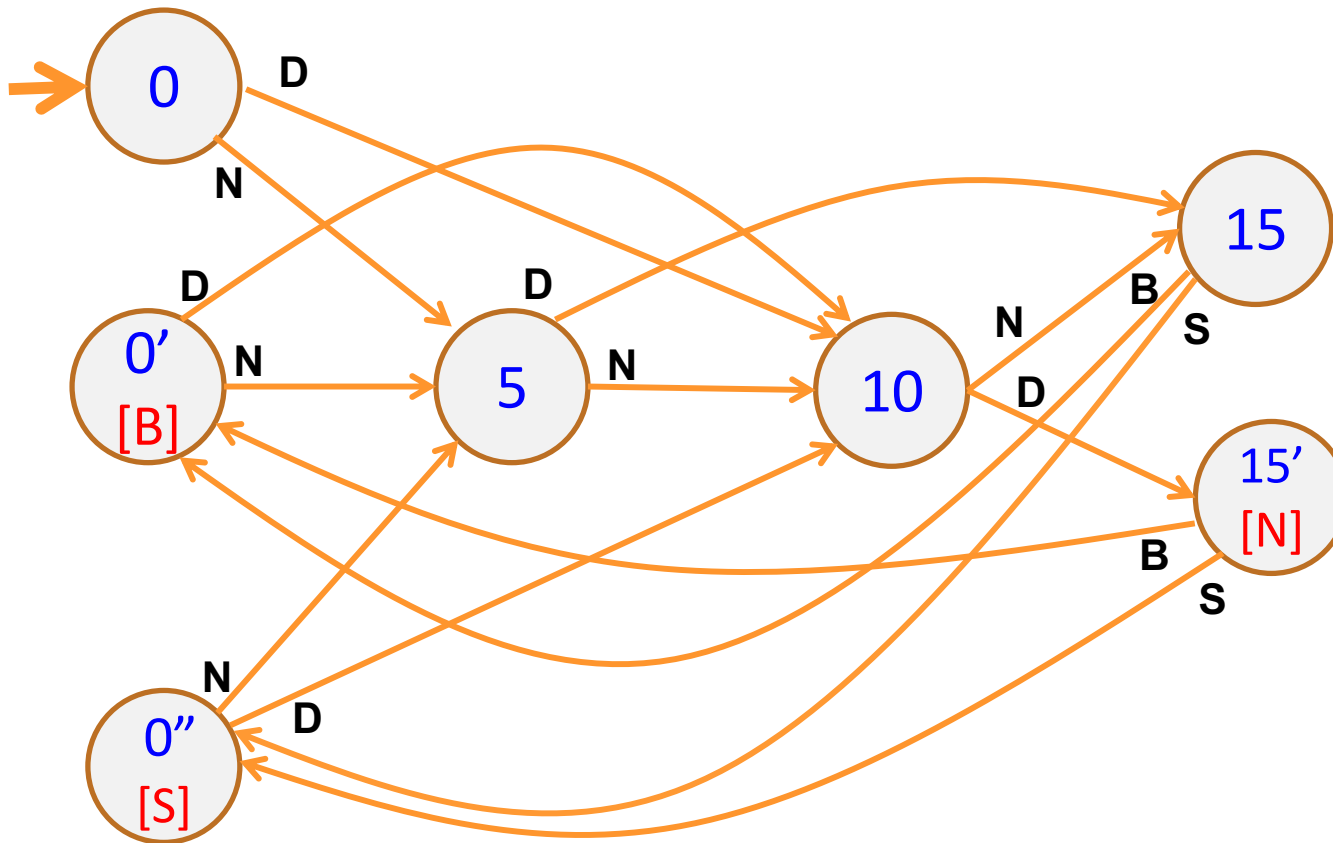
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Basic transitions on **N** (nickel), **D** (dime), **B** (butterfinger), **S** (snickers)

# Vending Machine, v0.2

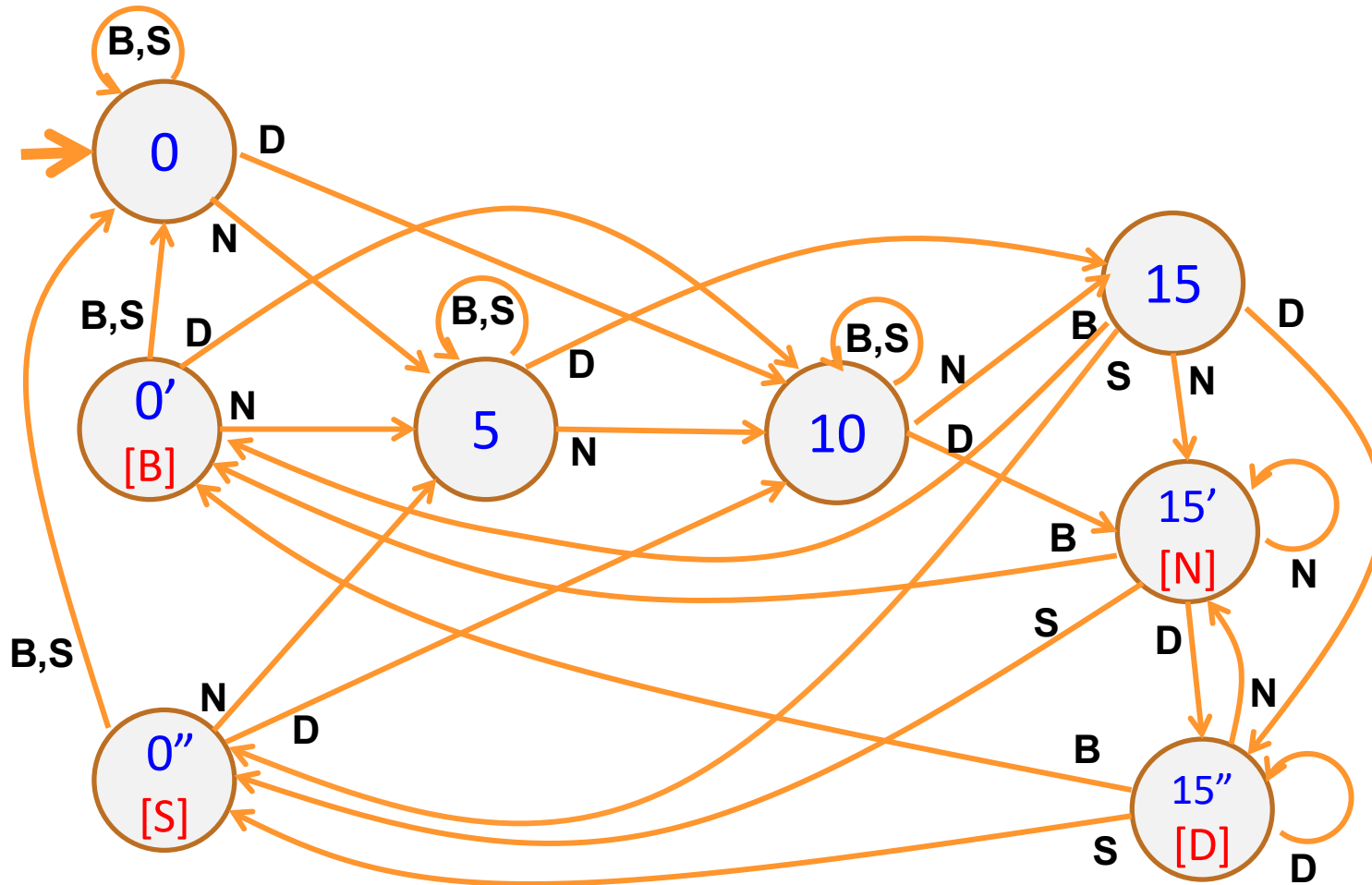
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Adding output to states: **N** – Nickel, **S** – Snickers, **B** – Butterfinger

# Vending Machine, v1.0

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Adding additional “unexpected” transitions to cover all symbols for each state

# State Minimization

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- **Many different FSMs (DFAs) for the same problem**
- **Take a given FSM and try to reduce its state set by combining states**
  - **Algorithm will always produce the unique minimal equivalent machine (up to renaming of states) but we won't prove this**

# State Minimization Algorithm

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- **Put states into groups**
- **Try to find groups that can be collapsed into one state**
  - states can keep track of information that isn't necessary to determine whether to accept or reject
- **Group states together until we can *prove* that collapsing them can change the accept/reject result**
  - find a specific string  $x$  such that:
    - starting from state A, following edges according to  $x$  ends in accept
    - starting from state B, following edges according to  $x$  ends in reject
  - (algorithm below could be modified to show these strings)

# State Minimization Algorithm

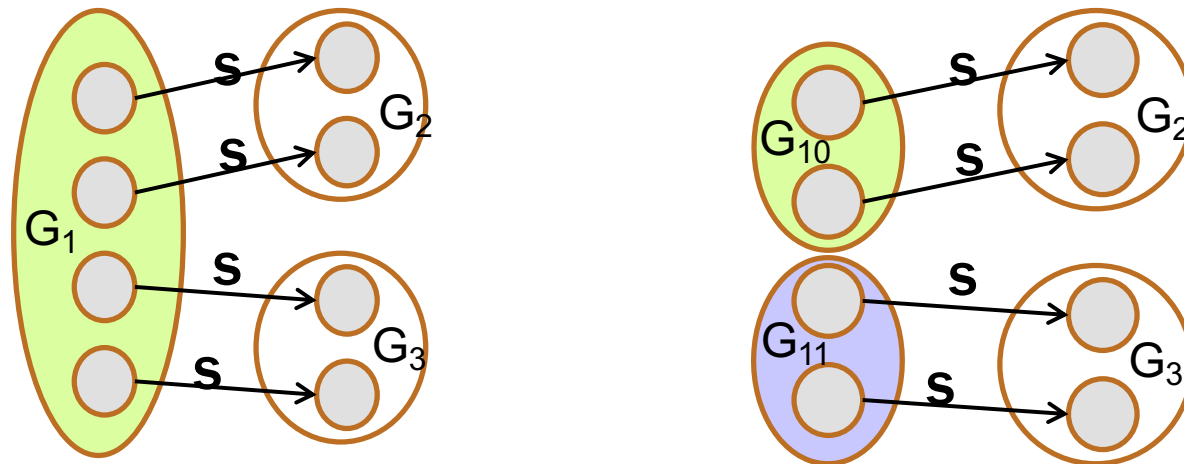
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1. Put states into groups based on their outputs (whether they accept or reject)

# State Minimization Algorithm

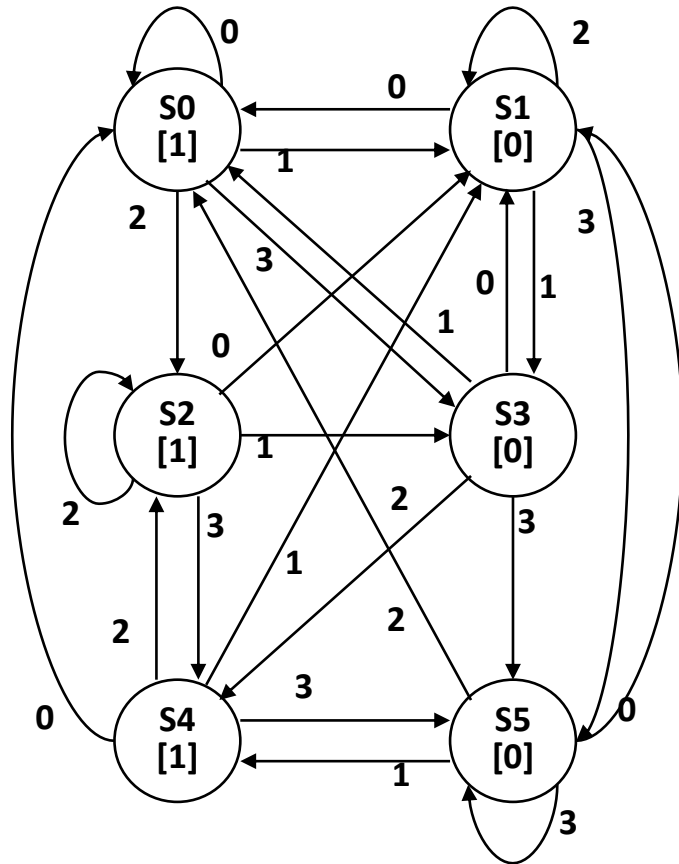
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1. Put states into groups based on their outputs (whether they accept or reject)
2. Repeat the following until no change happens
  - a. If there is a symbol **s** so that not all states in a group **G** agree on which group **s** leads to, split **G** into smaller groups based on which group the states go to on **s**



3. Finally, convert groups to states

# State Minimization Example



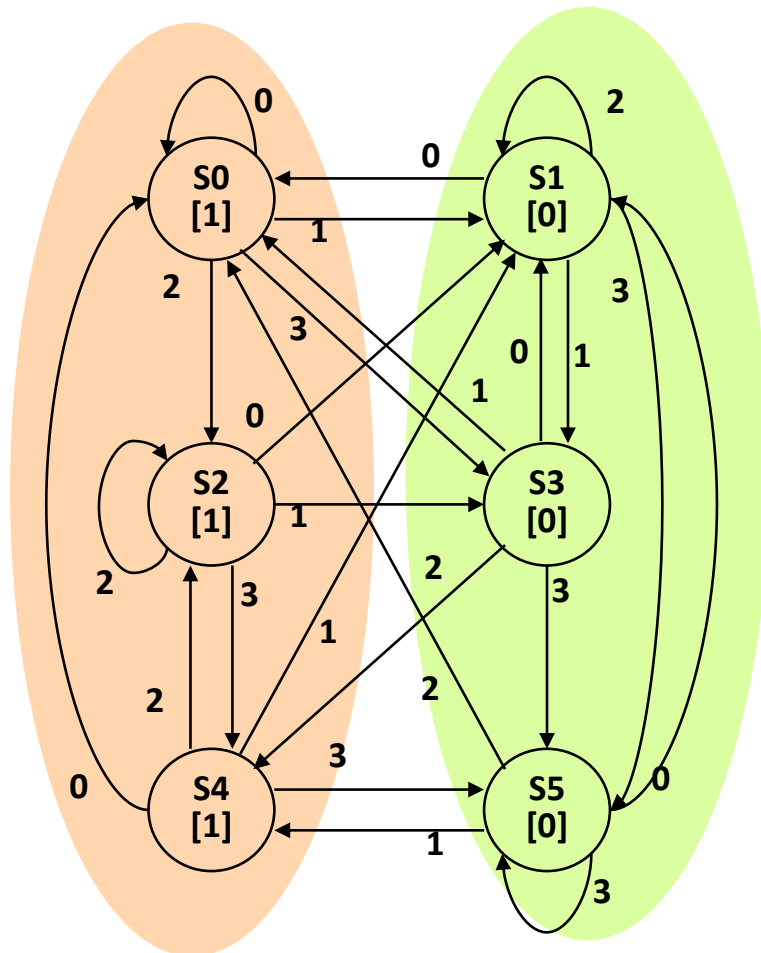
present state	next state				output
	0	1	2	3	
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

state transition table

Put states into groups based on their outputs (or whether they accept or reject)



# State Minimization Example

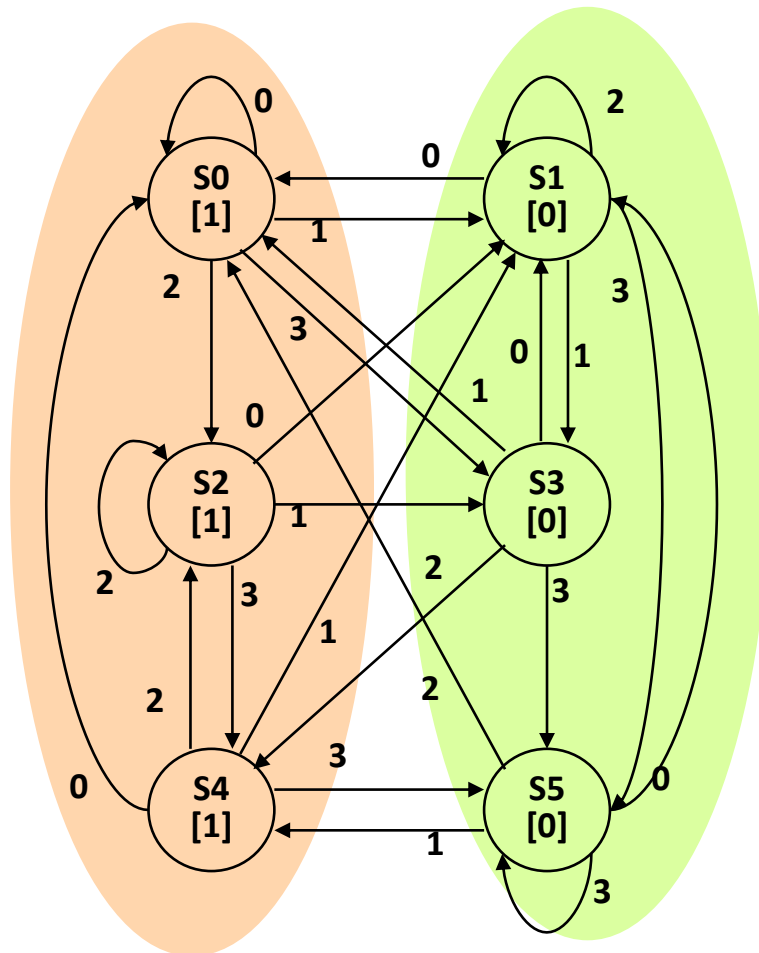


present state	next state				output
	0	1	2	3	
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

state transition table

Put states into groups based on their outputs (or whether they accept or reject)

# State Minimization Example



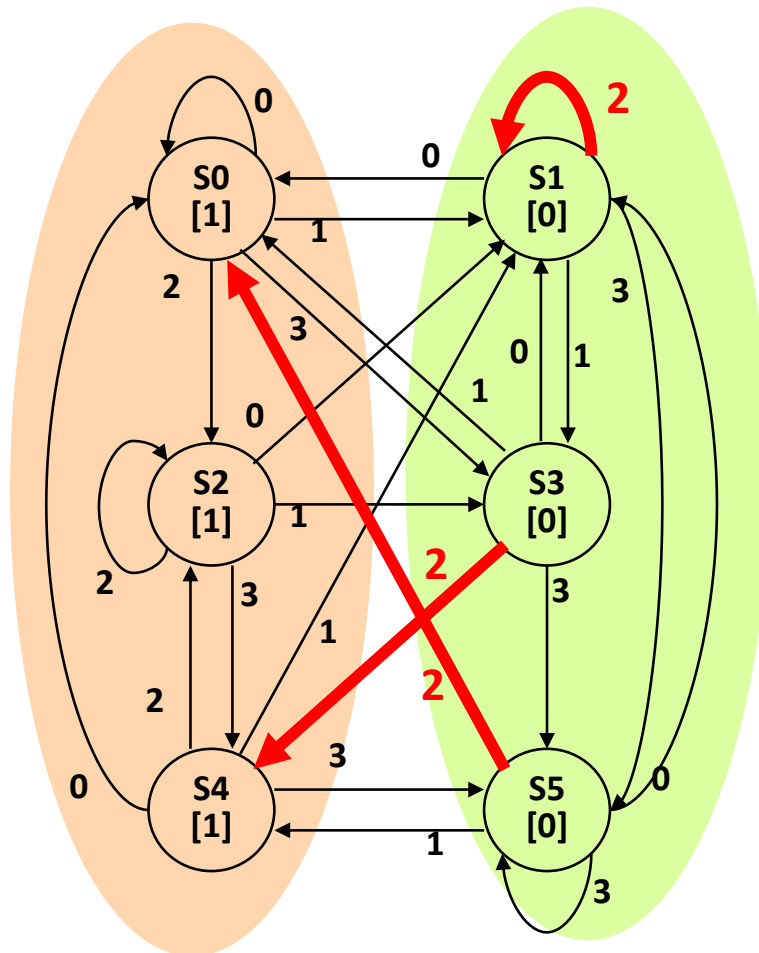
present state	next state				output
	0	1	2	3	
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

state transition table

Put states into groups based on their outputs (or whether they accept or reject)

If there is a symbol **s** so that not all states in a group **G** agree on which group **s** leads to, split **G** based on which group the states go to on **s**

# State Minimization Example



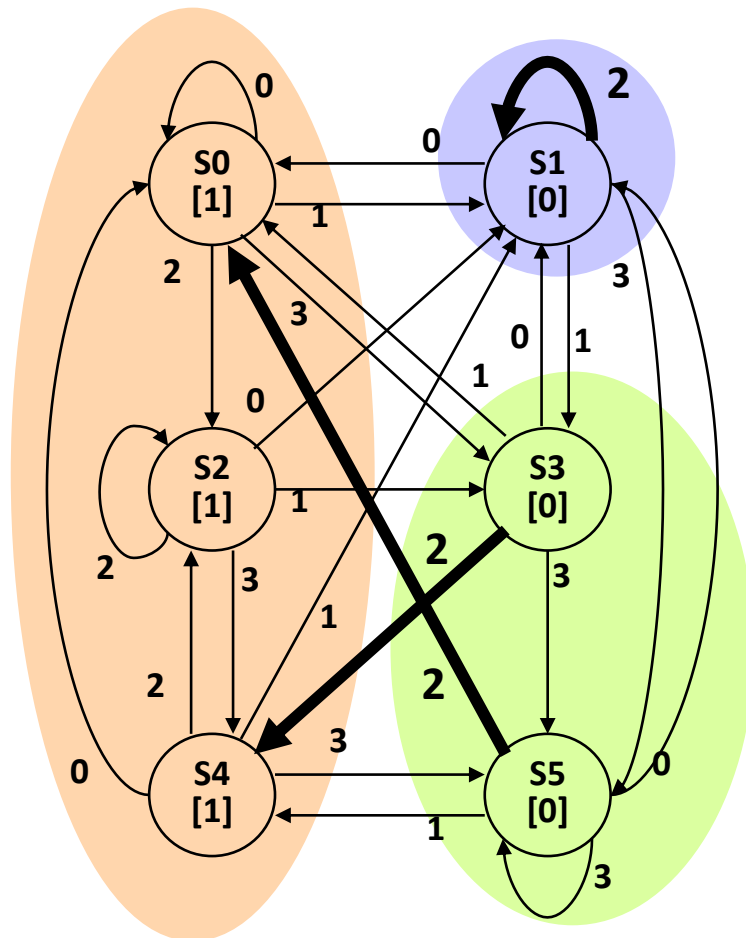
present state	next state				output
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S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

state transition table

Put states into groups based on their outputs (or whether they accept or reject)

If there is a symbol **s** so that not all states in a group **G** agree on which group **s** leads to, split **G** based on which group the states go to on **s**

# State Minimization Example



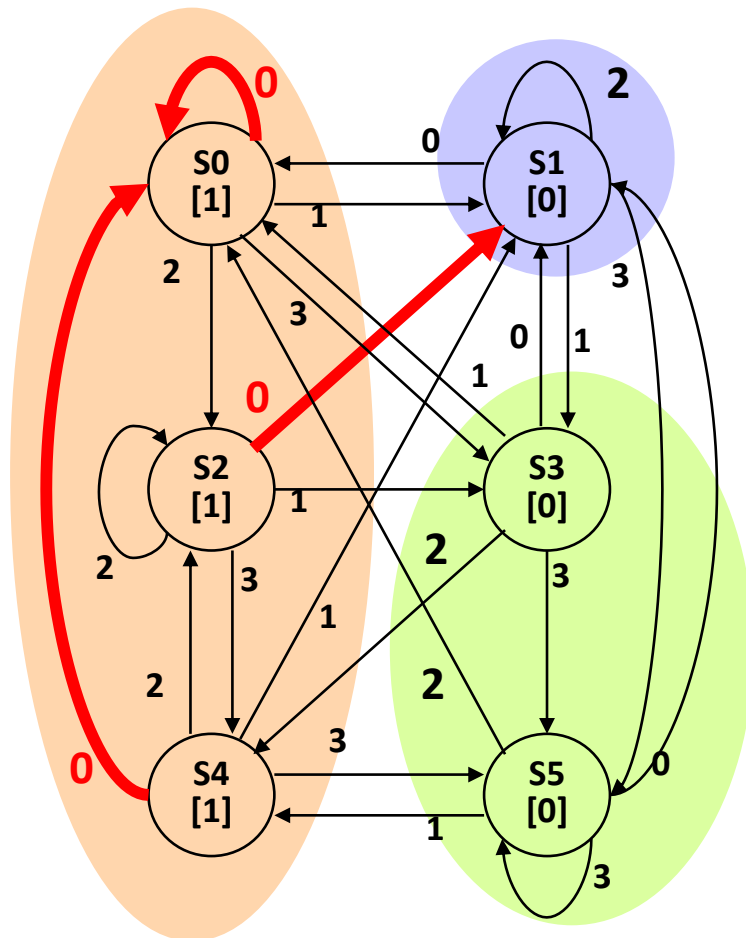
present state	next state				output
	0	1	2	3	
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

state transition table

Put states into groups based on their outputs (or whether they accept or reject)

If there is a symbol **s** so that not all states in a group **G** agree on which group **s** leads to, split **G** based on which group the states go to on **s**

# State Minimization Example



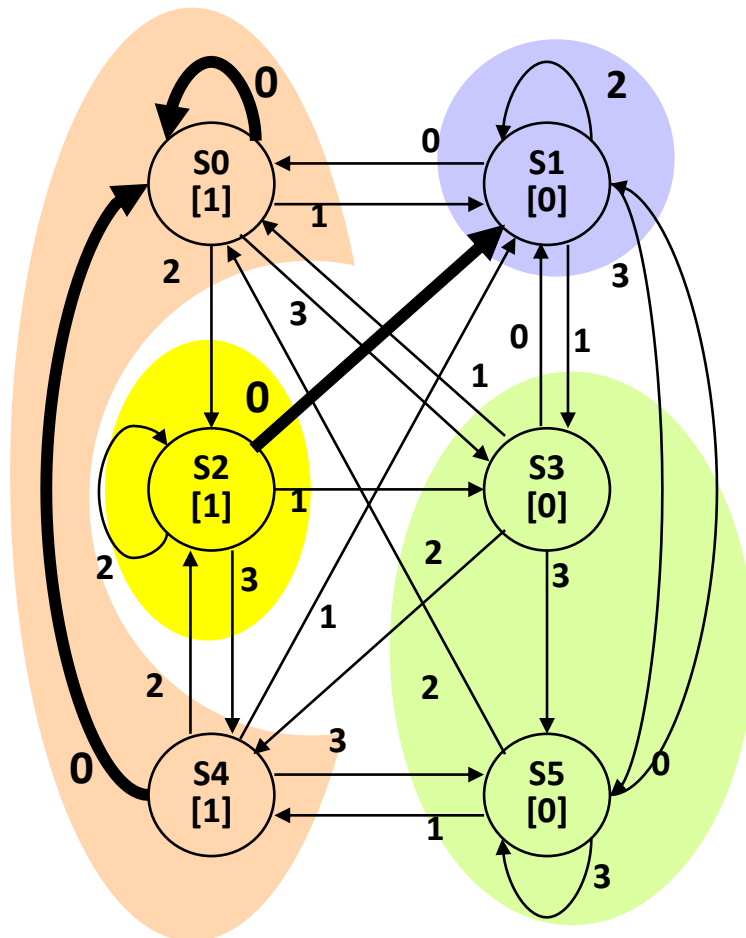
present state	next state				output
	0	1	2	3	
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
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state transition table

Put states into groups based on their outputs (or whether they accept or reject)

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# State Minimization Example



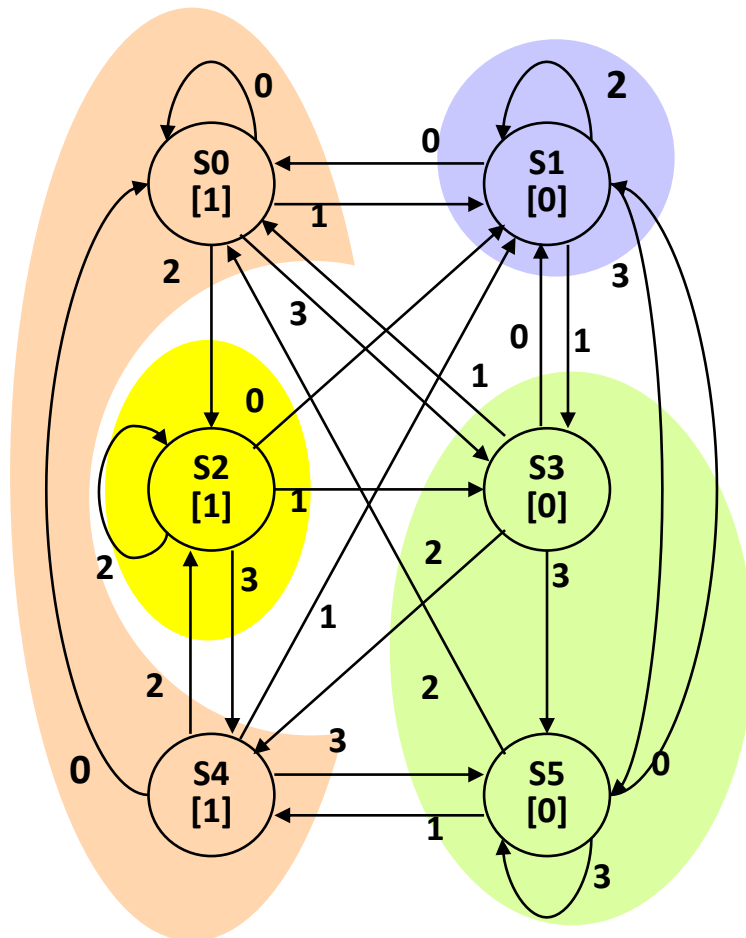
present state	next state				output
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S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
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state transition table

Put states into groups based on their outputs (or whether they accept or reject)

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# State Minimization Example



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S0	S0	S1	S2	S3	1
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S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

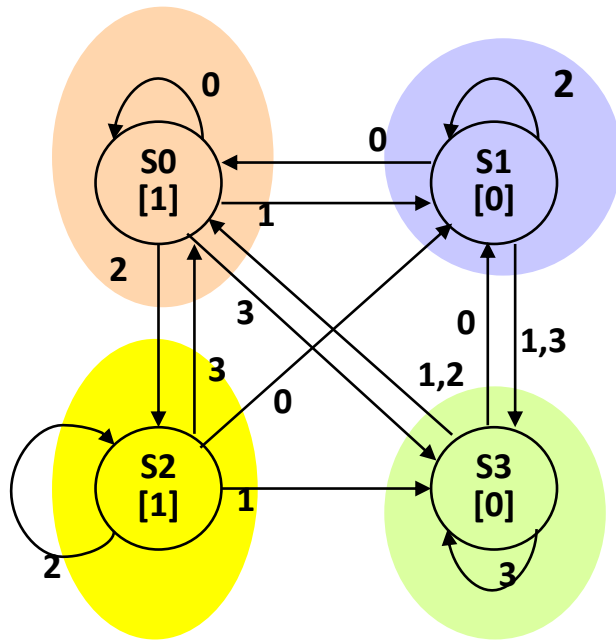
state transition table

Finally convert groups to states:

Can combine states S0-S4 and S3-S5.

In table replace all S4 with S0 and all S5 with S3

# Minimized Machine



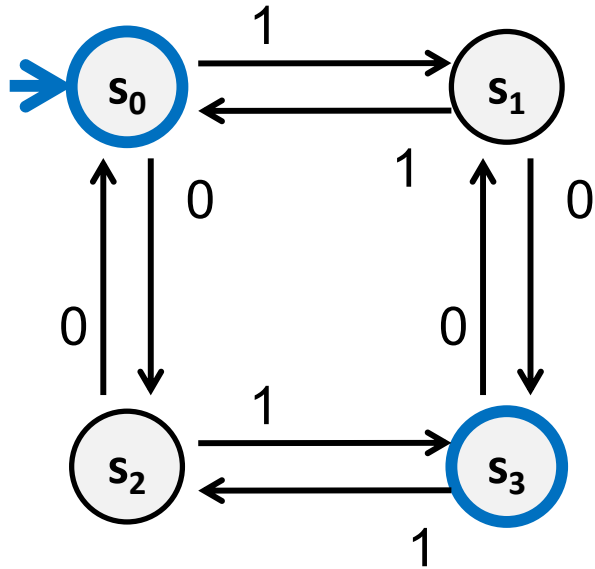
present state	next state				output
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S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S3	0
S2	S1	S3	S2	S0	1
S3	S1	S0	S0	S3	0

state transition table



# A Simpler Minimization Example

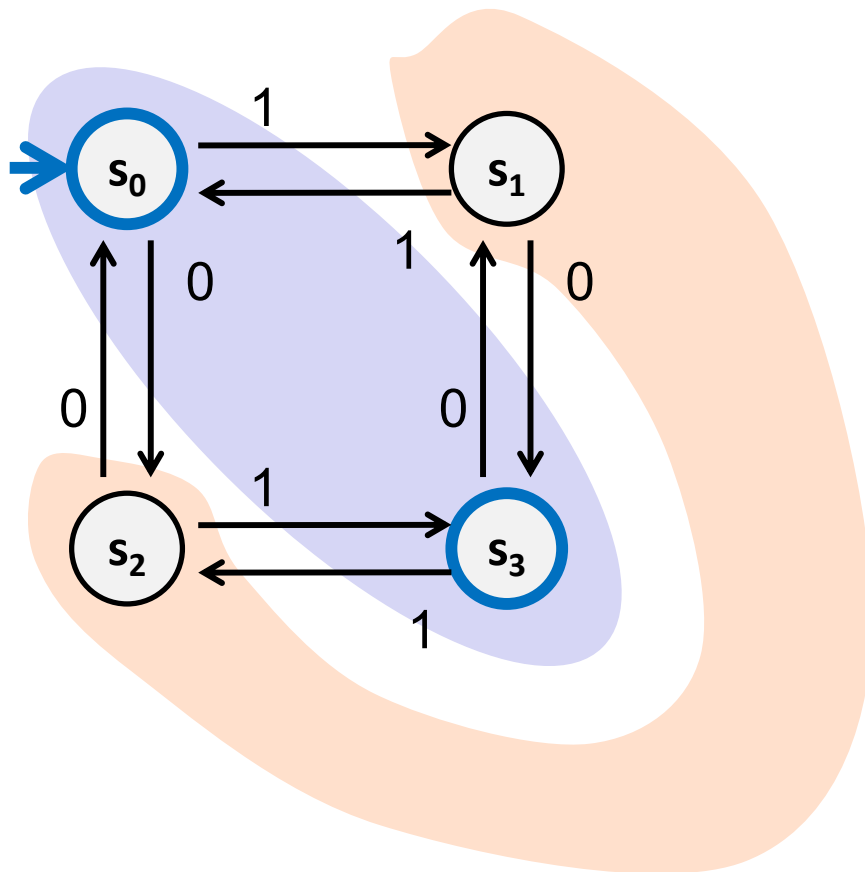
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The set of all binary strings with # of 1's  $\equiv$  # of 0's (mod 2).

# A Simpler Minimization Example

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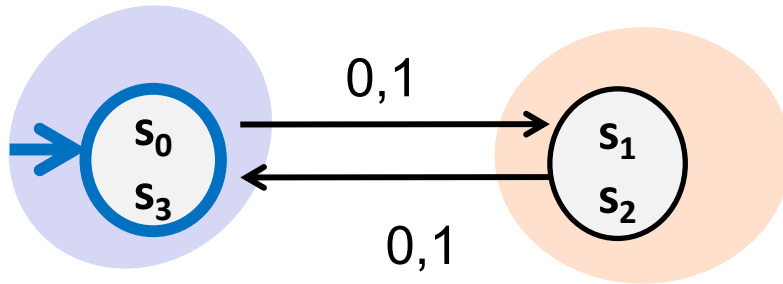


**Split states into  
accept/reject groups**

**Every symbol causes  
the DFA to go from one  
group to the other so  
neither group needs to  
be split**

# Minimized DFA

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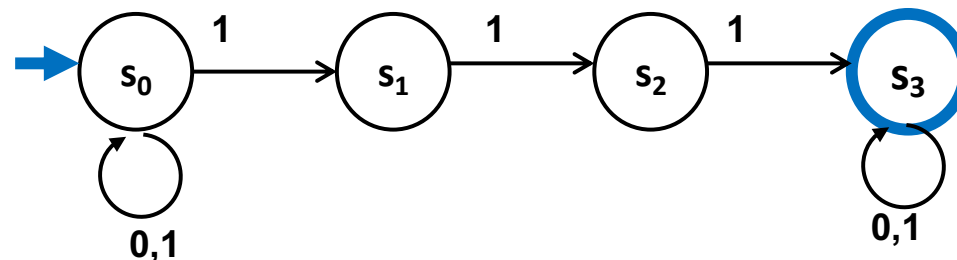


The set of all binary strings with  $\#$  of 1's  $\equiv$   $\#$  of 0's (mod 2).  
= The set of all binary strings with even length.

# Nondeterministic Finite Automata (NFA)

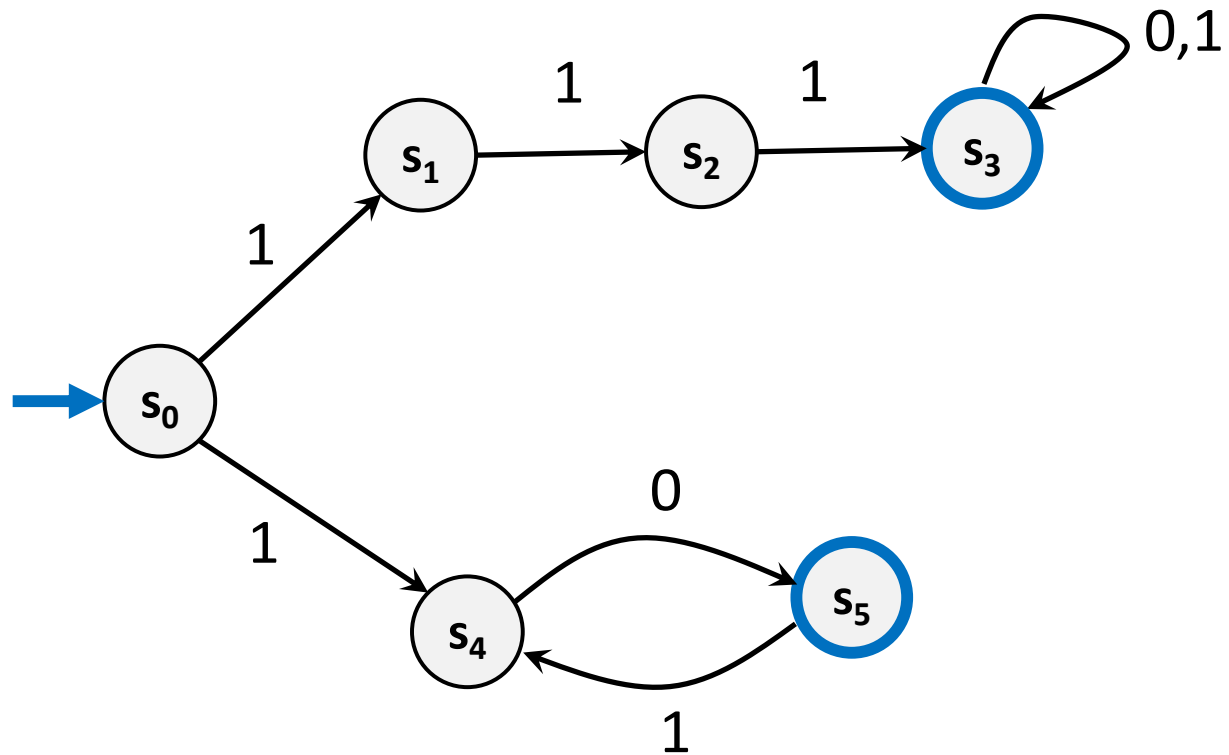
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- Graph with start state, final states, edges labeled by symbols (like DFA) but
  - Not required to have exactly 1 edge out of each state labeled by each symbol— can have 0 or  $>1$
  - Also can have edges labeled by empty string  $\epsilon$
- **Definition:**  $x$  is in the language recognized by an NFA if and only if some valid execution of the machine gets to an accept state



## Consider This NFA

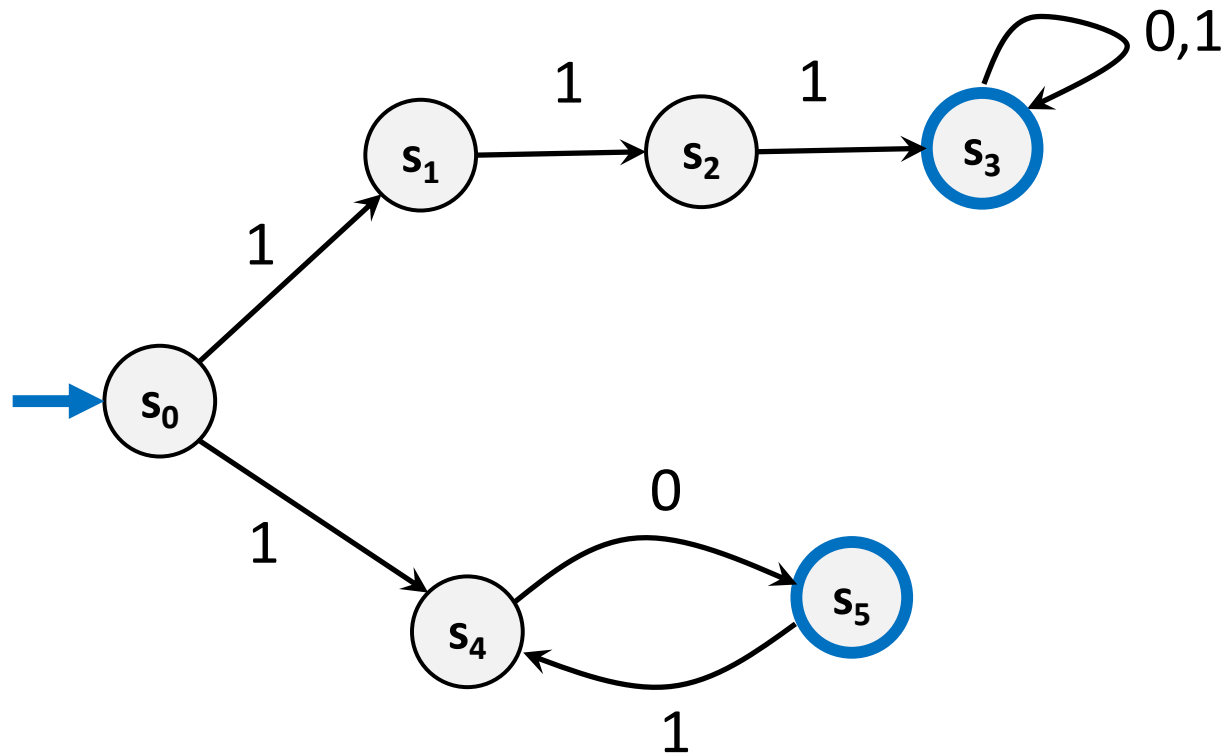
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What language does this NFA accept?

## Consider This NFA

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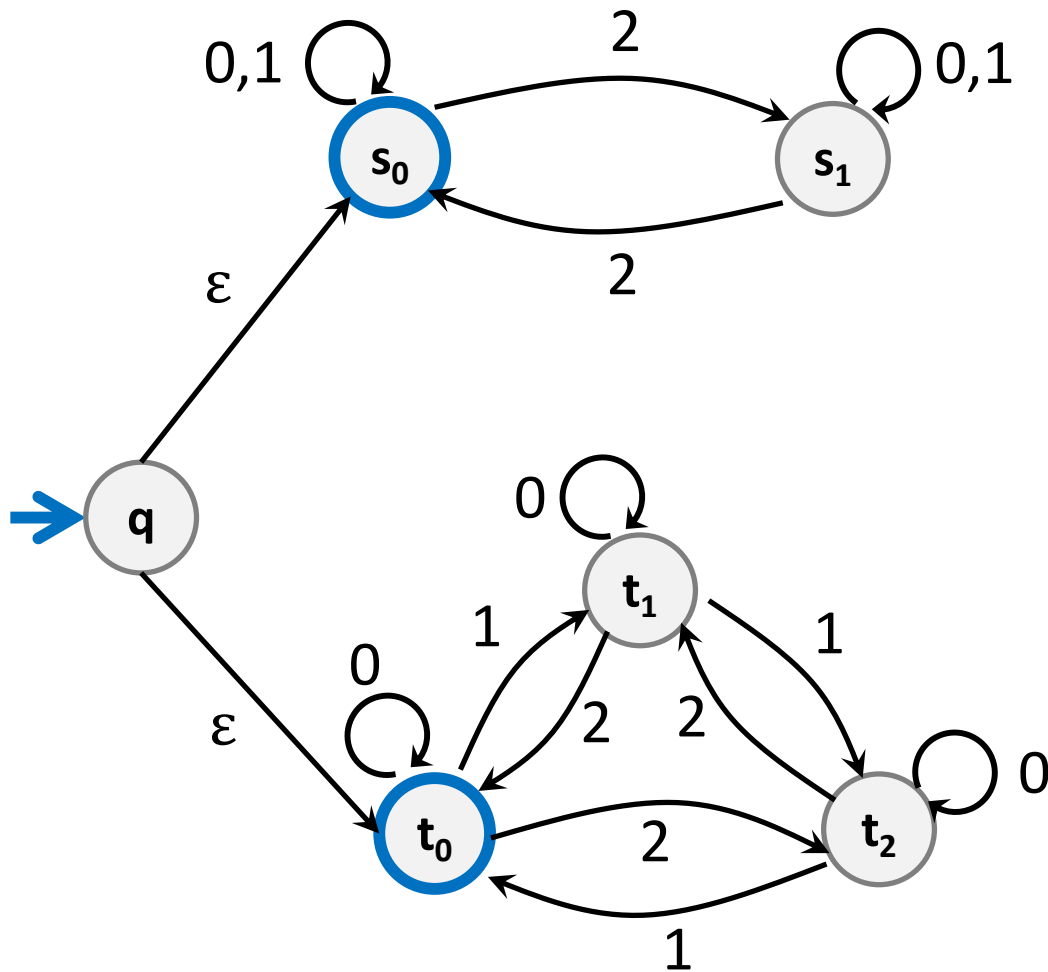


What language does this NFA accept?

$$10(10)^* \cup 111(0 \cup 1)^*$$

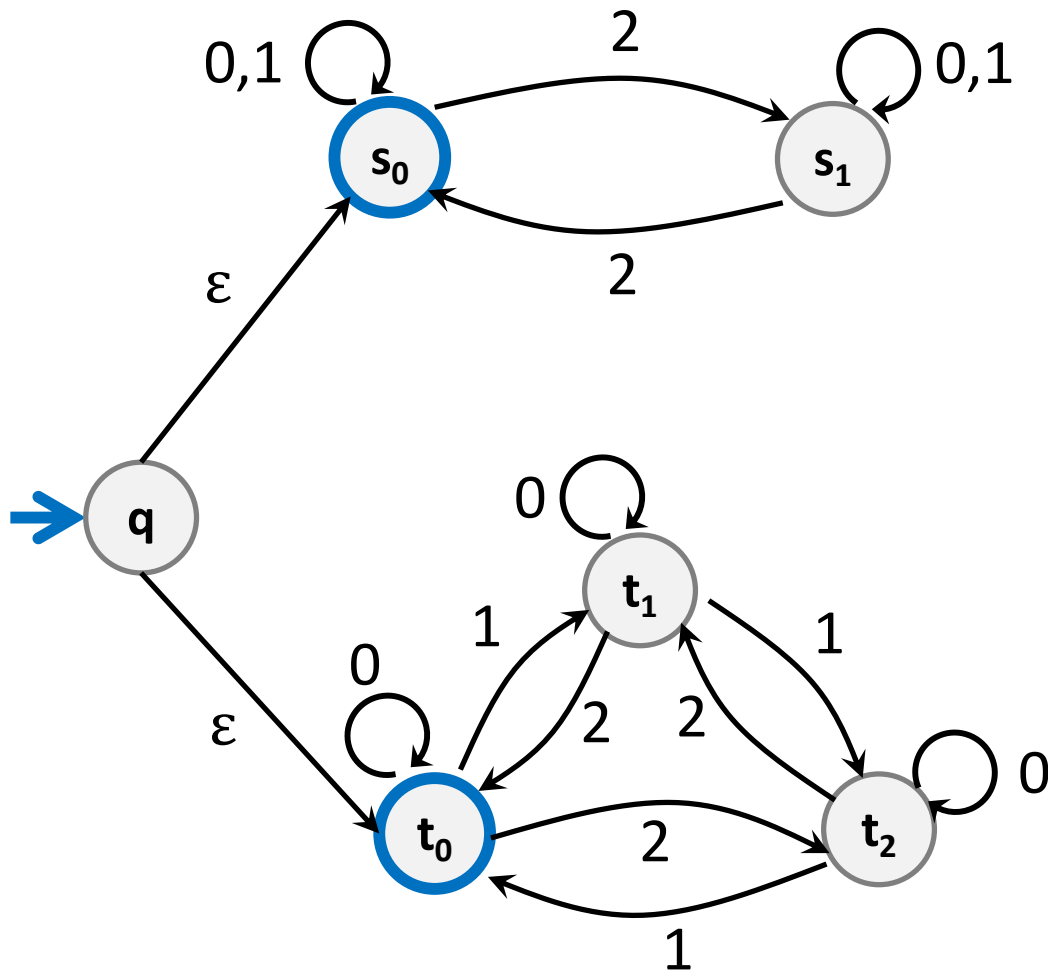
# NFA $\epsilon$ -moves

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# NFA $\epsilon$ -moves

Strings over  $\{0,1,2\}$  w/even # of 2's OR sum to 0 mod 3



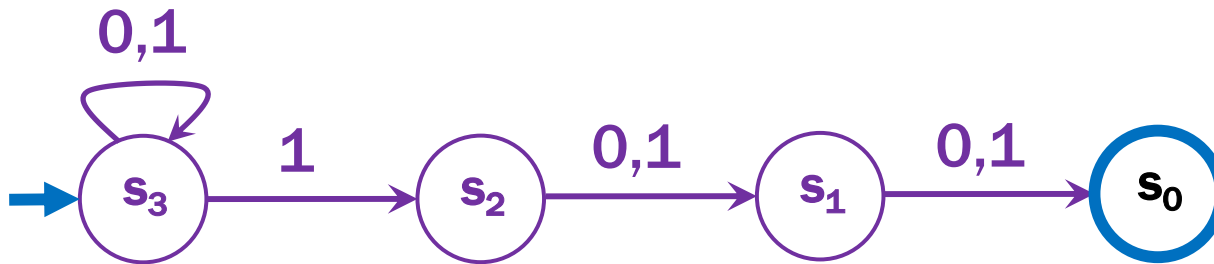


**NFA for set of binary strings with a 1 in the 3<sup>rd</sup> position from the end**

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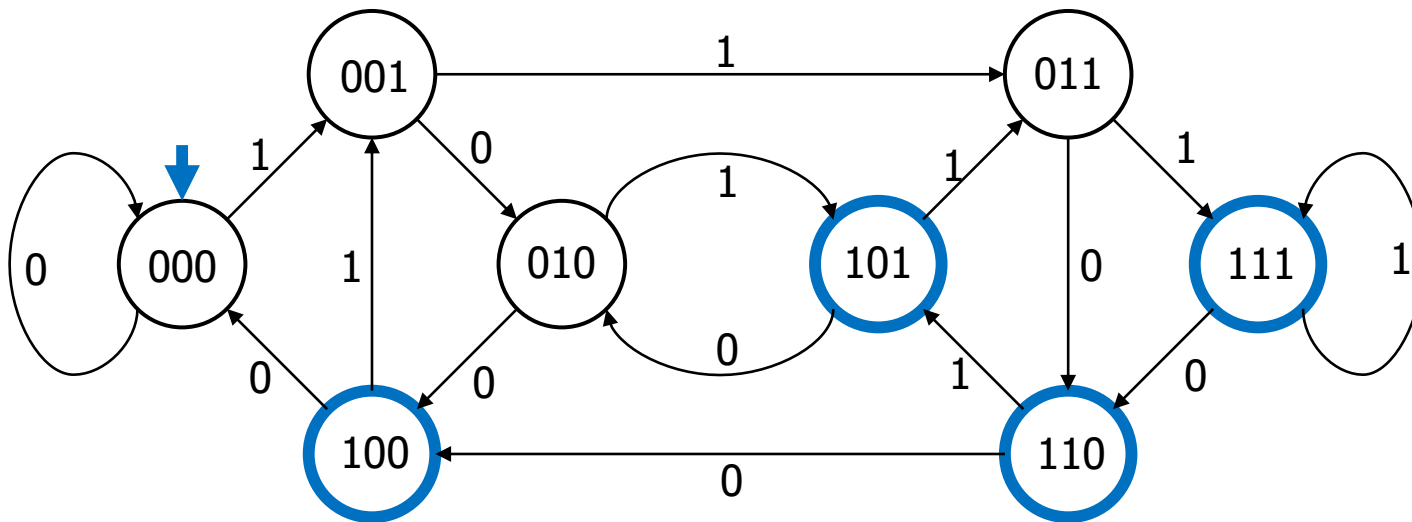
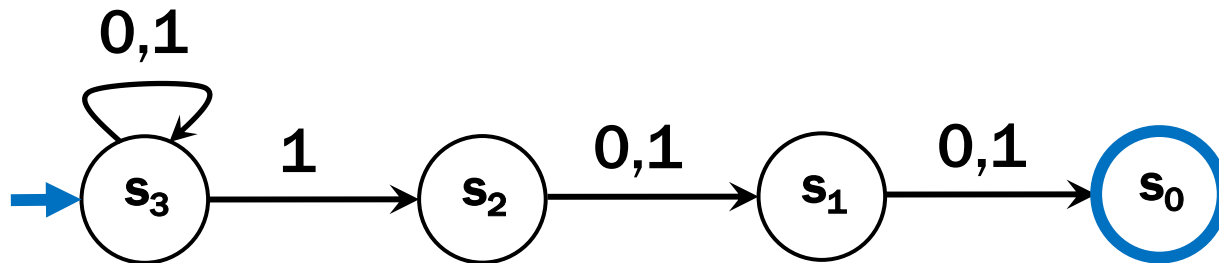
# NFA for set of binary strings with a 1 in the 3<sup>rd</sup> position from the end

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# Compare with the smallest DFA

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# Summary of NFAs

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- **Generalization of DFAs**
  - drop two restrictions of DFAs
  - every DFA is an NFA
- ***Seem* to be more powerful**
  - designing is easier than with DFAs
- ***Seem* related to regular expressions**