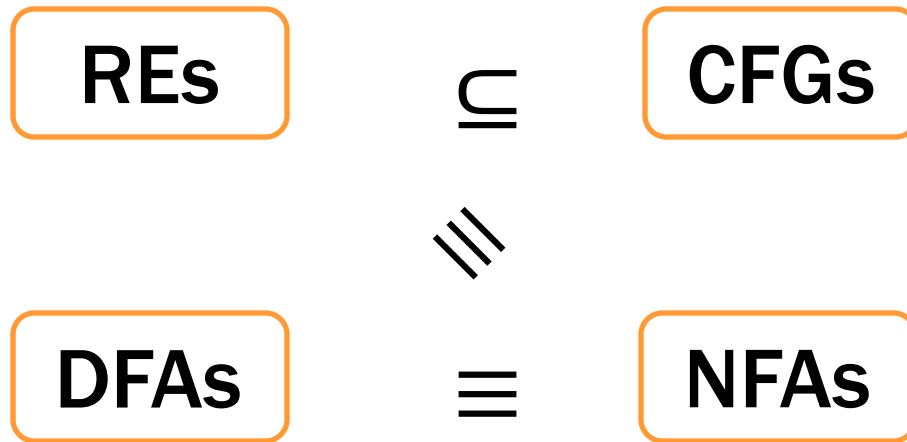


CSE 311: Foundations of Computing

Lecture 27: Irregularity



Recap from last lecture



Transform n -state NFA to 2^n -state DFA:

- DFA simulates the **set of reachable NFA states**

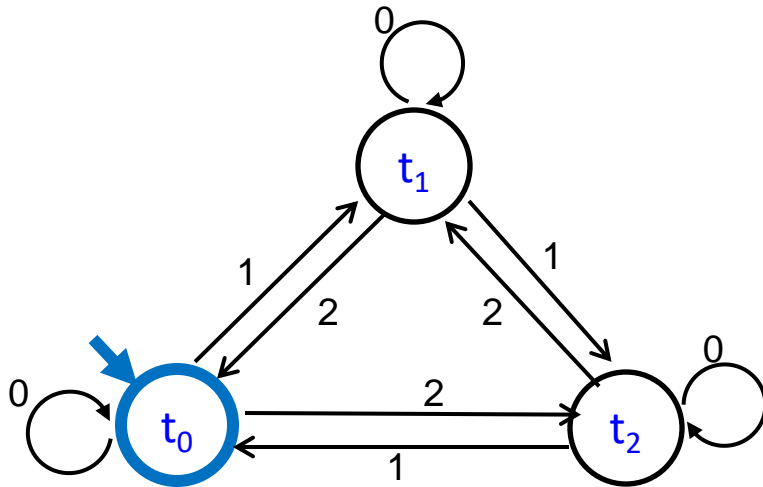
Transform NFA to RE:

- Allow **generalized NFA** where edges labelled with REs
- **Reduce** Generalized NFA one state after the other

Converting an NFA to a regular expression

Consider the DFA for the mod 3 sum

- Accept strings from $\{0,1,2\}^*$ where the digits mod 3 sum of the digits is 0



Splicing out a state t_1

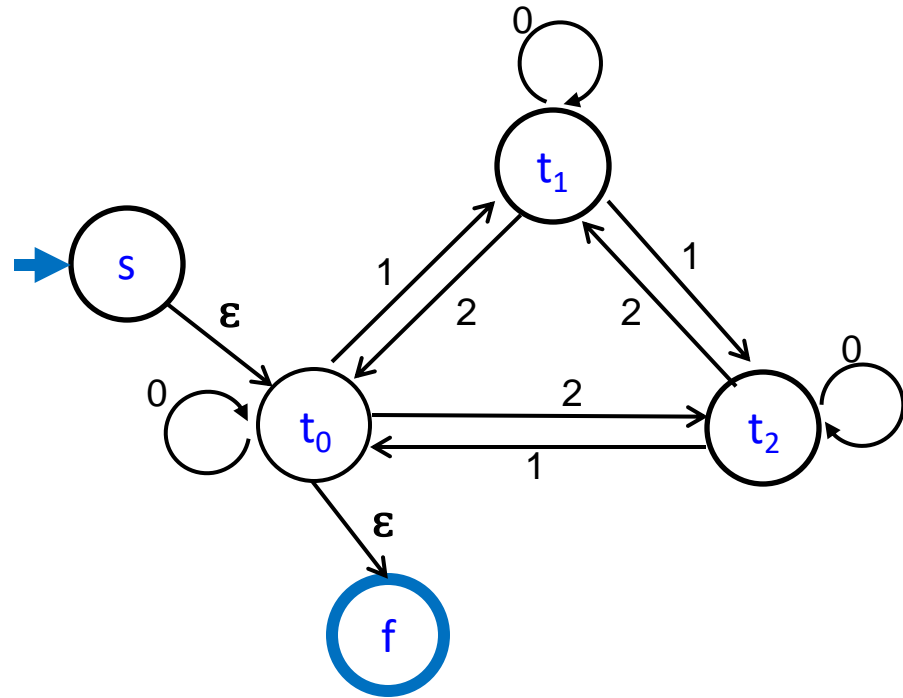
Regular expressions to add to edges

$t_0 \rightarrow t_1 \rightarrow t_0$: 10^*2

$t_0 \rightarrow t_1 \rightarrow t_2$: 10^*1

$t_2 \rightarrow t_1 \rightarrow t_0$: 20^*2

$t_2 \rightarrow t_1 \rightarrow t_2$: 20^*1



Splicing out a state t_1

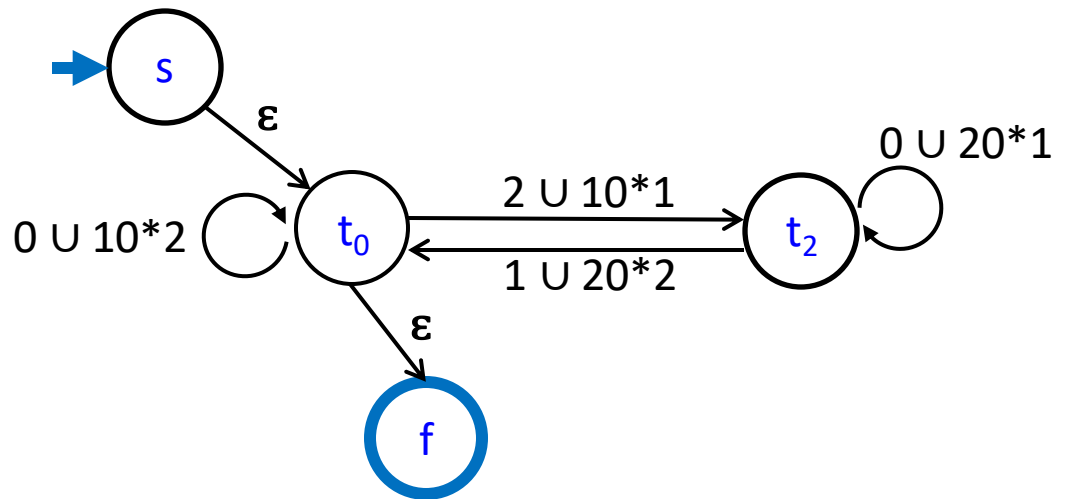
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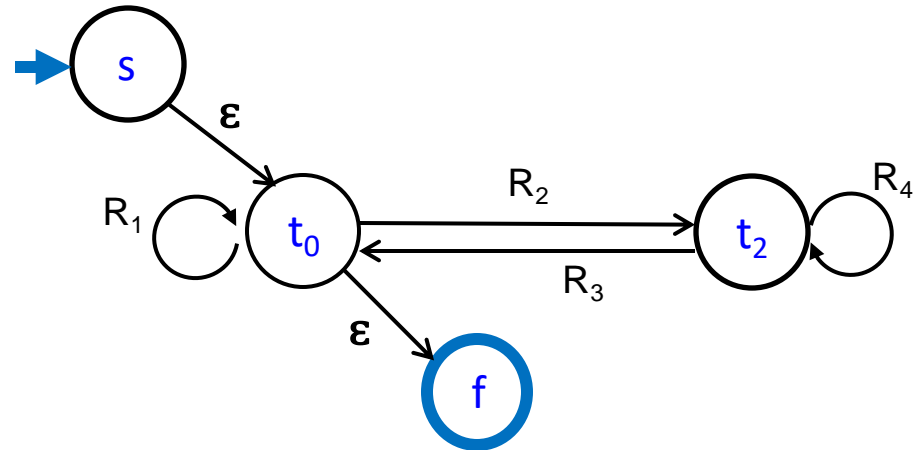
Splicing out state t_2 (and then t_0)

$R_1: 0 \cup 10^*2$

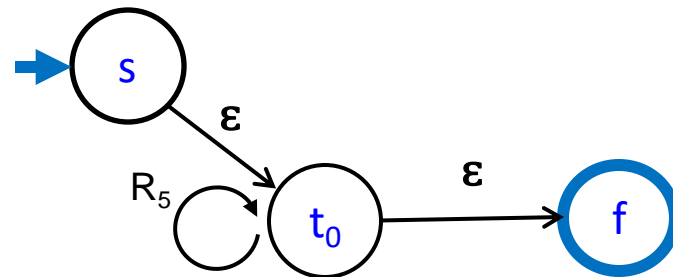
$R_2: 2 \cup 10^*1$

$R_3: 1 \cup 20^*2$

$R_4: 0 \cup 20^*1$



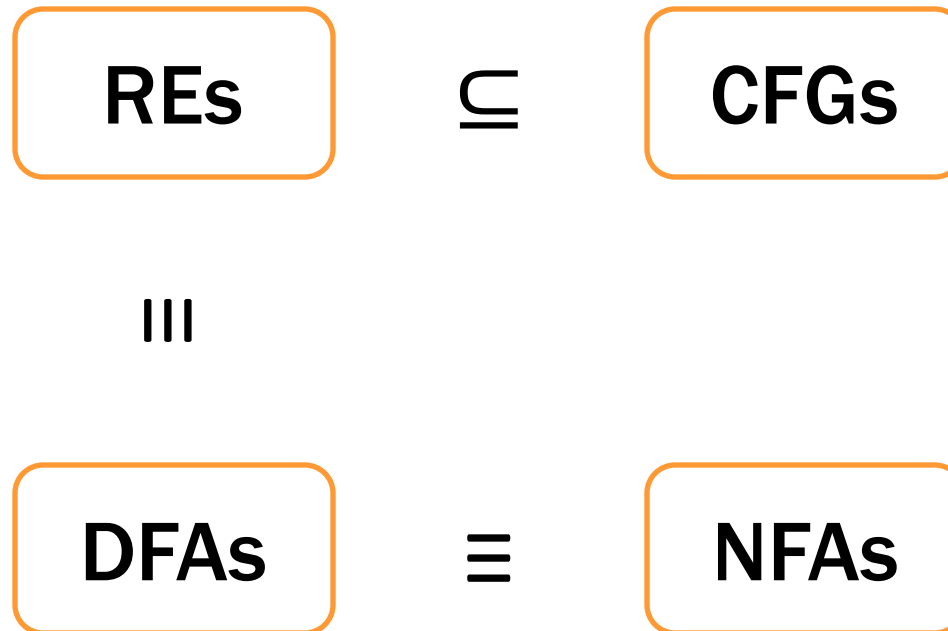
$R_5: R_1 \cup R_2R_4^*R_3$



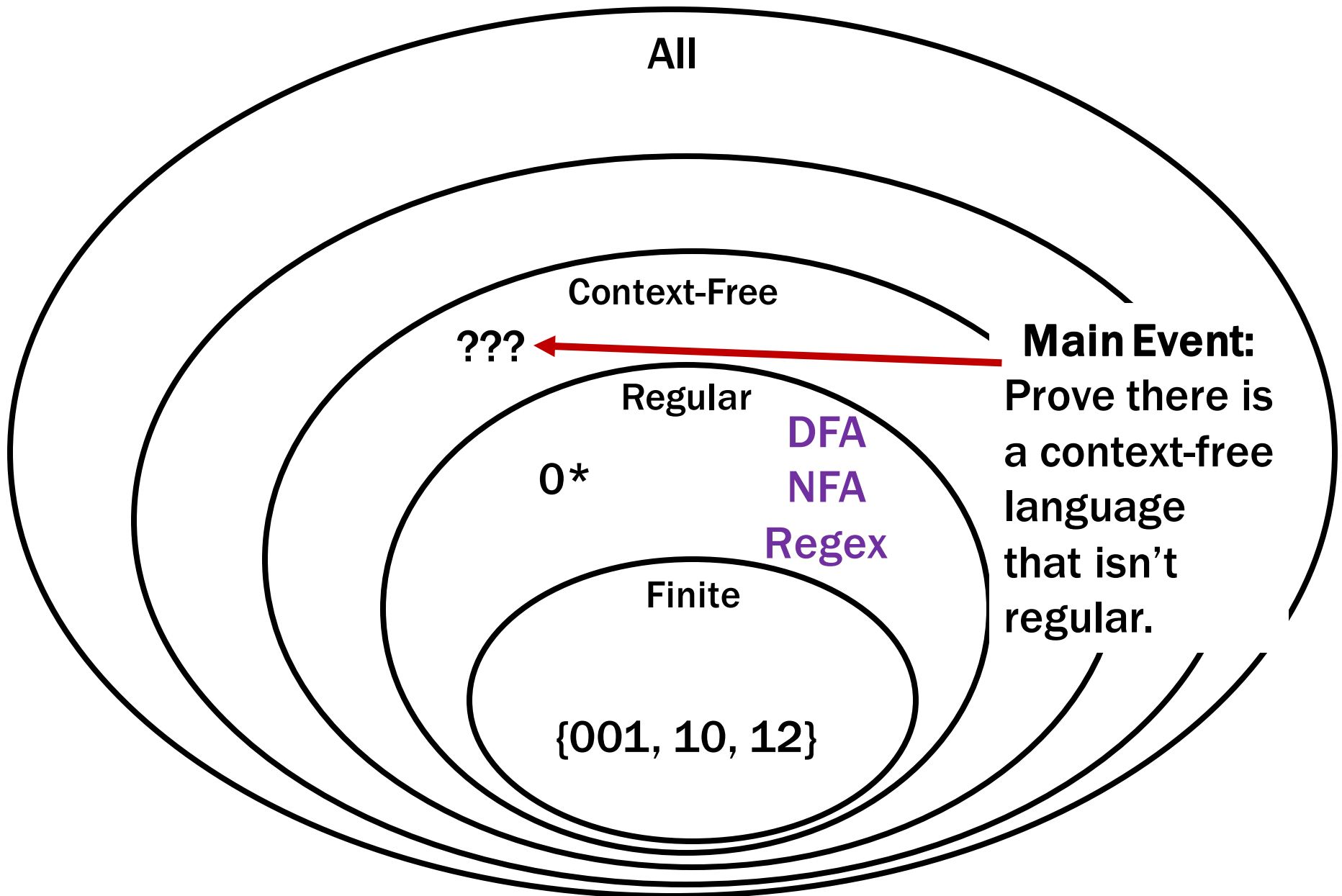
Final regular expression: $R_5^* =$

$(0 \cup 10^*2 \cup (2 \cup 10^*1)(0 \cup 20^*1)^*(1 \cup 20^*2))^*$

The story so far...



Languages and Representations!



The language of “Binary Palindromes” is Context-Free

$$S \rightarrow \varepsilon \mid 0 \mid 1 \mid 0S0 \mid 1S1$$

Is it regular?

Is the language of “Binary Palindromes” Regular ?

Intuition (NOT A PROOF!):

Q: What would a DFA need to keep track of to decide?

Is the language of “Binary Palindromes” Regular ?

Intuition (NOT A PROOF!):

Q: What would a DFA need to keep track of to decide?

A: It would need to keep track of the “first part” of the input in order to check the second part against it

...but there are an infinite # of possible first parts and we only have finitely many states.

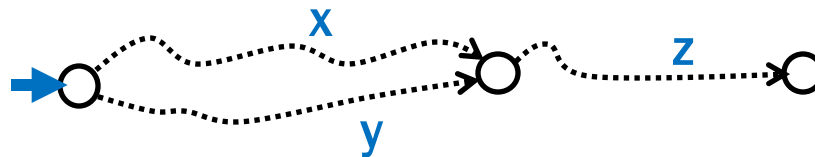
Proof idea: any machine that does not remember the entire first half will be wrong for some inputs

B = {binary palindromes} can't be recognized by any DFA

The general proof strategy is:

- Assume (for contradiction) that it's possible.
- Therefore, some DFA (call it **M**) exists that recognizes **B**
- We want to show: **M** accepts or rejects a string it shouldn't.

Key Idea 1: If two strings “collide” at any point, a DFA can no longer distinguish between them!

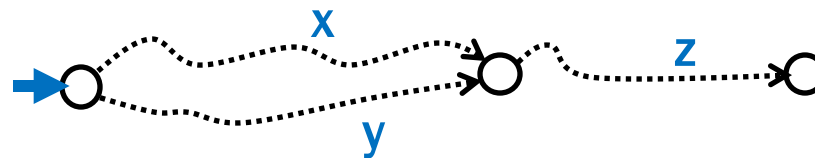


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Key Idea 2: Our machine **M** has a finite number of states which means if we have infinitely many strings, two of them must collide!

B = {binary palindromes} can't be recognized by any DFA

Proof. Suppose for contradiction that some DFA, **M**, recognizes **B**.

We show **M** accepts or rejects a string it shouldn't.

Consider $S = \{1, 01, 001, 0001, 00001, \dots\} = \{0^n1 : n \geq 0\}$.

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We show **M** accepts or rejects a string it shouldn't.

Consider $S = \{1, 01, 001, 0001, 00001, \dots\} = \{0^n1 : n \geq 0\}$.

*Since there are finitely many states in **M** and infinitely many strings in **S**, there exist strings $0^a1 \in S$ and $0^b1 \in S$ with $a \neq b$ that end in the same state of **M**.*

SUPER IMPORTANT POINT: You do not get to choose what **a** and **b** are. Remember, we've just proven they exist...we have to take the ones we're given!

B = {binary palindromes} can't be recognized by any DFA

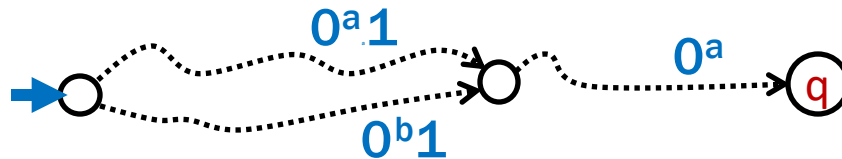
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Since there are finitely many states in **M** and infinitely many strings in S , there exist strings $0^a1 \in S$ and $0^b1 \in S$ with $a \neq b$ that end in the same state of **M**.

Now, consider appending 0^a to both strings.



Then, since 0^a1 and 0^b1 end in the same state, 0^a10^a and 0^b10^a also end in the same state, call it q .

*But then **M** makes a mistake: q needs to be an accept state since $0^a10^a \in B$, but **M** would accept $0^b10^a \notin B$ which is an error.*

B = {binary palindromes} can't be recognized by any DFA

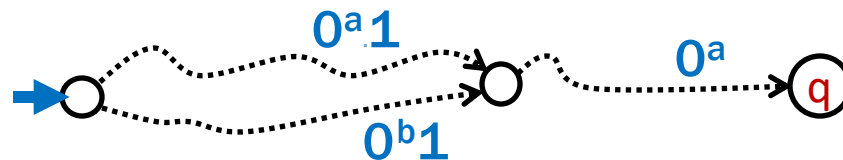
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Then, since 0^a1 and 0^b1 end in the same state, 0^a10^a and 0^b10^a also end in the same state, call it **q**. But then **M** must make a mistake: **q** needs to be an accept state since $0^a10^a \in B$, but then **M** would accept $0^b10^a \notin B$ which is an error.

*This is a contradiction since we assumed that **M** recognizes **B**. Since **M** was arbitrary, no DFA recognizes **B**. \square*

Showing that a Language L is not regular

1. “Suppose for contradiction that some DFA M recognizes L .”
2. Consider an INFINITE set S of “partial strings” (which we intend to complete later). It is imperative that for *every pair* of strings in our set there is an “accept” completion that the two strings DO NOT SHARE.
3. “Since S is infinite and M has finitely many states, there must be two strings s_a and s_b in S for $s_a \neq s_b$ that end up at the same state of M .”
4. Consider appending t (depends on s_a and s_b) to each of the two strings.
5. “Since s_a and s_b both end up at the same state of M , and we appended the same string t , both $s_a t$ and $s_b t$ end at the same state q of M . Since $s_a t \in L$ and $s_b t \notin L$, M does not recognize L .”
6. “Since M was arbitrary, no DFA recognizes L .”

Lecture 27 Activity

You will be assigned to **breakout rooms**. Please:

- Introduce yourself
 - Choose someone to share their screen, showing this PDF
 - Fill in the gaps of the proof that the language $A = \{0^n 1^n : n \geq 0\}$ is not regular.
1. Suppose for contradiction that some DFA, **M**, recognizes **A**.
 2. Let $S = \{???\}$. Since **S** is infinite and **M** has finitely many states, there must be two *distinct* strings, **???** and **???** that end in the same state in **M**.
 3. Consider appending $t=???$ to both strings.
 4. Note that $??t \in A$, but $??t \notin A$ since $???$. But they both end up in the same state of **M**, call it **q**. Since $??t \in A$, state **q** must be an accept state but then **M** would incorrectly accept $??t \notin A$ so **M** does not recognize **A**.
 5. Since **M** was arbitrary, no DFA recognizes **A**.

Fill out the poll everywhere for **Activity**

Credit!

Go to pollev.com/philipmg and login with your UW identity

Prove $P = \{\text{balanced parentheses}\}$ is not regular

Suppose for contradiction that some DFA, M , accepts P .

Let $S =$

Prove $P = \{\text{balanced parentheses}\}$ is not regular

Suppose for contradiction that some DFA, M , recognizes P .

Let $S = \{(^n : n \geq 0)\}$. Since S is infinite and M has finitely many states, there must be two strings, $(^a$ and $(^b$ for some $a \neq b$ that end in the same state in M .

Prove $P = \{\text{balanced parentheses}\}$ is not regular

Suppose for contradiction that some DFA, M , recognizes P .

Let $S = \{(^n : n \geq 0)\}$. Since S is infinite and M has finitely many states, there must be two strings, $(^a$ and $(^b$ for some $a \neq b$ that end in the same state in M .

Consider appending $)^a$ to both strings.

Prove $P = \{\text{balanced parentheses}\}$ is not regular

Suppose for contradiction that some DFA, M , recognizes P .

Let $S = \{ (^n : n \geq 0 \}$. Since S is infinite and M has finitely many states, there must be two strings, $(^a$ and $(^b$ for some $a \neq b$ that end in the same state in M .

Consider appending $)^a$ to both strings.

Note that $(^a)^a \in P$, but $(^b)^a \notin P$ since $a \neq b$. But they both end up in the same state of M , call it q . Since $(^a)^a \in P$, state q must be an accept state but then M would incorrectly accept $(^b)^a \notin P$ so M does not recognize P .

Since M was arbitrary, no DFA recognizes P .

Showing that a Language L is not regular

1. “Suppose for contradiction that some DFA M recognizes L .”
2. Consider an INFINITE set S of “partial strings” (which we intend to complete later). It is imperative that for *every pair* of strings in our set there is an “accept” completion that the two strings DO NOT SHARE.
3. “Since S is infinite and M has finitely many states, there must be two strings s_a and s_b in S for $s_a \neq s_b$ that end up at the same state of M .”
4. Consider appending the (correct) completion t to each of the two strings.
5. “Since s_a and s_b both end up at the same state of M , and we appended the same string t , both $s_a t$ and $s_b t$ end at the same state q of M . Since $s_a t \in L$ and $s_b t \notin L$, M does not recognize L .”
6. “Since M was arbitrary, no DFA recognizes L .”

Fact: This method is optimal

- Suppose that for a language L , the set S is a *largest* set of “partial strings” with the property that for every pair $s_a \neq s_b \in S$, there is some string t such that one of $s_a t$, $s_b t$ is in L but the other isn't.
- If S is infinite, then L is not regular
- If S is finite, then the minimal DFA for L has precisely $|S|$ states, one reached by each member of S .

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- If S is infinite, then L is not regular
- If S is finite, then the minimal DFA for L has precisely $|S|$ states, one reached by each member of S .

Corollary: Our minimization algorithm was correct.

- we separated exactly those states for which some t would make one accept and another not accept

Fact: This method is optimal

- Suppose that for a language L , the set S is a *largest* set of “partial strings” with the property that for every pair $s_a \neq s_b \in S$, there is some string t such that one of $s_a t, s_b t$ is in L but the other isn't.
- If S is infinite, then L is not regular
- If S is finite, then the minimal DFA for L has precisely $|S|$ states, one reached by each member of S .

BTW: There is another method commonly used to prove languages not regular called the Pumping Lemma that we won't use in this course. Note that it doesn't always work.