

## Finite State Machines

CSE 311 Autumn 2023 Lecture 24

#### Announcements

Last day to turn in HW6 is today (would be using 3 late days)

HW7 is out! (due Friday)

Mix of induction problems and regular expression/CFG practice

The regular expression and CFG problems are autograded. You have a limited number of guesses (and we don't give partial credit on them).

#### Last Two Weeks

What computers can and can't do... Given any finite amount of time.

We'll start with a simple model of a computer – finite state machines.

What do we want computers to do? Let's start very simple.

We'll give them an input (in a string format), and we want them to say "yes" or "no" for that string on a certain question.

Example questions one might want to answer.

Does this input java code compile to a valid program?

>Does this input string match a particular regular expression?

Is this input list sorted?

Depending on the "computer" some questions might be out of reach.

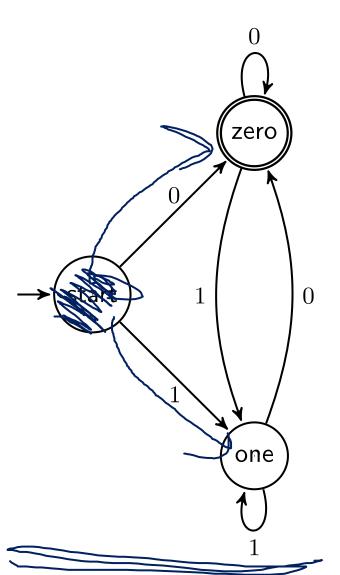
Our machine is going to get a string as input.

It will read one character at a time and update "its state."

At every step, the machine thinks of itself as in one of the (finite number) vertices.

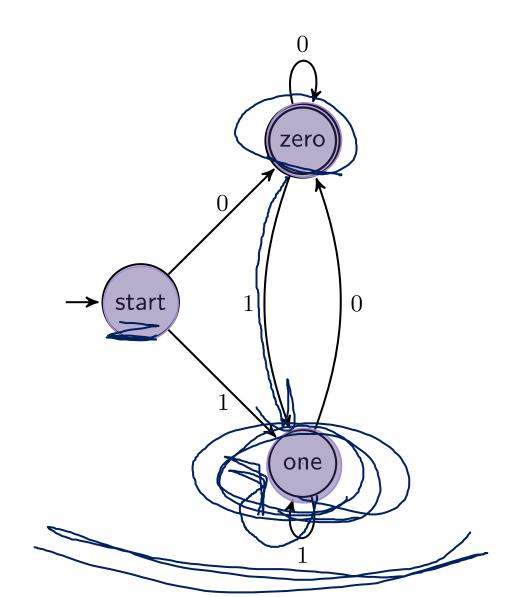
When it reads the character it follows the arrow labeled with that character to its next state.

Start at the "start state" (unlabeled, incoming arrow). After you've read the last character, accept the string if and only if you're in a "final state" (double circle).



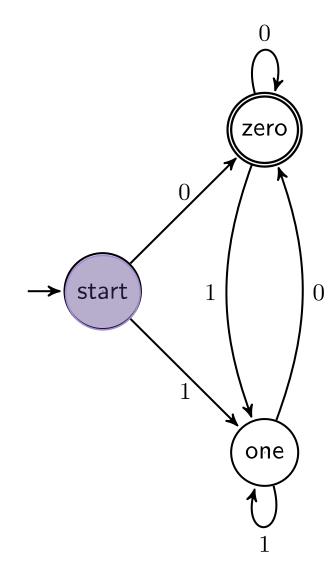
Input string:





Input string:

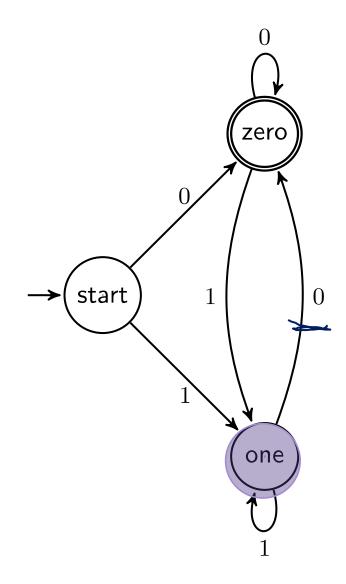
011



Input string:

011

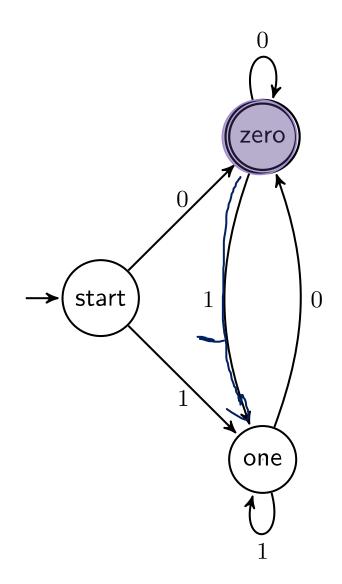




Input string:

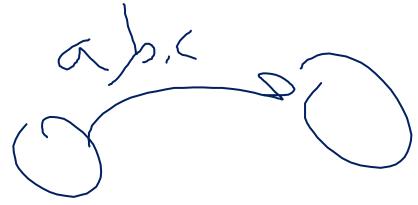
011



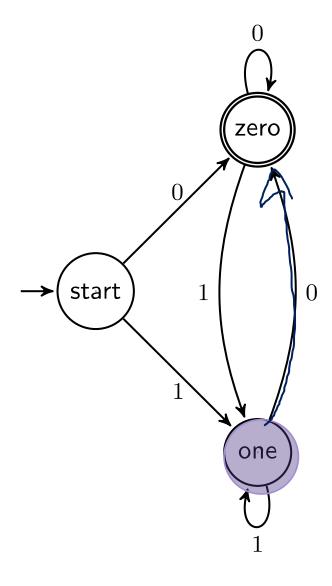


Input string:

011







Input string: zero 011 start 1010 accep one

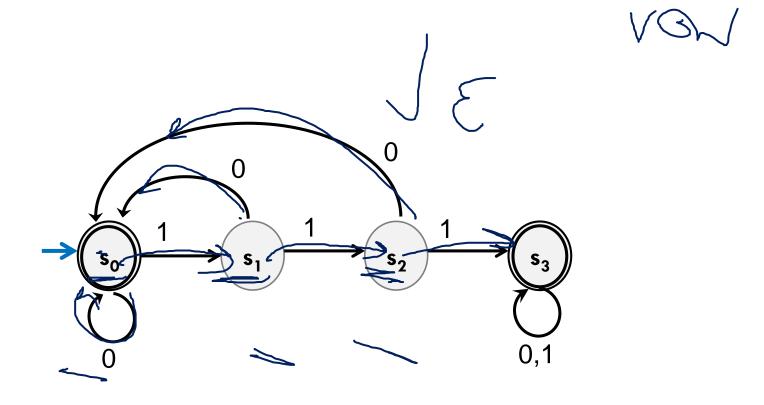
Some more requirements:

Every machine is defined with respect to an alphabet  $\Sigma$ Every state has exactly one outgoing edge for every character in  $\Sigma$ .

There is exactly one start state; can have as many accept states (aka final states) as you want – including none.

Can also represent transitions with a table.

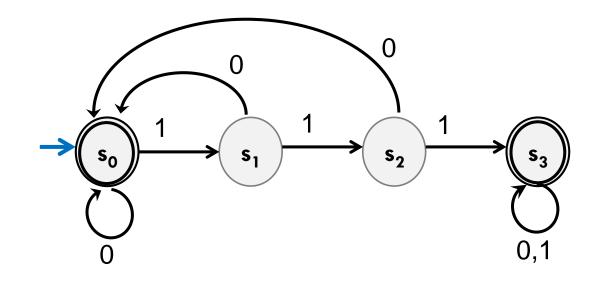
Old State	(0)	
<b>s</b> <sub>0</sub>	s <sub>0</sub>	s <sub>1</sub>
s <sub>1</sub>	$s_0$	<b>s</b> <sub>2</sub>
<b>s</b> <sub>2</sub>	$\mathbf{s}_0$	<b>s</b> <sub>3</sub>
<b>s</b> <sub>3</sub>	<b>s</b> <sub>3</sub>	<b>s</b> <sub>3</sub>



What is the language of this DFA?

I.e. the set of all strings it accepts?

Old State	0	1
s <sub>0</sub>	s <sub>0</sub>	s <sub>1</sub>
s <sub>1</sub>	s <sub>0</sub>	s <sub>2</sub>
s <sub>2</sub>	s <sub>0</sub>	s <sub>3</sub>
s <sub>3</sub>	<b>s</b> <sub>3</sub>	s <sub>3</sub>



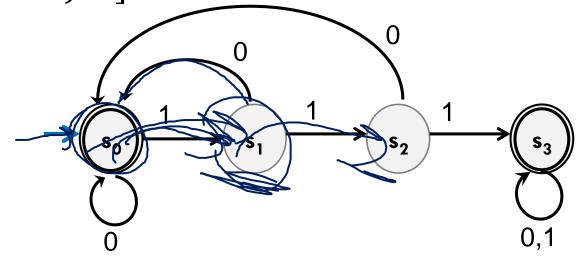
If the string has 111, then you'll end up in  $s_3$  and never leave.

If you end with a 0 you're back in  $s_0$  which also accepts.

And... $\varepsilon$  is also accepted

 $[(0 \cup 1)^*111(0 \cup 1)^*] \cup [(0 \cup 1)^*0]^*$ 

Old State	0	1
s <sub>0</sub>	s <sub>0</sub>	s <sub>1</sub>
s <sub>1</sub>	s <sub>0</sub>	$s_2$
s <sub>2</sub>	s <sub>0</sub>	s <sub>3</sub>
s <sub>3</sub>	s <sub>3</sub>	s <sub>3</sub>



### Design some DFAs



Let  $\Sigma = \{0,1,2\}$ 

 $M_1$  should recognize "strings with an even number of 2's.

What do you need to remember?



 $M_2$  should recognize "strings where the sum of the digits is congruent to  $0 \pmod{3}$ "

### Design some DFAs

Let 
$$\Sigma = \{0,1,2\}$$

 $M_1$  should recognize "strings with an even number of 2's.



### Designing DFAs notes

DFAs can't "count arbitrarily high"

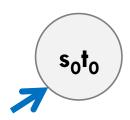
For example, we could not make a DFA that remembers the overall sum of all the digits (not taken % 3)

That would have infinitely many states! We're only allowed a finite number.

# Strings over {0,1,2} w/ even number of 2's and sum%3=0





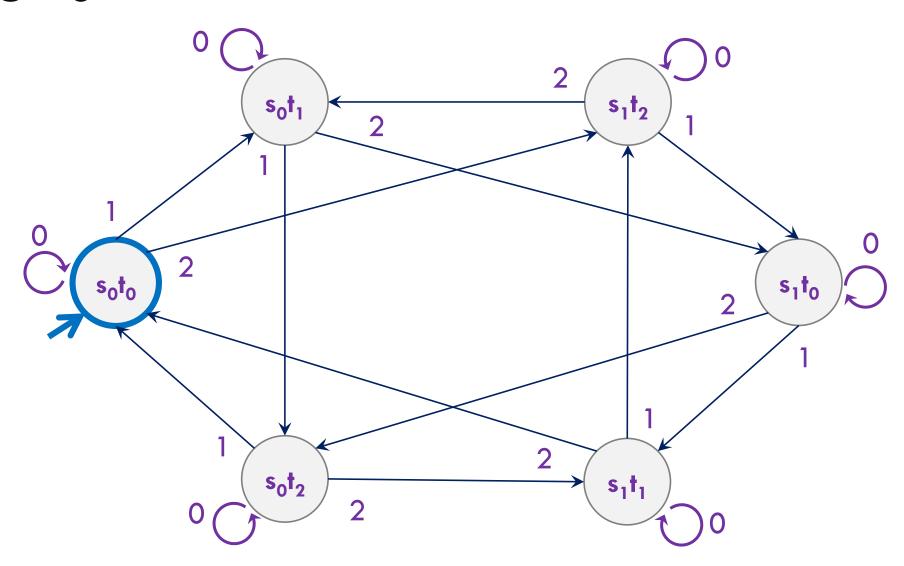




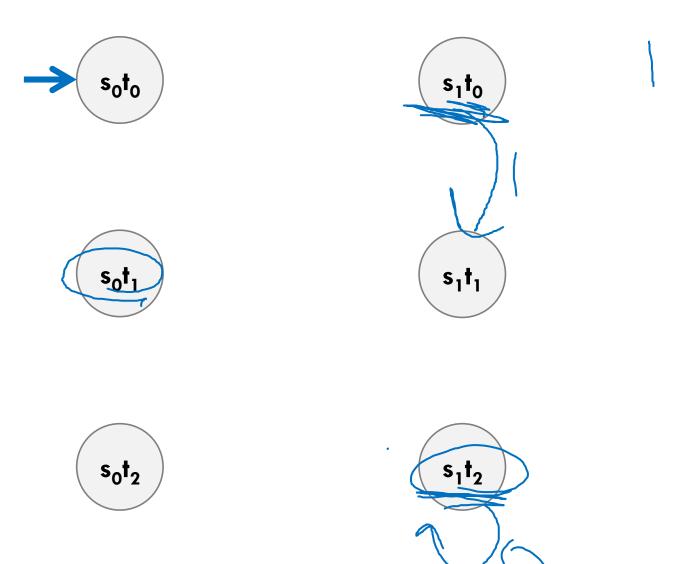




# Strings over $\{0,1,2\}$ w/ even number of 2's **and** sum%3=0

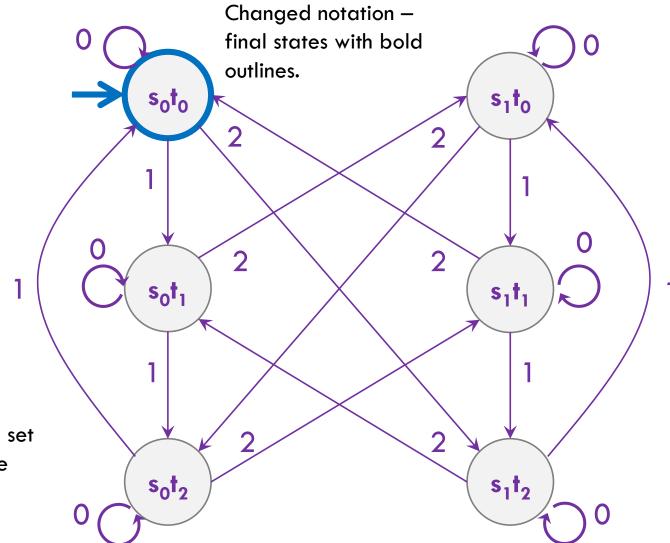


# Strings over $\{0,1,2\}$ w/ even number of 2's **and** sum%3=0



Strings over {0,1,2} w/ even number of 2's and

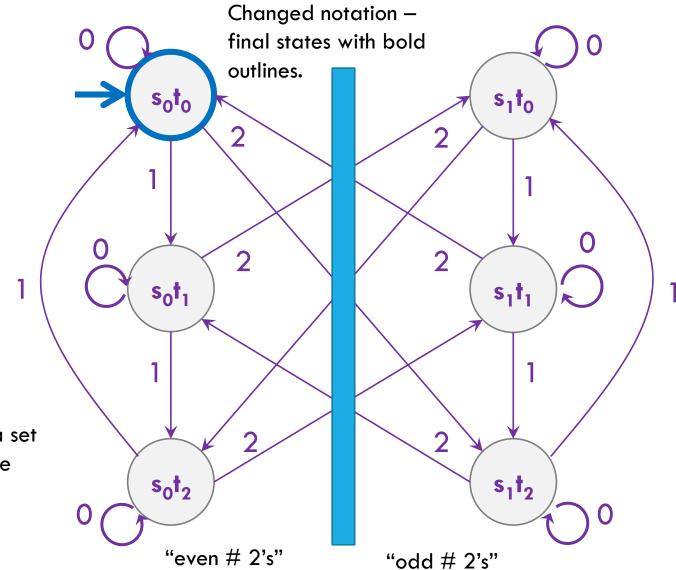
sum%3=0



Called the "cross product" construction (because you have a set of states equal to  $Q_1 \times Q_2$  where first two DFAs had states  $Q_1, Q_2$ . A very common trick to combine DFAs.

Strings over {0,1,2} w/ even number of 2's and

sum%3=0



Called the "cross product" construction (because you have a set of states equal to  $Q_1 \times Q_2$  where first two DFAs had states  $Q_1, Q_2$ . A very common trick to combine DFAs.

## Strings over {0,1,2} w/ even number of 2's **and** sum%3=0

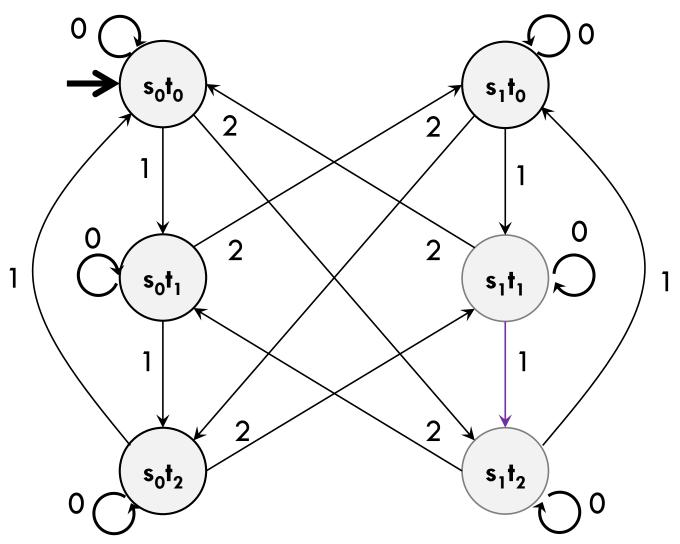
Changed notation – final states with bold outlines. "sum%3 = 0"  $s_0t_1$  $s_1t_1$ "sum%3 = 1" Called the "cross product" construction (because you have a set of states equal to  $Q_1 \times Q_2$  where first two DFAs had states  $Q_1$ ,  $Q_2$ . A very common trick to combine

"sum%3 = 2"

DFAs.

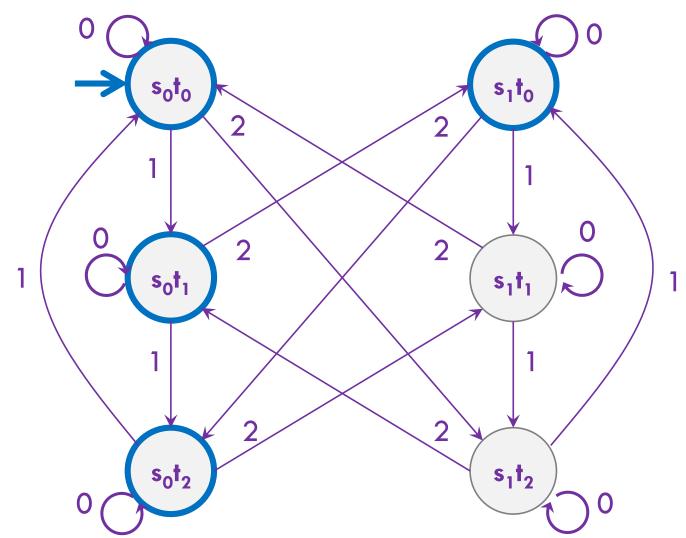
Strings over  $\{0,1,2\}$  w/ even number of 2's **OR** sum%3=0

Want to change the and to or – don't need to change states or transitions...



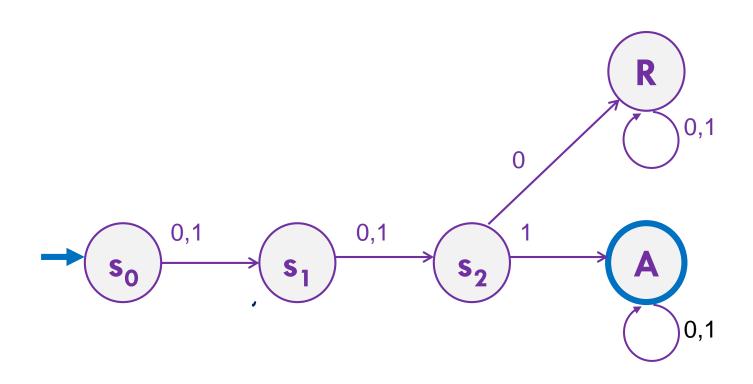
Strings over  $\{0,1,2\}$  w/ even number of 2's **OR** sum%3=0

Want to change the and to or – don't need to change states or transitions... Just which accept.



The set of binary strings with a 1 in the 3<sup>rd</sup> position from the start

# The set of binary strings with a 1 in the 3<sup>rd</sup> position from the start



# The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end

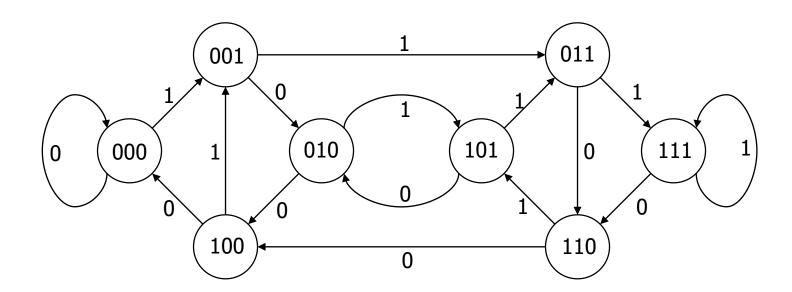
What do we need to remember?

We can't know what string was third from the end until we have read the last character.

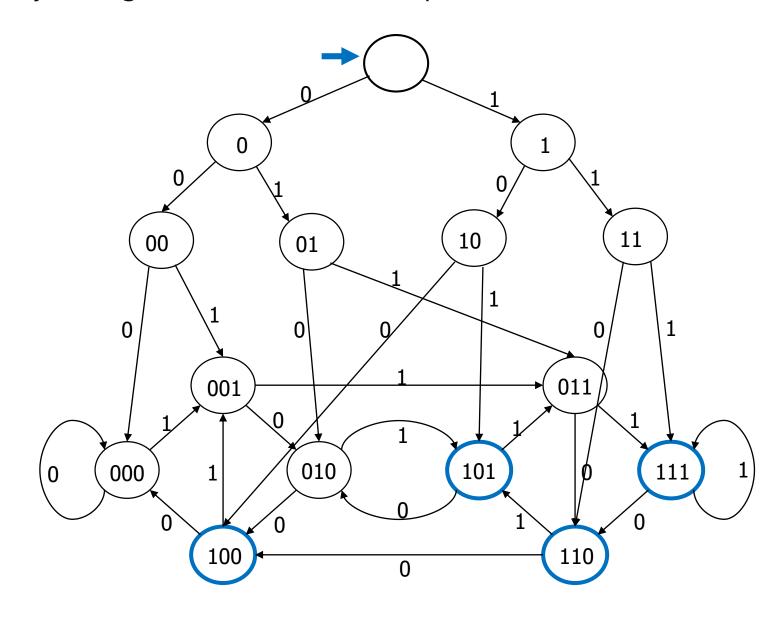
So we'll need to keep track of "the character that was 3 ago" in case this was the end of the string.

But if it's not...we'll need the character 2 ago, to update what the character 3 ago becomes. Same with the last character.

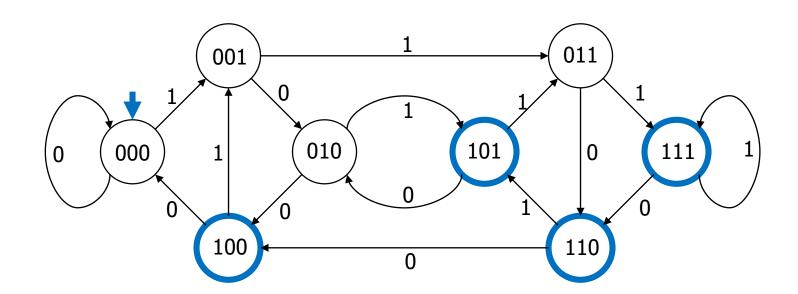
### 3 bit shift register "Remember the last three bits"



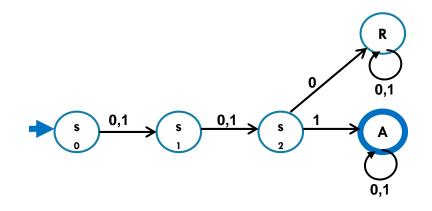
The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end

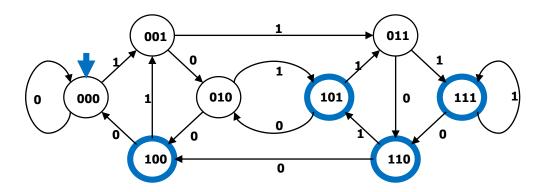


The set of binary strings with a 1 in the 3<sup>rd</sup> position from the end



## The beginning versus the end



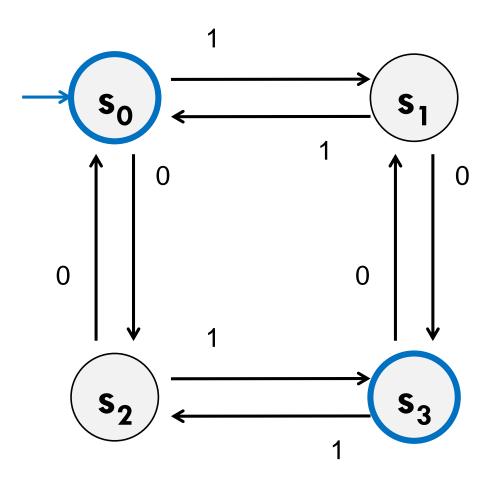


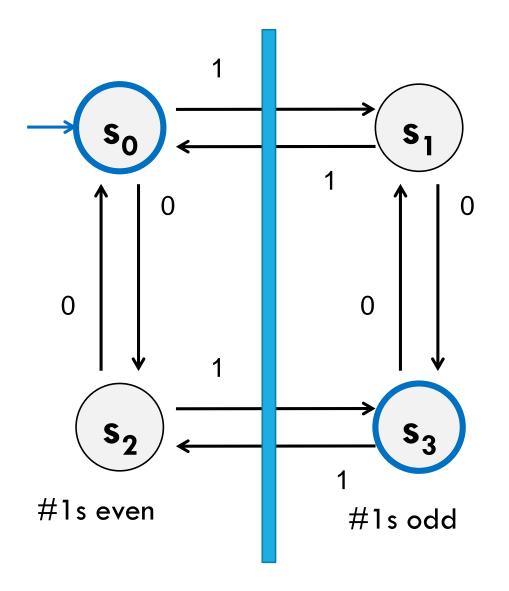
## From the beginning was "easier" than "from the end"

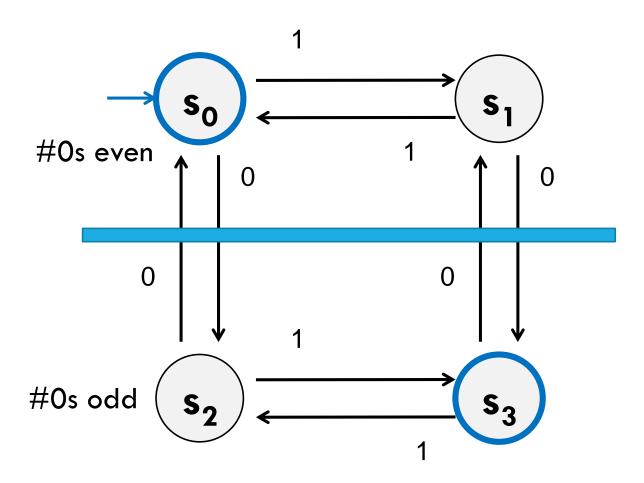
At least in the sense that we needed fewer states.

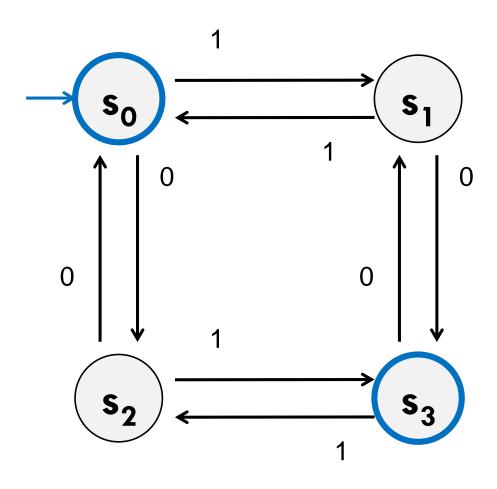
That might be surprising since a java program wouldn't be much different for those two.

Not being able to access the full input at once limits your abilities somewhat and makes some jobs harder than others.



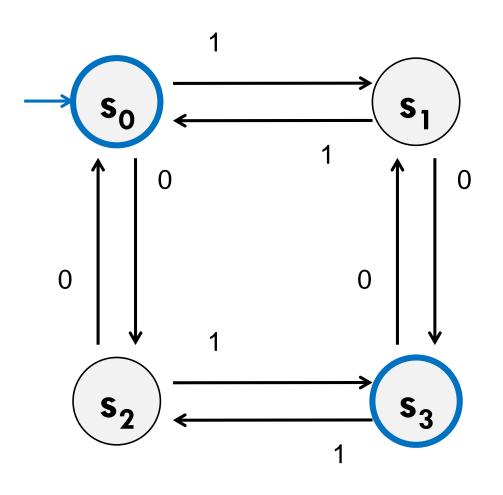




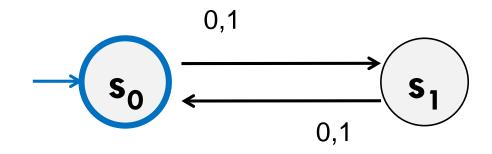


#0s is congruent to #1s (mod 2)

Wait...there's an easier way to describe that....



That's all binary strings of even length.



### Takeaways

The first DFA might not be the simplest.

Try to think of other descriptions – you might realize you can keep track of fewer things than you thought.

Boy...it'd be nice if we could know that we have the smallest possible DFA for a given language...

#### **DFA** Minimization

We can know!

Fun fact: there is a **unique** minimum DFA for every language (up to renaming the states)

High level idea – final states and non-final states must be different.

Otherwise, hope that states can be the same, and iteratively separate when they have to go to different spots.

In some quarters, we cover it in detail. But...we ran out of time. Optional slides will be posted – won't be required in HW or final but you might find it useful/interesting for your own learning.

#### **Next Time**

What if we give the DFAs a little more power...try to get them to do more things.