

# Section 07: Structural Induction & Set Theory

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## 1. Just The Setup

For each of these statements,

- Translate the sentence into predicate logic.
- Write the first few and last few steps of an inference proof of the statement (you do not need to write the middle – just enough to introduce all givens and assumptions and the conclusion at the end)
- Write the first few sentences and last few sentences of the English proof.

(a) If  $A \subseteq B$  and  $B \subseteq C$ , then  $A \subseteq C$  for any sets  $A, B, C$ .

## 2. How Many Elements?

For each of these, how many elements are in the set? If the set has infinitely many elements, say  $\infty$ .

(a)  $A = \{1, 2, 3, 2\}$

(b)  $B = \{\{\}, \{\{\}\}, \{\{\}, \{\}\}, \{\{\}, \{\}, \{\}\}, \dots\}$

(c)  $C = A \times (B \cup \{7\})$

(d)  $D = \emptyset$

(e)  $E = \{\emptyset\}$

(f)  $F = \mathcal{P}(\{\emptyset\})$

## 3. Set = Set

Prove the following set identities. Write both a formal inference proof **and** an English proof.

(a) Let the universal set be  $\mathcal{U}$ . Prove  $A \cap \overline{B} \subseteq A \setminus B$  for any sets  $A, B$ .

(b) Prove that  $(A \cap B) \times C \subseteq A \times (C \cup D)$  for any sets  $A, B, C, D$ .

## 4. Set Equality

(a) Prove that  $A \cap (A \cup B) = A$  for any sets  $A, B$ .

(b) Let  $\mathcal{U}$  be the universal set. Show that  $\overline{\overline{X}} = X$ .

## 5. Structural Induction

(a) Consider the following recursive definition of strings.

**Basis Step:** "" is a string

**Recursive Step:** If  $X$  is a string and  $c$  is a character then  $\text{append}(c, X)$  is a string.

Recall the following recursive definition of the function  $\text{len}$ :

$$\begin{aligned}\text{len}("") &= 0 \\ \text{len}(\text{append}(c, X)) &= 1 + \text{len}(X)\end{aligned}$$

Now, consider the following recursive definition:

$$\begin{aligned}\text{double}("") &= "" \\ \text{double}(\text{append}(c, X)) &= \text{append}(c, \text{append}(c, \text{double}(X))).\end{aligned}$$

Prove that for any string  $X$ ,  $\text{len}(\text{double}(X)) = 2\text{len}(X)$ .

(b) Consider the following definition of a (binary) **Tree**:

**Basis Step:**  $\bullet$  is a **Tree**.

**Recursive Step:** If  $L$  is a **Tree** and  $R$  is a **Tree** then  $\text{Tree}(\bullet, L, R)$  is a **Tree**.

The function  $\text{leaves}$  returns the number of leaves of a **Tree**. It is defined as follows:

$$\begin{aligned}\text{leaves}(\bullet) &= 1 \\ \text{leaves}(\text{Tree}(\bullet, L, R)) &= \text{leaves}(L) + \text{leaves}(R)\end{aligned}$$

Also, recall the definition of  $\text{size}$  on trees:

$$\begin{aligned}\text{size}(\bullet) &= 1 \\ \text{size}(\text{Tree}(\bullet, L, R)) &= 1 + \text{size}(L) + \text{size}(R)\end{aligned}$$

Prove that  $\text{leaves}(T) \geq \text{size}(T)/2 + 1/2$  for all **Trees**  $T$ .

(c) Prove the previous claim using strong induction. Define  $P(n)$  as “all trees  $T$  of size  $n$  satisfy  $\text{leaves}(T) \geq \text{size}(T)/2 + 1/2$ ”. You may use the following facts:

- For any tree  $T$  we have  $\text{size}(T) \geq 1$ .
- For any tree  $T$ ,  $\text{size}(T) = 1$  if and only if  $T = \bullet$ .

If we wanted to prove these claims, we could do so by structural induction.

Note, in the inductive step you should start by letting  $T$  be an arbitrary tree of size  $k + 1$ .

## 6. Reversing a Binary Tree

Consider the following definition of a (binary) **Tree**.

**Basis Step** Nil is a **Tree**.

**Recursive Step** If  $L$  is a **Tree**,  $R$  is a **Tree**, and  $x$  is an integer, then  $\text{Tree}(x, L, R)$  is a **Tree**.

The  $\text{sum}$  function returns the sum of all elements in a **Tree**.

$$\begin{aligned}\text{sum}(\text{Nil}) &= 0 \\ \text{sum}(\text{Tree}(x, L, R)) &= x + \text{sum}(L) + \text{sum}(R)\end{aligned}$$

The following recursively defined function produces the mirror image of a **Tree**.

$$\begin{aligned}\text{reverse}(\text{Nil}) &= \text{Nil} \\ \text{reverse}(\text{Tree}(x, L, R)) &= \text{Tree}(x, \text{reverse}(R), \text{reverse}(L))\end{aligned}$$

Show that, for all **Trees**  $T$  that

$$\text{sum}(T) = \text{sum}(\text{reverse}(T))$$

## 7. Walk the Dawgs

Suppose a dog walker takes care of  $n \geq 12$  dogs. The dog walker is not a strong person, and will walk dogs in groups of 3 or 7 at a time (every dog gets walked exactly once). Prove the dog walker can always split the  $n$  dogs into groups of 3 or 7.

## 8. For All

For this problem, we'll see an incorrect use of induction. For this problem, we'll think of all of the following as binary trees:

- A single node.
- A root node, with a left child that is the root of a binary tree (and no right child)
- A root node, with a right child that is the root of a binary tree (and no left child)
- A root node, with both left and right children that are roots of binary trees.

Let  $P(n)$  be "for all trees of height  $n$ , the tree has an odd number of nodes"

Take a moment to realize this claim is false.

Now let's see an incorrect proof:

We'll prove  $P(n)$  for all  $n \in \mathbb{N}$  by induction on  $n$ .

Base Case ( $n = 0$ ): There is only one tree of height 0, a single node. It has one node, and  $1 = 2 \cdot 0 + 1$ , which is an odd number of nodes.

Inductive Hypothesis: Suppose  $P(i)$  holds for  $i = 0, \dots, k$ , for some arbitrary  $k \geq 0$ .

Inductive Step: Let  $T$  be an arbitrary tree of height  $k$ . All trees with nodes (and since  $k \geq 0$ ,  $T$  has at least one node) have a leaf node. Add a left child and right child to a leaf (pick arbitrarily if there's more than one), This tree now has height  $k + 1$  (since  $T$  was height  $k$  and we added children below). By IH,  $T$  had an odd number of nodes, call it  $2j + 1$  for some integer  $j$ . Now we have added two more, so our new tree has  $2j + 1 + 2 = 2(j + 1) + 1$  nodes. Since  $j$  was an integer, so is  $j + 1$ , and our new tree has an odd number of nodes, as required, so  $P(k + 1)$  holds.

By the principle of induction,  $P(n)$  holds for all  $n \in \mathbb{N}$ . Since every tree has an (integer) height of 0 or more, every tree is included in some  $P()$ , so the claim holds for all trees.

(a) What is the bug in the proof?

(b) What should the starting point and target of the IS be (you can't write a full proof, as the claim is false).

## 9. Induction with Inequality

Prove that  $6n + 6 < 2^n$  for all  $n \geq 6$ .