1. Just The Setup

For each of these statements,

- Translate the sentence into predicate logic.
- Write the first few and last few steps of an inference proof of the statement (you do not need to write the middle just enough to introduce all givens and assumptions and the conclusion at the end)
- Write the first few sentences and last few sentences of the English proof.
- (a) If $A \subseteq B$ and $B \subseteq C$, then $A \subseteq C$ for any sets A, B, C.

Solution:

. . .

 $(A \subseteq B \land B \subseteq C) \to A \subseteq C$

Let A, B, C be arbitrary sets. Suppose $A \subseteq B$ and $B \subseteq C$. Let a be an arbitrary element of A.

Hence, *a* is an element of *C*. Since *a* was arbitrary, every element of *A* is an element of *C*, so $A \subseteq C$.

2. How Many Elements?

For each of these, how many elements are in the set? If the set has infinitely many elements, say ∞ .

(a) $A = \{1, 2, 3, 2\}$

Solution:

3

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(b) B = \{\{\}, \{\{\}\}, \{\{\}\}, \{\{\}\}, \{\{\}\}, \{\}\}, \dots\}
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Solution:

So, there are two elements in B.

(c) $C = A \times (B \cup \{7\})$

Solution:

 $C = \{1, 2, 3\} \times \{\varnothing, \{\varnothing\}, 7\} = \{(a, b) \mid a \in \{1, 2, 3\}, b \in \{\varnothing, \{\varnothing\}, 7\}\}.$ It follows that there are $3 \times 3 = 9$

elements in C.

(d) $D = \emptyset$

Solution:

0.

(e) $E = \{\emptyset\}$

Solution:

1.

(f) $F = \mathcal{P}(\{\varnothing\})$

Solution:

 $2^1 = 2$. The elements are $F = \{\emptyset, \{\emptyset\}\}$.

3. Set = Set

Prove the following set identities. Write both a formal inference proof and an English proof.

(a) Let the universal set be \mathcal{U} . Prove $A \cap \overline{B} \subseteq A \setminus B$ for any sets A, B.

Solution:

Let x be an arbitrary element and suppose that $x \in A \cap \overline{B}$. By definition of intersection, $x \in A$ and $x \in \overline{B}$, so by definition of complement, $x \notin B$. Then, by definition of set difference, $x \in A \setminus B$. Since x was arbitrary, we can conclude that $A \cap \overline{B} \subseteq A \setminus B$ by definition of subset.

(b) Prove that $(A \cap B) \times C \subseteq A \times (C \cup D)$ for any sets A, B, C, D.

Solution:

Let x be an arbitrary element of $(A \cap B) \times C$. Then, by definition of Cartesian product, x must be of the form (y, z) where $y \in A \cap B$ and $z \in C$. Since $y \in A \cap B$, $y \in A$ and $y \in B$ by definition of \cap ; in particular, all we care about is that $y \in A$. Since $z \in C$, by definition of \cup , we also have $z \in C \cup D$. Therefore since $y \in A$ and $z \in C \cup D$, by definition of Cartesian product we have $x = (y, z) \in A \times (C \cup D)$.

Since x was an arbitrary element of $(A \cap B) \times C$ we have proved that $(A \cap B) \times C \subseteq A \times (C \cup D)$ as required.

4. Set Equality

(a) Prove that $A \cap (A \cup B) = A$ for any sets A, B. Solution:

Let *x* be an arbitrary member of $A \cap (A \cup B)$. Then by definition of intersection, $x \in A$ and $x \in A \cup B$. So certainly, $x \in A$. Since *x* was arbitrary, $A \cap (A \cup B) \subseteq A$.

Now let *y* be an arbitrary member of *A*. Then $y \in A$. So certainly $y \in A$ or $y \in B$. Then by definition of union, $y \in A \cup B$. Since $y \in A$ and $y \in A \cup B$, by definition of intersection, $y \in A \cap (A \cup B)$. Since *y* was arbitrary, $A \subseteq A \cap (A \cup B)$.

Therefore $A \cap (A \cup B) = A$, by containment in both directions.

(b) Let \mathcal{U} be the universal set. Show that $\overline{\overline{X}} = X$.

Solution:

Let x be arbitrary. Suppose x is an element of \overline{X} . By definition of complement, $x \in \mathcal{U} \setminus \overline{X}$, or equivalently $x \notin \overline{X}$. Applying the definition of complement again, we have $x \notin (\mathcal{U} \setminus X)$, which we can write $\neg(x \in \mathcal{U} \setminus X)$; that is, $\neg(x \notin X)$. By the double negation law we have $x \in X$. Since x was arbitrary, we have shown that $\overline{\overline{X}} \subseteq X$.

Now let y be arbitrary and suppose y is an element of X. Applying the double-negation law, we have $\neg \neg (y \in X)$, which is $\neg (y \notin X)$. Since $y \in \mathcal{U}$, $\neg (y \in \mathcal{U} \land x \notin X)$, which is equivalent to $\neg (y \in \mathcal{U} \setminus X)$. Using the definition of \notin , we can write $y \notin \mathcal{U} \setminus X$. By definition of \mathcal{U} , we have $y \in \mathcal{U} \setminus (\mathcal{U} \setminus X)$. Applying the definition of complement, we get $y \in \mathcal{U} \setminus \overline{X}$. Applying the definition of complement again, we have $y \in \overline{X}$. Hence, since y was arbitrary, $X \subset \overline{\overline{X}}$.

Therefore, $\overline{\overline{X}} = X$ (by mutual containment).

5. Structural Induction

(a) Consider the following recursive definition of strings.

Basis Step: "" is a string

Recursive Step: If X is a string and c is a character then append(c, X) is a string.

Recall the following recursive definition of the function len:

 $\begin{aligned} & \mathsf{len}("") &= 0 \\ & \mathsf{len}(\mathsf{append}(c,X)) &= 1 + \mathsf{len}(X) \end{aligned}$

Now, consider the following recursive definition:

 $\begin{array}{lll} \mathsf{double}("") & = "" \\ \mathsf{double}(\mathsf{append}(c,X)) & = \mathsf{append}(c,\mathsf{append}(c,\mathsf{double}(X))). \end{array}$

Prove that for any string X, len(double(X)) = 2len(X). Solution:

For a string X, let P(X) be "len(double(X)) = 2 len(X)". We prove P(X) for all strings X by structural induction on X.

Base Case (X = ""**):** By definition, $len(double("")) = len("") = 0 = 2 \cdot 0 = 2len("")$, so P("") holds.

Inductive Hypothesis: Suppose P(Z) holds for some arbitrary string Z.

Inductive Step: Goal: Show that P(append(c, Z)) holds for any character *c*.

$$\begin{split} & \mathsf{len}(\mathsf{double}(\mathsf{append}(c,Z))) = \mathsf{len}(\mathsf{append}(c,\mathsf{append}(c,\mathsf{double}(Z)))) & [\mathsf{By Definition of double}] \\ & = 1 + \mathsf{len}(\mathsf{append}(c,\mathsf{double}(Z))) & [\mathsf{By Definition of len}] \\ & = 1 + 1 + \mathsf{len}(\mathsf{double}(Z)) & [\mathsf{By Definition of len}] \\ & = 2 + 2\mathsf{len}(Z) & [\mathsf{By IH}] \\ & = 2(1 + \mathsf{len}(Z)) & [\mathsf{Algebra}] \\ & = 2(\mathsf{len}(\mathsf{append}(c,Z))) & [\mathsf{By Definition of len}] \end{split}$$

This proves P(append(c, Z)).

Conclusion: P(X) holds for all strings X by structural induction.

(b) Consider the following definition of a (binary) Tree:

Basis Step: • is a Tree.

Recursive Step: If *L* is a **Tree** and *R* is a **Tree** then $Tree(\bullet, L, R)$ is a **Tree**.

The function leaves returns the number of leaves of a Tree. It is defined as follows:

$$\begin{aligned} & \mathsf{leaves}(\bullet) &= 1 \\ & \mathsf{leaves}(\mathsf{Tree}(\bullet, L, R)) &= \mathsf{leaves}(L) + \mathsf{leaves}(R) \end{aligned}$$

Also, recall the definition of size on trees:

$$size(\bullet) = 1$$

 $size(Tree(\bullet, L, R)) = 1 + size(L) + size(R)$

Prove that $leaves(T) \ge size(T)/2 + 1/2$ for all Trees T.

Solution:

For a tree T, let P be $leaves(T) \ge size(T)/2 + 1/2$. We prove P for all trees T by structural induction on T.

Base Case (T = •): By definition of leaves(•), leaves(•) = 1 and size(•) = 1. So, leaves(•) = $1 \ge 1/2 + 1/2 = \text{size}(\bullet)/2 + 1/2$, so P(•) holds.

Inductive Hypothesis: Suppose P(L) and P(R) hold for some arbitrary trees L, R.

Inductive Step: Goal: Show that $P(Tree(\bullet, L, R))$ holds.

leaves(Tree(ullet,L,R)) = leaves(L) + leaves(R)	[By Definition of leaves]
$\geq (size(L)/2 + 1/2) + (size(R)/2 + 1/2)$	[By IH]
= (1/2 + size(L)/2 + size(R)/2) + 1/2	[By Algebra]
$= \frac{1 + size(L) + size(R)}{2} + 1/2$	[By Algebra]
= size $(T)/2 + 1/2$	[By Definition of size]

This proves $P(Tree(\bullet, L, R))$.

Conclusion: Thus, P(T) holds for all trees T by structural induction.

⁽c) Prove the previous claim using strong induction. Define P(n) as "all trees T of size n satisfy $leaves(T) \ge size(T)/2 + 1/2$ ". You may use the following facts:

- For any tree T we have $size(T) \ge 1$.
- For any tree T, size(T) = 1 if and only if $T = \bullet$.

If we wanted to prove these claims, we could do so by structural induction.

Note, in the inductive step you should start by letting T be an arbitrary tree of size k + 1.

Solution:

Let P(n) be "all trees T of size n satisfy $leaves(T) \ge size(T)/2 + 1/2$ ". We show P(n) for all integers $n \ge 1$ by strong induction on n.

- **Base Case:** Let T be an arbitrary tree of size 1. The only tree with size 1 is \bullet , so $T = \bullet$. By definition, leaves $(T) = \text{leaves}(\bullet) = 1$ and thus size(T) = 1 = 1/2 + 1/2 = size(T)/2 + 1/2. This shows the base case holds.
- **Inductive Hypothesis:** Suppose that P(j) holds for all integers j = 1, 2, ..., k for some arbitrary integer $k \ge 1$.

Inductive Step: Let *T* be an arbitrary tree of size k + 1. Since k + 1 > 1, we must have $T \neq \bullet$. It follows from the definition of a tree that $T = \text{Tree}(\bullet, L, R)$ for some trees *L* and *R*. By definition, we have size(T) = 1 + size(L) + size(R). Since sizes are non-negative, this equation shows size(T) > size(L) and size(T) > size(R) meaning we can apply the inductive hypothesis. This says that $\text{leaves}(L) \ge \text{size}(L)/2 + 1/2$ and $\text{leaves}(R) \ge \text{size}(R)/2 + 1/2$.

We have,

$$\begin{split} & |\mathsf{leaves}(T) = \mathsf{leaves}(\mathsf{Tree}(\bullet, L, R)) \\ &= \mathsf{leaves}(L) + \mathsf{leaves}(R) & [\text{By Definition of leaves}] \\ &\geq (\mathsf{size}(L)/2 + 1/2) + (\mathsf{size}(R)/2 + 1/2) & [\text{By IH}] \\ &= (1/2 + \mathsf{size}(L)/2 + \mathsf{size}(R)/2) + 1/2 & [\text{By Algebra}] \\ &= \frac{1 + \mathsf{size}(L) + \mathsf{size}(R)}{2} + 1/2 & [\text{By Algebra}] \\ &= \mathsf{size}(T)/2 + 1/2 & [\text{By Definition of size}] \end{split}$$

This shows P(k+1).

Conclusion: P(n) holds for all integers $n \ge 1$ by the principle of strong induction.

Note, this proves the claim for all trees because every tree T has some size $s \ge 1$. Then P(s) says that all trees of size s satisfy the claim, including T.

6. Reversing a Binary Tree

Consider the following definition of a (binary) Tree.

Basis Step Nil is a Tree.

Recursive Step If L is a **Tree**, R is a **Tree**, and x is an integer, then Tree(x, L, R) is a **Tree**.

The sum function returns the sum of all elements in a Tree.

$$\begin{split} & \mathsf{sum}(\mathsf{Nil}) &= 0 \\ & \mathsf{sum}(\mathsf{Tree}(x,L,R)) &= x + \mathsf{sum}(L) + \mathsf{sum}(R) \end{split}$$

The following recursively defined function produces the mirror image of a Tree.

 $\begin{aligned} & \texttt{reverse}(\texttt{Nil}) & = \texttt{Nil} \\ & \texttt{reverse}(\texttt{Tree}(x,L,R)) & = \texttt{Tree}(x,\texttt{reverse}(R),\texttt{reverse}(L)) \end{aligned}$

Show that, for all **Tree**s T that

sum(T) = sum(reverse(T))

Solution:

For a **Tree** T, let P(T) be "sum(T) =sum(reverse(T))". We show P(T) for all **Trees** T by structural induction. **Base Case:** By definition we have reverse(Nil) = Nil. Applying sum to both sides we get sum(Nil) =sum(reverse(Nil)), which is exactly P(Nil), so the base case holds. **Inductive Hypothesis:** Suppose P(L) and P(R) hold for some arbitrary **Trees** L and R. **Inductive Step:** Let x be an arbitrary integer. Goal: Show P(Tree(x, L, R)) holds. We have, sum(reverse(Tree(x, L, R))) = sum(Tree(x, reverse(R), reverse(L)))[Definition of reverse] $= x + \operatorname{sum}(\operatorname{reverse}(R)) + \operatorname{sum}(\operatorname{reverse}(L))$ [Definition of sum] $= x + \operatorname{sum}(R) + \operatorname{sum}(L)$ [Inductive Hypothesis] $= x + \operatorname{sum}(L) + \operatorname{sum}(R)$ [Commutativity] = sum(Tree(x, L, R)) [Definition of sum] This shows P(Tree(x, L, R)).

Conclusion: Therefore, P(T) holds for all **Tree**s *T* by structural induction.

7. Walk the Dawgs

Suppose a dog walker takes care of $n \ge 12$ dogs. The dog walker is not a strong person, and will walk dogs in groups of 3 or 7 at a time (every dog gets walked exactly once). Prove the dog walker can always split the n dogs into groups of 3 or 7.

Solution:

Let P(n) be "a group with n dogs can be split into groups of 3 or 7 dogs." We will prove P(n) for all natural numbers $n \ge 12$ by strong induction.

Base Cases n = 12, 13, 14, or 15: 12 = 3 + 3 + 3 + 3, 13 = 3 + 7 + 3, 14 = 7 + 7, So P(12), P(13), and P(14) hold.

Inductive Hypothesis: Assume that $P(12), \ldots, P(k)$ hold for some arbitrary $k \ge 14$.

Inductive Step: Goal: Show k + 1 dogs can be split into groups of size 3 or 7.

We first form one group of 3 dogs. Then we can divide the remaining k-2 dogs into groups of 3 or 7 by the assumption P(k-2). (Note that $k \ge 14$ and so $k-2 \ge 12$; thus, P(k-2) is among our assumptions $P(12), \ldots, P(k)$.)

Conclusion: P(n) holds for all integers $n \ge 12$ by by principle of strong induction.

8. For All

For this problem, we'll see an incorrect use of induction. For this problem, we'll think of all of the following as binary trees:

- A single node.
- A root node, with a left child that is the root of a binary tree (and no right child)

- A root node, with a right child that is the root of a binary tree (and no left child)
- A root node, with both left and right children that are roots of binary trees.

Let P(n) be "for all trees of height n, the tree has an odd number of nodes"

Take a moment to realize this claim is false.

Now let's see an incorrect proof:

We'll prove P(n) for all $n \in \mathbb{N}$ by induction on n.

Base Case (n = 0): There is only one tree of height 0, a single node. It has one node, and $1 = 2 \cdot 0 + 1$, which is an odd number of nodes.

Inductive Hypothesis: Suppose P(i) holds for i = 0, ..., k, for some arbitrary $k \ge 0$.

Inductive Step: Let *T* be an arbitrary tree of height *k*. All trees with nodes (and since $k \ge 0$, *T* has at least one node) have a leaf node. Add a left child and right child to a leaf (pick arbitrarily if there's more than one), This tree now has height k + 1 (since *T* was height *k* and we added children below). By IH, *T* had an odd number of nodes, call it 2j + 1 for some integer *j*. Now we have added two more, so our new tree has 2j + 1 + 2 = 2(j + 1) + 1 nodes. Since *j* was an integer, so is j + 1, and our new tree has an odd number of nodes, as required, so P(k + 1) holds.

By the principle of induction, P(n) holds for all $n \in \mathbb{N}$. Since every tree has an (integer) height of 0 or more, every tree is included in some P(), so the claim holds for all trees.

(a) What is the bug in the proof? Solution:

The proof, in trying to show something about an arbitrary of height k+1, builds a **particular** tree of height k+1, not an arbitrary one. While the tree built is indeed of height k+1 and has an odd number of nodes, it is not an *arbitrary* tree of height k+1.

Why is it that in this problem we have to start with k + 1, but we didn't in the "Walk the Dawgs" problem above? "Walk the Dawgs" is asking you to prove an exists statement ("can split" not "for every possible split..."). When proving an exists, you just say "here's how to do it", you don't need to introduce an arbitrary variable

(b) What should the starting point and target of the IS be (you can't write a full proof, as the claim is false). **Solution:**

"Let T be an arbitrary tree of height k + 1" should be the first sentence. "T has an odd number of nodes" is the target. Notice that the only difference

9. Induction with Inequality

Prove that $6n + 6 < 2^n$ for all $n \ge 6$. Solution:

Let P(n) be " $6n + 6 < 2^n$ ". We will prove P(n) for all integers $n \ge 6$ by induction on n

Base Case (n = 6): $6 \cdot 6 + 6 = 42 < 64 = 2^6$, so P(6) holds.

Inductive Hypothesis: Assume that $6k + 6 < 2^k$ for an arbitrary integer $k \ge 6$.

Inductive Step:Goal: Show $6(k + 1) + 6 < 2^{k+1}$ 6(k + 1) + 6 = 6k + 6 + 6 $< 2^k + 6$ $< 2^k + 2^k$ $< 2^k + 2^k$ $= 2 \cdot 2^k$ $= 2 \cdot 2^k$ $= 2^{k+1}$ So $P(k) \rightarrow P(k + 1)$ for an arbitrary integer $k \ge 6$.Conclusion: P(n) holds for all integers $n \ge 6$ by the principle of induction.