## CSE 322: Midterm Review

- **Basic Concepts** (Chapter 0)
  - Sets
    - Notation and Definitions
      - $A = \{x \mid \text{rule about } x\}$, $x \in A$, $A \subseteq B$, $A = B$
      - $\exists$ ("there exists"), $\forall$ ("for all")
    - Finite and Infinite Sets
      - Set of natural numbers N, integers Z, reals R etc.
      - Empty set $\varnothing$
    - Set operations: Know the definitions for proofs
      - Union: $A \cup B = \{x \mid x \in A \text{ or } x \in B\}$
      - Intersection $A \cap B = \{x \mid x \in A \text{ and } x \in B\}$
      - Complement $\overline{A} = \{x \mid x \notin A\}$



## Basic Concepts (cont.)

- Set operations (cont.)
  - Power set of A = Pow(A) or $2^A$ = set of all subsets of A
    - E.g. $A = \{0,1\} \rightarrow 2^A = \{\varnothing, \{0\}, \{1\}, \{0,1\}\}$
  - Cartesian Product $A \times B = \{(a,b) \mid a \in A \text{ and } b \in B\}$
- Functions:
  - f: Domain $\rightarrow$ Range
    - $Add(x,y) = x + y \rightarrow Add: Z \times Z \rightarrow Z$
  - Definitions of 1-1 and onto (bijection if both)

## Strings

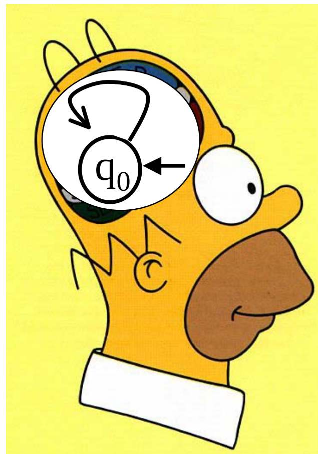
- Alphabet $\Sigma$ = finite set of symbols, e.g. $\Sigma = \{0,1\}$
- String w = finite sequence of symbols $\in \Sigma$
  - $w = w_1 w_2 \ldots w_n$
- String properties: Know the definitions
  - Length of w = |w| (|w| = n if $w = w_1 w_2 \ldots w_n$)
  - Empty string = $\varepsilon$ (length of $\varepsilon$ = 0)
  - Substring of w
  - Reverse of w = $w^R = w_n w_{n-1} \ldots w_1$
  - Concatenation of strings x and y (append y to x)
  - $y^k$ = concatenate y to itself to get string of *k* y's
  - Lexicographical order = order based on length and dictionary order within equal length



## Languages and Proof Techniques

- Language L = set of strings over an alphabet (i.e. $L \subseteq \Sigma^*$)
  - E.g. $L = \{0^n 1^n \mid n \geq 0\}$ over $\Sigma = \{0,1\}$
  - E.g. L = {p | p is a syntactically correct C++ program} over $\Sigma$ = ASCII characters
- Proof Techniques: Look at lecture slides, handouts, and notes
  1. Proof by counterexample
  2. Proof by contradiction
  3. Proof of set equalities (A = B)
  4. Proof of "iff" ($X \Leftrightarrow Y$) statements (prove both $X \Rightarrow Y$ and $X \Leftarrow Y$)
  5. Proof by construction
  6. Proof by induction
  7. Pigeonhole principle
  8. Dovetailing to prove a set is countably infinite E.g. Z or $N \times N$
  9. Diagonalization to prove a set is uncountable E.g. $2^N$ or Reals

## Chapter 1 Review: Languages and Machines






## Languages and Machines (Chapter 1)

- Language = set of strings over an alphabet
  - Empty language = language with no strings = $\varnothing$
  - Language containing only empty string = $\{\varepsilon\}$
- DFAs
  - Formal definition M = $(Q, \Sigma, \delta, q_0, F)$
  - Set of states Q, alphabet $\Sigma$, start state $q_0$, accept ("final") states F, transition function $\delta: Q \times \Sigma \rightarrow Q$
  - M recognizes language L(M) = {w | M accepts w}
  - In class examples:
    E.g. DFA for L(M) = {w | w ends in 0}
    E.g. DFA for L(M) = {w | w does not contain 00}
    E.g. DFA for L(M) = {w | w contains an even # of 0's}

  Try: DFA for L(M) = {w | w contains an even # of 0's and an odd number of 1's}

## Languages and Machines (cont.)

- Regular Language = language recognized by a DFA
- Regular operations: Union $\cup$, Concatenation $\circ$ and star *
  - Know the definitions of $A \cup B$, $A \circ B$ and $A^*$
  - $\Sigma = \{0,1\} \rightarrow \Sigma^* = \{\varepsilon, 0, 1, 00, 01, \ldots\}$
- Regular languages are closed under the regular operations
  - Means: If A and B are regular languages, we can show $A \cup B$, $A \circ B$ and $A^*$ (and also $B^*$) are regular languages
  - Cartesian product construction for showing $A \cup B$ is regular by simulating DFAs for A and B in parallel
- Other related operations: $A \cap B$ and complement $\overline{A}$
  - Are regular languages closed under these operations?



## NFAs, Regular expressions, and GNFAs

- NFAs vs DFAs
  - DFA: $\delta$(state,symbol) = next state
  - NFA: $\delta$(state,symbol or $\varepsilon$) = set of next states
    - Features: Missing outgoing edges for one or more symbols, multiple outgoing edges for same symbol, $\varepsilon$-edges
  - Definition of: NFA N accepts a string $w \in \Sigma^*$
  - Definition of: NFA N recognizes a language $L(N) \subseteq \Sigma^*$
  - E.g. NFA for $L = \{w \mid w = x1a, x \in \Sigma^* \text{ and } a \in \Sigma\}$
- Regular expressions: Base cases $\varepsilon$, $\varnothing$, $a \in \Sigma$, and $R1 \cup R2$, $R1 \circ R2$ or $R1^*$
- GNFAs = NFAs with edges labeled by regular expressions
  - Used for converting DFAs to regular expressions

## Main Results and Proofs

- L is a Regular Language iff
  - L is recognized by a DFA iff
  - L is recognized by an NFA iff
  - L is recognized by a GNFA iff
  - L is described by a Regular Expression
- Proofs:
  - NFA→DFA: subset construction (1 DFA state=subset of NFA states)
  - Reg Exp→NFA: combine NFAs for base cases with ε-transitions
  - DFA→GNFA→Reg Exp: Repeat two steps:
    1. Collapse two parallel edges to one edge labeled ($a \cup b$), and
    2. Replace edges through a state with a loop with one edge labeled ($ab^*c$)



## Other Results

- Using NFAs to show that Regular Languages are closed under:
  - Regular operations $\cup$, $\circ$ and *
- Are Regular Languages closed under:
  - intersection?
  - complement (Exercise 1.10)?
- Are other operations that regular languages are closed under?

What about the [reversal](#) operation?

What about the [icannotact](#) operation?

What about the [subset](#) operation?



## Other Results

- Are Regular Languages closed under:
  - reversal (Problem 1.24)?
  - subset $\subseteq$ ?
  - superset $\supseteq$ ?
  - no-prefix (Problem 1.32a)?
    no-prefix(A) = {w $\in$A | no proper prefix of w is in A}
  - no-extend (Problem 1.32b)?
    no-extend(A) = {w $\in$A | w is not a proper prefix of any string in A}

## Pumping Lemma

- *Pumping lemma in plain English (sort of):* If L is regular, then there is a p (= number of states of a DFA accepting L) such that any string $s$ in L of length ≥ p can be expressed as $s = xyz$ where $y$ is not null ($y$ is the loop in the DFA), $|xy| \leq$ p (loop occurs within p state transitions), and any "pumped" string $xy^iz$ is in L for all $i \geq 0$ (go through the loop 0 or more times).
- *Pumping lemma in plain Logic:*
  L regular $\Rightarrow \exists$p s.t. ($\forall s \in L$ s.t. $|s| \geq p$ ($\exists x,y,z \in \Sigma^*$ s.t. (s = xyz) and ($|y| \geq 1$) and ($|xy| \leq p$) and ($\forall i \geq 0$, $xy^iz \in L$)))
- Is the other direction $\Leftarrow$ also true?
  No! See Problem 1.37 for a counterexample



## Proving Non-Regularity using the Pumping Lemma

- Proof by contradiction to show L is not regular
  1. Assume L is regular
  2. Let p be some arbitrary number ("pumping length")
  3. Choose a long enough string $s \in L$ such that $|s| \geq p$
  4. Let x,y,z be strings such that s = xyz, $|y| \geq 1$, and $|xy| \leq p$
  5. Pick an $i \geq 0$ such that $xy^iz \notin L$ (for all x,y,z as in 4)

  This contradicts the pump. lemma. Therefore, L is not regular
- Examples: $\{0^n1^n | n \geq 0\}$, $\{ww | w \in \Sigma^*\}$, $\{0^n | n \text{ is prime}\}$, ADD = {x=y+z | x, y, z are binary numbers and x is sum of y and z}
- Can sometimes also use closure under $\cap$ (and/or complement)
  - E.g. If $L \cap B = L_1$, and B is regular while $L_1$ is not regular, then L is not regular (if L was regular, $L_1$ would have to be regular)

## Some Applications of Regular Languages

- Pattern matching and searching:
  - E.g. In Unix:
    - `ls *.c`
    - `cp /myfriends/games/*.* /mydir/`
    - `grep 'Spock' *trek.txt`
- Compilers:
  - `id ::= letter (letter | digit)*`
  - `int ::= digit digit*`
  - `float ::= d d*.d*(ε|E d d*)`
  - The symbol | stands for "or" (= union)



## Good luck on the midterm on monday!

- You can bring one 8 1/2" x 11" review sheet
- The questions sheet will have space for answers. I will also bring extra blank sheets for those of you who balk at brevity.

Don't sweat it! 

- Go through the homeworks, lecture slides, and examples in the text (<u>Chapters 0 and 1 only</u>)
- Do the practice midterm on the website and avoid being surprised!