

## What's on our platter today?

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- ◆ Cliff's notes for equivalence of CFGs and PDAs
  - ⇒  $L = L(G)$  for some CFG  $G \Rightarrow L = L(M)$  for some PDA  $M$
  - ⇒  $L = L(M)$  for some PDA  $M \Rightarrow L = L(G)$  for some CFG  $G$
- ◆ Pumping Lemma (one last time)
  - ⇒ Statement of Pumping Lemma for CFLs
  - ⇒ Proof: On board and textbook
  - ⇒ Application: Showing a given  $L$  is not a CFL

## From CFGs to PDAs

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- ◆  $L$  is a CFL  $\Rightarrow L = L(M)$  for some PDA  $M$
- ◆ Proof Summary:
  - ⇒  $L$  is a CFL means  $L = L(G)$  for some CFG  $G = (V, \Sigma, R, S)$
  - ⇒ Construct PDA  $M = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{acc}\})$ 
    - $M$  has only 4 main states (plus a few more for pushing strings)
    - $Q = \{q_0, q_1, q_2, q_{acc}\} \cup E$  where  $E$  are states used in 2 below
  - ⇒  $\delta$  has 4 components:
    1. **Init. Stack:**  $\delta(q_0, \epsilon, \epsilon) = \{(q_1, \$)\}$  and  $\delta(q_1, \epsilon, \epsilon) = \{(q_2, S)\}$
    2. **Push & generate strings:**  $\delta(q_2, \epsilon, A) = \{(q_2, w)\}$  for  $A \rightarrow w$  in  $R$
    3. **Pop & match to input:**  $\delta(q_2, a, a) = \{(q_2, \epsilon)\}$
    4. **Accept if stack empty:**  $\delta(q_2, \epsilon, \$) = \{(q_{acc}, \epsilon)\}$
- ◆ Can prove by induction:  $w \in L$  iff  $w \in L(M)$

## From PDAs to CFGs

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- ◆  $L = L(M)$  for some PDA  $M \Rightarrow L = L(G)$  for some CFG  $G$
- ◆ Proof Summary: Simulate  $M$ 's computation using a CFG
  - ⇒ First, simplify  $M$ : 1. Only 1 accept state, 2.  $M$  empties stack before accepting, 3. Each transition either Push or Pop, not both or neither. Let  $M = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{acc}\})$
  - ⇒ Construct grammar  $G = (V, \Sigma, R, S)$
  - ⇒ Basic Idea: Define variables  $A_{pq}$  for simulating  $M$
  - ⇒  $A_{pq}$  generates all strings  $w$  such that  $w$  takes  $M$  from state  $p$  with empty stack to state  $q$  with empty stack
  - ⇒ Then,  $A_{q_0q_{acc}}$  generates all strings  $w$  accepted by  $M$

## From PDAs to CFGs (cont.)

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- ◆  $L = L(M)$  for some PDA  $M \Rightarrow L = L(G)$  for some CFG  $G$
- ◆ Proof (cont.)
  - ⇒ Construct grammar  $G = (V, \Sigma, R, S)$  where
    - $V = \{A_{pq} \mid p, q \in Q\}$
    - $S = A_{q_0q_{acc}}$
    - $R = \{A_{pq} \rightarrow aA_{rs}b \mid p \xrightarrow{a, \varepsilon \rightarrow c} r \xrightarrow{A_{rs}} s \xrightarrow{b, c \rightarrow \varepsilon} q\}$
    - $\cup \{A_{pq} \rightarrow A_{pr}A_{rq} \mid p, q, r \in Q\}$
    - $\cup \{A_{qq} \rightarrow \varepsilon \mid q \in Q\}$
- ◆ See text for proof by induction:  $w \in L(M)$  iff  $w \in L(G)$
- ◆ Try to get  $G$  from  $M$  where  $L(M) = \{0^n 1^n \mid n \geq 1\}$

## Pumping Lemma for CFLs



Here we go again!

- ◆ Intuition: If  $L$  is CF, then some CFG  $G$  produces strings in  $L$ 
  - ⇒ If some string in  $L$  is very long, it will have a very tall parse tree
  - ⇒ If a parse tree is taller than the number of distinct variables in  $G$ , then *some variable  $A$  repeats*  $\Rightarrow A$  will have at least two sub-trees
  - ⇒ We can *pump up the original string by replacing  $A$ 's smaller sub-tree with larger*, and *pump down by replacing larger with smaller*
- ◆ Pumping Lemma for CFLs in all its glory:
  - ⇒ If  $L$  is a CFL, then there is a number  $p$  (the “pumping length”) such that for all strings  $s$  in  $L$  such that  $|s| \geq p$ , there exist  $u, v, x, y$ , and  $z$  such that  $s = uvxyz$  and:
    1.  $uv^i xy^i z \in L$  for all  $i \geq 0$ , and
    2.  $|vy| \geq 1$ , and
    3.  $|vxy| \leq p$ .

## Why is the PL useful?



Yawn...yes, why indeed?

- ◆ Can use the pumping lemma to show a language  $L$  is *not context-free*
  - ⇒ 5 steps for a proof by contradiction:
    1. Assume  $L$  is a CFL.
    2. Let  $p$  be the pumping length for  $L$  given by the pumping lemma for CFLs.
    3. Choose cleverly an  $s$  in  $L$  of length at least  $p$ , such that
    4. For *all possible ways* of decomposing  $s$  into  $uvxyz$ , where  $|vy| \geq 1$  and  $|vxy| \leq p$ ,
    5. Choose an  $i \geq 0$  such that  $uv^i xy^i z$  is not in  $L$ .
- ◆ Examples: Show the following are not CFLs
  - ⇒  $L = \{0^n 1^n 0^n \mid n \geq 0\}$  and  $L = \{0^n \mid n \text{ is a prime number}\}$

## Using the Pumping Lemma



Oh boy...  
Jolly good

- ◆ Show  $L = \{0^n \mid n \text{ is a prime number}\}$  is not a CFL
  1. Assume  $L$  is a CFL.
  2. Let  $p$  be the pumping length for  $L$  given by the pumping lemma for CFLs.
  3. Let  $s = 0^n$  where  $n$  is a prime  $\geq p$
  4. Consider *all possible ways* of decomposing  $s$  into  $uvxyz$ , where  $|vy| \geq 1$  and  $|vxy| \leq p$ .

Then,  $vy = 0^r$  and  $uxz = 0^q$  where  $r + q = n$  and  $r \geq 1$
  5. We need an  $i \geq 0$  such that  $uv^i xy^i z = 0^{ir+q}$  is not in  $L$ .

( $i = 0$  won't work because  $q$  could be prime: e.g.  $2 + 17 = 19$ )  
Choose  $i = (q + 2 + 2r)$ . Then,  $ir + q = qr + 2r + 2r^2 + q = q(r+1) + 2r(r+1) = (q+2r)(r+1) = \text{not prime (since } r \geq 1\text{)}$ .

So,  $0^{ir+q}$  is not in  $L \Rightarrow$  contradicts pumping lemma.  $L$  is not a CFL.

## Two surprising results about CFLs



- ◆ CFLs are not closed under intersection
  - ⇨ **Proof:**  $L_1 = \{0^n 1^n 0^m \mid n, m \geq 0\}$  and  $L_2 = \{0^m 1^n 0^n \mid n, m \geq 0\}$  are both CFLs but  $L_1 \cap L_2 = \{0^n 1^n 0^n \mid n \geq 0\}$  is not a CFL.
- ◆ CFLs are not closed under complementation
  - ⇨ **Proof by contradiction:**

Suppose CFLs are closed under complement.

Then, for  $L_1, L_2$  above,  $\overline{\overline{L_1} \cup \overline{L_2}}$  must be a CFL (since CFLs are closed under  $\cup$  -- see homework #5, problem 1).

But,  $\overline{\overline{L_1} \cup \overline{L_2}} = L_1 \cap L_2$  (by de Morgan's law).

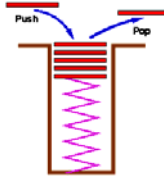
$L_1 \cap L_2 = \{0^n 1^n 0^n \mid n \geq 0\}$  is not a CFL  $\Rightarrow$  contradiction.

Therefore CFLs are not closed under complementation.

## Can we make PDAs more powerful?

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◆ PDA = NFA +



◆ What if we allow arbitrary reads/writes to the stack instead of only push and pop?

## Enter... Turing Machines (Next Class)

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◆ Homework #5 due on Friday!



Can I have  
my Oscar now?