

What's on our platter today?

- ◆ Cliff's notes for equivalence of CFGs and PDAs
 - ⇒ $L = L(G)$ for some CFG $G \Rightarrow L = L(M)$ for some PDA M
 - ⇒ $L = L(M)$ for some PDA $M \Rightarrow L = L(G)$ for some CFG G
- ◆ Pumping Lemma (one last time)
 - ⇒ Statement of Pumping Lemma for CFLs
 - ⇒ Application: Showing a given L is not a CFL

Review: From CFGs to PDAs

- ◆ L is a CFL $\Rightarrow L = L(M)$ for some PDA M
- ◆ Proof Summary:
 - ⇒ L is a CFL means $L = L(G)$ for some CFG $G = (V, \Sigma, R, S)$
 - ⇒ Construct PDA $M = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{acc}\})$
 - M has only 4 main states (plus a few more for pushing strings)
 - $Q = \{q_0, q_1, q_2, q_{acc}\} \cup E$ where E are states used in 2 below
 - ⇒ δ has 4 components:
 1. **Init. Stack:** $\delta(q_0, \epsilon, \epsilon) = \{(q_1, \$)\}$ and $\delta(q_1, \epsilon, \epsilon) = \{(q_2, S)\}$
 2. **Push & generate strings:** $\delta(q_2, \epsilon, A) = \{(q_2, w)\}$ for $A \rightarrow w$ in R
 3. **Pop & match to input:** $\delta(q_2, a, a) = \{(q_2, \epsilon)\}$
 4. **Accept if stack empty:** $\delta(q_2, \epsilon, \$) = \{(q_{acc}, \epsilon)\}$
- ◆ Can prove by induction: $w \in L$ iff $w \in L(M)$

Review: From PDAs to CFGs

- ◆ $L = L(M)$ for some PDA $M \Rightarrow L = L(G)$ for some CFG G
- ◆ Proof Summary: Simulate M 's computation using a CFG
 - ◇ First, simplify M : 1. Only 1 accept state, 2. M empties stack before accepting, 3. Each transition either Push or Pop, not both or neither. Let $M = (Q, \Sigma, \Gamma, \delta, q_0, \{q_{acc}\})$
 - ◇ Construct grammar $G = (V, \Sigma, R, S)$
 - ◇ Basic Idea: Define variables A_{pq} for simulating M
 - ◇ A_{pq} generates all strings w such that w takes M from state p with empty stack to state q with empty stack
 - ◇ Then, $A_{q_0q_{acc}}$ generates all strings w accepted by M

Review: From PDAs to CFGs (cont.)

- ◆ $L = L(M)$ for some PDA $M \Rightarrow L = L(G)$ for some CFG G
- ◆ Proof (cont.)
 - ◇ Construct grammar $G = (V, \Sigma, R, S)$ where
 - $V = \{A_{pq} \mid p, q \in Q\}$
 - $S = A_{q_0q_{acc}}$
 - $R = \{A_{pq} \rightarrow aA_{rs}b \mid p \xrightarrow{a, \varepsilon \rightarrow c} r \xrightarrow{A_{rs}} s \xrightarrow{b, c \rightarrow \varepsilon} q\}$
 - $\cup \{A_{pq} \rightarrow A_{pr}A_{rq} \mid p, q, r \in Q\}$
 - $\cup \{A_{qq} \rightarrow \varepsilon \mid q \in Q\}$
- ◆ See text for proof by induction: $w \in L(M)$ iff $w \in L(G)$
- ◆ Try to get G from M where $L(M) = \{0^n 1^n \mid n \geq 1\}$

Pumping Lemma for CFLs



Here we go again!

- ◆ Intuition: If L is CF, then some CFG G produces strings in L
 - ⇒ If some string in L is very long, it will have a very tall parse tree
 - ⇒ If a parse tree is taller than the number of distinct variables in G , then *some variable A repeats* $\Rightarrow A$ will have at least two sub-trees
 - ⇒ We can pump up the original string by replacing A 's smaller sub-tree with larger, and pump down by replacing larger with smaller
- ◆ Pumping Lemma for CFLs in all its glory:
 - ⇒ If L is a CFL, then there is a number p (the “pumping length”) such that for all strings s in L such that $|s| \geq p$, there exist $u, v, x, y,$ and z such that $s = uvxyz$ and:
 1. $uv^i xy^i z \in L$ for all $i \geq 0$, and
 2. $|vy| \geq 1$, and
 3. $|vxy| \leq p$.

Why is the PL useful?



Yawn...yes, why indeed?

- ◆ Can use the pumping lemma to show a language L is *not context-free*
 - ⇒ 5 steps for a proof by contradiction:
 1. Assume L is a CFL.
 2. Let p be the pumping length for L given by the pumping lemma for CFLs.
 3. Choose cleverly an s in L of length at least p , such that
 4. For *all possible ways* of decomposing s into $uvxyz$, where $|vy| \geq 1$ and $|vxy| \leq p$,
 5. Choose an $i \geq 0$ such that $uv^i xy^i z$ is not in L .
- ◆ Example: Show the following is not a CFL
 - ⇒ $L = \{0^n 1^n 0^n \mid n \geq 0\}$

Example 2



Oh boy...
Jolly good

- ◆ Show $L = \{0^n \mid n \text{ is a prime number}\}$ is not a CFL
 1. Assume L is a CFL.
 2. Let p be the pumping length for L given by the pumping lemma for CFLs.
 3. Let $s = 0^n$ where n is a prime $\geq p$
 4. Consider *all possible ways* of decomposing s into $uvxyz$, where $|vy| \geq 1$ and $|vxy| \leq p$.

Then, $vy = 0^r$ and $uxz = 0^q$ where $r + q = n$ and $r \geq 1$
 5. We need an $i \geq 0$ such that $uv^i xy^i z = 0^{i+r+q}$ is not in L .

($i = 0$ won't work because q could be prime: e.g. $2 + 17 = 19$)
Choose $i = (q + 2 + 2r)$. Then, $ir + q = qr + 2r + 2r^2 + q = q(r+1) + 2r(r+1) = (q+2r)(r+1) = \text{not prime (since } r \geq 1\text{)}$.

So, 0^{i+r+q} is not in $L \Rightarrow$ contradicts pumping lemma. L is not a CFL.

Two surprising results about CFLs



- ◆ CFLs are not closed under intersection
 - ⇨ **Proof:** $L_1 = \{0^n 1^n 0^m \mid n, m \geq 0\}$ and $L_2 = \{0^m 1^n 0^n \mid n, m \geq 0\}$ are both CFLs but $L_1 \cap L_2 = \{0^n 1^n 0^n \mid n \geq 0\}$ is not a CFL.
- ◆ CFLs are not closed under complementation
 - ⇨ **Proof by contradiction:**

Suppose CFLs are closed under complement.

Then, for L_1, L_2 above, $\overline{\overline{L_1} \cup \overline{L_2}}$ must be a CFL (since CFLs are closed under \cup -- see this week's homework).

But, $\overline{\overline{L_1} \cup \overline{L_2}} = L_1 \cap L_2$ (by de Morgan's law).

$L_1 \cap L_2 = \{0^n 1^n 0^n \mid n \geq 0\}$ is not a CFL \Rightarrow contradiction.

Therefore CFLs are not closed under complementation.