

### Proof of the Pumping Lemma

The language  $L$  is regular, so there exists a DFA  $M$  such that  $L = L(M)$ . Say  $M$  has  $p$  states,  $\{q_1, \dots, q_p\}$ . We are also given input string  $s \in L$  with  $s = s_1 s_2 \dots s_n$  ( $n = |s| \geq p$ ).

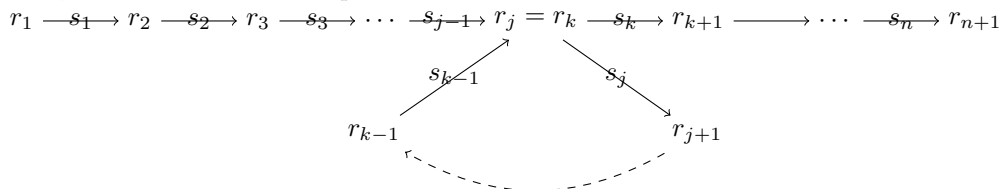
$M$  on input  $s$  (accepts):

$$r_1 \xrightarrow{s_1} r_2 \xrightarrow{s_2} r_3 \xrightarrow{s_3} \dots \xrightarrow{s_{p-1}} r_p \xrightarrow{s_p} r_{p+1} \xrightarrow{s_{p+1}} \dots \xrightarrow{s_n} r_{n+1}$$

Where  $r_{n+1}$  is an accept state. (Remark: the  $r$ 's are not necessarily unique –  $r_l$  and  $r_m$  may refer to the same  $q_p$ .)

$M$  went through at least  $p+1$  states, but has only  $p$  distinct states. By pigeonhole principle, some state repeats (there exists a cycle). This implies that there exists some  $j, k$  with  $j \neq k$  such that  $r_j = r_k$ . We also know that  $k \leq p+1$ .

Thus,  $M$  looks like this on input  $s$ :



Let the input before the loop  $s_1 s_2 \dots s_{j-1} = x$ , the input in the loop  $s_j \dots s_{k-1} = y$ , and the input after the loop  $s_k \dots s_n = z$ . By assumption,  $s = xyz \in L(M)$ .

We have shown that

1. For all  $i \geq 0$ ,  $xy^i z \in L$  (because we may exploit the loop)
2.  $|y| \geq 1$  (because  $j, k$  are distinct)
3.  $|xy| \leq p$  (because  $|xy| = k - 1$  and  $k \leq p + 1$ .)

This is really useful to show that certain languages are not regular.

Example: Given  $L = \{0^n 1^n \mid n \geq 0\}$ , show that  $L$  is not regular.

Proof (by contradiction):

1. Assume  $L$  is regular
2. There exists a  $p$  (pumping length) from pumping lemma
3. Choose  $s = 0^p 1^p$  ( $s$  satisfies  $|s| \geq p$  because  $|s| = 2p$ )
4. For any  $x, y, z$  such that  $s = xyz$ ,  $|y| \geq 1$  and  $|xy| \leq p$ , so  $y$  contains only 0s
5. Choose some  $i$  such that  $xy^i z \notin L$ . Here, we choose  $i = 2$ .  $xy^2 z = xy y z = 0^{p+|y|} 1^p$ , which is not in  $L$  because  $|y| \neq 0$ . This contradicts the pumping lemma, which implies that  $L$  is not regular.

Example: Given  $L = \{ww \mid w \in \{0, 1\}^*\}$ , show  $L$  is not regular.

Proof (by contradiction):

1. Assume  $L$  is regular
2. There exists a  $p$  (pumping length) from pumping lemma
3. Choose  $s = 0^p 10^p$ .
4. For any  $x, y, z$  such that  $s = xyz$  and  $|y| \geq 1$  and  $|xy| \leq p$ , so  $y$  contains only 0s.
5. Choose some  $i$  such that  $xy^i z \notin L$ . Here, we choose  $i = 2$ .  $xy^2 z = xy y z = 0^{p+|y|} 10^p$ , but  $|y| \neq 0$  so this string is not in  $L$ , contradicting the pumping lemma. Thus,  $L$  is not regular.

(The example  $0^p 0^p$  will not work because it may still remain in the language after pumping in step 5)