

# Mathematical Background 1

CSE 373  
Data Structures

# Basic Discrete Math Concepts

Sets  
Cardinality  
Relations  
Cartesian Products  
Functions  
Properties of Functions

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## Sets

A *set* is a collection of distinct objects.  
(An object is some identifiable person, place, thing, or idea).

The objects are usually represented by symbols.

The set consisting of Jupiter and Saturn:  
{Jupiter, Saturn}

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## Sets (Continued)

The set consisting of the first 5 primes:  
{2, 3, 5, 7, 11}

The set consisting of all strings made up of only *a* and *b*.  
{"", "a", "b", "aa", "ab", "ba", "bb", ...}

(or, without the use of quotes...)  
{λ, a, b, aa, ab, ba, bb, ...}

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## Sets (continued)

The objects that make up a set are called its *elements* or *members*.

If an object *e* is an elements of a set *S*, then we write  
 $e \in S$

The empty set {} contains zero elements.

A set may contain other sets as members:

{ {a}, {b}, {a, b} } contains three (top-level) elements.

{ { } } contains one element.

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## Cardinality

A set may be finite or infinite.

The *cardinality* of a finite set is the number of (top-level) elements it contains.

$\text{Card}(\{a, b, c\}) = 3$

We sometimes use vertical bars:

$|\{a, b, c\}| = 3$

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## Binary Relations

Suppose  $S$  is a set. Let  $a \in S$  and  $b \in S$ .  
Then  $(a, b)$  is an *ordered pair* of elements of  $S$ .  
The set of all ordered pairs over  $S$  is:  
 $\{(x, y) \mid x \in S, y \in S\}$   
= the set of all ordered pairs  $(x, y)$  such that  $x$  is in  $S$  and  $y$  is in  $S$ .

Any set of ordered pairs over  $S$  is called a *binary relation* on  $S$ .

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## Binary Relations (cont)

Examples:

Let  $S = \{a, b, c\}$

$B_1 = \{(a, b), (c, b), (c, c)\}$  is a binary relation on  $S$ .

$B_2 = \{(a, a), (b, b), (c, c)\}$  is a binary relation on  $S$ .  
It happens to be reflexive.

$B_3 = \{\}$  is a binary relation on  $S$ .

It happens to be empty.

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## Binary Relations (reflexivity)

A binary relation on  $S$  is *reflexive* provided that for every element in  $S$ , the pair of that element with itself is a pair in  $S$ .

$S = \{a, b, c\}$

$R_4 = \{(a, a), (a, b), (b, b), (b, c), (c, c)\}$   
is reflexive.

$R_5 = \{(a, a), (a, b), (b, b)\}$  is not reflexive,  
because  $c \in S$  but  $(c, c) \notin R_5$ .

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## Binary Relations (symmetry)

A binary relation  $R$  on  $S$  is symmetric provided that any pair that occurs in  $R$  also occurs "reversed" in  $R$ .

$S = \{a, b, c\}$

$R_6 = \{(a, b), (b, a), (c, c)\}$  is symmetric.

$\{\}$  is symmetric.

$R_7 = \{(a, b), (b, b), (c, c)\}$  is not symmetric, because  
 $(a, b) \in R_7$  but  $(b, a) \notin R_7$ .

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## Binary Relations (transitivity)

A binary relation  $B$  on  $S$  is *transitive* provided that whenever there is a two-element "chain" in  $B$  there is also the corresponding "shortcut" in  $B$ .

$B$  is transitive iff

$(\forall x \in S, \forall y \in S, \forall z \in S)$

$(x, y) \in B$  and  $(y, z) \in B \rightarrow (x, z) \in B$

$R_8 = \{(a, b), (a, c), (b, c), (c, c)\}$  is transitive.

$R_9 = \{(a, b), (b, a)\}$  is not transitive, because  $(a, b)$  and  $(b, a)$  form a chain, but  $(a, a)$ , the shortcut, is not present.

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## Cartesian Products

Let  $S_1$  and  $S_2$  be sets.

Then the *cartesian product*  $S_1 \times S_2$  is the set of all ordered pairs in which the first element is a member of  $S_1$  and the second element is a member of  $S_2$ .

Example:

Let  $A = \{a, b, c\}$ , Let  $B = \{1, 2\}$  then

$A \times B = \{(a, 1), (a, 2), (b, 1), (b, 2), (c, 1), (c, 2)\}$

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## Cartesian Products (n-way)

The n-way *cartesian product*  $S_1 \times S_2 \times \dots \times S_n$  is the set of all ordered n-tuples in which the  $i^{\text{th}}$  element is an element of  $S_i$ .

$$S_1 \times S_2 \times \dots \times S_n = \{ (s_1, s_2, \dots, s_n) \mid s_1 \in S_1, s_2 \in S_2, \dots, s_n \in S_n \}$$

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## Functions

Let  $S_1$  and  $S_2$  be sets.

Let  $f$  be a subset of  $S_1 \times S_2$ .

( $f$  is a binary relation on  $S_1$  and  $S_2$ )

If for each  $x$  in  $S_1$  there is precisely one  $y$  in  $S_2$  such that  $(x, y) \in f$ , then  $f$  is a *function from  $S_1$  to  $S_2$* . We also say  $f$  is a *function on  $S_1$* .

$S_1$  is called the *domain* of  $f$  and  $S_2$  is called the *range* of  $f$ .

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## Functions (Examples)

Let  $S_1 = \{ a, b, c \}$  and  $S_2 = \{ 1, 2 \}$ .

Let  $f_1 = \{ (a, 1), (b, 1), (c, 2) \}$

$f_1$  is a function on  $S_1$ .

Let  $f_2 = \{ (a, 1), (b, 1), (b, 2), (c, 1) \}$

$f_2$  is not a function.

Let  $f_3 = \{ (a, 1), (b, 2) \}$

$f_3$  is *not* a function on  $S_1$ .

But it is a *partial function* on  $S_1$ .

It's actually a function on  $\{ a, b \}$ .

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## Properties of Functions

Let  $f$  be a function from  $S_1$  to  $S_2$ .

If every element of  $S_2$  appears as the second element of some ordered pair in  $f$ , then  $f$  is said to be "onto". (It's also said to be a *surjection*.)

With  $S_1 = \{ a, b, c \}$  and  $S_2 = \{ 1, 2 \}$ .

and  $f_1 = \{ (a, 1), (b, 1), (c, 2) \}$ ,

$f_1$  is onto.

Let  $f_4 = \{ (a, 1), (b, 1), (c, 1) \}$  with the same domain and range.  $f_4$  is *not* onto.

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## Properties of Functions (cont)

Let  $f$  be a function from  $S_1$  to  $S_2$ .

If no two elements of  $S_1$  are paired with the same element of  $S_2$  then  $f$  is said to be "one-to-one". (It's also said to be a *injection*.)

With  $S_1 = \{ a, b, c \}$  and  $S_2 = \{ 1, 2 \}$ .

and  $f_1 = \{ (a, 1), (b, 1), (c, 2) \}$ ,

$f_1$  is not one-to-one, since  $a$  and  $b$  are both paired with 1.

Let  $f_5 = \{ (a, 1), (b, 2) \}$  with domain  $\{ a, b \}$ .  $f_5$  is one-to-one.

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## Properties of Functions

Let  $S_1 = \{ a, b, c \}$  and  $S_2 = \{ 1, 2 \}$ .

Let  $f_1 = \{ (a, 1), (b, 1), (c, 2) \}$

$f_1$  is a function on  $S_1$ .

Let  $f_2 = \{ (a, 1), (b, 1), (b, 2), (c, 1) \}$

$f_2$  is not a function.

Let  $f_3 = \{ (a, 1), (b, 2) \}$

$f_3$  is *not* a function on  $S_1$ .

But it is a *partial function* on  $S_1$ .

It's actually a function on  $\{ a, b \}$ .

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## Abstract Data Types

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- **Motivation**
- **Abstract Data Types**
- **Example**
- **Using math. functions to describe an ADT's operations.**

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## Motivation for ADTs

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To organize some data, we need to say what general form it has, and what we expect to do with it.

Object-oriented programming provides one way of organizing data: using classes, with their data members and methods.

It is often helpful to specify our data without having to choose the particular data structure yet.

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## Abstract Data Type (Def.)

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An *abstract data type* consists of two parts:  
a description of the general form of some data.  
a set of methods.

The data is usually a set of elements, but may also include relationships among the elements.

{ 2, 3, 5, 7, 11 }  
[ 2 < 3, 3 < 5, 5 < 7, 7 < 11, etc.]

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## ADT Methods

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Each method in an ADT names and specifies an operation. The operation can be described by a function. Normally, an instance of the ADT data is one of the arguments to the function.

Examples of methods:

INSERT(x)

MEMBER(x)

SMALLEST()

CREATE() --- A "constructor" does not use an instance of the ADT, but creates one.

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## Example ADT: Stack of Integers

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Data: (Ordered) list of integers.

Methods:  
CREATE, PUSH, POP, TOP, DESTROY

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## Using Math. Functions to Describe ADT Methods

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Why?

Math. can be used to give a concise and unambiguous description of a method.

What?

1. gives a clear indication of input & output.
2. clarifies how the data changes and what is returned by the operation.

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## Math. Description of Methods: Domain and Range of the Method's function

Example: the POP operation on a stack can be described by a mathematical function:

$$f_{\text{POP}}: \text{stacks} \rightarrow \text{elements} \times \text{stacks}$$

*stacks* = the set of all possible stacks (according to this ADT).

*elements* = the set of all acceptable elements in our stacks (e.g., integers).

Because the operation produces an element and it causes a change to the stack, the range of  $f_{\text{POP}}$  is a cartesian product.

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## The Function's Effect

Now we describe how the function changes the stack and produces a value.

$$f_{\text{POP}}: [e_0, e_1, \dots, e_{n-1}] \mapsto (e_{n-1}, [e_0, e_1, \dots, e_{n-2}])$$

The symbol " $\mapsto$ " means "maps to". On its left side is a description of a generic *domain* element. On its right side is a description of the corresponding *range* element.

This formula indicates that the POP operation takes an n-element stack, returns the last element, and removes it from the stack.

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