

## Basic Complexity Theory (Review)

CSE 373  
Data Structures  
Winter 2006

## Agenda

- Goals: want to be able to analyze algorithm time (in particular) and space requirements
- Benchmarking
- Machine-independent characterizations
- Asymptotic complexity

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## Benchmarking

- Use stopwatch (or system clock) to time algorithms
- Repeat for inputs of different sizes
  - › Graph results: time as function of input
- Maybe repeat for different algorithms
  - › Compare results; which algorithm is “better”?

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## Benchmarking Pro

- Real numbers – often what the customer wants to know
- Reasonable results as long as machine, compiler, OS don't change
- Reasonable if constraints are the same (e.g., all data fits in main memory vs some in main memory vs some on disk)

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## Benchmarking Con

- Depends on particular technology
  - › Can't make meaningful statements about results from different machines, compilers
- Too concrete – can't answer questions like “is quicksort better than insertion sort” in a machine-independent way

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## Complexity Theory

- Idea: abstract away from particular machines, implementations
- Measure time/space in abstract “steps” or “cells”
  - › Does not depend on particular implementations
- Analyze independent of particular input
  - › In particular, analyze as function of large inputs – asymptotic analysis

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## Problem Size

- Want to analyze time/space as a function of the problem “size”
  - › Want this to be relatively abstract
- Typical “sizes”
  - › Amount of input – how much do we need to sort?
  - › Size of data structure – number of items, nodes, edges
  - › Magnitude of parameters – effort needed to compute  $n!$  as a function of  $n$ , for example

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## Execution Costs

- Basic steps
  - › Initialization/assignment of scalar variables (int, double, char, boolean, pointer, reference)
  - › Simple arithmetic operations (+, -, \*, /, %)
  - › Simple conditional tests (&&, ||, !)
  - › Array subscripting (a[k])
  - › Parameter passing/initialization
  - › Method call/return (excluding cost of executing method body)

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## Execution Costs

- Sequence of statements  $s_1; s_2; \dots; s_n$ 
  - › Sum of costs of  $s_1, s_2, \dots, s_n$
- Loops
  - › Loop overhead (constant) +
  - › Sum of costs of iterations
    - Sometimes iteration cost \* #iterations
    - Other times need to sum up iteration costs if they are not always the same (example: insertion or selection sort)

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## Execution Costs

- if cond then  $s_1$  else  $s_2$ :
  - › cost of cond +
  - › Max of cost  $s_1$ , cost  $s_2$  (worst case), or
  - › Weighted average of cost  $s_1$ , cost  $s_2$  depending on probability that cond is true or false (expected case – harder)
- Typically we use worst-case analysis

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## Execution Costs

- Method calls
  - › Cost of evaluating arguments +
  - › Argument passing/parameter initialization (usually constant, but more complex if copying large values) +
  - › Call/return overhead (usually constant) +
  - › Cost of executing method body

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## Comparing Algorithms

- Use cost measures to figure out the cost of each algorithm
  - › Result can be complex
- Then abstract away from noise – small terms don't matter
- Worry about cost as input becomes large

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## Asymptotic Complexity

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- Def: If  $f(n)$  and  $g(n)$  are two (complexity) functions, we say that  $f(n)$  is  $O(g(n))$  (pronounced “is order of”) if there are constants  $c, n_0$ , such that  $f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$ .

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## Exercise

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- Prove that  $3n + 5n^2 + 373$  is  $O(n^2)$
  
- Prove that it is  $O(n^4)$

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## Significance

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- This measures asymptotic complexity
  - › Low-order terms don't matter
  - › Small values of  $n$  don't matter
- Tight bounds are better (prefer small functions to large, even if both are valid)
- This is a worst-case analysis
  - › Generally useful in practice
  - › Usually easier than average-case (expected-time) analysis, but
  - › Sometimes want expected-time analysis (e.g., worst-case is pathologically worse than typical)

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## Complexity Classes

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- Key complexity classes (know these!)
  - › Constant:  $O(1)$  (or  $O(k)$  for any constant  $k$ )
  - › Logarithmic:  $O(\log n)$  (base doesn't matter)
  - › Linear:  $O(n)$
  - ›  $n \log n$ :  $O(n \log n)$
  - › Quadratic:  $O(n^2)$
  - › Cubic:  $O(n^3)$
  - › Polynomial:  $O(n^k)$
  - › Exponential:  $O(k^n)$

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## Graph

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## Comparing Algorithms

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- Generally, lower asymptotic complexity is preferable
  - › But constants and low-order terms may matter for problems of practical size, so don't do this blindly
- Algorithms of polynomial size ( $x^n$ ) or better are generally feasible
- Exponential algorithms ( $k^n$ ) are usually not feasible – even if computers get a lot faster

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