

# CSE 373: Data Structures and Algorithms

## Lecture 10: Trees II

# Implementing Set with BST

- Each Set entry adds a node to tree
  - Node contains String element, references to left/right subtree
- Tree organized for binary search
  - Quickly search or place to insert/remove element

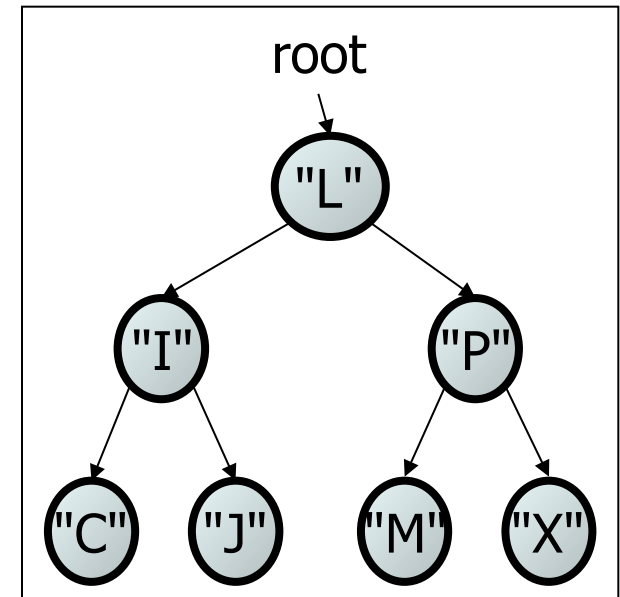
# Implementing Set with BST (cont.)

```
public interface StringSet {  
    public boolean add(String value);  
  
    public boolean contains(String value);  
  
    public void print();  
  
    public boolean remove(String value);  
  
    public int size();  
}
```

# StringTreeSet class

```
// A StringTreeSet represents a Set of Strings.  
public class StringTreeSet {  
    private StringTreeNode root;    // null for an empty set  
  
    methods  
}
```

- Client code talks to the `StringTreeSet`, not to the node objects inside it
- Methods of the `StringTreeSet` create and manipulate the nodes, their data and links between them



# Set implementation: contains (search)

```
public boolean contains(String value) {
    return contains(root, value);
}

private boolean contains(StringTreeNode node, String value) {
    if (node == null) {
        return false; // not in set
    } else if (node.data.compareTo(value) == 0) {
        return true; // found!
    } else if (node.data.compareTo(value) > 0) {
        return contains(node.left, value); // search left
    } else {
        return contains(node.right, value); // search right
    }
}
```

# Set implementation: insert

- Starts like `contains`
  - Trace out path where node should be
- Add node as new leaf
  - Don't change any other nodes or references
  - Correct place to maintain binary search tree property

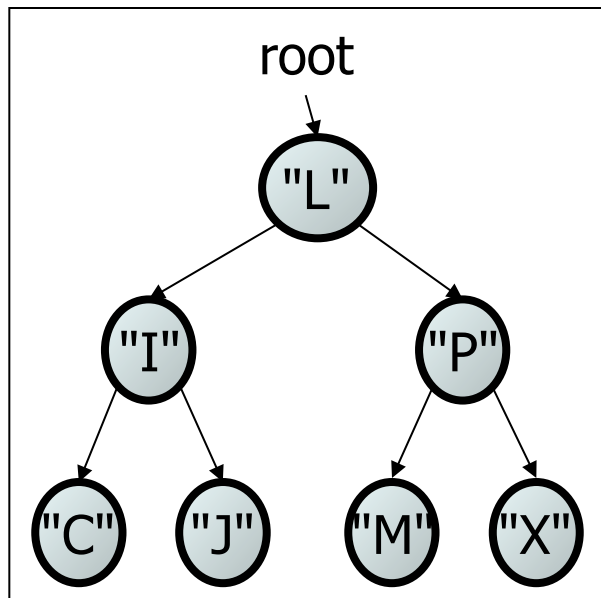
# Set implementation: insert

```
public boolean add(String value) {
    int oldSize = size();
    this.root = add(root, value);
    return oldSize != size();
}

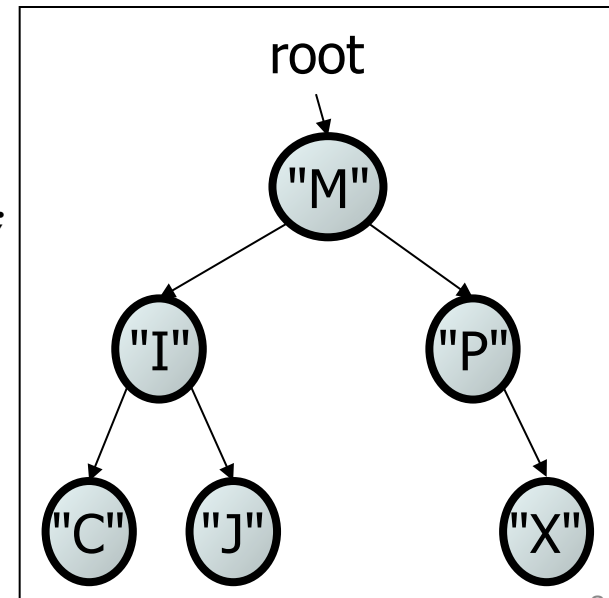
private StringTreeNode add(StringTreeNode node, String value) {
    if (node == null) {
        node = new StringTreeNode(value);
        numElements++;
    } else if (node.data.compareTo(value) == 0) {
        return node;
    } else if (node.data.compareTo(value) > 0) {
        node.left = add(node.left, value);
    } else { node.right = add(node.right, value); }
    return node;
}
```

# Set implementation: remove

- Possible states for the node to be removed:
  - a leaf: replace with null
  - a node with a left child only: replace with left child
  - a node with a right child only: replace with right child
  - a node with both children: replace with min value from right



`set.remove("L");`





# Set implementation: remove

```
public boolean remove(String value) {
    int oldSize = numElements;
    root = remove(root, value);
    return oldSize > numElements;
}

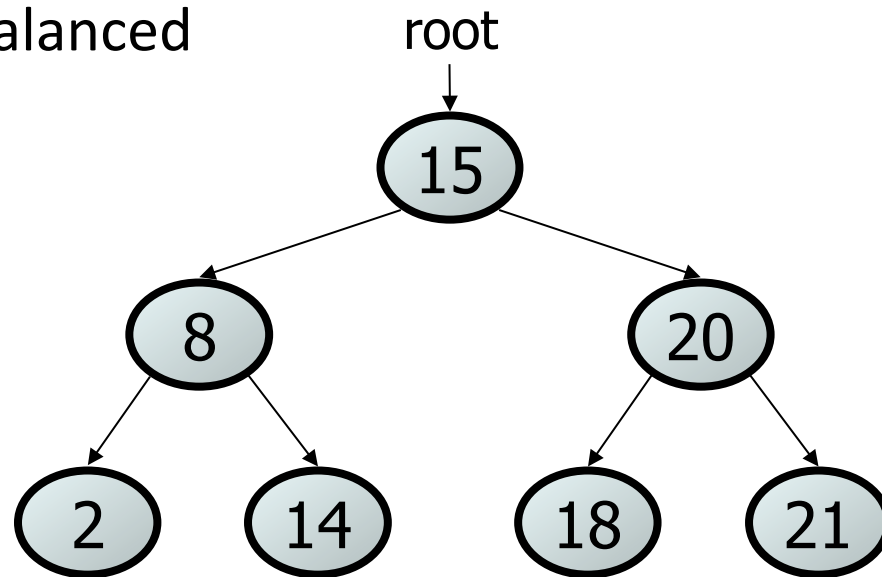
protected StreeNode remove(StreeNode node, String value) {
    if (node == null) { return node;
    } else if (node.data.compareTo(value) < 0) { node.right = remove(node.right, value);
    } else if (node.data.compareTo(value) > 0) { node.left = remove(node.left, value);
    } else {
        if (node.right != null && node.left != null) {
            node.data = getMinValue(node.right);
            node.right = remove(node.right, node.data);
        } else if (node.right != null) {
            node = node.right;
            numElements--;
        } else {
            node = node.left;
            numElements--;
        }
    }
    return node;
}
```

# Evaluate Set as BST

- Space used
  - Overhead of two references per entry
  - BST adds nodes as needed; no excess capacity
- Runtime
  - `add`, `contains` take time proportional to tree height
  - height expected to be  $O(\log N)$

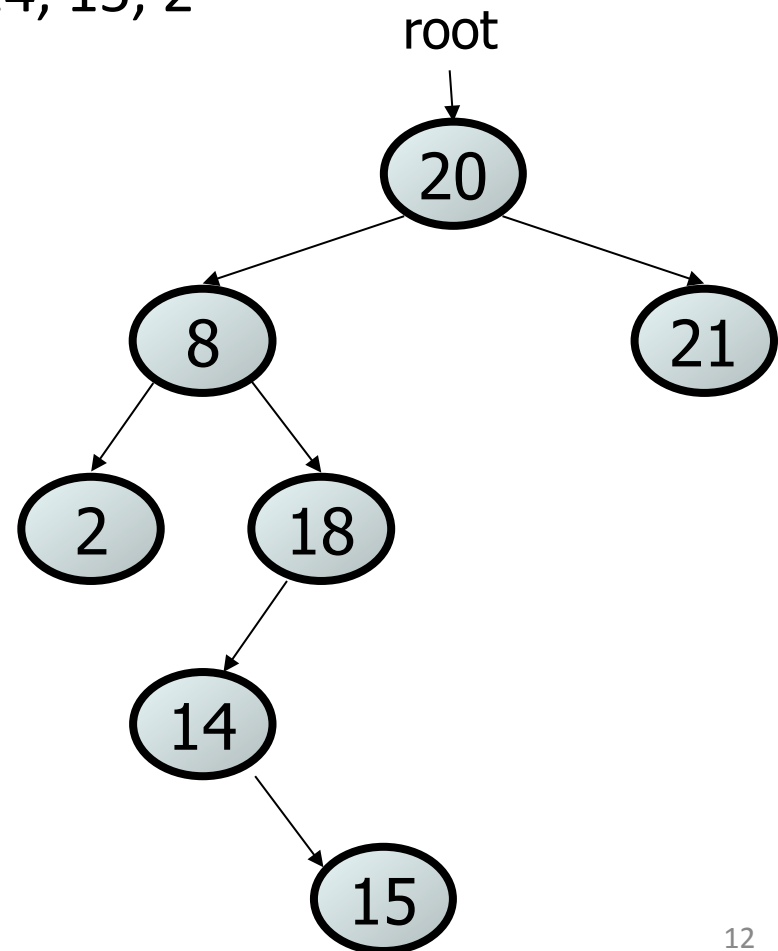
# A Balanced Tree

- Values: 2 8 14 15 18 20 21
  - Order added: 15, 8, 2, 20, 21, 14, 18
- Different tree structures possible
  - Depends on order inserted
- 7 nodes, expected height  $\log 7 \approx 3$
- Perfectly balanced



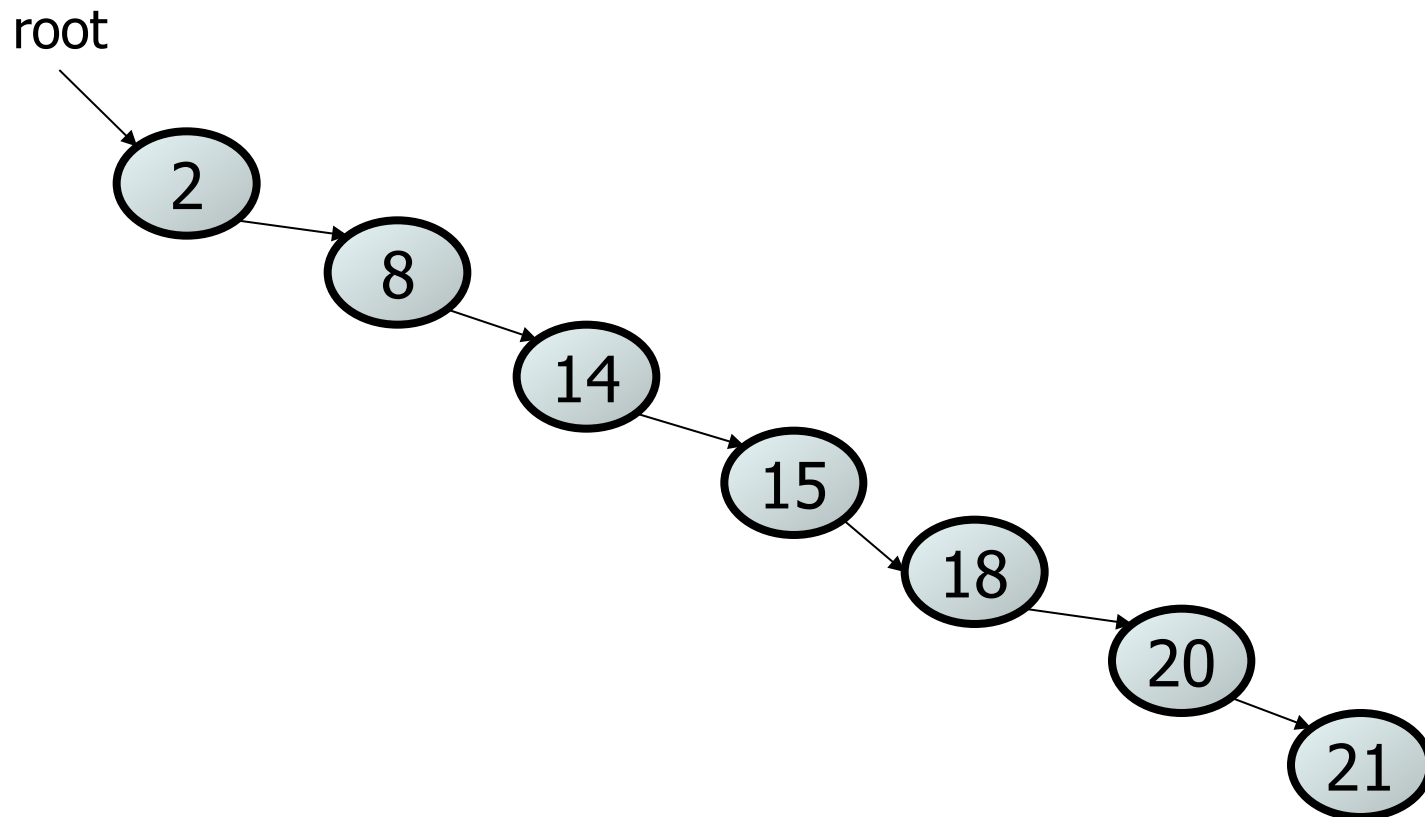
# Mostly Balanced Tree

- Same Values: 2 8 14 15 18 20 21
  - Order added: 20, 8, 21, 18, 14, 15, 2
- Mostly balanced, height 4/5



# Degenerate Tree

- Same Values: 2 8 14 15 18 20 21
  - Order added: 2, 8, 14, 15, 18, 20, 21
- Totally unbalanced, height 7



# Binary Trees: Some Numbers

Recall: height of a tree = length of longest path from the root to a leaf.

For binary tree of height  $h$ :

– max # of leaves:  $2^h$

– max # of nodes:  $2^{(h+1)} - 1$

– min # of leaves:  $1$

– min # of nodes:  $h + 1$

*We're not going to do better than  $\log(n)$  height, and we need something to keep us away from  $n$ .*

# Implementing Set ADT (Revisited)

	Insert	Remove	Search
Unsorted array	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$
Sorted array	$\Theta(\log(n)+n)$	$\Theta(\log(n) + n)$	$\Theta(\log(n))$
Linked list	$\Theta(1)$	$\Theta(n)$	$\Theta(n)$
BST (if balanced)	$\Theta(\log n)$	$\Theta(\log n)$	$\Theta(\log n)$

# AVL Tree Motivation

Observation: the shallower the BST the better

- For a BST with  $n$  nodes
  - Average case height is  $\Theta(\log n)$
  - Worst case height is  $\Theta(n)$
- Simple cases such as  $\text{insert}(1, 2, 3, \dots, n)$  lead to the worst case scenario: height  $\Theta(n)$

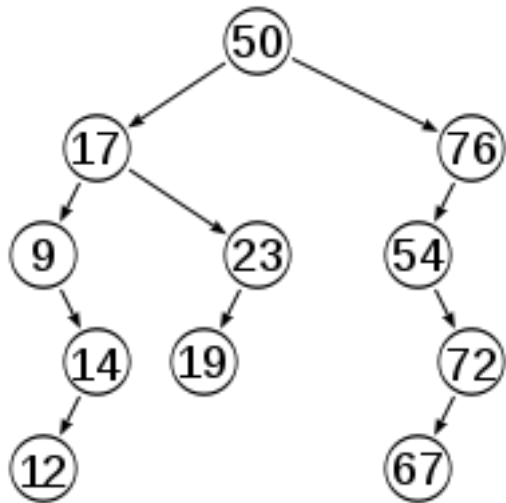
Strategy: Don't let the tree get lopsided

- Constantly monitor balance for each subtree
- Rebalance subtree before going too far astray

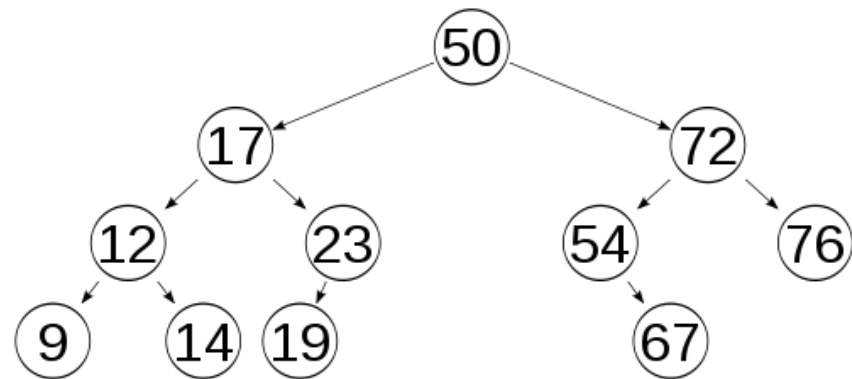


# Balanced Tree

- **Balanced Tree:** a tree in which heights of subtrees are approximately equal



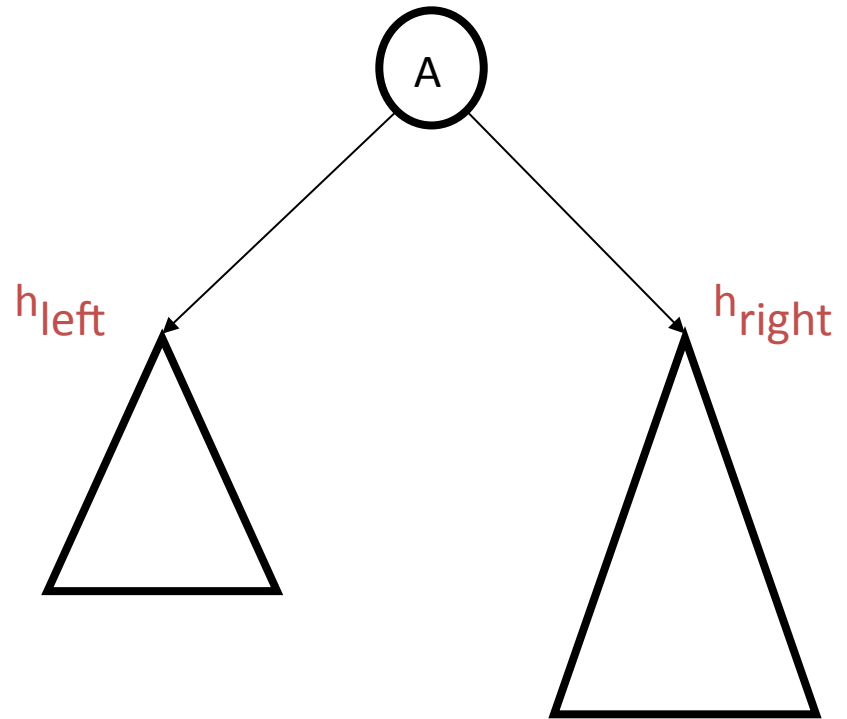
unbalanced tree



balanced tree

# Tree height calculation

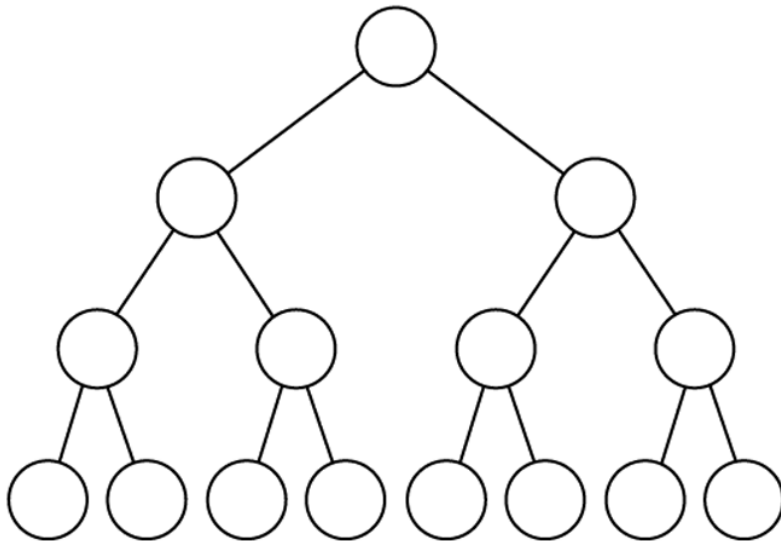
- Height is max number of edges from root to leaf
  - $\text{height}(\text{null}) = -1$
  - $\text{height}(1) = 0$
  - $\text{height}(A)$ ?
    - Hint: it's recursive!



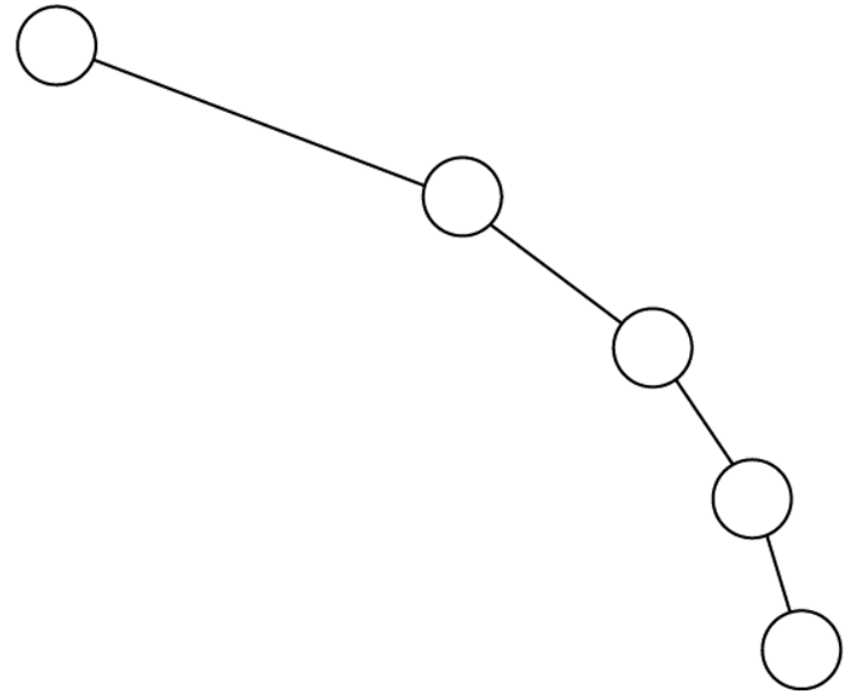
# Tree balance and height

(a) The balanced tree has a height of: \_\_\_\_\_

(b) The unbalanced tree has a height of: \_\_\_\_\_



(a)



(b)