

# CSE 421 Introduction to Algorithms

Richard Anderson  
Lecture 10, Winter 2024  
Divide and Conquer

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## Announcements

- Divide and Conquer and Recurrences
  - Recurrence Techniques
  - Fast Matrix Multiplication
  - Counting Inversions (5.3)
  - Closest Pair (5.4)
  - Multiplication (5.5)
  - Quicksort and Median Finding
- Dynamic Programming
- Midterm, Friday, February 9

$$k^{\log_k n} = n$$

$$\log_k n = \frac{\log_2 n}{\log_2 k}$$

$$k^{\log_2 n} = n^{\log_2 k}$$

$$\sum_{i=0}^n x^i = \frac{1 - x^{n+1}}{1 - x}$$

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## Recurrence Analysis

- Solution methods
  - Unrolling recurrence
  - Guess and verify

$$T(n) \leq T(3n/4) + T(n/5) + 20n$$

- Plugging in to a “Master Theorem”

- $T(n) = aT(n/b) + O(n^d)$ 
  - $T(n) = O(n^d)$  if  $d > \log_b a$
  - $T(n) = O(n^d \log n)$  if  $d = \log_b a$
  - $T(n) = O(n^{\log_b a})$  if  $d < \log_b a$

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## Recursive Matrix Multiplication

Multiply 2 x 2 Matrices:

$$\begin{pmatrix} r & s \\ t & u \end{pmatrix} = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} e & g \\ f & h \end{pmatrix}$$

$$\begin{aligned} r &= ae + bf \\ s &= ag + bh \\ t &= ce + df \\ u &= cg + dh \end{aligned}$$

A  $N \times N$  matrix can be viewed as a  $2 \times 2$  matrix with entries that are  $(N/2) \times (N/2)$  matrices.

The recursive matrix multiplication algorithm recursively multiplies the  $(N/2) \times (N/2)$  matrices and combines them using the equations for multiplying  $2 \times 2$  matrices

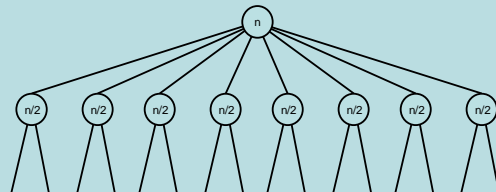
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## Recursive Matrix Multiplication

- How many recursive calls are made at each level?
  - 8, for the multiplication of  $n/2 \times n/2$  submatrices
- How much work in combining the results?
  - $O(n^2)$ , for matrix addition and copying matrices
- What is the recurrence?
  - $T(n) = 8T(n/2) + n^2$ ;  $T(2) = 1$ ;

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$$T(n) = 8T(n/2) + n^2$$



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Total Work

$$\sum_{k=0}^{\log n} 2^k n = (2n - 1)n$$

$$T(n) = 4T(n/2) + n$$

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$$T(n) = 2T(n/2) + n^2$$

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$$T(n) = 2T(n/2) + n^{1/2}$$

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### Recurrences

- Three basic behaviors
  - Dominated by initial case
  - Dominated by base case
  - All cases equal – we care about the depth

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### What you really need to know about recurrences

- Work per level changes geometrically with the level
- Geometrically increasing ( $x > 1$ )
  - The bottom level wins
- Geometrically decreasing ( $x < 1$ )
  - The top level wins
- Balanced ( $x = 1$ )
  - Equal contribution

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### Classify the following recurrences (Increasing, Decreasing, Balanced)

- $T(n) = n + 5T(n/8)$
- $T(n) = n + 9T(n/8)$
- $T(n) = n^2 + 4T(n/2)$
- $T(n) = n^3 + 7T(n/2)$
- $T(n) = n^{1/2} + 3T(n/4)$

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## Strassen's Algorithm

Multiply 2 x 2 Matrices:

$$\begin{vmatrix} r & s \\ t & u \end{vmatrix} = \begin{vmatrix} a & b \\ c & d \end{vmatrix} \begin{vmatrix} e & g \\ f & h \end{vmatrix}$$

$$r = p_1 + p_2 - p_4 + p_6$$

$$s = p_4 + p_5$$

$$t = p_6 + p_7$$

$$u = p_2 - p_3 + p_5 - p_7$$

Where:

$$p_1 = (b - d)(f + h)$$

$$p_2 = (a + d)(e + h)$$

$$p_3 = (a - c)(e + g)$$

$$p_4 = (a + b)h$$

$$p_5 = a(g - h)$$

$$p_6 = d(f - e)$$

$$p_7 = (c + d)e$$

From AHU 1974

## Recurrence for Strassen's Algorithm

- $T(n) = 7 T(n/2) + cn^2$
- What is the runtime?

$$\log_2 7 = 2.8073549221$$

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## Strassen's Algorithm

- Treat  $n \times n$  matrices as  $2 \times 2$  matrices of  $n/2 \times n/2$  submatrices
- Use Strassen's trick to multiply  $2 \times 2$  matrices with 7 multiplies
- Base case standard multiplication for single entries
- Recurrence:  $T(n) = 7 T(n/2) + cn^2$
- Solution is  $O(7^{\log_2 n}) = O(n^{\log_2 7})$  which is about  $O(n^{2.807})$
- Practical for  $n \sim 64$
- Standard trick – switch to normal algorithm for small values of  $n$

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## Divide and Conquer Algorithms

- Split into sub problems
- Recursively solve the problem
- Combine solutions
- Make progress in the split and combine stages
  - Quicksort – progress made at the split step
  - Mergesort – progress made at the combine step
- D&C Algorithms
  - Strassen's Algorithm – Matrix Multiplication
  - Inversions
  - Median
  - Closest Pair
  - Integer Multiplication
  - FFT

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## Inversion Problem

- Let  $a_1, \dots, a_n$  be a permutation of  $1 \dots n$
- $(a_i, a_j)$  is an inversion if  $i < j$  and  $a_i > a_j$

4, 6, 1, 7, 3, 2, 5

- Problem: given a permutation, count the number of inversions
- This can be done easily in  $O(n^2)$  time
  - Can we do better?

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## Application

- Counting inversions can be used to measure how close ranked preferences are
  - People rank 20 movies, based on their rankings you cluster people who like that same type of movie

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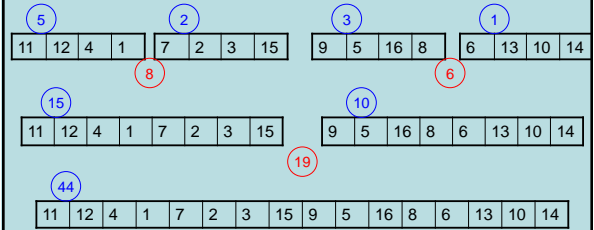
## Counting Inversions

11 12 4 1 7 2 3 15 9 5 16 8 6 13 10 14

- Count inversions on lower half
- Count inversions on upper half
- Count the inversions between the halves

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## Count the Inversions



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Problem – how do we count inversions between sub problems in  $O(n)$  time?

- Solution – Count inversions while merging

1 2 3 4 7 11 12 15 5 6 8 9 10 13 14 16

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

Standard merge algorithm – add to inversion count when an element is moved from the upper array to the solution

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Use the merge algorithm to count inversions

1 4 11 12 2 3 7 15

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

5 8 9 16 6 10 13 14

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16

Indicate the number of inversions for each element detected when merging

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## Inversions

- Counting inversions between two sorted lists
  - $O(1)$  per element to count inversions

x x x x x x x x y y y y y y y y

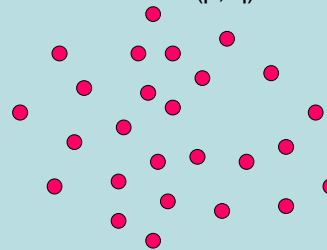
z z z z z z z z z z z z z z z z z z

- Algorithm summary
  - Satisfies the “Standard recurrence”
  - $T(n) = 2 T(n/2) + cn$

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## Closest Pair Problem (2D)

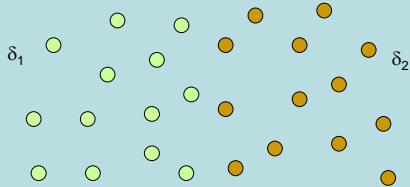
- Given a set of points find the pair of points  $p, q$  that minimizes  $\text{dist}(p, q)$



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## Divide and conquer

- If we solve the problem on two subsets, does it help? (Separate by median x coordinate)



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## Packing Lemma

Suppose that the minimum distance between points is at least  $\delta$ , what is the maximum number of points that can be packed in a ball of radius  $\delta$ ?

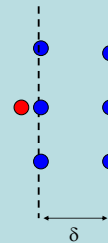
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## Combining Solutions

- Suppose the minimum separation from the sub problems is  $\delta$
- In looking for cross set closest pairs, we only need to consider points with  $\delta$  of the boundary
- How many cross border interactions do we need to test?

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A packing lemma bounds the number of distances to check



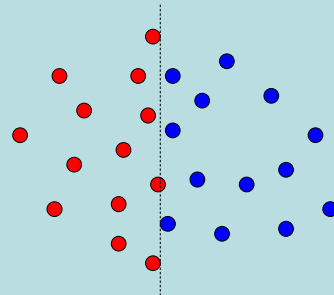
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## Details

- Preprocessing: sort points by y
- Merge step
  - Select points in boundary zone
  - For each point in the boundary
    - Find highest point on the other side that is at most  $\delta$  above
    - Find lowest point on the other side that is at most  $\delta$  below
    - Compare with the points in this interval (there are at most 6)

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Identify the pairs of points that are compared in the merge step following the recursive calls



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## Algorithm run time

- After preprocessing:  
–  $T(n) = cn + 2 T(n/2)$

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## Integer Arithmetic

```
9715480283945084383094856701043643845790217965702956767  
+ 1242431098234099057329075097179898430928779579277597977
```

Runtime for standard algorithm to add two n digit numbers:

```
2095067093034680994318596846868779409766717133476767930  
X 5920175091777634709677679342929097012308956679993010921
```

Runtime for standard algorithm to multiply two n digit numbers:

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## Recursive Multiplication Algorithm (First attempt)

$$x = x_1 2^{n/2} + x_0$$

$$y = y_1 2^{n/2} + y_0$$

$$\begin{aligned} xy &= (x_1 2^{n/2} + x_0)(y_1 2^{n/2} + y_0) \\ &= x_1 y_1 2^n + (x_1 y_0 + x_0 y_1) 2^{n/2} + x_0 y_0 \end{aligned}$$

Recurrence:

Run time:

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## Simple algebra

$$x = x_1 2^{n/2} + x_0$$

$$y = y_1 2^{n/2} + y_0$$

$$xy = x_1 y_1 2^n + (x_1 y_0 + x_0 y_1) 2^{n/2} + x_0 y_0$$

$$p = (x_1 + x_0)(y_1 + y_0) = x_1 y_1 + x_1 y_0 + x_0 y_1 + x_0 y_0$$

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## Karatsuba's Algorithm

Multiply n-digit integers x and y

Let  $x = x_1 2^{n/2} + x_0$  and  $y = y_1 2^{n/2} + y_0$

Recursively compute

$$a = x_1 y_1$$

$$b = x_0 y_0$$

$$p = (x_1 + x_0)(y_1 + y_0)$$

Return  $a 2^n + (p - a - b) 2^{n/2} + b$

Recurrence:  $T(n) = 3T(n/2) + cn$

$\log_2 3 = 1.58496250073\dots$

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