

Optimistic concurrency control

CSE 444, Winter 2011 — section 6 worksheet

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Our notation for actions in a schedule:

- st_k : transaction T_k begins
- $r_k(X)$: T_k reads database element X
- $w_k(X)$: T_k writes database element X
- com_k : T_k commits

Other notation will be introduced as needed.

1 Timestamps

Each of the following schedules is presented to a timestamp-based scheduler. Assume that the read and write timestamps of each element start at 0 ($RT(X) = WT(X) = 0$), and the commit bits for each element are set ($C(X) = 1$). Please tell what happens as each schedule executes.

1. $st_1, st_2, st_3, r_1(A), r_2(B), w_1(C), r_3(B), r_3(C), w_2(B), w_3(A)$

2. $st_1, st_3, st_2, r_1(A), r_2(B), w_1(C), r_3(B), r_3(C), w_2(B), w_3(A)$

3. $st_1, st_2, st_3, r_1(A), r_2(B), r_2(C), r_3(B), com_2, w_3(B), w_3(C)$

4. $st_1, st_2, r_1(A), r_2(B), w_2(A), com_2, w_1(B)$

5. $st_1, st_3, st_2, r_1(A), r_2(B), r_3(B), w_3(A), w_2(B), com_3, w_1(A)$

6. $st_1, r_1(A), w_1(A), st_2, r_2(C), w_2(B), r_2(A), w_1(B)$

2 Multi-version timestamps

Tell what happens during the following schedules if we use a *multi-version* timestamp scheduler. What happens if the scheduler does not maintain multiple versions?

1. $st_1, st_2, st_3, st_4, w_1(A), com_1, w_2(A), w_3(A), com_3, r_2(A), com_2, r_4(A), com_4$

2. $st_1, st_2, st_3, st_4, w_1(A), com_1, w_3(A), com_3, r_4(A), com_4, r_2(A), com_2$

3. $st_1, st_2, st_3, st_4, w_1(A), com_1, w_4(A), com_4, r_3(A), com_3, w_2(A), com_2$

3 Validation

For the following schedules:

- $R_k(X)$ means “transaction T_k starts, and its read set is the list of database elements X ,”
- V_k means “ T_k tries to validate,” and
- $W_k(x)$ means “ T_k finished, and its write set was X .”

Note: Remember that each transaction must inform the scheduler of *both* its read and write sets when it begins, or when it validates (at the latest). While the notation we use implies otherwise, and hence is slightly confusing, we use it to be consistent with your textbook’s notation.

Tell what happens when each schedule is processed by a validation-based scheduler.

1. $R_1(A, B), R_2(B, C), R_3(C), V_1, V_2, V_3, W_1(A), W_2(B), W_3(C)$

2. $R_1(A, B), R_2(B, C), R_3(C), V_1, V_2, V_3, W_1(C), W_2(B), W_3(A)$

3. $R_1(A, B), R_2(B, C), R_3(C), V_1, V_2, V_3, W_1(A), W_2(C), W_3(B)$

4. $R_1(A, B), R_2(B, C), V_1, R_3(C, D), V_3, W_1(C), V_2, W_2(A), W_3(D)$