CSE451 Operating Systems Components and Basic Organization Spring 2001

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Lecture #3

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Today

- We already talked about the purpose of an OS
- The hardware support for an OS
- Now we're going to take the 60,000 foot view of an OS

- But first a clarification regarding Physical and Virtual Memory

OS Structure

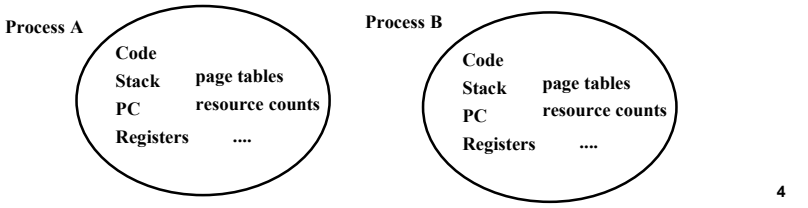
- To understand an OS, let's first look at its components and then how they're composed or organized.
- We'll come back and look at each of these in detail as the course progresses.
- Realize that it's never as simple as it looks. These basic concepts exist in some form in all systems, however each system implements them in a slightly different way.
- Also, the divisions between components may not be as clean in the real world as in the model

Process Management

- An operating system executes many kinds of activities:
  - user programs
  - batch jobs or command scripts
  - system programs: print spoolers, name servers, file servers, network listeners, etc...
- Each of these "execution entities" is encapsulated in a process.
- The process includes the execution context (pc, registers, vm, resources, etc) and all info the activity (program) needs to run.
- The OS schedules processes to run.

Processes

A program is a passive thing -- just a file on the disk with code that is *potentially* runnable.
A process is one instance of a program *in execution*; at any instance, there may be many processes running copies of a single program (e.g., an editor): each is a *separate, independent* process.



Process Operations

- Processes are fundamental OS-provided objects.
- The OS supports operations on processes, e.g.:
  - create a process
  - delete a process
  - suspend a process
  - resume a process
  - inter-process communication
  - inter-process synchronization
  - create/delete a subprocess

Memory Management

- Primary memory is the direct access storage for CPU.
- Programs must be stored in memory to execute.
- OS must:
  - allocate memory space for programs (both explicitly and implicitly)
  - deallocate memory space when needed
  - maintain the mappings from virtual to physical memory (page tables)
  - decide how much memory to allocate to each process, and when a process should be removed from memory (policies)

I/O Management

- Much of the OS kernel is concerned with I/O.
- The OS provides a standard interface between programs (user or sytem) and devices.
- Device drivers are the processes responsible for each device type. A driver encapsulates device-specific knowledge, e.g., for device initiation and control, interrupt handling, and errors.
- There may be a process for each device, or even for each I/O request, depending on the particular OS.

Secondary Storage Management

- Secondary storage (disk) is the *persistent* memory, i.e., it endures system failures (we hope).
- Low-level OS routines are typically responsible for low-level disk function, such as scheduling of disk operations, head movement, error handling, etc.
- These routines may also be responsible for managing space on the disk....
- BUT, the line between this and the file system is very fuzzy...space management functions may belong in the file system.

File Management

- Secondary storage devices are too crude to use directly for long-term storage.
- The file system provides logical objects and logical operations on those objects.
- A file is the basic long-term storage entity: a file is a *named* collection of *persistent* information that can be read or written.
- The file system supports directories -- special files that contain names of other files and associated file information.

File Management

- File system provides standard file operations, e.g.:
  - file creation and deletion
  - directory creation and deletion
  - manipulation of files and directories: read, write, extend, rename, protect....
  - file copy
- The file system also provides general services, e.g.:
  - backup
  - maintaining mapping information
  - accounting and quotas

Protection System

- protection is a general mechanism throughout the OS
- all resources objects need protection
  - memory
  - processes
  - files
  - devices
- protection mechanisms help to detect errors as well as to prevent malicious destruction

Command Interpreter

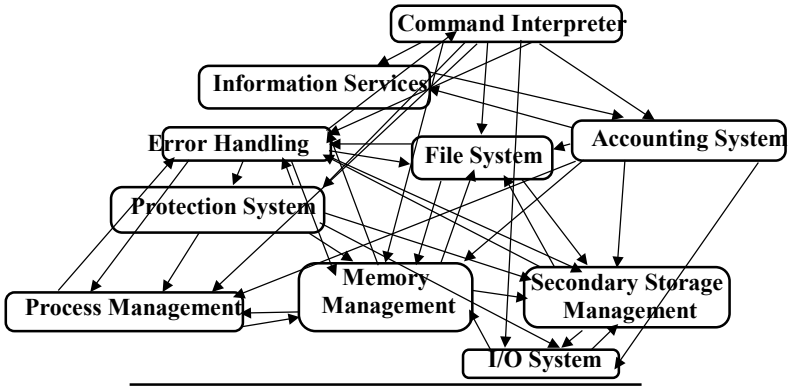
- process that handles interpretation of user input commands from keyboard (or script files)
- on some systems, command interpreter is a standard part of the OS
- on others, it's simply a non-privileged process that interfaces to the user, permitting replacement of interpreter with others
- on others, there's not really a command language (e.g., the MacIntosh has no commands in the conventional sense)

Accounting System

- General facility for keeping track of resource usage for all system objects
- May be used to enforce quotas, or to produce bill$.

OS Structure

The OS (a *simplified* view)

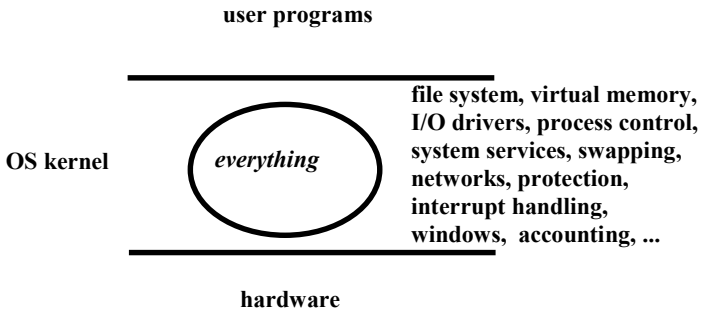


OS Structure

- An OS consists of all of these components, plus lots of others, plus system service routines, plus system programs (privileged and non-privileged), plus ....
- The big issue:
  - how do we organize all of this?
  - what are the entities and where do they exist?
  - how does these entities cooperate?
- Basically, how do we build a complex system that's:
  - performant
  - reliable
  - extensible

Structure

Traditionally, systems such as Unix were built as a *monolithic* kernel:



Structure

- Problems with monolithic kernels:
  - hard to understand
  - hard to modify
  - unreliable: a bug *anywhere* causes a system crash
  - hard to maintain
- Since the beginnings of OS design, people have sought ways to organize the OS to simplify its design and construction.

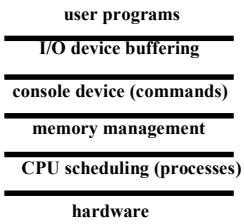
Structuring

Traditional approach is layering: implement system as a set of layers, where each layer is a *virtual machine* to the layer above.

That is, each layer provides a "machine" that has higher level features.

Layering in THE

The first description of this approach was Dijkstra's THE system.



THE System

- System was composed as a set of sequential processes.
- Each peforms a sequential computation.
- Processes communicate through explicit synchronization statements.
- Each process could be tested and verified independently.
- Each level sees a logical machine provided by lower levels.
  - level 2 sees virtual processors
  - level 3 sees VM (really segments)
  - level 4 sees a “virtual console”
  - level 5 sees “virtual” I/O drivers

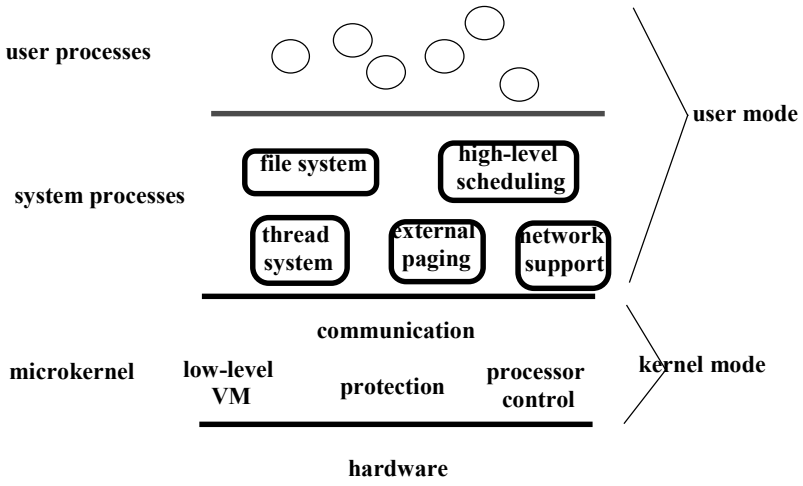
Problems with Layering

- Systems must be hierarchical, but real systems are more complex than that, e.g.,
  - file system would like to be a process layered on VM
  - VM would like to use files for its backing store I/O
- Approach is not flexible.
- Often has poor performance due to layer crossings.
- Systems are often modelled as layered structures but not built that way (for better or worse).

Microkernel Approach

- The organizing structure currently in vogue is the *microkernel* OS.
- Goal is minimize what goes in the kernel, and implement much of the OS as user-level processes. This results in:
  - better reliability
  - ease of extension and customization
  - mediocre performance (unfortunately)
- First microkernel system was Hydra (CMU, 1970)
- Examples of microkernel systems are the CMU Mach system, Chorus (French Unix-like system), and in some ways Microsoft NT/Windows.

Microkernel System Structure



Next Time

- Processes, one of the most fundamental pieces in an OS
- What is a process, what does it do, and how does it do it