

# Image Segmentation

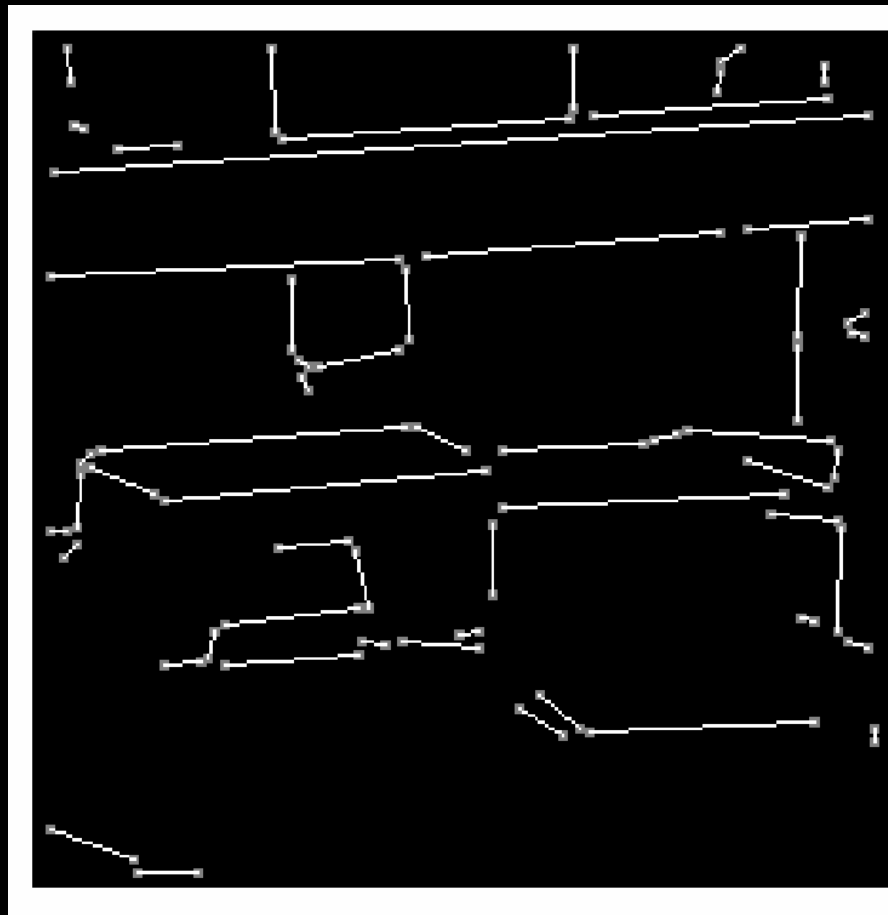
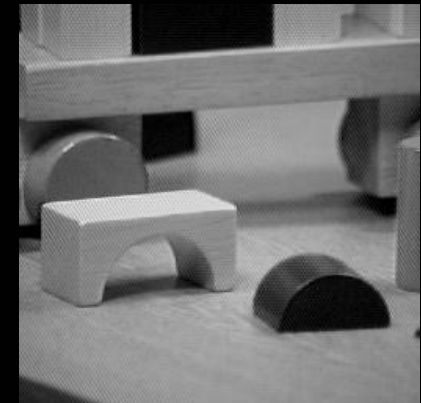
Image segmentation is the operation of partitioning an image into a collection of connected sets of pixels.

1. into **regions**, which usually cover the image
2. into **linear structures**, such as
  - line segments
  - curve segments
3. into **2D shapes**, such as
  - circles
  - ellipses
  - ribbons (long, symmetric regions)

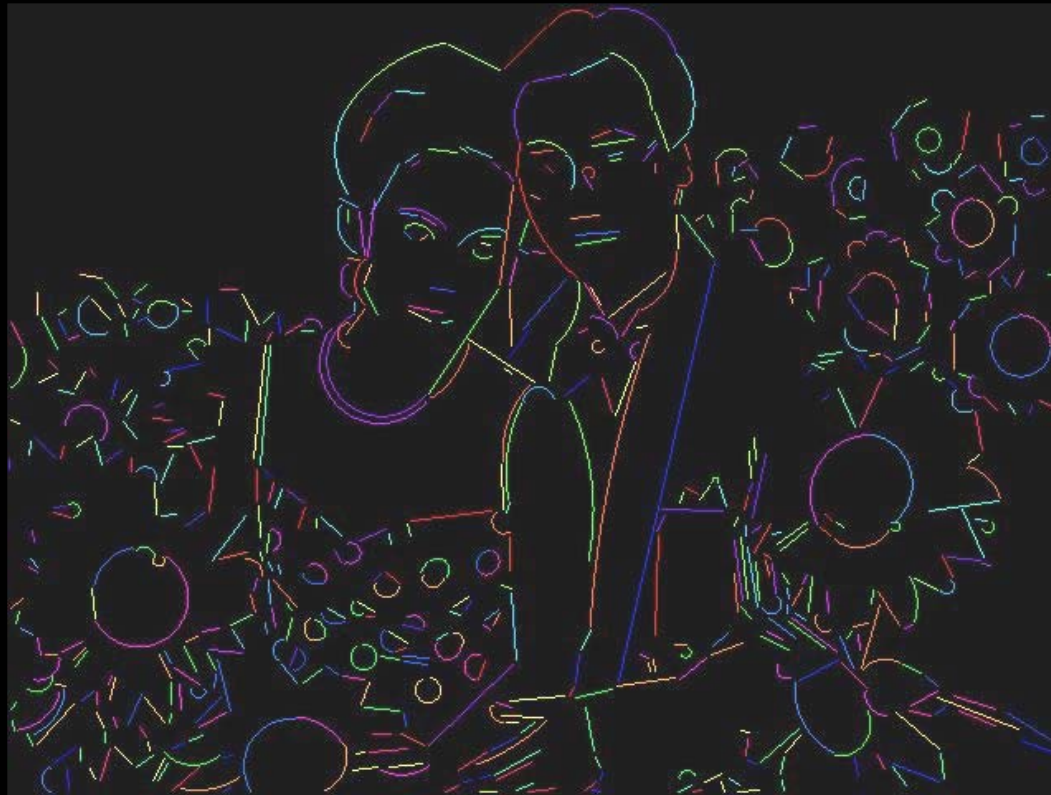
# Example 1: Regions



# Example 2: Straight Lines



# Example 3: Lines and Circular Arcs



# Region Segmentation: Segmentation Criteria

From Pavlidis

A segmentation is a partition of an image  $I$  into a set of regions  $S$  satisfying:

1.  $\cup S_i = S$  Partition covers the whole image.
2.  $S_i \cap S_j = \phi, i \neq j$  No regions intersect.
3.  $\forall S_i, P(S_i) = \text{true}$  Homogeneity predicate is satisfied by each region.
4.  $P(S_i \cup S_j) = \text{false}, i \neq j, S_i \text{ adjacent } S_j$  Union of adjacent regions does not satisfy it.

# So

So all we have to do is define and implement the similarity predicate.

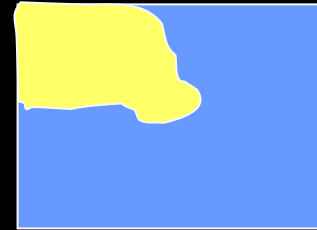
But, what do we want to be similar in each region?

Is there any property that will cause the regions to be meaningful objects?

# Main Methods of Region Segmentation

1. Region Growing
2. Split and Merge
3. Clustering

# Region Growing



Region growing techniques start with one pixel of a potential region and try to grow it by adding adjacent pixels till the pixels being compared are too dissimilar.

- The first pixel selected can be just the first unlabeled pixel in the image or a set of seed pixels can be chosen from the image.
- Usually a statistical test is used to decide which pixels can be added to a region.



# The RGGROW Algorithm

- Let  $R$  be the  $N$  pixel region so far and  $P$  be a neighboring pixel with gray tone  $y$ .
- Define the mean  $\bar{X}$  and scatter  $S^2$  (sample variance) by

$$\bar{X} = 1/N \sum_{(r,c) \in R} I(r,c)$$

$$S^2 = 1/N \sum_{(r,c) \in R} (I(r,c) - \bar{X})^2$$

# The RGGROW Statistical Test

The T statistic is defined by

$$T = \left[ \frac{(N-1) * N}{(N+1)} (y - \bar{X})^2 / S^2 \right]^{1/2}$$

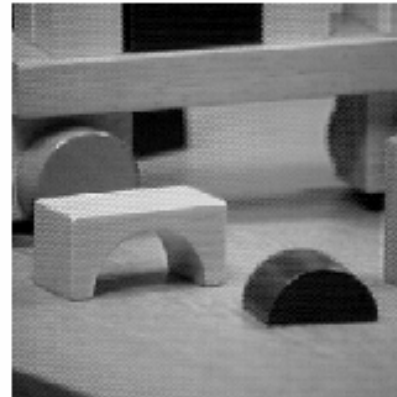
It has a  $T_{N-1}$  distribution if all the pixels in R and the test pixel y are independent and identically distributed normals (IID assumption) .

# Decision and Update

- For the T distribution, statistical tables give us the probability  $\Pr(T \leq t)$  for a given degrees of freedom and a confidence level. From this, pick suitable **threshold  $t$** .
- If the computed  $T \leq t$  for desired confidence level, **add  $y$  to region  $R$  and update  $\bar{X}$  and  $S^2$** .
- If  $T$  is too high, the value  $y$  is not likely to have arisen from the population of pixels in  $R$ . **Start a new region.**

# RGROW Example

image



Not so great and  
it's order dependent.

segmentation



# Split and Merge

1. Start with the whole image
2. If the variance is too high, break into quadrants
3. Merge any adjacent regions that are similar enough.
4. Repeat Steps 2 and 3, iteratively till no more splitting or merging occur

Idea: Good

Results: Blocky

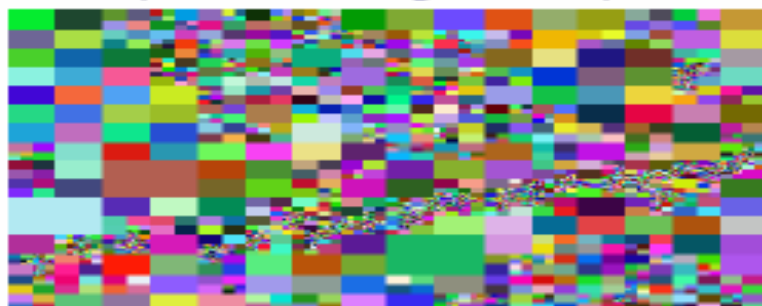
## Split and merge example



VVVB00420AC720AC70ED01  
Image Processing

82

## Split and merge example

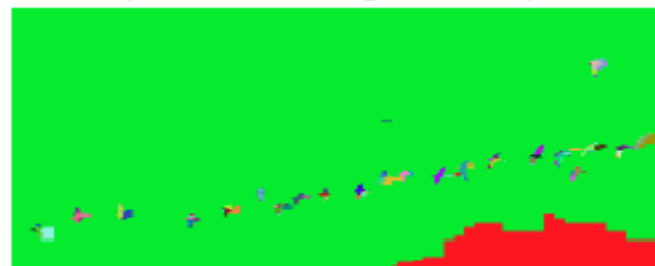


4087 regions

VVVB00420AC720AC70ED01  
Image Processing

84

## Split and merge example



135 regions

VVVB0129CT20CT0ED01  
Image Processing

85

# Clustering

- There are  $K$  clusters  $C_1, \dots, C_K$  with means  $m_1, \dots, m_K$ .
- The **least-squares error** is defined as

$$D = \sum_{k=1}^K \sum_{x_i \in C_k} \|x_i - m_k\|^2.$$

- Out of all possible partitions into  $K$  clusters, choose the one that minimizes  $D$ .

Why don't we just do this?

If we could, would we get meaningful objects?

# Some Clustering Methods

- K-means Clustering and Variants
- Histogram-Based Clustering and Recursive Variant
- Graph-Theoretic Clustering
- EM Clustering



# K-Means Clustering (review)

Form K-means clusters from a set of n-dimensional vectors


1. Set  $i_c$  (iteration count) to 1
2. Choose randomly a set of K means  $m_1(1), \dots, m_K(1)$ .
3. For each vector  $x_i$ , compute  $D(x_i, m_k(i_c))$ ,  $k=1, \dots, K$  and assign  $x_i$  to the cluster  $C_j$  with nearest mean.
4. Increment  $i_c$  by 1, update the means to get  $m_1(i_c), \dots, m_K(i_c)$ .
5. Repeat steps 3 and 4 until  $C_k(i_c) = C_k(i_c+1)$  for all  $k$ .

# K-Means Example 1

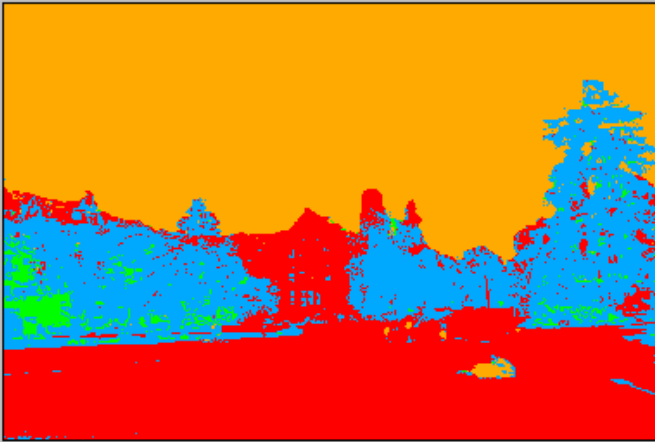
1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method

640\*480 (607,118): RGB(20,22,1)



Process done!



(228,26): RGB(255,170,0)

The image shows a software interface for K-Means clustering. It features a top navigation bar with three steps: '1. Select an image:', '2. Select a processor:', and '3. Click'. Below this, there are two main image displays. The left display shows the original input image, a photograph of a large building with a lawn in front. Below it, the dimensions '640\*480' and a coordinate '(607,118): RGB(20,22,1)' are shown. The right display shows the result of the K-Means clustering, where the image is segmented into different colors based on clusters. Below it, the dimensions '(228,26): RGB(255,170,0)' are shown. In the center, between the two images, there is a section for 'Options:' with an 'Init Method' set to '0'. A 'Process done!' message is displayed below the original image, and a 'process>>' button is located at the top right.

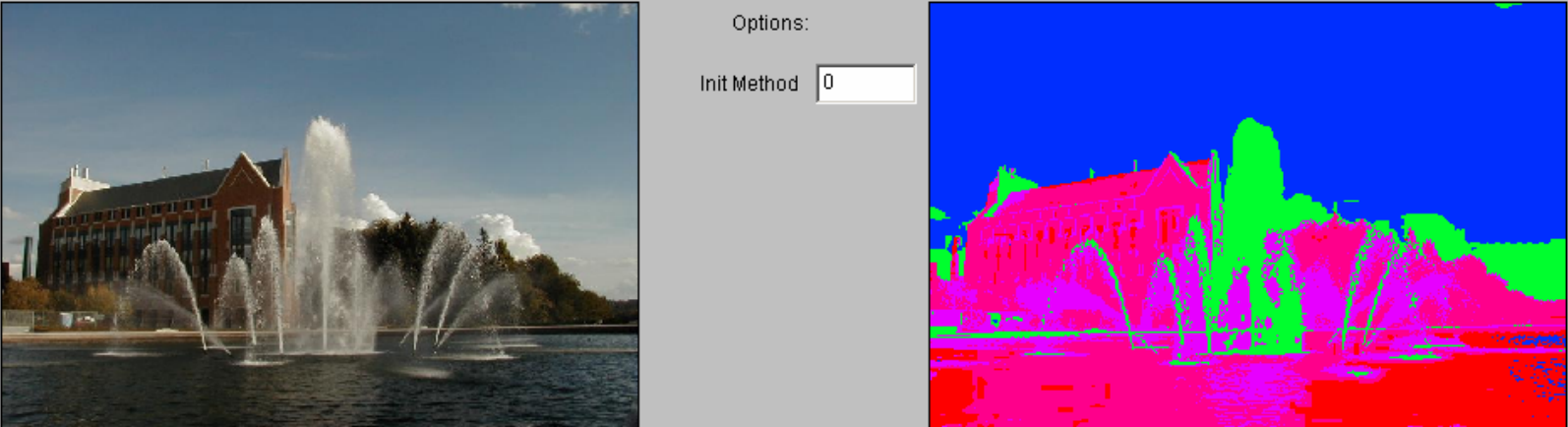
# K-Means Example 2

1. Select an image:  2. Select a processor:  3. Click

Options:  
Init Method

640\*480 (636,95): RGB(102,130,151)

Process done ! (590,209): RGB(0,46,255)



# K-means Variants

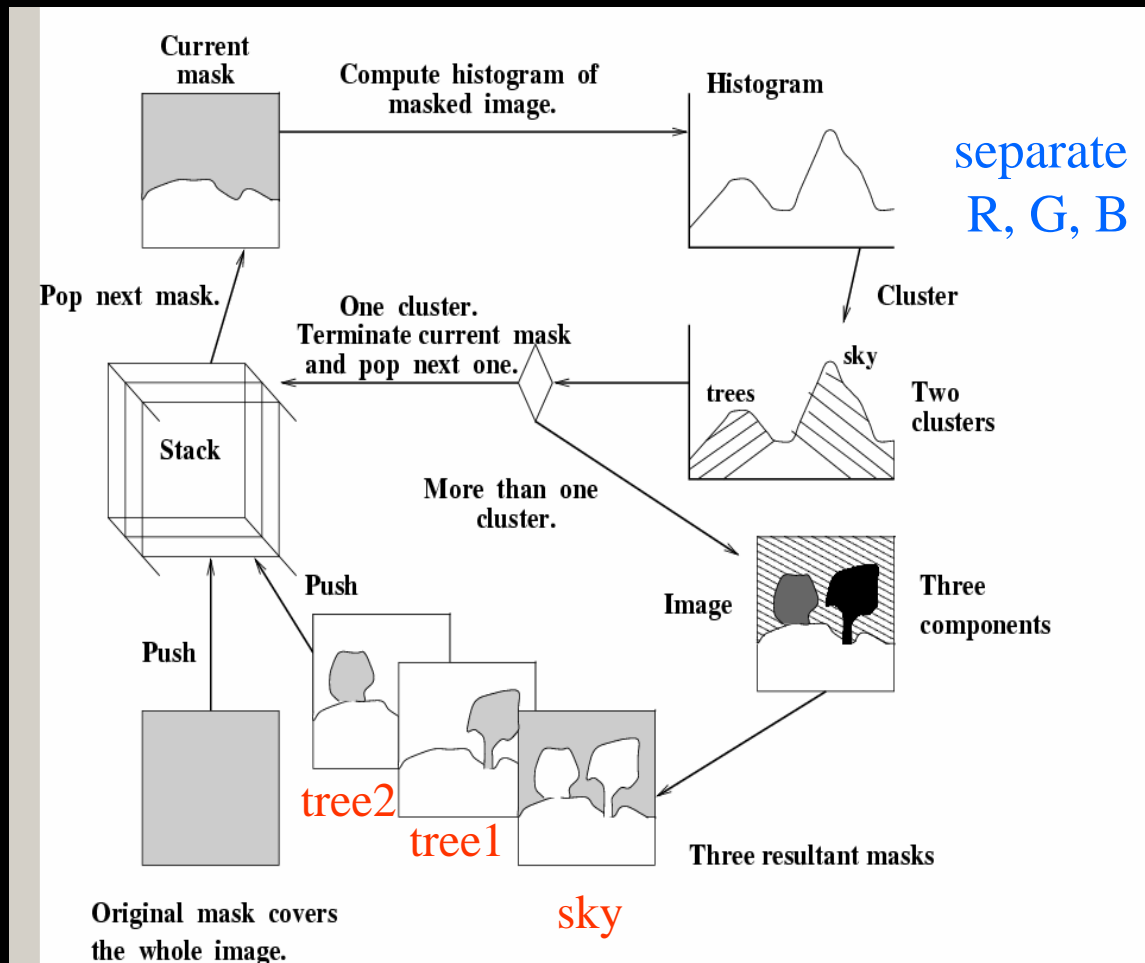
- Different ways to initialize the means
- Different stopping criteria
- Dynamic methods for determining the right number of clusters ( $K$ ) for a given image

# Ohlander's Recursive Histogram-Based Clustering

- color images of real indoor and outdoor scenes
- starts with the whole image
- selects the R, G, or B histogram with largest peak and finds clusters from that histogram
- converts to regions on the image and creates masks for each
- pushes each mask onto a stack for further clustering

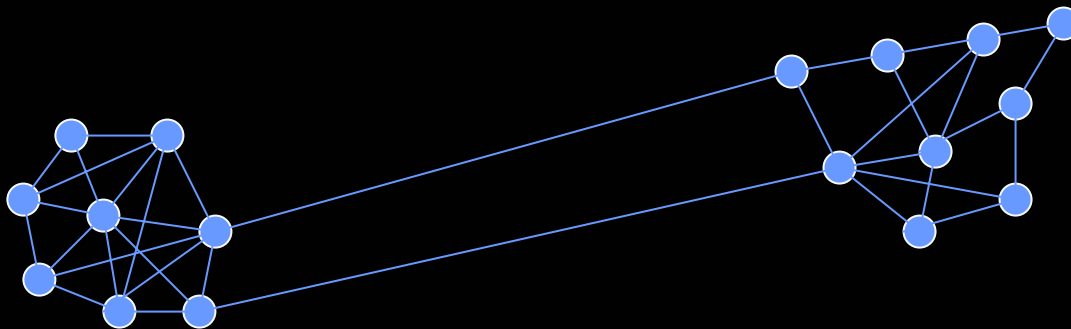
# Ohlander's Method

Ohta suggested using  $(R+G+B)/3$ ,  $(R-B)/2$  and  $(2G-R-B)/4$  instead of  $(R, G, B)$ .



# Jianbo Shi's Graph-Partitioning

- An image is represented by a graph whose nodes are pixels or small groups of pixels.
- The goal is to partition the vertices into disjoint sets so that the similarity within each set is high and across different sets is low.



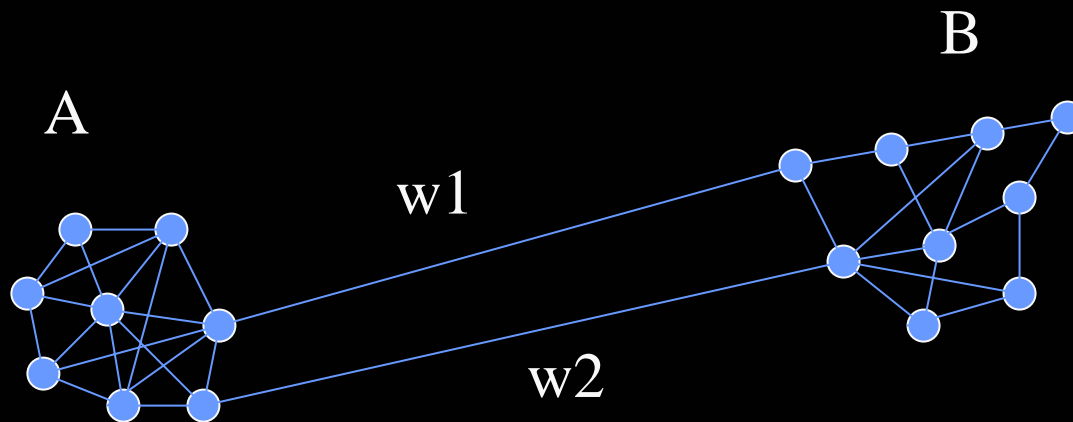
# Minimal Cuts

- Let  $G = (V, E)$  be a graph. Each edge  $(u, v)$  has a weight  $w(u, v)$  that represents the similarity between  $u$  and  $v$ .
- Graph  $G$  can be broken into 2 disjoint graphs with node sets  $A$  and  $B$  by removing edges that connect these sets.
- Let  $\text{cut}(A, B) = \sum_{u \in A, v \in B} w(u, v)$ .
- One way to segment  $G$  is to find the minimal cut.



# Cut(A,B)

$$\text{cut}(A,B) = \sum_{u \in A, v \in B} w(u,v).$$



# Normalized Cut

Minimal cut favors cutting off small node groups, so Shi proposed the **normalized cut**.

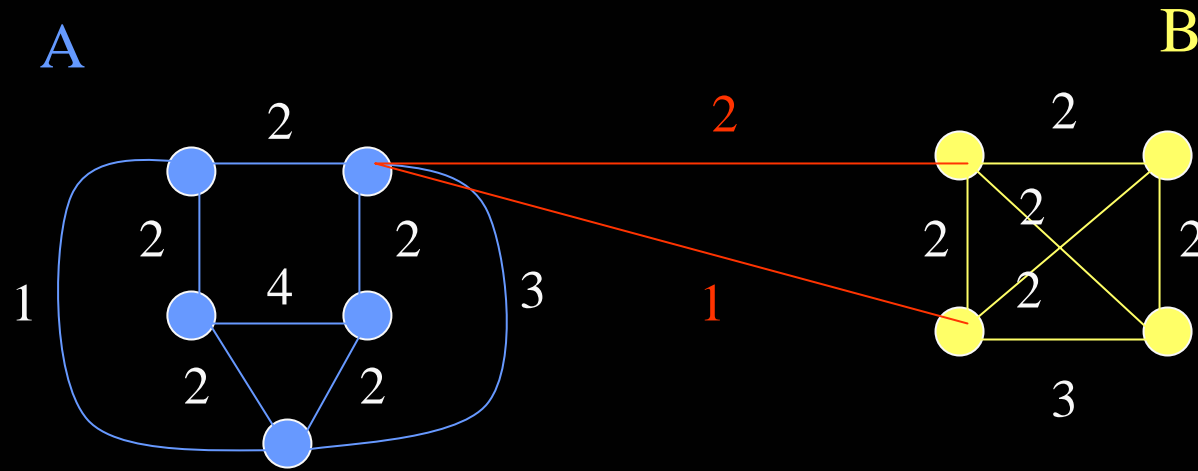
$$Ncut(A,B) = \frac{cut(A, B)}{asso(A, V)} + \frac{cut(A,B)}{asso(B, V)}$$

normalized  
cut

$$asso(A, V) = \sum_{u \in A, t \in V} w(u, t)$$

How much is A connected to the graph as a whole.

# Example Normalized Cut



$$N_{\text{cut}}(A,B) = \frac{3}{21} + \frac{3}{16}$$

Shi turned graph cuts into an eigenvector/eigenvalue problem.

- Set up a weighted graph  $G=(V,E)$ 
  - $V$  is the set of  $(N)$  pixels
  - $E$  is a set of weighted edges (weight  $w_{ij}$  gives the similarity between nodes  $i$  and  $j$ )
  - Length  $N$  vector  $\mathbf{d}$ :  $d_i$  is the sum of the weights from node  $i$  to all other nodes
  - $N \times N$  matrix  $\mathbf{D}$ :  $\mathbf{D}$  is a diagonal matrix with  $\mathbf{d}$  on its diagonal
  - $N \times N$  symmetric matrix  $\mathbf{W}$ :  $W_{ij} = w_{ij}$

- Let  $x$  be a characteristic vector of a set  $A$  of nodes
  - $x_i = 1$  if node  $i$  is in a set  $A$
  - $x_i = -1$  otherwise
- Let  $y$  be a continuous approximation to  $x$

$$y = (1 + x) - \frac{\sum_{x_i > 0} d_i}{\sum_{x_i < 0} d_i} (1 - x).$$

- Solve the system of equations
 
$$(D - W) y = \lambda D y$$
 for the eigenvectors  $y$  and eigenvalues  $\lambda$
- Use the eigenvector  $y$  with second smallest eigenvalue to bipartition the graph ( $y \Rightarrow x \Rightarrow A$ )
- If further subdivision is merited, repeat recursively

# How Shi used the procedure

Shi defined the edge weights  $w(i,j)$  by

$$w(i,j) = e^{\|F(i)-F(j)\|_2 / \sigma I} * \begin{cases} e^{-\|X(i)-X(j)\|_2 / \sigma X} & \text{if } \|X(i)-X(j)\|_2 < r \\ 0 & \text{otherwise} \end{cases}$$

where  $X(i)$  is the spatial location of node  $i$

$F(i)$  is the feature vector for node  $I$

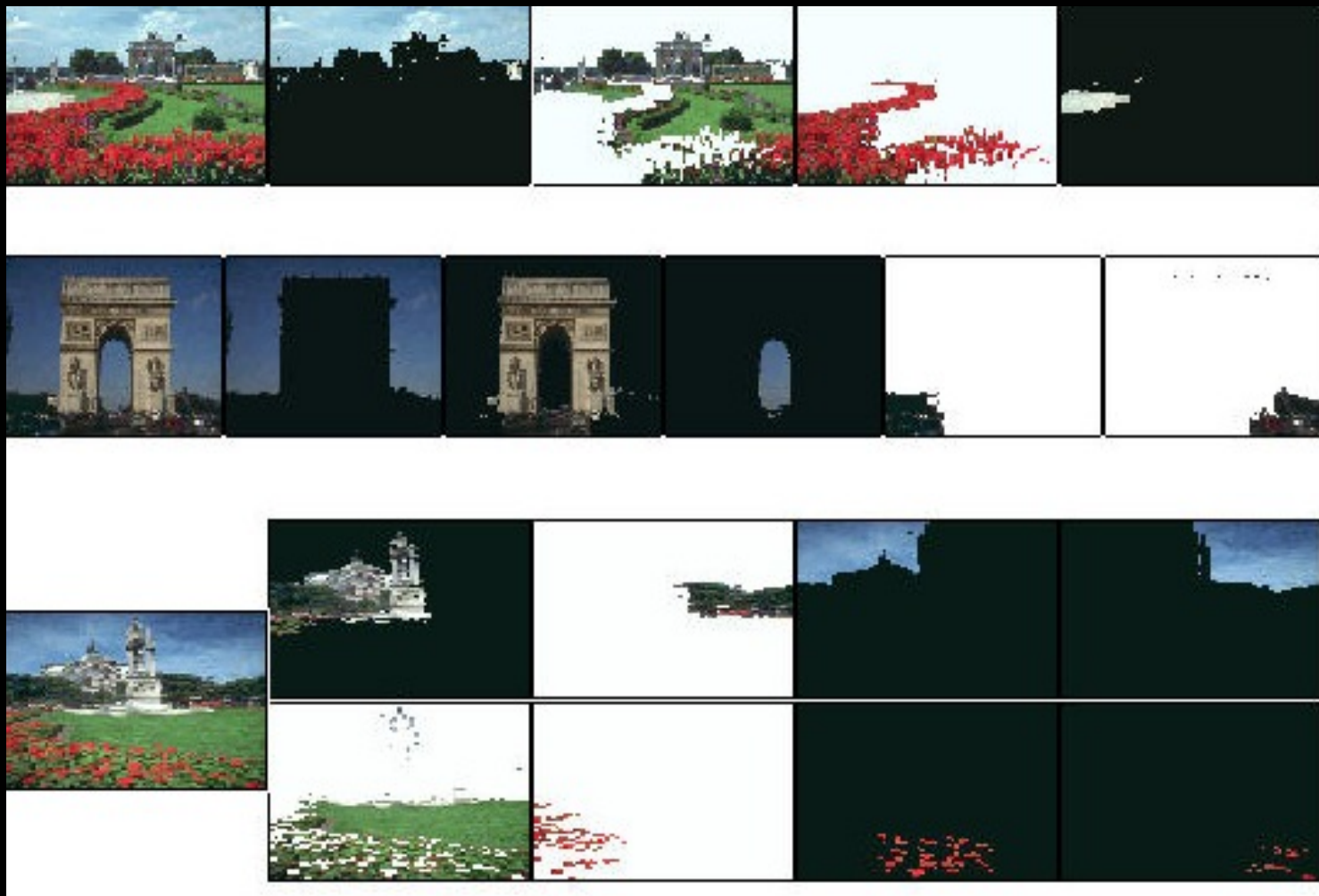
which can be intensity, color, texture, motion...

The formula is set up so that  $w(i,j)$  is 0 for nodes that are too far apart.

# Examples of Shi Clustering

See Shi's Web Page

<http://www-2.cs.cmu.edu/~jshi>



# EM Algorithm and its Applications

Prepared by Yi Li

Department of Computer Science and Engineering  
University of Washington



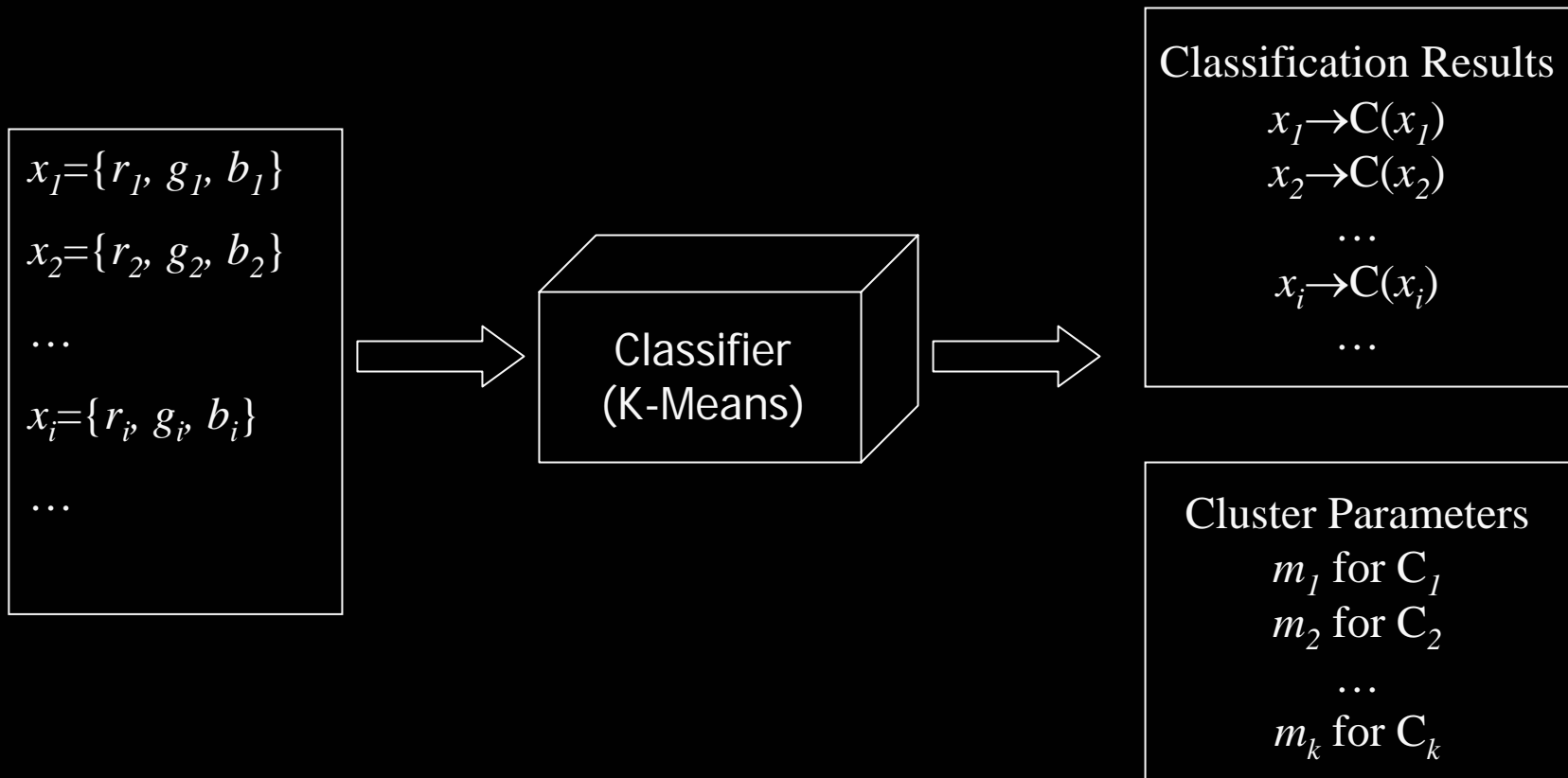
# From K-means to EM is from discrete to probabilistic

## K-means revisited

Form K-means clusters from a set of  $n$ -dimensional vectors

1. Set  $ic$  (iteration count) to 1
2. Choose randomly a set of  $K$  means  $m_1(1), \dots, m_K(1)$ .
3. For each vector  $x_i$ , compute  $D(x_i, m_k(ic))$ ,  $k=1, \dots, K$  and assign  $x_i$  to the cluster  $C_j$  with nearest mean.
4. Increment  $ic$  by 1, update the means to get  $m_1(ic), \dots, m_K(ic)$ .
5. Repeat steps 3 and 4 until  $C_k(ic) = C_k(ic+1)$  for all  $k$ .

# K-Means Classifier



# K-Means Classifier (Cont.)

Input (Known)

$$x_1 = \{r_1, g_1, b_1\}$$

$$x_2 = \{r_2, g_2, b_2\}$$

...

$$x_i = \{r_i, g_i, b_i\}$$

...

Output (Unknown)

Cluster Parameters

$$m_1 \text{ for } C_1$$

$$m_2 \text{ for } C_2$$

...

$$m_k \text{ for } C_k$$

Classification Results

$$x_1 \rightarrow C(x_1)$$

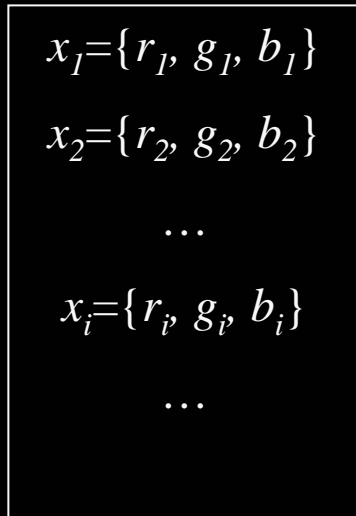
$$x_2 \rightarrow C(x_2)$$

...

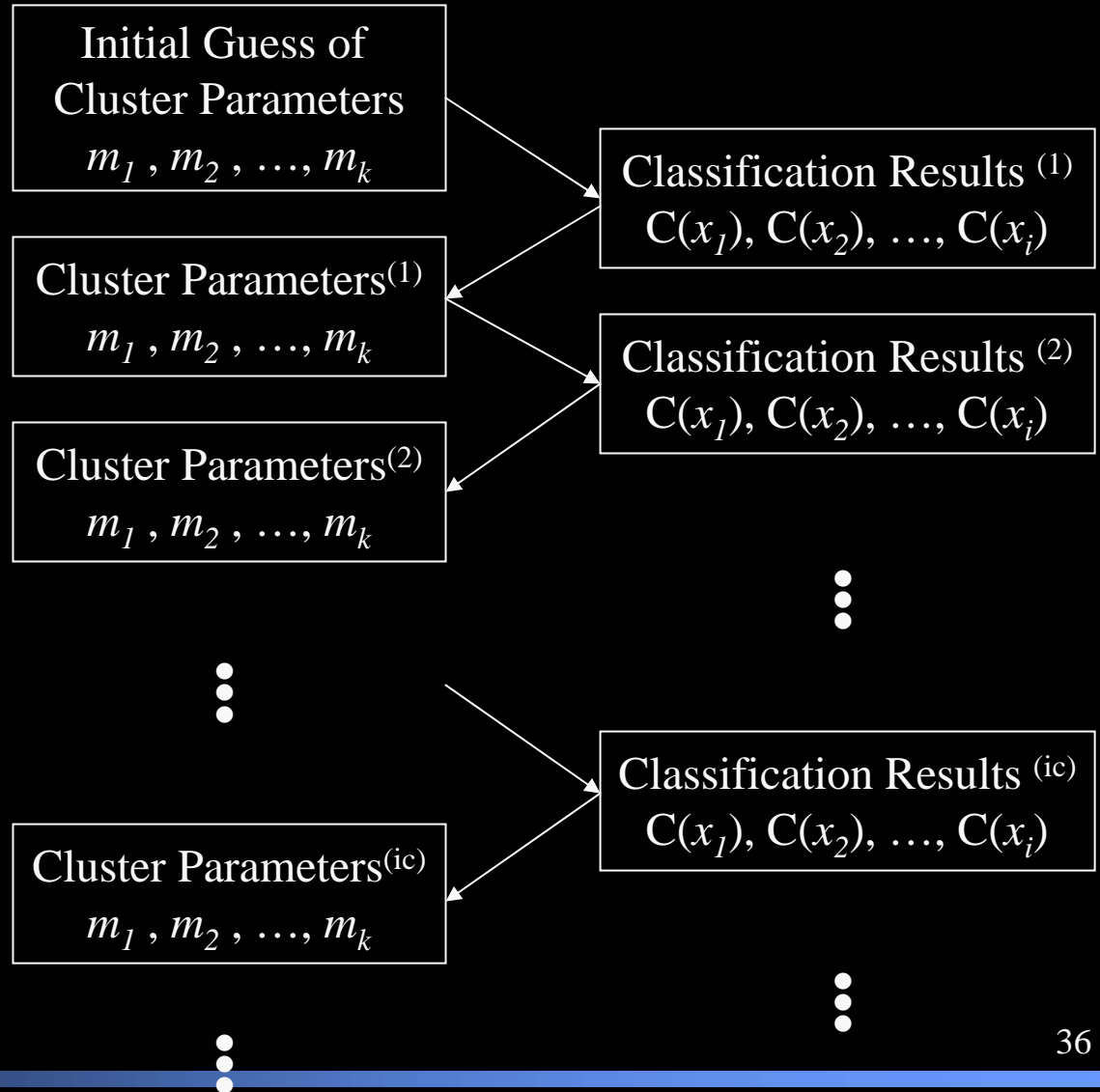
$$x_i \rightarrow C(x_i)$$

...

## Input (Known)



## Output (Unknown)

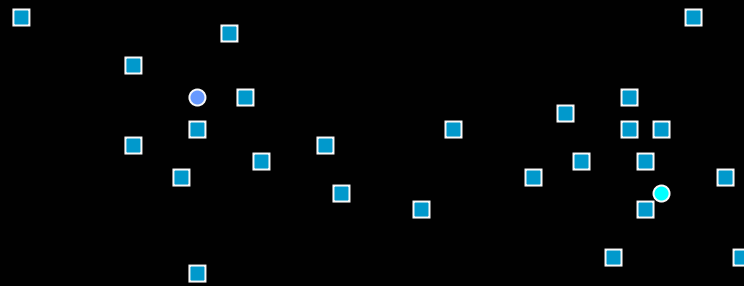


# K-Means (Cont.)

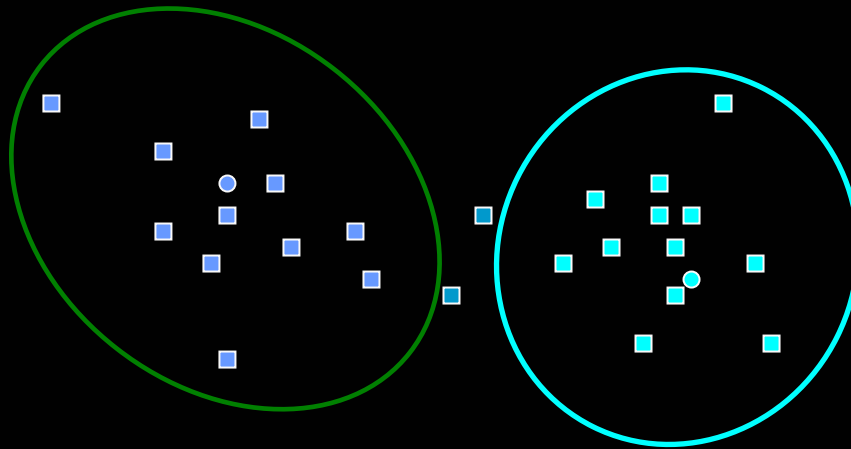
- Boot Step:
  - Initialize  $K$  clusters:  $C_1, \dots, C_K$   
Each cluster is represented by its mean  $m_j$
- Iteration Step:
  - Estimate the cluster for each data point
- Re-estimate the cluster parameters

$$m_j = \text{mean}\{x_i \mid x_i \in C_j\}$$

# K-Means Example



# K-Means Example



# K-Means $\rightarrow$ EM

- Boot Step:

- Initialize  $K$  clusters:  $C_1, \dots, C_K$   
 $(\mu_j, \Sigma_j)$  and  $P(C_j)$  for each cluster  $j$ .

- Iteration Step:

- Estimate the cluster of each data point

$\rightarrow$  Expectation

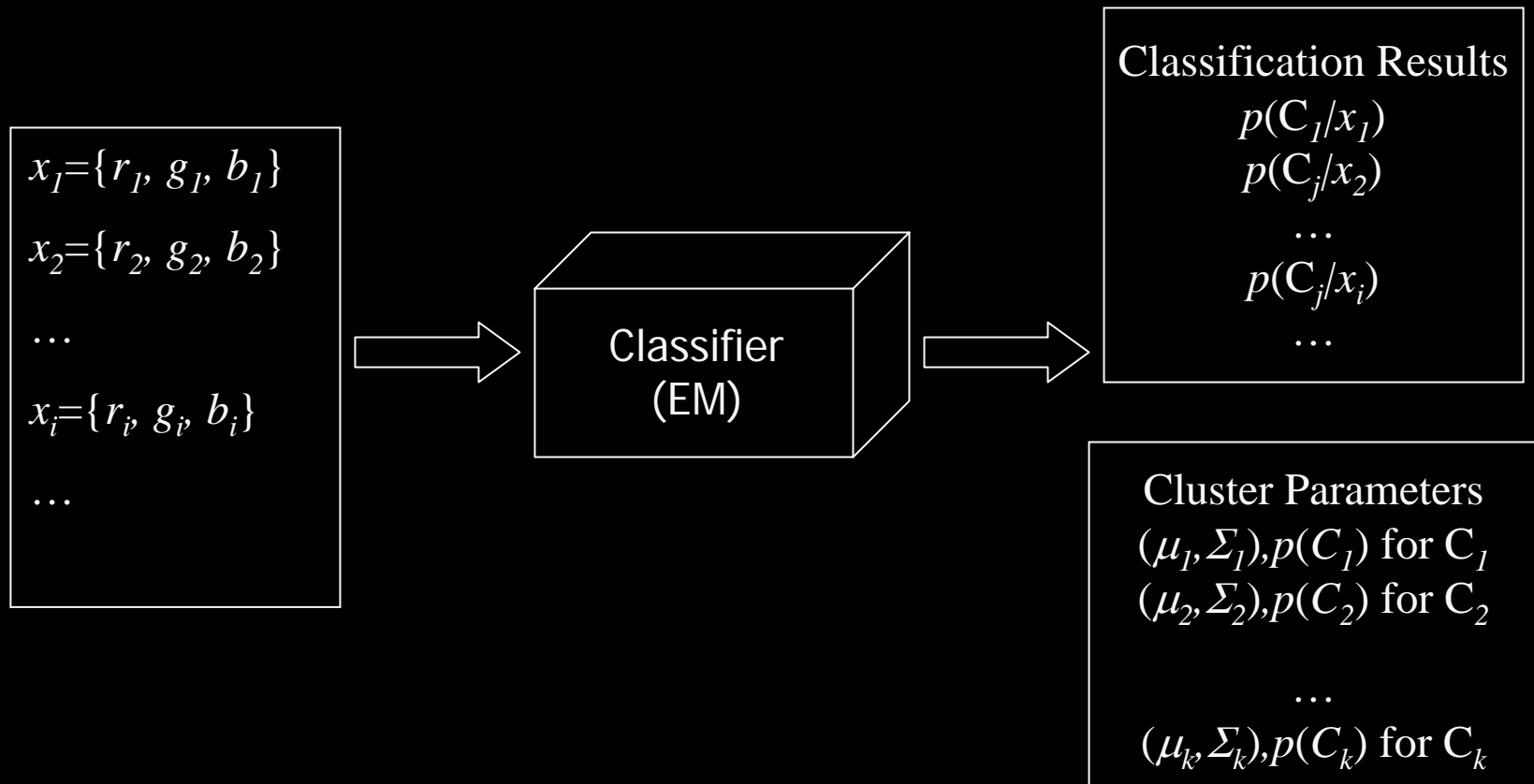
- Re-estimate the cluster parameters

$\rightarrow$  Maximization

For each cluster  $j$



# EM Classifier



# EM Classifier (Cont.)

Input (Known)

$$x_1 = \{r_1, g_1, b_1\}$$

$$x_2 = \{r_2, g_2, b_2\}$$

...

$$x_i = \{r_i, g_i, b_i\}$$

...

Output (Unknown)

Cluster Parameters

$$(\mu_1, \Sigma_1), p(C_1) \text{ for } C_1$$

$$(\mu_2, \Sigma_2), p(C_2) \text{ for } C_2$$

...

$$(\mu_k, \Sigma_k), p(C_k) \text{ for } C_k$$

Classification Results

$$p(C_1/x_1)$$

$$p(C_j/x_2)$$

...

$$p(C_j/x_i)$$

...

# Expectation Step

Input (Known)

$x_1 = \{r_1, g_1, b_1\}$   
 $x_2 = \{r_2, g_2, b_2\}$   
...  
 $x_i = \{r_i, g_i, b_i\}$   
...

+

Input (Estimation)

Cluster Parameters  
 $(\mu_1, \Sigma_1), p(C_1)$  for  $C_1$   
 $(\mu_2, \Sigma_2), p(C_2)$  for  $C_2$   
...  
 $(\mu_k, \Sigma_k), p(C_k)$  for  $C_k$



Output

Classification Results  
 $p(C_1/x_1)$   
 $p(C_j/x_2)$   
...  
 $p(C_j/x_i)$   
...

# Maximization Step

Input (Known)

$$x_1 = \{r_1, g_1, b_1\}$$

$$x_2 = \{r_2, g_2, b_2\}$$

...

$$x_i = \{r_i, g_i, b_i\}$$

...

+

Input (Estimation)

Classification Results

$$p(C_1/x_1)$$

$$p(C_j/x_2)$$

...

$$p(C_j/x_i)$$

...



Output

Cluster Parameters

$$(\mu_1, \Sigma_1), p(C_1) \text{ for } C_1$$

$$(\mu_2, \Sigma_2), p(C_2) \text{ for } C_2$$

...

$$(\mu_k, \Sigma_k), p(C_k) \text{ for } C_k$$

# EM Algorithm

- Boot Step:

- Initialize  $K$  clusters:  $C_1, \dots, C_K$

$(\mu_j, \Sigma_j)$  and  $P(C_j)$  for each cluster  $j$ .

- Iteration Step:

- Expectation Step

$$p(C_j | x_i) = \frac{p(x_i | C_j) \cdot p(C_j)}{p(x_i)} = \frac{p(x_i | C_j) \cdot p(C_j)}{\sum_j p(x_i | C_j) \cdot p(C_j)}$$

- Maximization Step

$$\mu_j = \frac{\sum_i p(C_j | x_i) \cdot x_i}{\sum_i p(C_j | x_i)} \quad \Sigma_j = \frac{\sum_i p(C_j | x_i) \cdot (x_i - \mu_j) \cdot (x_i - \mu_j)^T}{\sum_i p(C_j | x_i)} \quad p(C_j) = \frac{\sum_i p(C_j | x_i)}{N}$$

# EM Demo

- Demo

<http://www.neurosci.aist.go.jp/~akaho/MixtureEM.html>

- Tutorial

<http://www-2.cs.cmu.edu/~awm/tutorials/gmm13.pdf>

# EM Applications

- Blobworld: Image segmentation using Expectation-Maximization and its application to image querying
- Yi's Generative/Discriminative Learning of object classes in color images

# Image Segmentaton with EM: Symbols

- The feature vector for pixel  $i$  is called  $x_i$ .
- There are going to be  $K$  segments;  $K$  is given.
- The  $j$ -th segment has a Gaussian distribution with parameters  $\theta_j = (\mu_j, \Sigma_j)$ .
- $\alpha_j$ 's are the weights (which sum to 1) of Gaussians.  $\Theta$  is the collection of parameters:

$$\Theta = (\alpha_1, \dots, \alpha_k, \theta_1, \dots, \theta_k)$$



# Initialization

- Each of the  $K$  Gaussians will have parameters  $\theta_j = (\mu_j, \Sigma_j)$ , where
  - $\mu_j$  is the mean of the  $j$ -th Gaussian.
  - $\Sigma_j$  is the covariance matrix of the  $j$ -th Gaussian.
- The covariance matrices are initialed to be the identity matrix.
- The means can be initialized by finding the average feature vectors in each of  $K$  windows in the image; this is data-driven initialization.

# E-Step

$$p(j | x_i, \Theta) = \frac{\alpha_j f_j(x_i | \theta_j)}{\sum_{k=1}^K \alpha_k f_k(x_i | \theta_k)}$$

$$f_j(x | \theta_j) = \frac{1}{(2\pi)^{d/2} |\Sigma_j|^{1/2}} e^{-\frac{1}{2}(x-\mu_j)^T \Sigma_j^{-1} (x-\mu_j)}$$

# M-Step

$$\mu_j^{new} = \frac{\sum_{i=1}^N x_i p(j | x_i, \Theta^{old})}{\sum_{i=1}^N p(j | x_i, \Theta^{old})}$$

$$\Sigma_j^{new} = \frac{\sum_{i=1}^N p(j | x_i, \Theta^{old}) (x_i - \mu_j^{new})(x_i - \mu_j^{new})^T}{\sum_{i=1}^N p(j | x_i, \Theta^{old})}$$

# Sample Results from Blobworld

