

# CSE544

# Data Management

Lectures 12

Advanced Query Processing

# Announcements

- Project Milestone due on Friday
- Homework 4 posted; due next Friday
- There will be a short Homework 5, on transactions

# Quick Recap

- Name 3 join processing algorithms

# Outline

## Algorithms for multi-joins

- AGM formula for maximum output size
- Generic-join algorithm matching that formula

# Multi-join

- `select * from R, S, T, ... where ...`
- Standard approach:
  - Compute one join at a time
  - Optimizer chooses an “optimal” join order
- Issues:
  - Cardinality estimation is hard
  - Even “optimal” plan may be suboptimal

# Plans Are Suboptimal

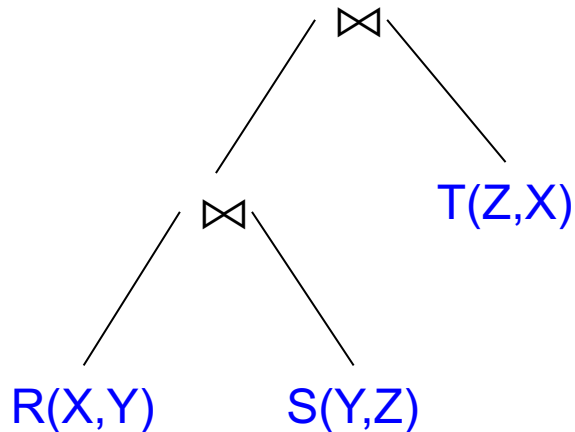
Because intermediate results are much larger than the final query answer

# Example

$Q(X,Y,Z) = R(X,Y) \wedge S(Y,Z) \wedge T(Z,X)$

```
select *           -- natural join
from R, S, T
where R.Y = S.Y and S.Z = T.Z and T.X = R.X
```

Query plan



# Example

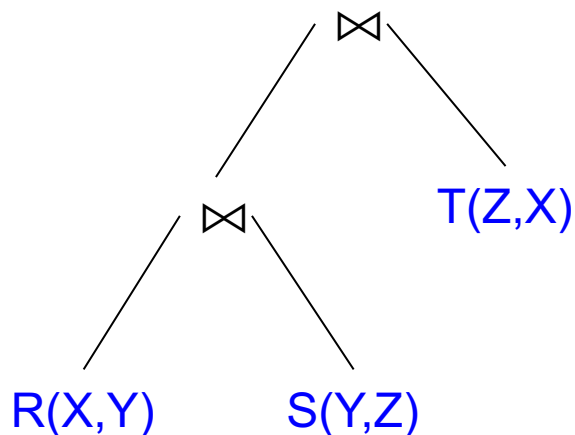
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from R, S, T
where R.Y = S.Y and S.Z = T.Z and T.X = R.X
  
```

-- natural join

Query plan



R:

X	Y
0	1
0	2
0	3
...	...
0	N/2
1	0
2	0
...	...
N/2	0

N



# Example

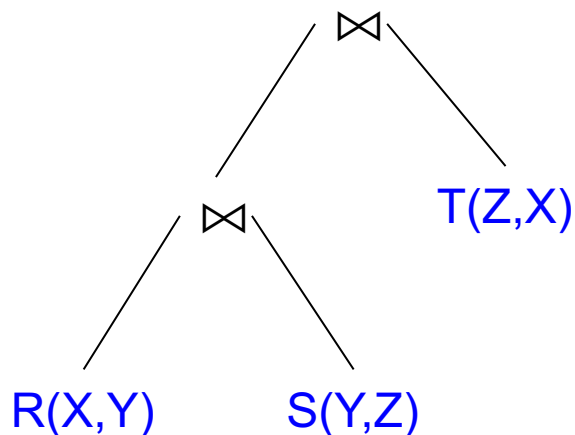
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```

-- natural join

Query plan



N

R:

X	Y
0	1
0	2
0	3
...	...
0	N/2
1	0
2	0
...	...
N/2	0

S: (same as R)

Y	Z
0	1
0	2
0	3
...	...
0	N/2
1	0
2	0
...	...
N/2	0

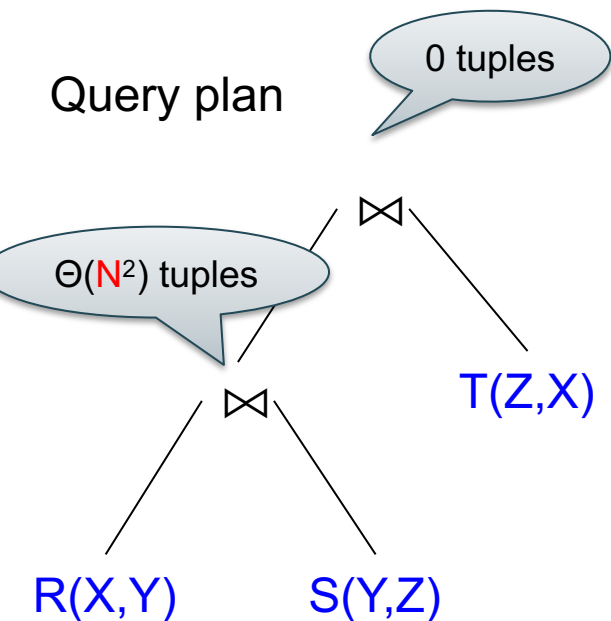
T: (same as R)

Z	X
0	1
0	2
0	3
...	...
0	N/2
1	0
2	0
...	...
N/2	0

# Example

$$Q(X,Y,Z) = R(X,Y) \wedge S(Y,Z) \wedge T(Z,X)$$

select \* -- natural join  
 from R, S, T  
 where R.Y = S.Y and S.Z = T.Z and T.X = R.X



N

R:		S: (same as R)		T: (same as R)	
X	Y	Y	Z	Z	X
0	1	0	1	0	1
0	2	0	2	0	2
0	3	0	3	0	3
...	...	...	...	...	...
0	N/2	0	N/2	0	N/2
1	0	1	0	1	0
2	0	2	0	2	0
...	...	...	...	...	...
N/2	0	N/2	0	N/2	0

# Optimal Algorithm

To define “optimal” we need to answer two questions:

Q1: How large is the output of a query?

Q2: How can we compute it in time no larger than the largest output?

# Worst-Case Optimality

Fix input statistics for  $D$

- Runtime =  $O(\max_{D \text{ satisfies stats}} (|Q(D)|))$

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- $S.Y$  has degree  $\leq d$ :

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E.g.  $R(X,Y) \wedge S(Y,Z) \wedge T(Z,X)$   
No other info:  $|Q(D)| \leq N^{3/2}$

# The Two Questions

Q1: Given statistics, what is  $\max(|Q(D)|)$ ?

Q2: How can we compute  $Q$  in time  $O(\max(|Q(D)|))$ ?

# Simple Fact #1

- Consider any query:

$$Q(X_1, \dots, X_k) = R_1(\text{Vars}_1) \wedge \dots \wedge R_m(\text{Vars}_m)$$

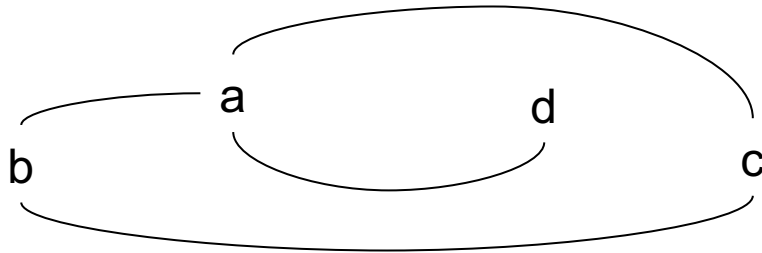
- Its output size is no larger than the product of all cardinalities:

$$|Q| \leq |R_1| \times \dots \times |R_m|$$

Why?

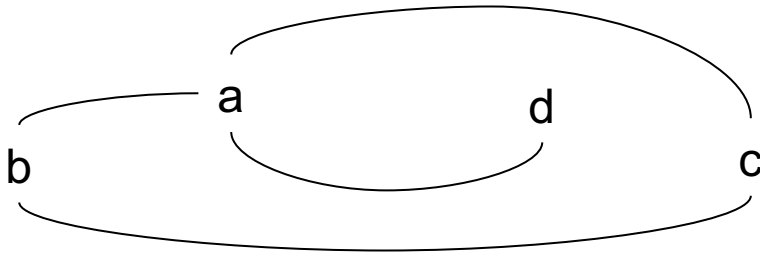
# Graphs and Hypergraphs

- An undirected graph  $G = (V, E)$  where each edge  $e \in E$  is a set of two nodes

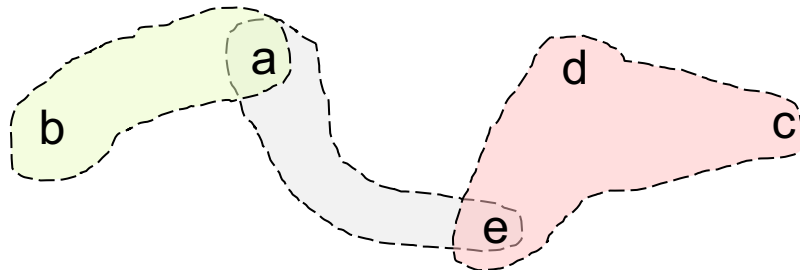


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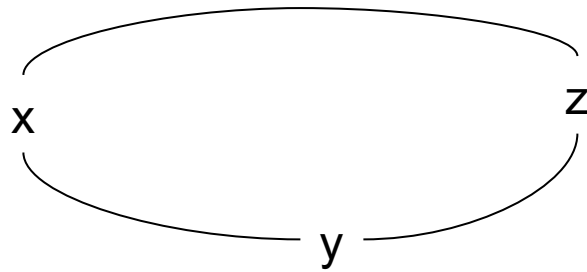
- A hypergraph is  $G = (V, E)$  where each edge is some set (of 1 or 2 or  $>2$  nodes)



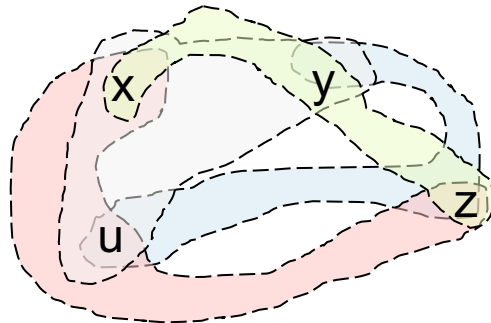


# Conjunctive Queries are Hypergraphs

$$Q(x,y,z) = R(x,y) \wedge S(y,z) \wedge T(z,x)$$

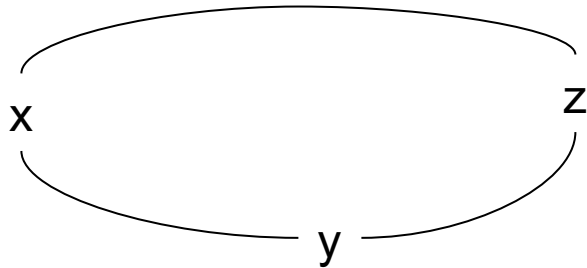


$$Q(x,y,z) = A(x,y,z) \wedge B(x,y,u) \wedge C(x,z,u) \wedge D(y,z,u)$$



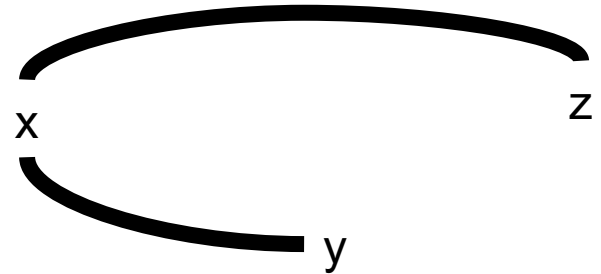
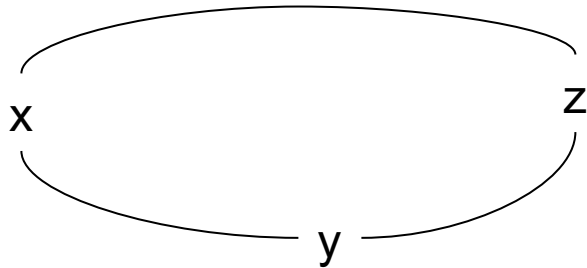
# Edge Cover

- An edge cover of a (hyper)graph is a subset of edges that contain all the vertices



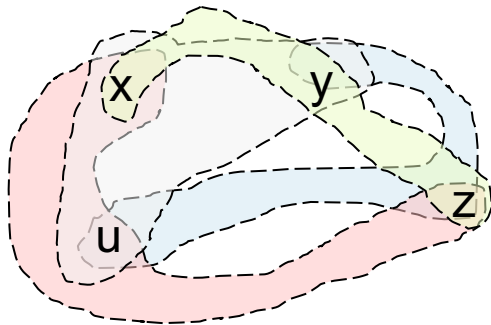
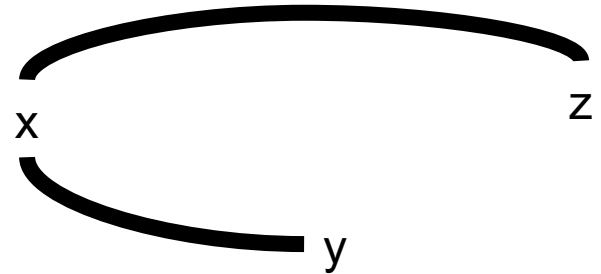
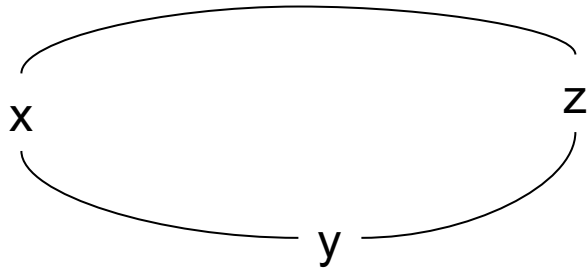
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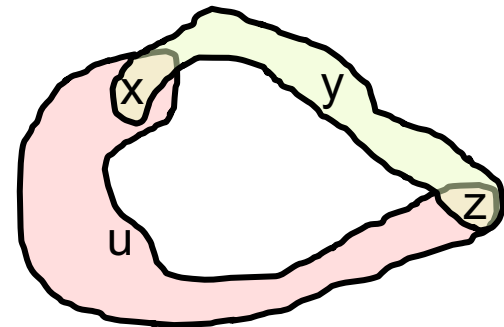
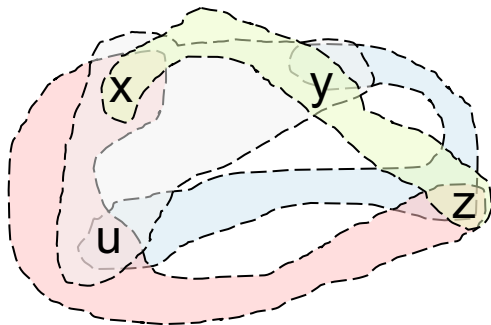
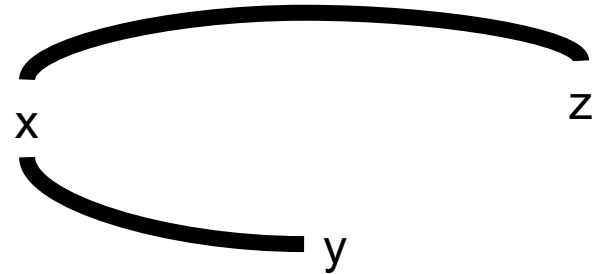
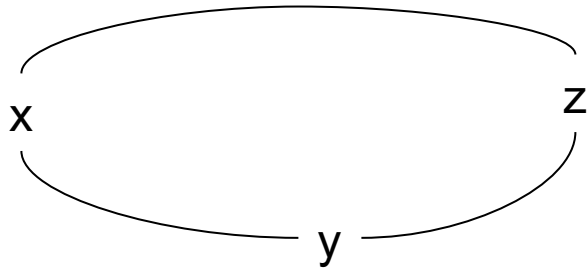
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# Simple Fact #2

- Consider any query:

$$Q(X_1, \dots, X_k) = R_1(\text{Vars}_1) \wedge \dots \wedge R_m(\text{Vars}_m)$$

- Let  $R_{i_1}, R_{i_2}, \dots, R_{i_n}$  be an edge cover. Then the output size is no larger than their product:

$$|Q| \leq |R_{i_1}| \times \dots \times |R_{i_n}|$$

Why?

# Examples

$$Q(x,y,z) = R(x,y) \wedge S(y,z) \wedge T(z,x)$$

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- Edge covers:

$$R(x,y) \wedge S(y,z) \text{ or } R(x,y) \wedge T(z,x) \text{ or } S(y,z) \wedge T(z,x)$$



# Examples

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- Edge covers:

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$$|Q| \leq \min(|R| \times |S|, |R| \times |T|, |S| \times |T|)$$

# Examples

$$Q(x,y,z) = R(x,y) \wedge S(y,z) \wedge T(z,x)$$

- Edge covers:

$$R(x,y) \wedge S(y,z) \text{ or } R(x,y) \wedge T(z,x) \text{ or } S(y,z) \wedge T(z,x)$$

$$|Q| \leq \min(|R| \times |S|, |R| \times |T|, |S| \times |T|)$$

$$Q(x,y,z,u) = A(x,y,z) \wedge B(x,y,u) \wedge C(x,z,u) \wedge D(y,z,u)$$

# Examples

$$Q(x,y,z) = R(x,y) \wedge S(y,z) \wedge T(z,x)$$

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- Edge covers:

$$A(x,y,z) \wedge B(x,y,u) \text{ or } A(x,y,z) \wedge C(x,z,u) \text{ or } \dots$$

# Examples

$$Q(x,y,z) = R(x,y) \wedge S(y,z) \wedge T(z,x)$$

- Edge covers:

$$R(x,y) \wedge S(y,z) \text{ or } R(x,y) \wedge T(z,x) \text{ or } S(y,z) \wedge T(z,x)$$

$$|Q| \leq \min(|R| \times |S|, |R| \times |T|, |S| \times |T|)$$

$$Q(x,y,z,u) = A(x,y,z) \wedge B(x,y,u) \wedge C(x,z,u) \wedge D(y,z,u)$$

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$$A(x,y,z) \wedge B(x,y,u) \text{ or } A(x,y,z) \wedge C(x,z,u) \text{ or } \dots$$

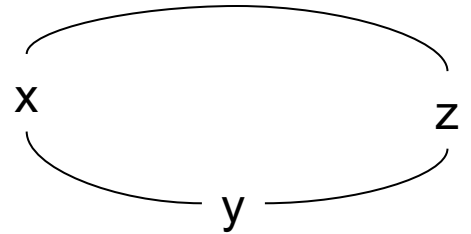
$$|Q| \leq \min(|A| \times |B|, |A| \times |C|, \dots)$$

# Fractional Edge Cover

- A fractional edge cover of a (hyper)graph is a set of non-negative numbers  $w_e$ , one for each edge  $e$ , such that, for every vertex  $v$ :  $\sum_{e: v \in e} w_e \geq 1$

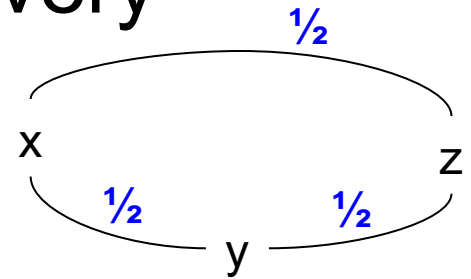
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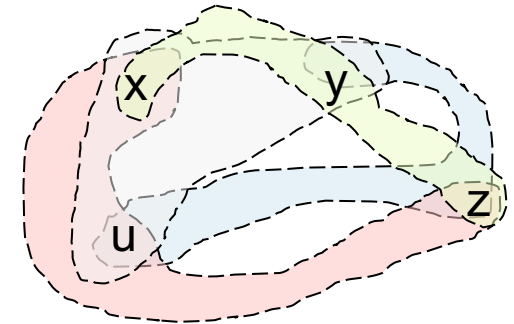
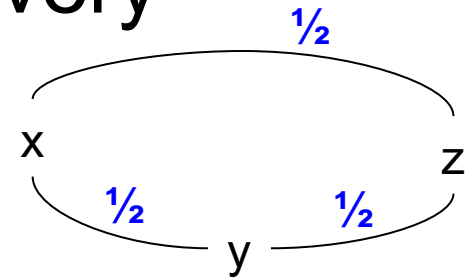
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# Fractional Edge Cover

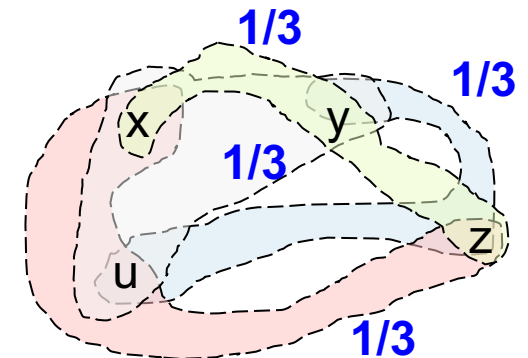
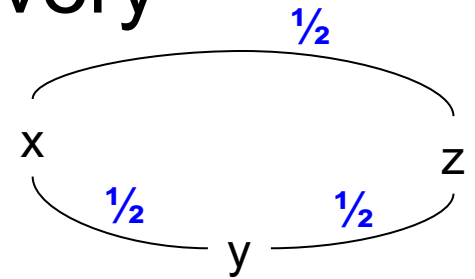
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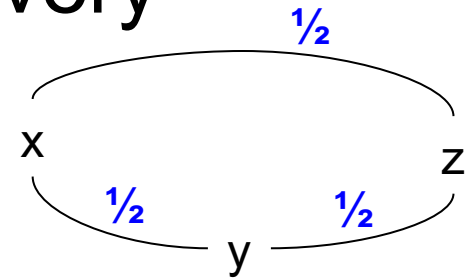
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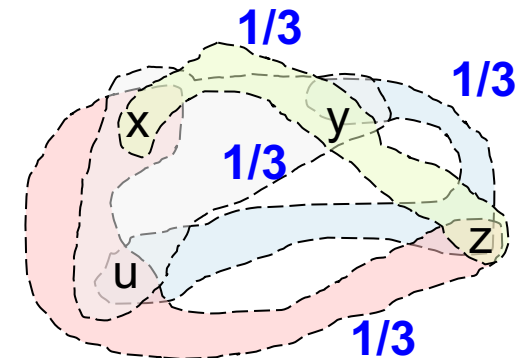


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- **Fact:** every edge cover is also a fractional edge cover. Why?



# Not so Simple Fact #3

- Consider any query:

$$Q(X_1, \dots, X_k) = R_1(\text{Vars}_1) \wedge \dots \wedge R_m(\text{Vars}_m)$$

- Let  $w_1, w_2, \dots, w_m$  be a fractional edge cover. Then the output size is no larger than:

$$|Q| \leq |R_1|^{w_1} \times \dots \times |R_m|^{w_m}$$



# Examples

Query	$w_1, w_2, \dots, w_m$	$ R_1 ^{w_1} \times \dots \times  R_m ^{w_m}$	$ Q  \leq \dots$
$R(x,y) \wedge S(y,z)$	1,1	$ R  \times  S $	$\leq  R  \times  S $
$R(x,y) \wedge S(y,z) \wedge T(z,x)$			

# Examples

Query	$w_1, w_2, \dots, w_m$	$ R_1 ^{w_1} \times \dots \times  R_m ^{w_m}$	$ Q  \leq \dots$
$R(x,y) \wedge S(y,z)$	1, 1	$ R  \times  S $	$\leq  R  \times  S $
$R(x,y) \wedge S(y,z) \wedge T(z,x)$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	$( R  \times  S  \times  T )^{\frac{1}{2}}$	

# Examples

Query	$w_1, w_2, \dots, w_m$	$ R_1 ^{w_1} \times \dots \times  R_m ^{w_m}$	$ Q  \leq \dots$
$R(x,y) \wedge S(y,z)$	1,1	$ R  \times  S $	$\leq  R  \times  S $
$R(x,y) \wedge S(y,z) \wedge T(z,x)$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	$( R  \times  S  \times  T )^{\frac{1}{2}}$	$\leq \min(( R  \times  S  \times  T )^{\frac{1}{2}},$ $ R  \times  S ,  R  \times  T ,$ $ S  \times  T )$
	1,1,0	$ R  \times  S $	
	1,0,1	$ R  \times  T $	
	0,1,1	$ S  \times  T $	

# Examples

Query	$w_1, w_2, \dots, w_m$	$ R_1 ^{w_1} \times \dots \times  R_m ^{w_m}$	$ Q  \leq \dots$
$R(x,y) \wedge S(y,z)$	1,1	$ R  \times  S $	$\leq  R  \times  S $
$R(x,y) \wedge S(y,z) \wedge T(z,x)$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	$( R  \times  S  \times  T )^{\frac{1}{2}}$	$\leq \min(( R  \times  S  \times  T )^{\frac{1}{2}},$ $ R  \times  S ,  R  \times  T ,$ $ S  \times  T )$
	1,1,0	$ R  \times  S $	
	1,0,1	$ R  \times  T $	
	0,1,1	$ S  \times  T $	
$A(x,y,z) \wedge B(x,y,u) \wedge C(x,z,u) \wedge D(y,z,u)$			



# Examples

Query	$w_1, w_2, \dots, w_m$	$ R_1 ^{w_1} \times \dots \times  R_m ^{w_m}$	$ Q  \leq \dots$
$R(x,y) \wedge S(y,z)$	1,1	$ R  \times  S $	$\leq  R  \times  S $
$R(x,y) \wedge S(y,z) \wedge T(z,x)$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	$( R  \times  S  \times  T )^{\frac{1}{2}}$	$\leq \min(( R  \times  S  \times  T )^{\frac{1}{2}},$ $ R  \times  S ,  R  \times  T ,$ $ S  \times  T )$
	1,1,0	$ R  \times  S $	
	1,0,1	$ R  \times  T $	
	0,1,1	$ S  \times  T $	
$A(x,y,z) \wedge B(x,y,u) \wedge C(x,z,u) \wedge D(y,z,u)$	$\frac{1}{3}, \frac{1}{3}, \frac{1}{3}, \frac{1}{3}$	$( A  \times  B  \times  C  \times  D )^{\frac{1}{3}}$	

# Examples

Query	$w_1, w_2, \dots, w_m$	$ R_1 ^{w_1} \times \dots \times  R_m ^{w_m}$	$ Q  \leq \dots$
$R(x,y) \wedge S(y,z)$	1,1	$ R  \times  S $	$\leq  R  \times  S $
$R(x,y) \wedge S(y,z) \wedge T(z,x)$	$\frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	$( R  \times  S  \times  T )^{\frac{1}{2}}$	$\leq \min(( R  \times  S  \times  T )^{\frac{1}{2}},$ $ R  \times  S ,  R  \times  T ,$ $ S  \times  T )$
	1,1,0	$ R  \times  S $	
	1,0,1	$ R  \times  T $	
	0,1,1	$ S  \times  T $	
$A(x,y,z) \wedge B(x,y,u) \wedge C(x,z,u) \wedge D(y,z,u)$	$\frac{1}{3}, \frac{1}{3}, \frac{1}{3}, \frac{1}{3}$	$( A  \times  B  \times  C  \times  D )^{\frac{1}{3}}$	$\min( \dots )$
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# Examples

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$R(x,y) \wedge S(y,z)$	1,1	$ R  \times  S $	$\leq  R  \times  S $
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	1, $\frac{1}{2}, \frac{1}{2}, 1$	(no need; why?)	

# Examples

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	1, $\frac{1}{2}, \frac{1}{2}, 1$	(no need; why?)	

# Upper Bound of a Query

**Theorem**  $|Q| \leq \min_{w_1, \dots, w_m} |R_1|^{w_1} \times \dots \times |R_m|^{w_m}$

This is called the AGM bound\* of Q. It is tight.

Note: it suffices to consider only those fractional edge covers  $w_1, \dots, w_m$  that are not convex combinations of others

We will prove tightness on a special case.

But first, let's discuss an algorithm for computing Q with this runtime

\*Atserias, Grohe, Marx introduced this bound

$$\text{AGM}(Q) = \min_{w_1, \dots, w_m} |R_1|^{w_1} \times \dots \times |R_m|^{w_m}$$

# Generic Join – Overview

- Choose a variable order
- Sort every relation  $R_i$  according to this order:  
time is  $O(|R_i| \log |R_i|) = \tilde{O}(|R_i|)$
- Generic join assumes relations are sorted;  
it computes  $Q$  in time  $\tilde{O}(\text{AGM}(Q))$
- “Worst case optimal”



# Generic Join – The Intersection

Intersection is the main building block of G.J.

$$Q(x) = R(x) \wedge S(x)$$

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$$Q(x) = R(x) \wedge S(x)$$

- Discuss merge-join in class – what is runtime?
- Edge covers of Q: 1,0 and 0,1;  $|Q| \leq \min(|R|, |S|)$
- Discuss improved merge-join in class  
Runtime:  $\tilde{O}(\min(|R|, |S|))$

# Generic Join Algorithm

Let  $x$  be the first variable

Let  $R_{i1}, R_{i2}, \dots$  be all relations containing  $x$

Compute  $D = \Pi_x(R_{i1}) \cap \Pi_x(R_{i2}) \cap \dots$

for every value  $v \in D$  do:

    Compute  $Q$ ,

    where  $R_{i1}, R_{i2}, \dots$  are restricted to  $x = v$

needs to  
be done in time  
 $\tilde{O}(\min_j \Pi_x(R_j))$

# Generic Join Example

$Q(x,y,z) = R(x,y) \wedge S(y,z) \wedge T(z,x)$ ,  
Assume  $|R|=|S|=|T|=N$ , then:


$$|Q| \leq N^{3/2}$$

$$A = \Pi_x(R(x,y)) \cap \Pi_x(T(z,x))$$

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**for**  $a$  **in**  $A$  **do**

/\* compute  $Q(a,y,z) = R(a,y) \wedge S(y,z) \wedge T(z,a)$  \*/

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$B = \Pi_y(R(a,y)) \cap \Pi_y(S(y,z))$

**for**  $b$  in  $B$  **do**

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$C = \Pi_z(S(b,z)) \cap \Pi_z(T(z,a))$

**for**  $c$  in  $C$  **do**

output  $(a,b,c)$



# Generic Join Example

$Q(x,y,z) = R(x,y) \wedge S(y,z) \wedge T(z,x)$ ,  
Assume  $|R|=|S|=|T|=N$ , then:

A light blue callout box with a pointer pointing to the text "then:".
$$|Q| \leq N^{3/2}$$

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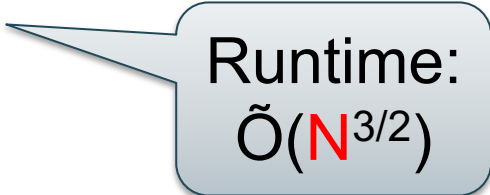
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output  $(a,b,c)$

A light blue callout box with a pointer pointing to the text "for c in C do".

Runtime:  
 $\tilde{O}(N^{3/2})$

# Discussion

- All relations need to be presorted, or indexed
- Runtime is guaranteed to be worst-case optimal, no matter what variable order we choose
- In practice, the variable order does matter, in class: discuss  $R(x,y) \wedge S(y,z)$

# Comparison to Naïve Nested Loop

Naïve nested loop:

// tuple at a time:

For t1 in R1 do

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Generic-join

$A = \bigcap$  domains for x

For x in A do

$B = \bigcap$  domains for y

  For y in B do

$C = \bigcap$  domains for z

    For z in C do

      ...

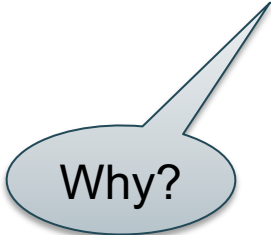
# Tightness

- There exists instances  $R_1, R_2, \dots$  such that the size of the query's output is  $\text{AGM}(Q)$
- Proof is simple and instructive; we will show for special case  $|R_1| = \dots = |R_m| = N$
- In this case  $\text{AGM}(Q) = N^{\min(w_1 + \dots + w_m)}$

# Fractional Edge Covering Number

- The fractional edge covering number of a hypergraph is  $\rho^* = \min \sum_e w_e$ , where the minimum is over all fractional edge covers of the hypergraph.

**Fact** Assume  $|R_1| = \dots = |R_m| = N$ . Then  $\text{AGM}(Q) = N\rho^*$



Why?

# Fractional Vertex Packing

- A fractional vertex packing of a (hyper)graph is a set of non-negative numbers  $v_x$ , one for each node  $x$ , such that, for every edge  $e$ :  $\sum_{x: x \in e} v_x \leq 1$



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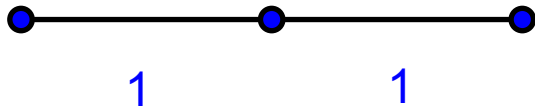
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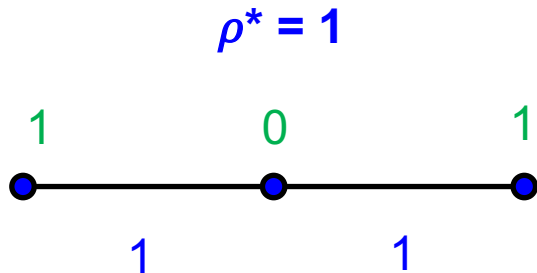


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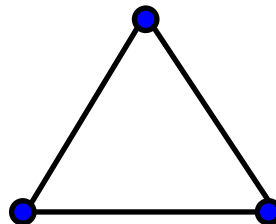
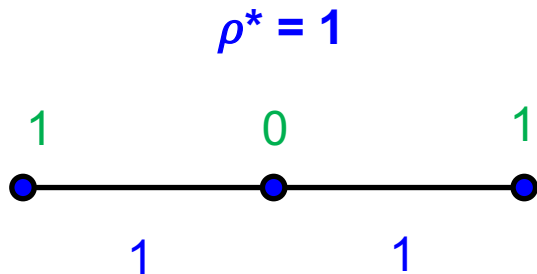


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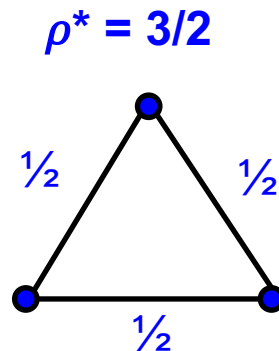
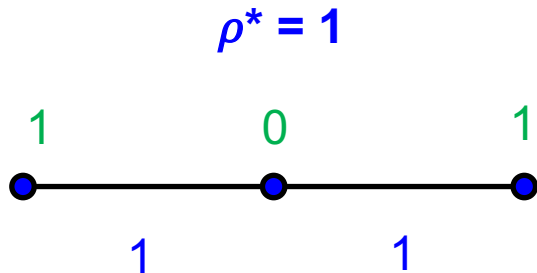


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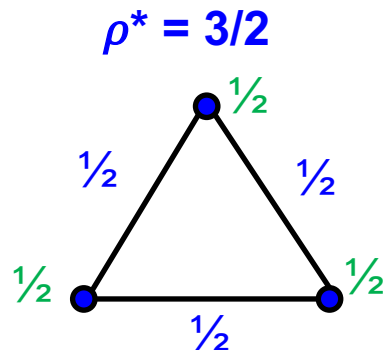
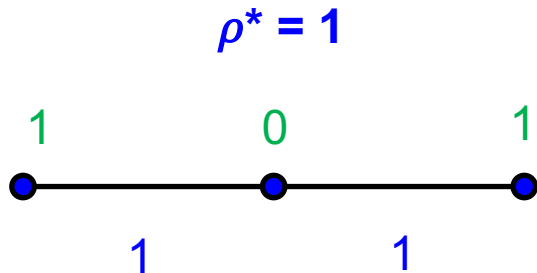


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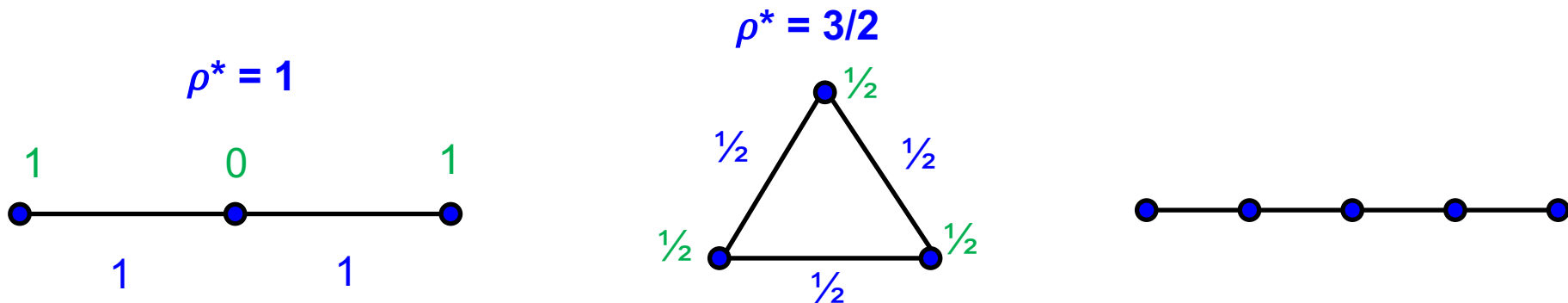


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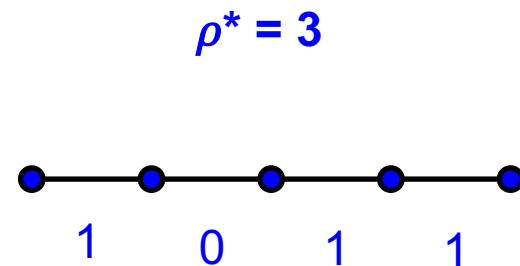
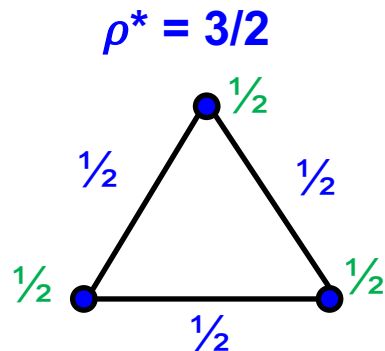
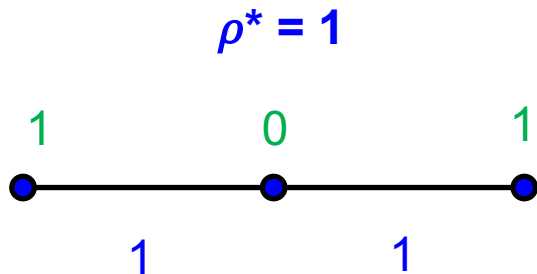


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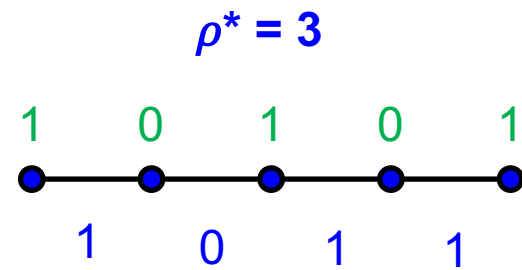
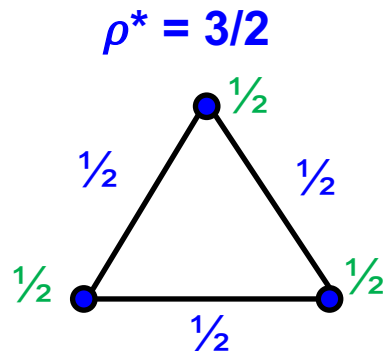
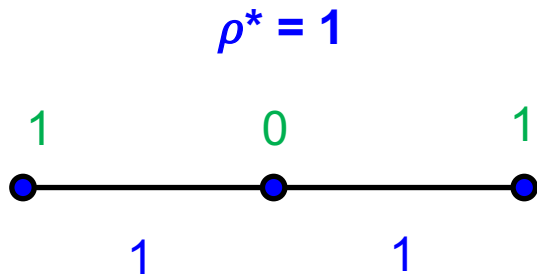


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# The Bound is Tight

**Fact** Fix a fractional vertex packing  $v = (v_x)_{x \in \text{Nodes}}$ .  
Then there exists a database such that  
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**Proof.** For every relation  $R_j$  with variables  $x_{i_1}, x_{i_2}, \dots$   
define the instance  $|R_j| = [N^{v_{i_1}}] \times [N^{v_{i_2}}] \times \dots$   
where  $[k] = \{1, 2, \dots, k\}$ .

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**Fact** Fix a fractional vertex packing  $v = (v_x)_{x \in \text{Nodes}}$ . Then there exists a database such that  $|R_1| \leq N, \dots, |R_m| \leq N$  and  $|Q| = N^{\sum_x v_x}$

**Proof.** For every relation  $R_j$  with variables  $x_{i_1}, x_{i_2}, \dots$  define the instance  $|R_j| = [N^{v_{i_1}}] \times [N^{v_{i_2}}] \times \dots$  where  $[k] = \{1, 2, \dots, k\}$ . Then:  
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- Define  $R = D_x \times D_y$ ,  $S = D_y \times D_z$ ,  $T = D_z \times D_x$ .
- Then  $|R| = |S| = |T| = N$ ,  
 $Q = D_x \times D_y \times D_z$  and  $|Q| = N^{3/2}$

# Keys

$R(X,Y) \wedge S(Y,Z), \quad |R|, |S| \leq N$

- No other info:  $|Q(D)| \leq N^2$
- $S.Y$  is a key:  $|Q(D)| \leq N$

The Query Expansion method:

- If  $Y$  is a key in some relation  $S$ , then add all attributes of  $S$  relations containing  $Y$
- Compute  $AGM(Q^{\text{expanded}})$

# Examples

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- Edge covers: 1, 0, 0 or 0, 1, 1
- $\text{AGM}(Q^{\text{exp}}) = \min(|R|, |S| \times |T|)$

# Summary

Given cardinalities of all input tables:

- AGM bound gives upper bound on query size
- GJ computes the query in this time

Generic Join:

- A nested loop algorithm
- No longer one-join-at-a-time
- Theoretical optimality means it will be efficient for very expensive queries; less so for cheaper queries