

Trees

CSE 326
Data Structures
Lecture 6

Readings and References

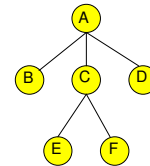
- Reading
 - › Chapter 4.1-4.3,

Why Do We Need Trees?

- Lists, Stacks, and Queues are linear data structures
- Information often contains hierarchical relationships
 - › File directories or folders on your computer
 - › Moves in a game
 - › Employee hierarchies in organizations
- Trees support fast searching

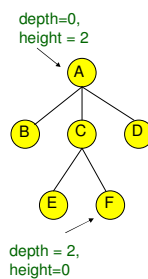
Tree Jargon

- root
- nodes and edges
- leaves
- parent, children, siblings
- ancestors, descendants
- subtrees
- path, path length
- height, depth



More Tree Jargon

- **Length** of a path = number of edges
- **Depth** of a node N = length of path from root to N
- **Height** of node N = length of longest path from N to a leaf
- **Height of tree** = height of root



Definition and Tree Trivia

- A tree is a set of nodes
 - that is an empty set of nodes, or
 - has one node called the root from which zero or more trees (subtrees) descend
- A tree with N nodes always has N-1 edges
- Two nodes in a tree have at most one path between them

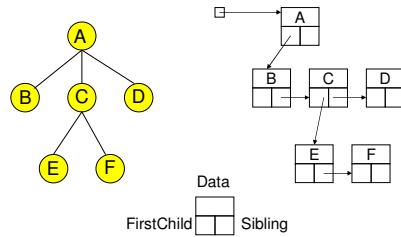
Implementation of Trees

- One possible pointer-based Implementation
 - › tree nodes with value and a pointer to each child
 - › but how many pointers should we allocate space for?
- A more flexible pointer-based implementation
 - › 1st Child / Next Sibling List Representation
 - › Each node has 2 pointers: one to its first child and one to next sibling
 - › Can handle arbitrary number of children

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Arbitrary Branching



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Application: Arithmetic Expression Trees

Example Arithmetic Expression:

$$A + (B * (C / D))$$

How would you express this as a tree?

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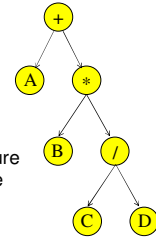
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Application: Arithmetic Expression Trees

Example Arithmetic Expression:

$$A + (B * (C / D))$$

Tree for the above expression:



- Used in most compilers
- No parenthesis need – use tree structure
- Can speed up calculations e.g. replace / node with C/D if C and D are known
- Calculate by traversing tree (how?)

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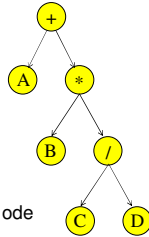
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Traversing Trees

- Preorder: Node, then Children recursively
+ A * B / C D

- Inorder: Left child recursively, Node, Right child recursively (Binary Trees)
A + B * C / D

- Postorder: Children recursively, then Node
A B C D / * +



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Binary Trees

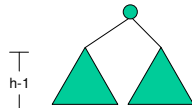
- Every node has at most two children
 - › Most popular tree in computer science
 - › Easy to implement, fast in operation
- Given N nodes, what is the minimum height of a binary tree?
 - › A height h tree has at most $2^{h+1}-1$ nodes
 - › Hence, a binary tree with N node has height $> \log_2 N - 1$

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Upper Bound on Number of Nodes

- Define N_h to be the maximum number of nodes in a binary tree of height h .
- Theorem: $N_h = 2^{h+1} - 1$
- Proof by induction on h .
 - $h=0$. $2^{0+1} - 1 = 1$ and $N_0 = 1$.
 - $h>0$.



$$\begin{aligned} N_h &= 2N_{h-1} + 1 \\ &= 2(2^{h-1} - 1) + 1 \\ &= 2^{h+1} - 1 \end{aligned}$$

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Lower Bound on Height

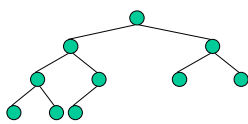
- Theorem: Any binary tree with N nodes has height $\geq \lceil \log_2 N \rceil - 1$
- Proof.
- Let T be any binary tree of N nodes and let h be its height.
 - $N \leq N_h < 2^{h+1}$
 - $\log_2 N < h+1$
 - $\lceil \log_2 N \rceil \leq h+1$
 - $\lceil \log_2 N \rceil - 1 \leq h$

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Complete Binary Trees

- A complete binary tree of N nodes is one of minimum height with the maximum depth nodes on the left.



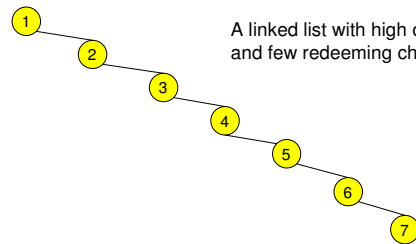
$N=10$

$$\lceil \log_2 10 \rceil - 1 = 3$$

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A degenerate tree



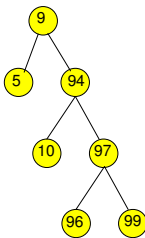
A linked list with high overhead and few redeeming characteristics

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Binary Search Trees

- Binary search trees are binary trees in which
 - all values in the node's left subtree are less than node value
 - all values in the node's right subtree are greater than node value
- Operations:
 - Find, FindMin, FindMax, Insert, Delete

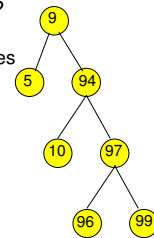


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Operations on Binary Search Trees

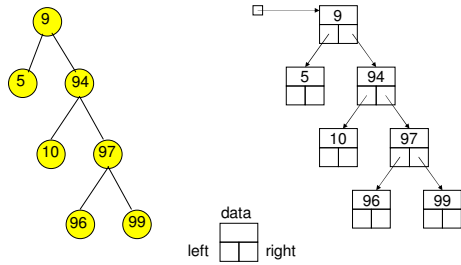
- How would you implement these?
 - Recursive definition of binary search trees allows recursive routines
 - Call by reference helps too
- FindMin
- FindMax
- Find
- Insert
- Delete



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Binary Search Tree



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Find

```
Find(T : tree pointer, x : element): tree pointer {
  case {
    T = null : return null;
    T.data = x : return T;
    T.data > x : return Find(T.left,x);
    T.data < x : return Find(T.right,x)
  }
}
```

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FindMin

- Class Participation
- Design recursive FindMin operation that returns the smallest element in a binary search tree.

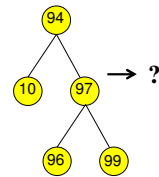
```
> FindMin(T : tree pointer) : tree pointer {
  // precondition: T is not null //
  ???
}
```

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Insert Operation

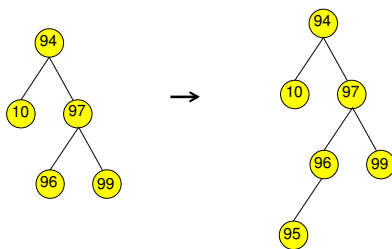
- **Insert(T: tree, X: element)**
 - > Do a "Find" operation for X
 - > If X is found, then update duplicates counter
 - > Else, "Find" stops at a NULL pointer
 - > Insert Node with X there
- Example: Insert 95



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Insert 95



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Insert Done Very Elegantly

```
Insert(T : reference tree pointer, x : element) : integer {
  if T = null then
    T := new tree; T.data := x; return 1
  case {
    T.data = x : return 0;
    T.data > x : return Insert(T.left, x);
    T.data < x : return Insert(T.right, x);
  }
}
```

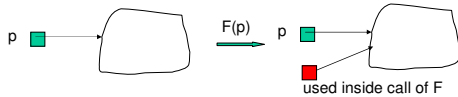
Advantage of reference parameter is that the call has the original pointer not a copy.

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Call by Value vs Call by Reference

- Call by value
 - › Copy of parameter is used



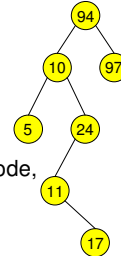
- Call by reference
 - › Actual parameter is used

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Delete Operation

- Delete is a bit trickier...Why?
- Suppose you want to delete 10
- Strategy:
 - › Find 10
 - › Delete the node containing 10
- Problem: When you delete a node, what do you replace it by?

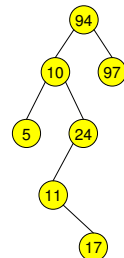


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Delete Operation

- Problem: When you delete a node, what do you replace it by?
- Solution:
 - › If it has no children, by NULL
 - › If it has 1 child, by that child
 - › If it has 2 children, by the node with the smallest value in its right subtree (the successor of the node)

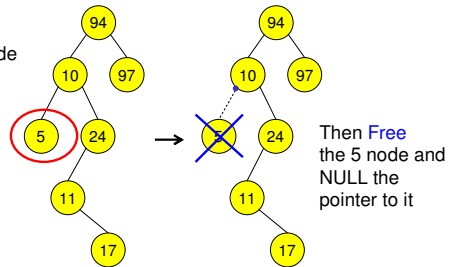


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Delete "5" - No children

Find 5 node



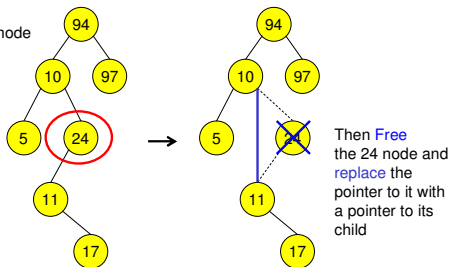
Then Free the 5 node and NULL the pointer to it

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Delete "24" - One child

Find 24 node



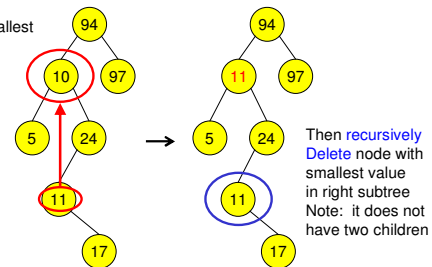
Then Free the 24 node and replace the pointer to it with a pointer to its child

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Delete "10" - two children

Find 10, Copy the smallest value in right subtree into the node



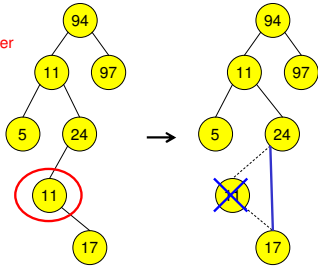
Then recursively Delete node with smallest value in right subtree
Note: it does not have two children

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Delete "11" - One child

Remember
11 node



Then **Free**
the 11 node and
replace the
pointer to it with
a pointer to its
child

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FindMin Solution

```
FindMin(T : tree pointer) : tree pointer {
// precondition: T is not null //
if T.left = null return T
else return FindMin(T.left)
}
```

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