
CSE 331

Software Design & Implementation

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Specifications
(Slides by Mike Ernst)

2 Goals of Software System Building

- Building the right system
 - Does the program meet the user's needs?
 - Determining this is usually called *validation*
- Building the system right
 - Does the program meet the specification?
 - Determining this is usually called *verification*
- CSE 331: the second goal is the focus – creating a correctly functioning artifact
 - It's surprisingly hard to specify, design, implement, test, and debug even simple programs

Where we are

- We've started to see how to reason about code
- We'll build on those skills in many places:
 - Specification: What are we supposed to build?
 - Design: How do we decompose the job into manageable pieces? Which designs are “better”?
 - Implementation: Building code that meets the specification (and we know it because we can prove it!)
 - Testing: OK, we know it's right, but is it?
 - Debugging: If it's not, how do we systematically find the problems and fix them?
 - Maintain: How does the artifact adapt over time?
 - Documentation: What do we need to know to do these things? How/where do we write that down?
(Comments, JavaDoc, UML(?), ...)

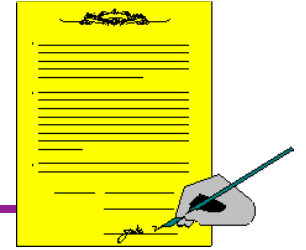
The challenge of scaling software

- Small programs are simple and malleable
 - easy to write
 - easy to change
- Big programs are (often) complex and inflexible
 - hard to write
 - hard to change
- Why does this happen?
 - Because interactions become unmanageable
- How do we keep things simple and malleable?

A discipline of modularity

- Two ways to view a program:
 - The implementer's view (how to build it)
 - The client's view (how to use it)
- It helps to apply these views to program parts:
 - While implementing one part, consider yourself a client of any other parts it depends on
 - Try not to look at those other parts through an implementer's eyes
 - This helps dampen interactions between parts
- Formalized through the idea of a **specification**

A specification is a contract



- A set of requirements agreed to by the user and the manufacturer of the product
 - Describes their expectations of each other
- Facilitates simplicity by *two-way* isolation
 - Isolate client from implementation details
 - Isolate implementer from how the part is used
 - Discourages implicit, unwritten expectations
- Facilitates change
 - Reduces the “Medusa” effect: the specification, rather than the code, gets “turned to stone” by client dependencies

Isn't the interface sufficient?

The interface is to defines the boundary between the implementers and users:

```
public interface List<E> {  
    public E get(int);  
    public void set(int, E);  
    public void add(E);  
    public void add(int, E);  
    ...  
    public static boolean sub(List<T>, List<T>);  
}
```

*Interface provides the **syntax***

*But nothing about the **behavior and effects***

Why not just read code?

```
boolean sub(List<?> src, List<?> part) {  
    int part_index = 0;  
    for (Object o : src) {  
        if (o.equals(part.get(part_index))) {  
            part_index++;  
            if (part_index == part.size()) {  
                return true;  
            }  
        } else {  
            part_index = 0;  
        }  
    }  
    return false;  
}
```

Why are you better off with a specification?

Code is complicated

- Code gives more detail than needed by client
- Understanding or even reading every line of code is an excessive burden
 - Suppose you had to read source code of Java libraries in order to use them
 - Same applies to developers of different parts of the libraries
- Client cares only about **what** the code does, not **how** it does it

Code is ambiguous

- Code seems unambiguous and concrete
 - But which details of code's behavior are **essential**, and which are **incidental**?
- Code invariably gets rewritten
 - Client needs to know what they can rely on
 - What properties will be maintained over time?
 - What properties might be changed by future optimization, improved algorithms, or just bug fixes?
 - Implementer needs to know what features the client depends on, and which can be changed

Comments are essential

- *Most comments convey only an informal, general idea of what that the code does:*

```
// This method checks if "part" appears as a  
// sub-sequence in "src"  
boolean sub(List<?> src, List<?> part) {  
    ...  
}
```

- *Problem: ambiguity remains*
 - *e.g. what if src and part are both empty lists?*

From vague comments to specifications

- ***Properties of a specification:***
 - The client agrees to rely *only* on information in the description in their use of the part.
 - The implementer of the part promises to support everything in the description
 - otherwise is perfectly at liberty
- ***Sadly, much code lacks a specification***
 - Clients often work out what a method/class does in ambiguous cases by simply running it, then depending on the results
 - This leads to bugs and to programs with unclear dependencies, reducing simplicity and flexibility

Recall the sublist example

```
T boolean sub(List<T> src, List<T> part) {
    int part_index = 0;
    for (T elt : src) {
        if (elt.equals(part.get(part_index))) {
            part_index++;
            if (part_index == part.size()) {
                return true;
            }
        } else {
            part_index = 0;
        }
    }
    return false;
}
```

A more careful description of sub()

*// Check whether “part” appears as a
// sub-sequence in “src”.*

needs to be given some caveats (why?):

*// * src and part cannot be null
// * If src is empty list, always returns false.
// * Results may be unexpected if partial matches
// can happen right before a real match; e.g.,
// list (1,2,1,3) will not be identified as a
// sub sequence of (1,2,1,2,1,3).*

or replaced with a more detailed description:

*// This method scans the “src” list from beginning
// to end, building up a match for “part”, and
// resetting that match every time that...*

It's better to simplify than to describe complexity

A complicated description suggests poor design
Rewrite sub() to be more sensible, and easier to describe:

```
// returns true iff sequences A, B exist such that  
// src = A : part : B  
// where ":" is sequence concatenation  
boolean sub(List<?> src, List<?> part)
```

Mathematical flavor is not (always) necessary, but can (often) help avoid ambiguity

“Declarative” style *is* important – avoids reciting or depending on operational/implementation details

Sneaky fringe benefit of specs #1

- The discipline of writing specifications changes the **incentive structure** of coding
 - rewards code that is easy to describe and understand
 - punishes code that is hard to describe and understand (even if it is shorter or easier to write)
- If you find yourself writing complicated specifications, it is an incentive to redesign
 - sub() code that does exactly the right thing may be slightly slower than a hack that assumes no partial matches before true matches – but cost of forcing client to understand the details is too high

Examples of specifications

- Javadoc
 - Sometimes can be daunting; get used to using it
- Javadoc convention for writing specifications
 - method prototype
 - text description of method
 - **param**: description of what gets passed in
 - **returns**: description of what gets returned
 - **throws**: list of exceptions that may occur

Example: Javadoc for String.contains

public boolean contains([CharSequence](#) s)

Returns true if and only if this string contains the specified sequence of char values.

Parameters:

s- the sequence to search for

Returns:

true if this string contains s, false otherwise

Throws:

[NullPointerException](#)

Since:

1.5

CSE 331 specifications

- The **precondition**: constraints that hold before the method is called (if not, all bets are off)
 - **requires**: spells out any obligations on client
- The **postcondition**: constraints that hold after the method is called (if the precondition held)
 - **modifies**: lists objects that may be affected by method; any object not listed is guaranteed to be untouched
 - **throws**: lists possible exceptions (Javadoc uses this too)
 - **effects**: gives guarantees on the final state of modified objects
 - **returns**: describes return value (Javadoc uses this too)

Example 1

static int test(List<T> lst, T oldelt, T newelt)

requires lst, oldelt, and newelt are non-null.
oldelt occurs in lst.

modifies lst

effects change the first occurrence of oldelt in lst to newelt
& makes no other changes to lst

returns the position of the element in lst that was oldelt and
is now newelt

```
static int test(List<T> lst, T oldelt, T newelt) {
    int i = 0;
    for (T curr : lst) {
        if (curr == oldelt) {
            lst.set(newelt, i);
            return i;
        }
        i = i + 1;
    }
    return -1;
}
```

Example 2

static List<Integer> listAdd(List<Integer> lst1, List<Integer> lst2)

requires lst1 and lst2 are non-null.
lst1 and lst2 are the same size.

modifies none

effects none

returns a list of same size where the ith element is the sum of the ith elements of lst1 and lst2

```
static List<Integer> listAdd(List<Integer> lst1
                             List<Integer> lst2) {
    List<Integer> res = new ArrayList<Integer>();
    for(int i = 0; i < lst1.size(); i++) {
        res.add(lst1.get(i) + lst2.get(i));
    }
    return res;
}
```

Example 3

static void listAdd2(List<Integer> lst1, List<Integer> lst2)

requires lst1 and lst2 are non-null.

lst1 and lst2 are the same size

modifies lst1

effects ith element of lst2 is added to the ith element of lst1

returns none

```
static void listAdd2(List<Integer> lst1,  
                    List<Integer> lst2) {  
    for(int i = 0; i < lst1.size(); i++) {  
        lst1.set(i, lst1.get(i) + lst2.get(i));  
    }  
}
```

Should requires clause be checked?

- If the client calls a method without meeting the precondition, the code is free to do anything, including pass corrupted data back
 - It is polite, nevertheless, to **fail fast**: to provide an immediate error, rather than permitting mysterious bad behavior
- Preconditions are common in “helper” methods/classes
 - In public libraries, it’s friendlier to deal with all possible input
 - *Example: binary search would normally impose a precondition rather than simply failing if list is not sorted. Why?*
- Rule of thumb: Check if cheap to do so
 - *Ex: list has to be non-null → check*
 - *Ex: list has to be sorted → skip*

Comparing specifications

- Occasionally, we need to compare different versions of a specification (*Why?*)
 - For that, we talk about “weaker” and “stronger” specifications
- A weaker specification gives greater freedom to the implementer
 - If specification S_1 is weaker than S_2 , then for any implementation I ,
 - I satisfies $S_2 \Rightarrow I$ satisfies S_1
 - but the opposite implication does not hold in general

Example 1

```
int find(int[] a, int value) {  
    for (int i=0; i<a.length; i++) {  
        if (a[i]==value) return i;  
    }  
    return -1;  
}
```

- specification A
 - requires: value occurs in a
 - returns: i such that a[i] = value
- specification B
 - requires: value occurs in a
 - returns: smallest i such that a[i] = value

Example 2

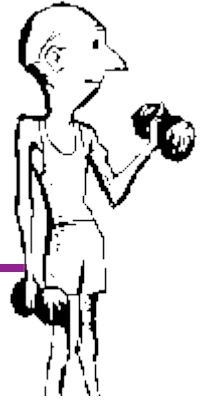
```
int find(int[] a, int value) {  
    for (int i=0; i<a.length; i++) {  
        if (a[i]==value) return i;  
    }  
    return -1;  
}
```

- specification A
 - requires: value occurs in a
 - returns: i such that $a[i] = \text{value}$
- specification C
 - returns: i such that $a[i]=\text{value}$, or -1 if value is not in a

Stronger and weaker specifications

- A stronger specification is
 - Harder to satisfy (harder to implement)
 - Easier to use (more guarantees, more predictable)
- A weaker specification is
 - Easier to satisfy (easier to implement, more implementations satisfy it)
 - Harder to use (makes fewer guarantees)

Strengthening a specification



- strengthen a specification by:
 - promising more
 - effects clause harder to satisfy, and/or fewer objects in modifies clause
 - asking less of client
 - requires clause easier to satisfy
- weaken a specification by:
 - promising less
 - effects clause easier to satisfy, and/or extra objects in modifies clause
 - asking more of the client
 - requires clause harder to satisfy

Choosing specifications

- There can be different specifications for the same implementation!
 - Specification says more than implementation does
 - Declares which properties are essential – the method itself leaves that ambiguous
 - Clients know what they can rely on, implementers know what they are committed to
- Which is *better* : a strong or a weak specification?
 - It depends!
 - Criteria: simple, promotes reuse & modularity, efficient

Sneaky fringe benefit of specs #2

- Specification means that client doesn't need to look at implementation
 - So the code **may not even exist** yet!
- Write specifications first, make sure system will fit together, and then assign separate implementers to different modules
 - Allows teamwork and parallel development
 - Also helps with testing, as we'll see shortly