
CSE 331

Software Design & Implementation

Hal Perkins

Winter 2012

Data Abstraction: Abstract Data Types (ADTs)

(Based on slides by Mike Ernst and David Notkin)

Outline

First:

- Data Abstraction – ADTs
- ADT specification and Implementation

Then: Reasoning about ADTs

- Representation Invariants (RIs)
- Abstraction Functions (AFs)

Review: Satisfaction of a specification

- Let P be an implementation and S a specification
 - Think “procedures/methods/functions” for the moment
- P satisfies S iff
 - Every behavior of P is permitted by S
 - “The behavior of P is a subset of S ”
- The statement “ P is correct” is meaningless
 - Though often made!
- If P does not satisfy S , either (or both!) could be “wrong”
 - “*One person’s feature is another person’s bug.*”
 - It’s usually better to change the program than the spec

Scaling Up Specifications

- Procedural abstraction:
 - Abstracts from details of procedures
 - A specification mechanism
 - Satisfy the specification with an implementation
- Data abstraction:
 - Abstracts from details of data representation and operations on that data
 - A way of thinking about programs and design
 - Satisfy the specification with an implementation
 - Standard terminology: **Abstract Data Type**, or **ADT**

Why we need Abstract Data Types

- Organizing and manipulating data is pervasive
 - Inventing and describing algorithms is rare
- Often most important place to start a design is by **designing data structures/abstractions**
- Potential problems with choosing a data abstraction:
 - Decisions about data structures often made too early
 - Very hard to change key data structures

An ADT is a set of operations

- ADT abstracts from a specific representation to focus on the semantic meaning of the data
- Representation does not matter; this choice is (or should be) irrelevant to the client:

```
class RightTriangle {  
    float base, altitude;  
}
```

```
class RightTriangle {  
    float base, hypot, angle;  
}
```

- Instead, think of a type as a set of operations
 - create, getBase, getAltitude, getBottomAngle, ...
- Force clients (users) to use operations to access data

Are these classes the same?

```
class Point {  
    public float x;  
    public float y;  
}
```

```
class Point {  
    public float r;  
    public float theta;  
}
```

- Different: can't replace one with the other
- Same: both classes implement the concept "2-d point"
- Goal of ADT methodology is to express the sameness:
 - Clients depend only on the concept "2-d point"
 - Can delay implementation decisions, fix bugs, change algorithms without affecting clients

Concept of 2-d point, as an ADT

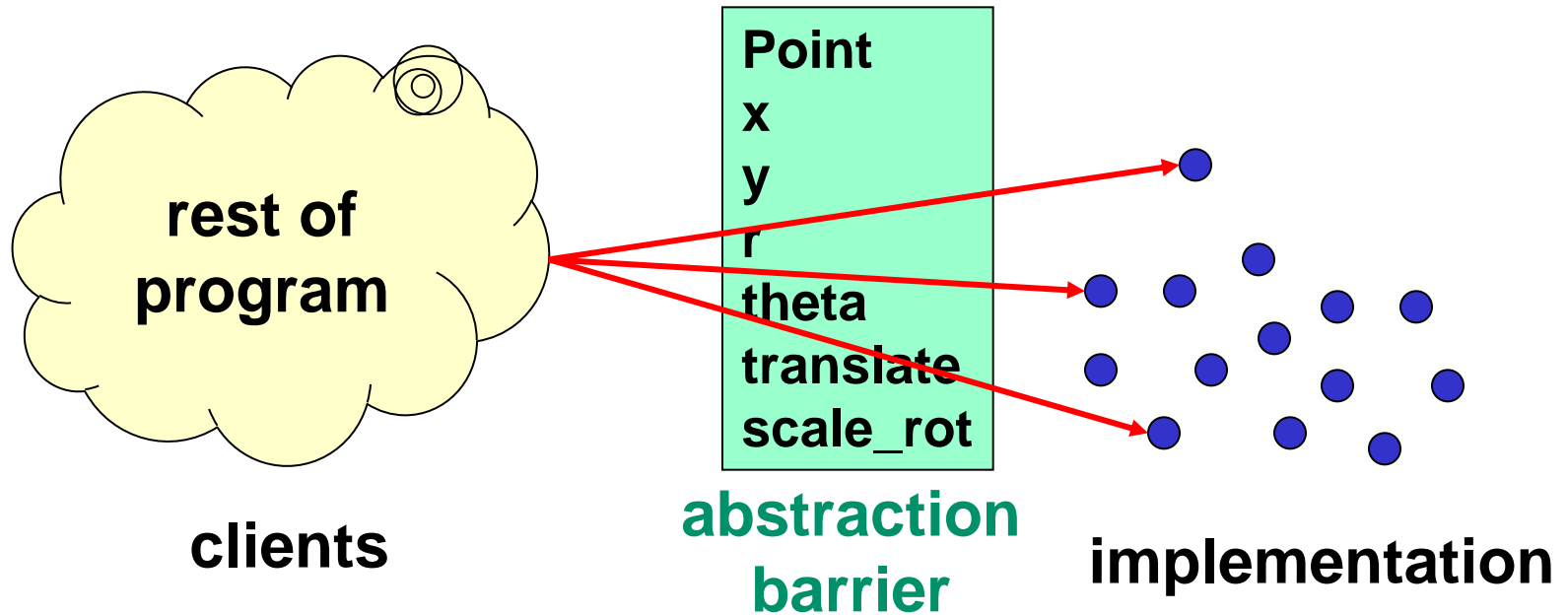
```
class Point {
    // A 2-d point exists somewhere in the plane, ...

    public float x();
    public float y();
    public float r();
    public float theta();

    // ... can be created, ...
    public Point();           // new point at (0,0)

    // ... can be moved, ...
    public void translate(float delta_x,
                          float delta_y);
    public void scaleAndRotate(float delta_r,
                               float delta_theta);
}
```


Abstract data type = objects + operations



The implementation is hidden

The only operations on objects of the type are those provided by the abstraction

Reasoning about ADTs

- Specification: describes ADT only in terms of the abstraction
 - Never mentions the representation
- *Abstraction Function*: maps object \rightarrow abstract value
 - What the data structure *means* as an abstract value
 - Ex: point in the plane represented by Point object
- *Representation Invariant*: maps object \rightarrow boolean
 - True iff an object (the representation) is *well-formed*
 - Only well-formed representations (values) make sense as implementations of an abstract value

A data abstraction specification

- A collection of procedural abstractions
 - Not a collection of procedures
- Together, these procedural abstractions provide
 - A set of values
 - **All** the ways of directly using that set of values
 - Creating
 - Manipulating
 - Observing
- Creators and producers: make new values
- Mutators: change the value (but don't affect ==)
- Observers: allow one to tell values apart

Implementing an ADT

- To implement a data abstraction
 - Select the representation of instances, the “rep”
 - Implement operations in terms of that rep
 - In Java this is done in a class (something you’ve already done before many times)
- Choose a representation so that:
 - It is possible to implement the required operations
 - The most frequently used operations are efficient
 - But which will these be?
 - Abstraction allows the rep. to change later

Example: CharSet Abstraction

Finite, mutable set of characters

// Overview: A CharSet is a finite mutable set of Characters

// effects: creates an empty CharSet
public CharSet ()

// modifies: this
// effects: $\text{this}_{\text{post}} = \text{this}_{\text{pre}} \cup \{c\}$
public void insert (Character c);

// modifies: this
// effects: $\text{this}_{\text{post}} = \text{this}_{\text{pre}} - \{c\}$
public void delete (Character c);

// returns: ($c \in \text{this}$)
public boolean member (Character c);

// returns: cardinality of this
public int size ();

A CharSet implementation: Is it OK?

```
class CharSet {
    private List<Character> elts =
        new ArrayList<Character>();
    public void insert(Character c) {
        elts.add(c);
    }
    public void delete(Character c) {
        elts.remove(c);
    }
    public boolean member(Character c) {
        return elts.contains(c);
    }
    public int size() {
        return elts.size();
    }
}
```

```
CharSet s = new CharSet();
Character a = new Character('a');
s.insert(a);
s.insert(a);
s.delete(a);
if (s.member(a))
    // print "wrong";
else
    // print "right";
```

Where Is the Error?

- Perhaps **delete** is wrong
 - It should remove all occurrences
- Perhaps **insert** is wrong
 - It should not insert a character that is already there
- How can we know?
 - The **representation invariant** tells us

The representation invariant

- States data structure well-formedness
- Must hold before and after every **CharSet** operation is executed – and after a **CharSet** is initialized
- Two ways to write it (in the **CharSet** class comments)

```
class CharSet {  
    // Rep invariant:  
    //     elts has no nulls and no duplicates  
    private List<Character> elts;  
    ...  
}
```

Or, more formally:

\forall indices i of `elts` . `elts.elementAt(i) \neq null`

\forall indices i, j of `elts` .

$i \neq j \Rightarrow \neg \text{elts.elementAt}(i).\text{equals}(\text{elts.elementAt}(j))$

Now, we can locate the error

```
// Rep invariant:  
// elts has no nulls and no duplicates  
  
public void insert(Character c) {  
    elts.add(c);  
}  
  
public void delete(Character c) {  
    elts.remove(c);  
}
```

Listing the elements of a CharSet

- Consider adding the following method to CharSet

```
// returns: a List containing the members of this
public List<Character> getElts();
```
- Consider this implementation:

```
// Rep invariant: elts has no nulls and no dups.
public List<Character> getElts() { return elts; }
```
- Does the implementation of `getElts` preserve the rep invariant?
 - Kind of, sort of, not really....

Representation exposure

Consider the client code (outside the CharSet implementation)

```
CharSet s = new CharSet();
Character a = new Character('a');
s.insert(a);
s.getElts().add(a);
s.delete(a);
if (s.member(a)) ...
```

- **Representation exposure** is external access to the rep:
 - Here the client code can see the representation and (in this case) even manipulate it directly
 - We want only ADT operations to see/change the rep (otherwise we can't guarantee rep invariants maintained)
- Representation exposure is almost always **EVIL**
- If you do it, document why and how
 - And feel guilty about it!

Ways to avoid rep exposure

1. Exploit immutability

```
Character choose() {  
    return elts.elementAt(0);  
}
```

Character is immutable.

2. Make a copy

```
List<Character> getElts() {  
    return new ArrayList<Character>(elts);  
    // or: return (ArrayList<Character>) elts.clone();  
}
```

Mutating a copy doesn't affect the original.
Don't forget to make a copy on the way in!

3. Make an immutable copy

```
List<Character> getElts() {  
    return Collections.unmodifiableList<Character>(elts);  
}
```

Client cannot mutate
Still need to make a copy on the way in

Checking rep invariants

Should code check that the rep invariant holds?

- Yes, if it's inexpensive
- Yes, for debugging (even when it's expensive)
- It's quite hard to justify turning the checking off
- Some private methods need not check (Why?)

Checking the rep invariant

Rule of thumb: check on entry *and* on exit (why?)

```
public void delete(Character c) {
    checkRep();
    elts.remove(c)
    // Is this guaranteed to get called?
    // See handouts for a less error-prone way to check
    // at exit.
    checkRep();
}
...
/** Verify that elts contains no duplicates. */
private void checkRep() {
    for (int i = 0; i < elts.size(); i++) {
        assert elts.indexOf(elts.elementAt(i)) == i;
    }
}
```

Practice defensive programming

Assume that you will make mistakes

Write and incorporate code designed to catch them

On entry:

- Check rep invariant

- Check preconditions (requires clause)

On exit:

- Check rep invariant

- Check postconditions

Checking the rep invariant helps you **discover** errors

Reasoning about the rep invariant helps you **avoid** errors

Or prove that they do not exist!

Rep inv. constrains structure, not meaning

New implementation of insert that preserves the rep invariant:

```
public void insert(Character c) {
    Character cc = new Character(encrypt(c));
    if (!elts.contains(cc))
        elts.addElement(cc);
}
public boolean member(Character c) {
    return elts.contains(c);
}
```

The program is still wrong

Clients observe incorrect behavior

What client code exposes the error?

Where is the error?

We must consider the **meaning**

The ***abstraction function*** helps us

```
CharSet s = new CharSet();
Character a = new
Character('a');
s.insert(a);
if (s.member(a))
    // print "right";
else
    // print "wrong";
```


Abstraction function: rep→abstract value

The **abstraction function** maps the concrete representation to the abstract value it represents

AF: Object → abstract value

AF(CharSet this) = { c | c is contained in this.elts }

“set of Characters contained in this.elts”

Typically *not* executable

The abstraction function lets us reason about behavior **from the client perspective**

Abstraction function and insert

Our real goal is to satisfy the **specification of insert**:

```
// modifies: this
```

```
// effects: thispost = thispre U {c}
```

```
public void insert (Character c);
```

Once again we can place the blame

Applying the abstraction function to the result of the call to insert yields $AF(\text{elts}) \cup \{\text{encrypt}('a')\}$

What if we used this abstraction function?

$$AF(\text{this}) = \{ c \mid \text{encrypt}(c) \text{ is contained in } \text{this.elts} \}$$
$$AF(\text{this}) = \{ \text{decrypt}(c) \mid c \text{ is contained in } \text{this.elts} \}$$

Placing the blame

Our real goal is to satisfy the **specification of insert**:

```
// modifies: this  
// effects: thispost = thispre U {c}  
public void insert (Character c);
```

The **AF** tells us what the rep means (and lets us place the blame)

$$\text{AF}(\text{CharSet this}) = \{ c \mid c \text{ is contained in this.elts } \}$$

Consider a call to insert:

On **entry**, the meaning is $\text{AF}(\text{this}_{\text{pre}}) \approx \text{elts}_{\text{pre}}$

On **exit**, the meaning is $\text{AF}(\text{this}_{\text{post}}) = \text{AF}(\text{this}_{\text{pre}}) \cup \{\text{encrypt}('a')\}$

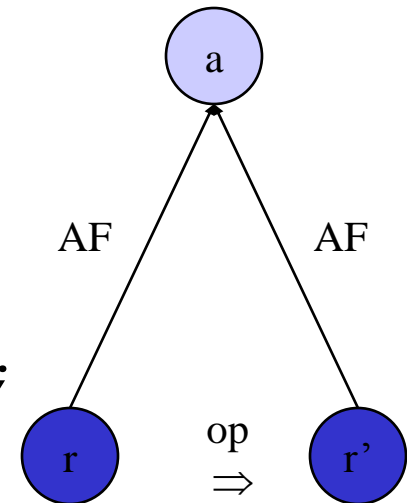
What if we used this abstraction function?

$$\begin{aligned} \text{AF}(\text{this}) &= \{ c \mid \text{encrypt}(c) \text{ is contained in this.elts } \} \\ &= \{ \text{decrypt}(c) \mid c \text{ is contained in this.elts } \} \end{aligned}$$

Benevolent side effects

Different implementation of member:

```
boolean member(Character c1) {  
    int i = elts.indexOf(c1);  
    if (i == -1)  
        return false;  
    // move-to-front optimization  
    Character c2 = elts.elementAt(0);  
    elts.set(0, c1);  
    elts.set(i, c2);  
    return true;  
}
```



Move-to-front speeds up repeated membership tests
Mutates rep, but does not change *abstract* value

AF maps both reps to the same abstract value

The abstraction function is a function

Q: Why do we map concrete to abstract rather than vice versa?

1. It's not a function in the other direction.

E.g., lists $[a,b]$ and $[b,a]$ each represent the set $\{a, b\}$

2. It's not as useful in the other direction.

Can construct objects via the provided operators

Writing an abstraction function

The **domain**: all representations that satisfy the rep invariant

The **range**: can be tricky to denote

- For mathematical entities like sets: easy

- For more complex abstractions: give them fields

 - AF defines the value of each “specification field”

 - “derived specification fields” more complex

The overview section of the specification should provide a way of writing abstract values

- A printed representation is valuable for debugging

Summary

Rep invariant

Which concrete values represent abstract values

Abstraction function

For each concrete value, which abstract value it represents

Together, they modularize the implementation

Can examine operators one at a time

Neither one is part of the abstraction (the ADT)

In practice

Always write a representation invariant

Write an abstraction function when you need it

Write an informal one for most non-trivial classes

A formal one is harder to write and usually less useful