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# CSE 331

# Software Design & Implementation

Kevin Zatloukal

Summer 2016

## Lecture 4.5 – Writing Loops

(Based on slides by Mike Ernst, Dan Grossman, David Notkin, Hal Perkins, Zach Tatlock)

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# Announcements

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- HW1 near-universal mistake:

`{{ |x| > 8 }}`

`x = x / 2;`

`{{ ? }}`

# Announcements

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- HW1 near-universal mistake:

$\{ |x| > 8 \}$

$x = x / 2;$

$\{ |x| \geq 4 \}$

Example: if  $x = 9$ , then  $x/2 = 4$

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  - more time?

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  - integer division is tricky
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- HW2:
  - more time?
  - less work?

# Announcements

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- HW1 near-universal mistake:
  - integer division is tricky
  - this won't come up again in class, but be aware IRL
- HW2:
  - more time?
  - less work?
- HW3:
  - if you will work from your own laptop, **bring it to quiz section**
    - install Java JDK & Eclipse before (“Working at Home” doc)
  - if you have any problems, contact staff to get extension
  - will shortly see emails from Gitlab (ignore until tomorrow)

# Agenda

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Plan for today:

1. Review important ideas about loop invariants
2. Ask me questions about HW2
3. Move on to specifications... (continued Friday)



# Review

# Checking correctness of loops

---

Not just about finding in assertions after each line...

Also need to check that loop invariant:

1. holds initially
2. is preserved by the loop body
3. implies postcondition upon termination

Problems 1-2 on HW2 ask you to fill in the assertions and also  
Check that 1-2 hold (I didn't ask you to do 3)

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{  
  s = 0;  
  {  
    i = 0;  
    {  
      Inv: s = b[0] + ... + b[i-1]  
    }  
    while (i != n) {  
      {  
        s = s + b[i];  
      }  
      {  
        i = i + 1;  
      }  
    }  
  }  
  {  
    s = b[0] + ... + b[n-1]  
  }  
}
```

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The following code to compute  $b[0] + \dots + b[n-1]$ :

```
  {{ }}
  s = 0;
  {{ s = 0 }}
  i = 0;
  ↓ {{ s = 0 and i = 0 }}
  {{ Inv: s = b[0] + ... + b[i-1] }}
  while (i != n) {
    {{ _____ }}
    s = s + b[i];
    {{ _____ }}
    i = i + 1;
    {{ _____ }}
  }
  {{ _____ }}
  {{ s = b[0] + ... + b[n-1] }}
```

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The following code to compute  $b[0] + \dots + b[n-1]$ :


```
{  
  s = 0;  
  {  
    s = 0;  
    i = 0;  
    {  
      s = 0 and i = 0;  
      {  
        Inv: s = b[0] + ... + b[i-1];  
        while (i != n) {  
          {  
            s = b[0] + ... + b[i-1] and i != n;  
            s = s + b[i];  
            {  
              s = b[0] + ... + b[i-1] + b[i] and i != n;  
              i = i + 1;  
            }  
          }  
          {  
            s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n;  
          }  
        }  
      }  
    }  
  }  
  {  
    _____  
  }  
  {  
    s = b[0] + ... + b[n-1];  
  }  
}
```

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The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{}
s = 0;
{s = 0}
i = 0;
{s = 0 and i = 0}
{Inv: s = b[0] + ... + b[i-1]}
while (i != n) {
  {s = b[0] + ... + b[i-1] and i != n}
  s = s + b[i];
  {s = b[0] + ... + b[i-1] + b[i] and i != n}
  i = i + 1;
  {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n}
}
{_____}
{s = b[0] + ... + b[n-1]}
```

  $\{s + b[i] = b[0] + \dots + b[i]\}$   
s = s + b[i];  
 $\{s = b[0] + \dots + b[i]\}$   
i = i + 1  
 $\{s = b[0] + \dots + b[i-1]\}$

# Example: sum of array

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The following code to compute  $b[0] + \dots + b[n-1]$ :

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s = 0;
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while (i != n) {
    {s = b[0] + ... + b[i-1] and i != n}
    s = s + b[i];
    {s = b[0] + ... + b[i-1] + b[i] and i != n}
    i = i + 1;
    {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n}
}
{s = b[0] + ... + b[i-1] and not (i != n)}
{s = b[0] + ... + b[n-1]}
```

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The following code to compute  $b[0] + \dots + b[n-1]$ :

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{}  
s = 0;  
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i = 0;  
{s = 0 and i = 0}  
{Inv: s = b[0] + ... + b[i-1]}  
while (i != n) {  
  {s = b[0] + ... + b[i-1] and i != n}  
  s = s + b[i];  
  {s = b[0] + ... + b[i-1] + b[i] and i != n}  
  i = i + 1;  
  {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n}  
}  
{s = b[0] + ... + b[i-1] and not (i != n)}  
{s = b[0] + ... + b[n-1]}
```

Are we done?

```
{s + b[i] = b[0] + ... + b[i]}  
s = s + b[i];  
{s = b[0] + ... + b[i]}  
i = i + 1  
{s = b[0] + ... + b[i-1]}
```



# Example: sum of array

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i = 0;  
{s = 0 and i = 0}  
{Inv: s = b[0] + ... + b[i-1]}  
while (i != n) {  
  {s = b[0] + ... + b[i-1] and i != n}  
  s = s + b[i];  
  {s = b[0] + ... + b[i-1] + b[i] and i != n}  
  i = i + 1;  
  {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n}  
}  
{s = b[0] + ... + b[i-1] and not (i != n)}  
{s = b[0] + ... + b[n-1]}
```

Does invariant hold initially?

Are we done?

No, we need to check 1-3

{s + b[i] = b[0] + ... + b[i]}

s = s + b[i];

{s = b[0] + ... + b[i]}

i = i + 1

{s = b[0] + ... + b[i-1]}

# Example: sum of array

$i = 3: s = b[0] + b[1] + b[2]$   
 $i = 2: s = b[0] + b[1]$   
 $i = 1: s = b[0]$   
 $i = 0: s = 0$

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{}  
s = 0;  
{s = 0}  
i = 0;  
{s = 0 and i = 0}  
{Inv: s = b[0] + ... + b[i-1]}  
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  {s = b[0] + ... + b[i-1] and i != n}  
  s = s + b[i];  
  {s = b[0] + ... + b[i-1] + b[i] and i != n}  
  i = i + 1;  
  {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n}  
}  
{s = b[0] + ... + b[i-1] and not (i != n)}  
{s = b[0] + ... + b[n-1]}
```

Holds initially? Yes:  $i = 0$  implies  $s = b[0] + \dots + b[-1] = 0$

Are we done?  
No, we need to check 1-3

```
{s + b[i] = b[0] + ... + b[i]}  
s = s + b[i];  
{s = b[0] + ... + b[i]}  
i = i + 1  
{s = b[0] + ... + b[i-1]}
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The following code to compute  $b[0] + \dots + b[n-1]$ :

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  {s = b[0] + ... + b[i-1] and i != n}  
  s = s + b[i];  
  {s = b[0] + ... + b[i-1] + b[i] and i != n}  
  i = i + 1;  
  {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n}  
}  
{s = b[0] + ... + b[i-1] and not (i != n)}  
{s = b[0] + ... + b[n-1]}
```

Are we done?

No, we need to check 1-3

Does postcondition hold on termination?

# Example: sum of array

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The following code to compute  $b[0] + \dots + b[n-1]$ :

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s = 0;  
{s = 0}  
i = 0;  
{s = 0 and i = 0}  
{Inv: s = b[0] + ... + b[i-1]}  
while (i != n) {  
  {s = b[0] + ... + b[i-1] and i != n}  
  s = s + b[i];  
  {s = b[0] + ... + b[i-1] + b[i] and i != n}  
  i = i + 1;  
  {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n}  
}  
{s = b[0] + ... + b[i-1] and not (i != n)}  
{s = b[0] + ... + b[n-1]}
```

Are we done?  
No, we need to check 1-3

Postcondition holds? Yes, since  $i = n$ .

# Example: sum of array

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i = 0;  
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  {s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n }  
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{s = b[0] + ... + b[i-1] and not (i != n)}  
{s = b[0] + ... + b[n-1]}
```

Are we done?

No, we need to check 1-3

Does loop body preserve invariant?

{s + b[i] = b[0] + ... + b[i]}

s = s + b[i];

{s = b[0] + ... + b[i]}

i = i + 1

{s = b[0] + ... + b[i-1]}

# Example: sum of array

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The following code to compute  $b[0] + \dots + b[n-1]$ :

```

{{ }}
s = 0;
{{ s = 0 }}
i = 0;
{{ s = 0 and i = 0 }}
{{ Inv: s = b[0] + ... + b[i-1] }}
while (i != n) {
    {{ s = b[0] + ... + b[i-1] and i != n }}
    s = s + b[i];
    {{ s = b[0] + ... + b[i-1] + b[i] and i != n }}
    i = i + 1;
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{{ s = b[0] + ... + b[i-1] and not (i != n) }}
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Are we done?  
No, we need to check 1-3

Does loop body preserve invariant?

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{{ s + b[i] = b[0] + ... + b[i] }}
s = s + b[i];
{{ s = b[0] + ... + b[i] }}
i = i + 1
{{ s = b[0] + ... + b[i-1] }}

```

Yes. Weaken by dropping "i-1 != n"

# Example: sum of array

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i = 0;
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{{ Inv: s = b[0] + ... + b[i-1] }}
while (i != n) {
    {{ s = b[0] + ... + b[i-1] and i != n }}
    s = s + b[i];
    {{ s = b[0] + ... + b[i-1] + b[i] and i != n }}
    i = i + 1;
    {{ s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n }}
}
{{ s = b[0] + ... + b[i-1] and not (i != n) }}
{{ s = b[0] + ... + b[n-1] }}

```

Are we done?  
No, we need to check 1-3

Does loop body preserve invariant?

```

{{ s + b[i] = b[0] + ... + b[i] }}
s = s + b[i];
{{ s = b[0] + ... + b[i] }}
i = i + 1
{{ s = b[0] + ... + b[i-1] }}

```

Yes. If Inv holds, then so does this (just add  $b[i]$  to both sides of Inv)

# Reasoning more quickly

---

Your speed at reasoning will improve with practice

Experts typically do not write down assertions for every line

- instead do much of it in their head
- sometimes reason multiple lines at a time (last lecture)
- but still fall back to line-by-line assertions for **tricky code**
  - e.g., binary search



# Filling in code, given invariant

---

Can often deduce correct code directly from loop invariant

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Can often deduce correct code directly from loop invariant:

- what is the easiest way to satisfy the loop invariant?
  - this gives you the initialization code

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Can often deduce correct code directly from loop invariant:

- what is the easiest way to satisfy the loop invariant?
  - this gives you the initialization code
- when does loop invariant satisfy the postcondition?
  - this gives you the termination condition

# Filling in code, given invariant

---

Can often deduce correct code directly from loop invariant:

- what is the easiest way to satisfy the loop invariant?
  - this gives you the initialization code
- when does loop invariant satisfy the postcondition?
  - this gives you the termination condition
- how do you make progress toward termination?
  - if condition is  $i \neq n$  (and  $i \leq n$ ), try  $i = i + 1$
  - if condition is  $i \neq j$  (and  $i \leq j$ ), try  $i = i + 1$  or  $j = j - 1$
  - write out the new invariant with this change (e.g.  $i+1$  for  $i$ )
  - figure out code needed to make the new invariant hold
    - usually just a small change (since Inv change is small)

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{{ b.length >= n and n > 0 }}
```

```
??
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (?) {
```

```
    ??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

# Example: max of array


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Write code to compute  $\max(b[0], \dots, b[n-1])$ :

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{{ b.length >= n and n > 0 }}
```

```
??
```

Easiest way to make this hold?



```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while ( ? ) {
```

```
??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

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```
??
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```


```
while (?) {
```

```
    ??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

Easiest way to make this hold?  
Take  $i = 1$  and  $m = \max(b[0])$



# Example: max of array

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Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{{ b.length >= n and n > 0 }}
```

```
int i = 1;
```

```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (?) {
```

```
    ??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```



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int i = 1;
```

```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (?) {
```

```
    ??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

When does Inv imply postcondition?



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```
int i = 1;
```

```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (?) {
```

```
    ??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

When does Inv imply postcondition?  
Happens when  $i = n$

# Example: max of array

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Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{{ b.length >= n and n > 0 }}
```

```
int i = 1;
```

```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    ??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

# Example: max of array

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Write code to compute  $\max(b[0], \dots, b[n-1])$ :

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{{ b.length >= n and n > 0 }}
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int i = 1;
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int m = b[0];
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{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    ??
```

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}
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```
{{ m = max(b[0], ..., b[n-1]) }}
```

How do we progress toward termination?

# Example: max of array

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Write code to compute  $\max(b[0], \dots, b[n-1])$ :

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{{ b.length >= n and n > 0 }}
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{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    ??
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

How do we progress toward termination?  
We start at  $i = 1$  and end at  $i = n$ , so...

# Example: max of array

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Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{{ b.length >= n and n > 0 }}  
int i = 1;  
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}  
while (i != n) {
```

```
    ??  
    i = i + 1;  
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

How do we progress toward termination?  
We start at  $i = 1$  and end at  $i = n$ , so  
Try this.

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

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{{ b.length >= n and n > 0 }}
```

```
int i = 1;
```

```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    ??
```

```
    i = i + 1;
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

When  $i$  becomes  $i+1$ , Inv becomes:  
 $m = \max(b[0], \dots, b[i])$

# Example: max of array

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{{ b.length >= n and n > 0 }}
```

```
int i = 1;
```

```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    ??
```

```
    i = i + 1;
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

How do we get  
**from**  $m = \max(b[0], \dots, b[i-1])$   
**to**  $m = \max(b[0], \dots, b[i])$ ?



# Example: max of array

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{{ b.length >= n and n > 0 }}
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```
int i = 1;
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```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    ??
```

```
    i = i + 1;
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

How do we get  
**from**  $m = \max(b[0], \dots, b[i-1])$   
**to**  $m = \max(b[0], \dots, b[i])$ ?  
Set  $m = \max(m, b[i])$

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Write code to compute  $\max(b[0], \dots, b[n-1])$ :

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{{ b.length >= n and n > 0 }}
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```
int i = 1;
```

```
int m = b[0];
```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    if (b[i] > m)
```

```
        m = b[i];
```

```
    i = i + 1;
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

How do we get  
**from**  $m = \max(b[0], \dots, b[i-1])$   
**to**  $m = \max(b[0], \dots, b[i])$ ?  
Set  $m = \max(m, b[i])$

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```

```
{{ Inv: m = max(b[0], ..., b[i-1]) }}
```

```
while (i != n) {
```

```
    if (b[i] > m)
```

```
        m = b[i];
```

```
    i = i + 1;
```

```
}
```

```
{{ m = max(b[0], ..., b[n-1]) }}
```

# Filling in code, given invariant

---

Can often deduce correct code directly from loop invariant

- ones where this happens are the best invariants

The invariant is *often* the essence of the algorithm **idea**

- then rest is just details that follow from the invariant

# Finding the loop invariant

---

Not every loop invariant is simple weakening of postcondition, but...

- that is the easiest case
- it happens a lot

In this class (e.g., exams):

- if I ask you to find the invariant, it will be of this type
- I may ask you to inspect code with more complex invariants
- to learn about more ways of finding invariants: CSE 421

# Examples: finding loop invariants

---

1. sum of array
  - postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$

# Examples: finding loop invariants

---

## 1. sum of array

- postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$
- loop invariant:  $s = b[0] + b[1] + \dots + b[i-1]$ 
  - gives postcondition when  $i = n$
  - gives  $s = 0$  when  $i = 0$

# Examples: finding loop invariants

---

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## 2. max of array

- postcondition:  $m = \max(b[0], b[1], \dots, b[n-1])$



# Examples: finding loop invariants

---

## 1. sum of array

- postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$
- loop invariant:  $s = b[0] + b[1] + \dots + b[i-1]$ 
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  - gives  $s = 0$  when  $i = 0$

## 2. max of array

- postcondition:  $m = \max(b[0], b[1], \dots, b[n-1])$
- loop invariant:  $m = \max(b[0], b[1], \dots, b[i-1])$ 
  - gives postcondition when  $i = n$
  - gives  $m = b[0]$  when  $i = 1$

# Example: Dutch National Flag (HW0)

---

Postcondition says we need to produce this:



And it starts out like this:



Loop invariant should (essentially) have

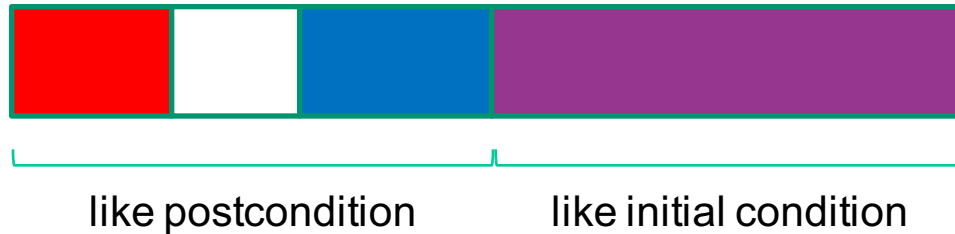
- postcondition as a special case
- initial condition as a special case

Loop invariant describes continuum of partial progress

# Example: Dutch National Flag

---

The first idea that comes to mind:



# Example: Dutch National Flag

---

The first idea that comes to mind works.



# Example: Dutch National Flag

---

To describe this mathematically, create names for split points



Create indices  $i, j, k$  with  $0 \leq i \leq j \leq k \leq n$

The invariant is then

- $A[0], A[1], \dots, A[i-1]$  is red
- $A[i], A[i+1], \dots, A[j-1]$  is white
- $A[j], A[j+1], \dots, A[k-1]$  is blue
- (and  $A[k], A[k+1], \dots, A[n-1]$  is unconstrained)