

# The Hardware/Software Interface CSE351

Autumn2011 1st Lecture, September 28

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## Teaching Assistants:

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# Who is Luis?



PhD in architecture,  
multiprocessors, parallelism,  
compilers.



# Who are you?

- **85+ students (wow!)**
- **Who has written programs in assembly before?**
- **Written a threaded program before?**
- **What is hardware? Software?**
- **What is an interface?**
- **Why do we need a hardware/software interface?**



# C vs. Assembler vs. Machine Programs

```
if ( x != 0 ) y = (y+z) / x;
```

```

cmpl $0, -4(%ebp)

je .L2

movl -12(%ebp), %eax

movl -8(%ebp), %edx

leal (%edx,%eax), %eax

movl %eax, %edx

sarl $31, %edx

idivl -4(%ebp)

movl %eax, -8(%ebp)

.L2:

```

```

1000001101111100001001000001110000000000

0111010000011000

10001011010001000010010000010100

10001011010001100010010100010100

1000110100000100000000010

1000100111000010

110000011111101000011111

11110111011111000010010000011100

10001001010001000010010000011000

```

# C vs. Assembler vs. Machine Programs

```
if ( x != 0 ) y = (y+z) / x;
```

```

cpl $0, -4(%ebp)

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movl -12(%ebp), %eax

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```

```

1000001101111100001001000001110000000000

0111010000011000

10001011010001000010010000010100

10001011010001100010010100010100

1000110100000100000000010

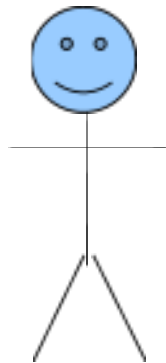
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```

- The three program fragments are equivalent
- You'd rather write C!
- The hardware likes bit strings!
  - The machine instructions are actually much shorter than the bits required to represent the characters of the assembler code

# HW/SW Interface: The Historical Perspective

- **Hardware started out quite primitive**
  - Design was expensive □ the instruction set was very simple
    - E.g., a single instruction can add two integers
- **Software was also very primitive**



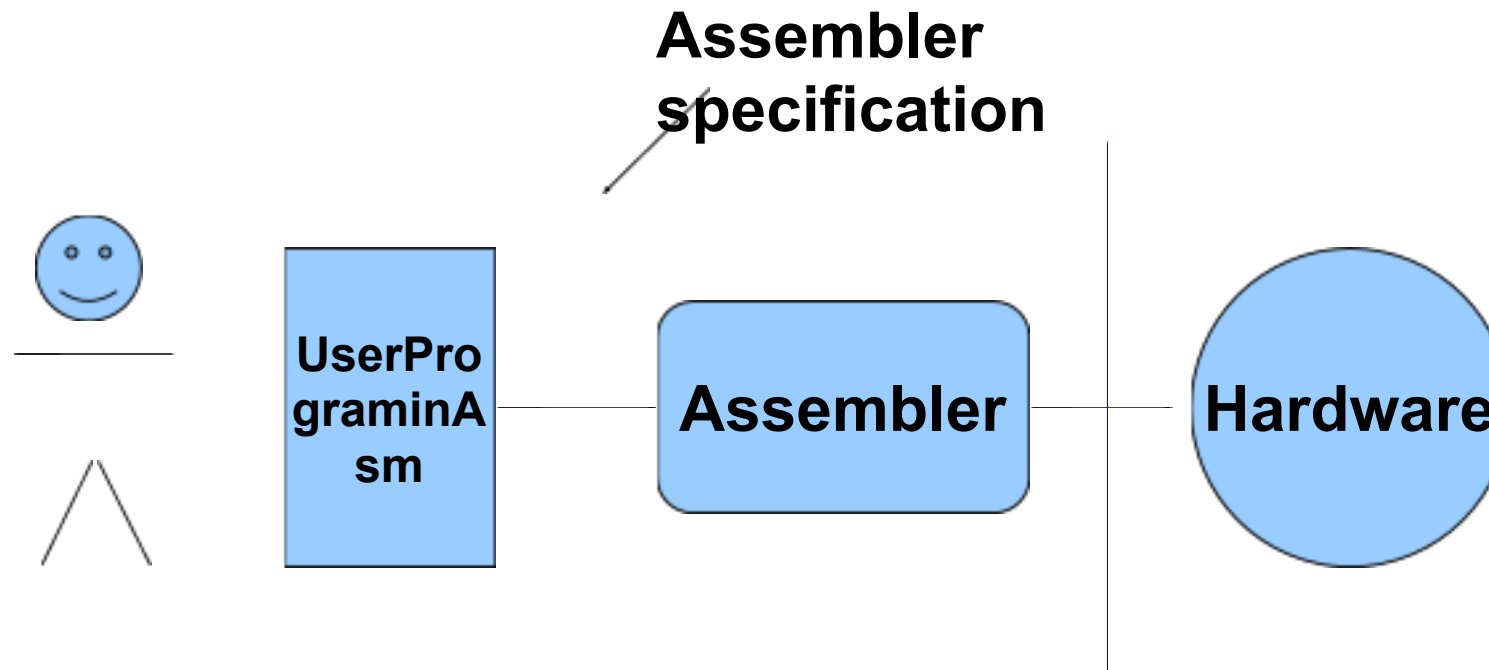
Architecture Specification (Interface)

Hardware

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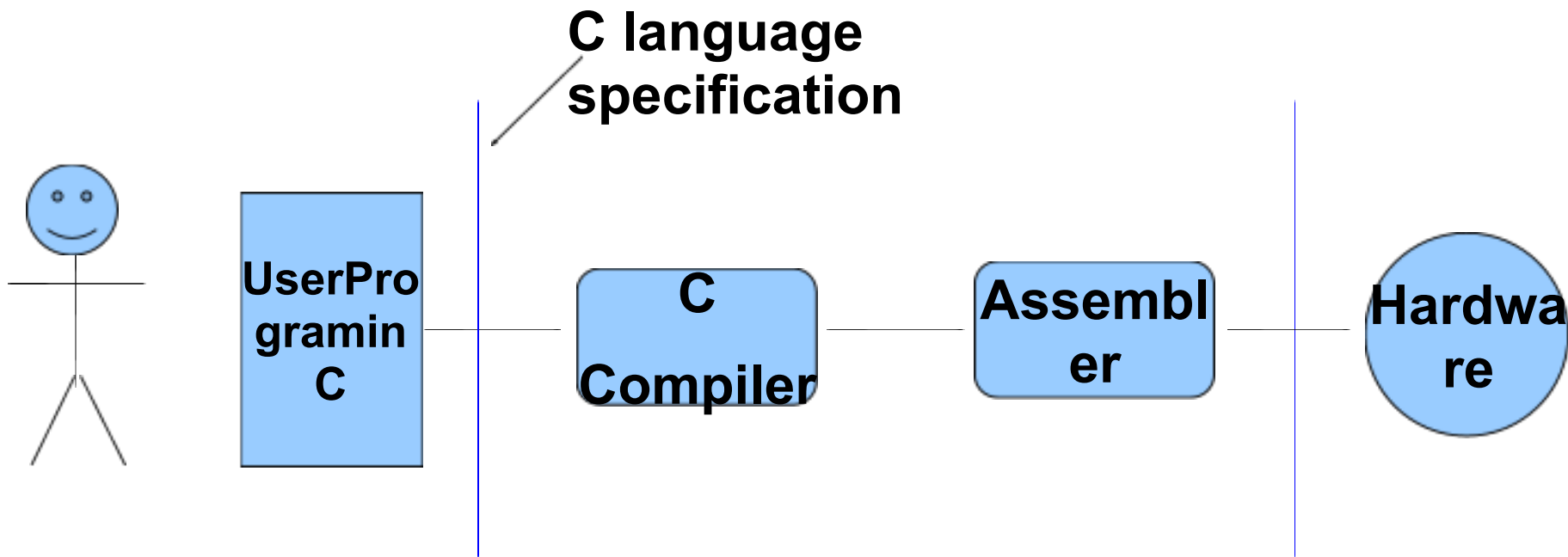
# HW/SW Interface: Assemblers

- **Life was made a lot better by assemblers**
  - 1 assembly instruction = 1 machine instruction, but...
  - different syntax: assembly instructions are character strings, not bit strings



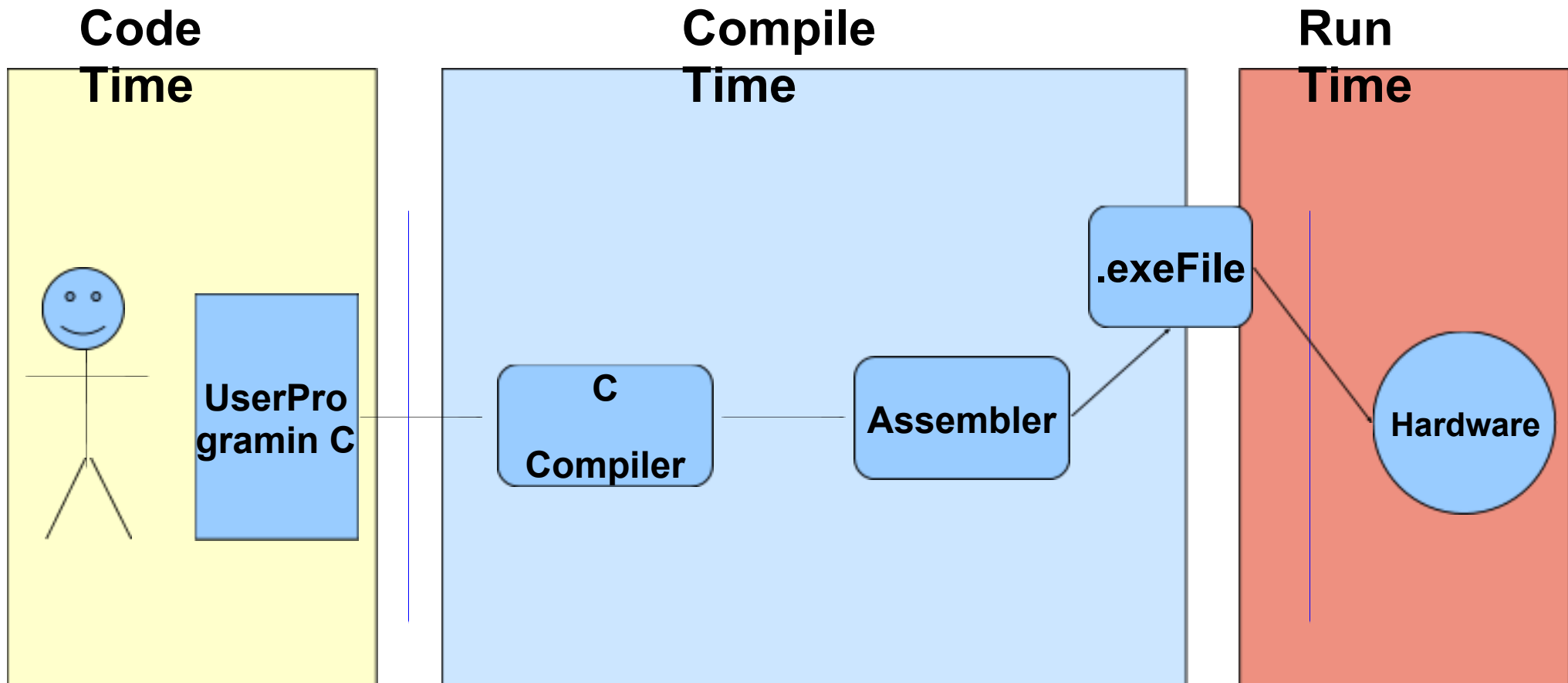
# HW/SW Interface: Higher Level Languages (HLL's)

- **Higher level of abstraction:**
  - 1 HLL line is compiled into many (many) assembler lines





# HW/SW Interface: Code / Compile / Run Times



*Note: The compiler and assembler are just programs, developed using this same process.*

# Overview

- **Course themes: big and little**
- **Four important realities**
- **How the course fits into the CSE curriculum**
- **Logistics**
- **HW0 released! Have fun!**
- **(ready? )**

# The Big Theme

- **THE HARDWARE/SOFTWARE INTERFACE**
- **How does the hardware (0s and 1s, processor executing instructions) relate to the software (Java programs)?**
- **Computing is about abstractions (but don't forget reality)**
- **What are the abstractions that we use?**
- **What do YOU need to know about them?**
  - When do they break down and you have to peek under the hood?
  - What bugs can they cause and how do you find them?
- **Become a better programmer and begin to understand the thought processes that go into building computer systems**

# Little Theme 1: Representation

- **All digital systems represent everything as 0s and 1s**
- **Everything includes:**
  - Numbers – integers and floating point
  - Characters – the building blocks of strings
  - Instructions – the directives to the CPU that make up a program
  - Pointers – addresses of data objects in memory
- **These encodings are stored in registers, caches, memories, disks, etc.**
- **They all need addresses**
  - A way to find them
  - Find a new place to put a new item
  - Reclaim the place in memory when data no longer needed

# Little Theme 2: Translation

- **There is a big gap between how we think about programs and data and the 0s and 1s of computers**
- **Need languages to describe what we mean**
- **Languages need to be translated one step at a time**
  - Word-by-word
  - Phrase structures
  - Grammar
- **We know Java as a programming language**
  - Have to work our way down to the 0s and 1s of computers
  - Try not to lose anything in translation!
  - We'll encounter Java byte-codes, C language, assembly language, and machine code (for the X86 family of CPU architectures)

# Little Theme 3: Control Flow

- **How do computers orchestrate the many things they are doing – seemingly in parallel**
- **What do we have to keep track of when we call a method, and then another, and then another, and so on**
- **How do we know what to do upon “return”**
- **User programs and operating systems**
  - Multiple user programs
  - Operating system has to orchestrate them all
    - Each gets a share of computing cycles
    - They may need to share system resources (memory, I/O, disks)
  - Yielding and taking control of the processor
    - Voluntary or by force?

# Course Outcomes

- **Foundation: basics of high-level programming (Java)**
- **Understanding of some of the abstractions that exist between programs and the hardware they run on, why they exist, and how they build upon each other**
- **Knowledge of some of the details of underlying implementations**
- **Become more effective programmers**
  - More efficient at finding and eliminating bugs
  - Understand the many factors that influence program performance
  - Facility with some of the many languages that we use to describe programs and data
- **Prepare for later classes in CSE**

# Reality 1: Ints $\neq$ Integers & Floats $\neq$ Reals

- Representations are finite
- Example 1: Is  $x^2 \geq 0$ ?
  - Floats: Yes!
  - Ints:
    - $40000 * 40000 \rightarrow 1600000000$
    - $50000 * 50000 \rightarrow ??$
- Example 2: Is  $(x + y) + z = x + (y + z)$ ?
  - Unsigned & Signed Ints: Yes!
  - Floats:
    - $(1e20 + -1e20) + 3.14 \rightarrow 3.14$
    - $1e20 + (-1e20 + 3.14) \rightarrow ??$



# Code Security Example

```
/* Kernel memory region holding user-accessible data */
#define KSIZE 1024
char kbuf[KSIZE]; int len = KSIZE;
/* Copy at most maxlen bytes from kernel region to user buffer */
int copy_from_kernel(void *user_dest, int maxlen) {
/* Byte count len is minimum of buffer size and maxlen */
if (KSIZE > maxlen) len = maxlen;
memcpy(user_dest, kbuf, len);
return len;
}
```

- **Similar to code found in FreeBSD's implementation of getpeername**
- **There are legions of smart people trying to find vulnerabilities in programs**

# Typical Usage

```
/* Kernel memory region holding user-accessible data */
#define KSIZE 1024
char kbuf[KSIZE]; int len = KSIZE;
/* Copy at most maxlen bytes from kernel region to user buffer */
int copy_from_kernel(void *user_dest, int maxlen) {
/* Byte count len is minimum of buffer size and maxlen */
if (KSIZE > maxlen) len = maxlen;
memcpy(user_dest, kbuf, len);
return len;
}
```

```
#define MSIZE 528
void getstuff() {
char mybuf[MSIZE];
copy_from_kernel(mybuf, MSIZE);
printf("%s\n", mybuf);
}
```

# Malicious Usage

```
/* Kernel memory region holding user-accessible data */
#define KSIZE 1024
char kbuf[KSIZE]; int len = KSIZE;
/* Copy at most maxlen bytes from kernel region to user buffer */
int copy_from_kernel(void *user_dest, int maxlen) {
/* Byte count len is minimum of buffer size and maxlen */
if (KSIZE > maxlen) len = maxlen;
memcpy(user_dest, kbuf, len);
return len;
}
```

```
#define MSIZE 528
void getstuff() {
char mybuf[MSIZE];
copy_from_kernel(mybuf, -MSIZE);
...
}
```

# Reality #2: You've Got to Know Assembly

- **Chances are, you'll never write a program in assembly code**
  - Compilers are much better and more patient than you are
- **But: Understanding assembly is the key to the machine-level execution model**
  - Behavior of programs in presence of bugs
    - High-level language model breaks down
  - Tuning program performance
    - Understand optimizations done/not done by the compiler
    - Understanding sources of program inefficiency
  - Implementing system software
    - Operating systems must manage process state
  - Creating / fighting malware
  - x86 assembly is the language of choice

# Assembly Code Example

- **Time Stamp Counter**

- Special 64-bit register in Intel-compatible machines
- Incremented every clock cycle
- Read with rdtsc instruction

- **Application**

- Measure time (in clock cycles) required by procedure

```
double t;  
start_counter();  
P();  
t = get_counter();  
printf("P required %f clock cycles\n", t);
```

# Code to Read Counter

- Write small amount of assembly code using GCC's asm facility
- Inserts assembly code into machine code generated by compiler

```
/* Set *hi and *lo to the high and low order bits
of the cycle counter.
*/
void access_counter(unsigned *hi, unsigned *lo)
{
asm("rdtsc; movl %%edx,%0; movl %%eax,%1"
: "=r" (*hi), "=r" (*lo) /* output */
: /* input */
: "%edx", "%eax"); /* clobbered */
}
```

# Reality #3: Memory Matters

- **Memory is not unbounded**

- It must be allocated and managed
- Many applications are memory-dominated

- **Memory referencing bugs are especially pernicious**

- Effects are distant in both time and space

- **Memory performance is not uniform**

- Cache and virtual memory effects can greatly affect program performance
- Adapting program to characteristics of memory system can lead to major speed improvements

# Memory Referencing Bug Example

```
double fun(int i)
{
volatile double d[1] = {3.14};
volatile long int a[2];
a[i] = 1073741824; /* Possibly out of bounds */
return d[0];
}
```

**fun(0) → 3.14**

**fun(1) → 3.14**

**fun(2) → 3.1399998664856**

**fun(3) → 2.00000061035156**

**fun(4) → 3.14, then segmentation fault**



# Memory Referencing Bug Example

```
double fun(int i)
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volatile double d[1] = {3.14};
volatile long int a[2];
a[i] = 1073741824; /* Possibly out of bounds */
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}
```

fun(0) → 3.14

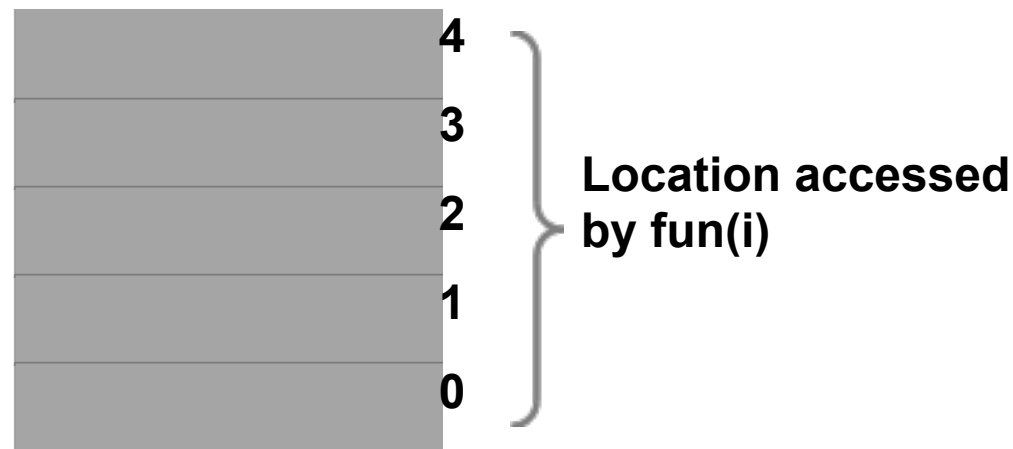
fun(1) → 3.14

fun(2) → 3.1399998664856

fun(3) → 2.00000061035156

fun(4) → 3.14, then segmentation fault

## Explanation:



# Memory Referencing Errors

- **C (and C++) do not provide any memory protection**

- Out of bounds array references
- Invalid pointer values
- Abuses of malloc/free

- **Can lead to nasty bugs**

- Whether or not bug has any effect depends on system and compiler
- Action at a distance
  - Corrupted object logically unrelated to one being accessed
  - Effect of bug may be first observed long after it is generated

- **How can I deal with this?**

- Program in Java (or C#, or ML, or ...)
- Understand what possible interactions may occur
- Use or develop tools to detect referencing errors

# Memory System Performance Example

- Hierarchical memory organization
- Performance depends on access patterns
  - Including how program steps through multi-dimensional array

```
void copyij(int src[2048][2048],  
int dst[2048][2048])  
{  
int i,j;  
for (i = 0; i < 2048; i++)  
for (j = 0; j < 2048; j++)  
dst[i][j] = src[i][j];  
}
```

```
void copyji(int src[2048][2048],  
int dst[2048][2048])  
{  
int i,j;  
for (j = 0; j < 2048; j++)  
for (i = 0; i < 2048; i++)  
dst[i][j] = src[i][j];  
}
```

**21 times slower  
(Pentium 4)**

# Reality #4: Performance isn't counting ops

- **Exact op count does not predict performance**

- Easily see 10:1 performance range depending on how code written
- Must optimize at multiple levels: algorithm, data representations, procedures, and loops

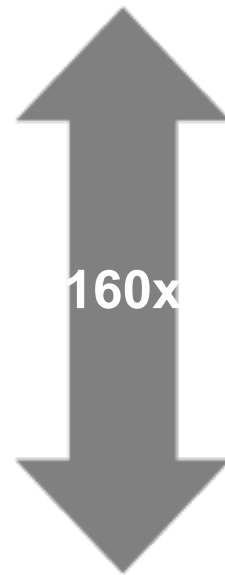
- **Must understand system to optimize performance**

- How programs compiled and executed
- How memory system is organized
- How to measure program performance and identify bottlenecks
- How to improve performance without destroying code modularity and generality

# Example Matrix Multiplication

- Standard desktop computer, vendor compiler, using optimization flags
- Both implementations have **exactly** the same operations count ( $2n^3$ )

Triple  
loop



**Best code (K.  
Goto)**

# MMM Plot: Analysis

**Multiple threads: 4x**

**Vector instructions: 4x**

**Memory hierarchy and other optimizations: 20x**

- Reason for 20x: blocking or tiling, loop unrolling, array scalarization, instruction scheduling, search to find best choice
- *Effect: less register spills, less L1/L2 cache misses, less TLB misses*

# CSE351's role in new CSE Curriculum

- **Pre-requisites**

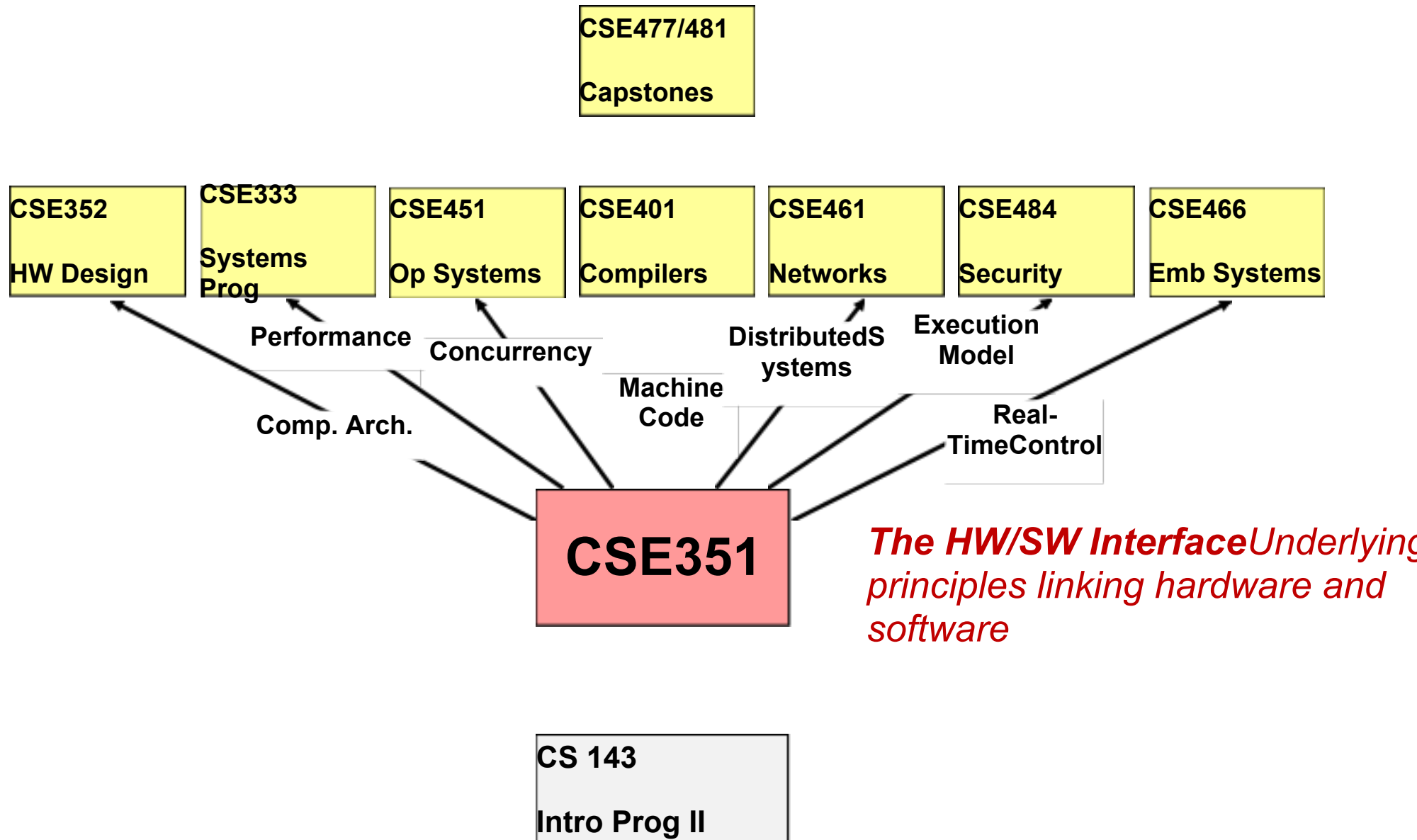
- 142 and 143: Intro Programming I and II

- **One of 6 core courses**

- 311: Foundations I
- 312: Foundations II
- 331: SW Design and Implementation
- 332: Data Abstractions
- 351: HW/SW Interface
- 352: HW Design and Implementation

- **351 sets the context for many follow-on courses**

# CSE351's place in new CSE Curriculum





# Course Perspective

- **Most systems courses are Builder-Centric**

- Computer Architecture
  - Design pipelined processor in Verilog
- Operating Systems
  - Implement large portions of operating system
- Compilers
  - Write compiler for simple language
- Networking
  - Implement and simulate network protocols

# Course Perspective (Cont.)

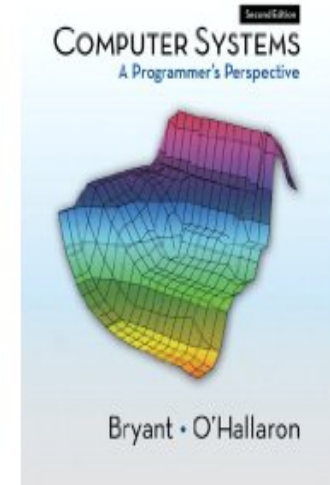
- **This course is Programmer-Centric**

- Purpose is to show how software really works
- By understanding the underlying system, one can be more effective as a programmer
  - Better debugging
  - Better basis for evaluating performance
  - How multiple activities work in concert (e.g., OS and user programs)
- Not just a course for dedicated hackers
  - What every CSE major needs to know
- Provide a context in which to place the other CSE courses you'll take

# Textbooks

- **Computer Systems: A Programmer's Perspective, 2nd Edition**

- Randal E. Bryant and David R. O'Hallaron
- Prentice-Hall, 2010
- <http://csapp.cs.cmu.edu>
- This book really matters for the course!
  - How to solve labs
  - Practice problems typical of exam problems



- **A good C book.**

- C: A Reference Manual (Harbison and Steele)
- The C Programming Language (Kernighan and Ritchie)

# Course Components

- **Lectures (~30)**
  - Higher-level concepts – I'll assume you've done the reading in the text
- **Sections (~10)**
  - Applied concepts, important tools and skills for labs, clarification of lectures, exam review and preparation
- **Written assignments (4)**
  - Problems from text to solidify understanding
- **Labs (4)**
  - Provide in-depth understanding (via practice) of an aspect of systems
- **Exams (midterm + final)**
  - Test your understanding of concepts and principles

# Resources

- **Course Web Page**

- <http://www.cse.washington.edu/351>
- Copies of lectures, assignments, exams

- **Course Discussion Board**

- Keep in touch outside of class – help each other
- Staff will monitor and contribute

- **Course Mailing List**

- Low traffic – mostly announcements; you are already subscribed

- **Staff email**

- Things that are not appropriate for discussion board or better offline

- **Anonymous Feedback (will be linked from homepage)**

- Any comments about anything related to the course where you would feel better not attaching your name

# Policies: Grading

- **Exams: weighted 1/3 (midterm), 2/3 (final)**
- **Written assignments: weighted according to effort**
  - We'll try to make these about the same
- **Labs assignments: weighted according to effort**
  - These will likely increase in weight as the quarter progresses
- **Grading:**
  - 25% written assignments
  - 35% lab assignments
  - 40% exams

# Welcome to CSE351!

- **Let's have fun**
- **Let's learn – together**
- **Let's communicate**
- **Let's set the bar for a useful and interesting class**
- **Many thanks to the many instructors who have shared their lecture notes – I will be borrowing liberally through the qtr – they deserve all the credit, the errors are all mine**
  - UW: Gaetano Borriello (Inaugural edition of CSE 351, Spring 2010)
  - CMU: Randy Bryant, David O'Halloran, Gregory Kesden, Markus Püschel
  - Harvard: Matt Welsh
  - UW: Tom Anderson, Luis Ceze, John Zahorjan