

**Graphs:**  
**Topological Sort / Graph Traversals**  
**(Chapter 9)**

CSE 373  
Data Structures and Algorithms

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**Today's Outline**

- **Admin:**
  - Homework #4 - due Thurs, Nov 8<sup>th</sup> at 11pm
  - Midterm 2, Fri Nov 16
- **Graphs**
  - Representations
  - Topological Sort
  - Graph Traversals

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Disclaimer: Do not use for official advising purposes!

**Topological Sort**

Problem: Given a DAG  $G=(V, E)$ , output all the vertices in order such that if no vertex appears before any other vertex that has an edge to it

Example input:

```

graph LR
    142((CSE 142)) --> 143((CSE 143))
    143 --> 373((CSE 373))
    373 --> 374((CSE 374))
    373 --> 410((CSE 410))
    373 --> 413((CSE 413))
    373 --> 415((CSE 415))
    413 --> 417((CSE 417))
  
```

Example output:  
142, 143, 374, 373, 415, 413, 410, 417

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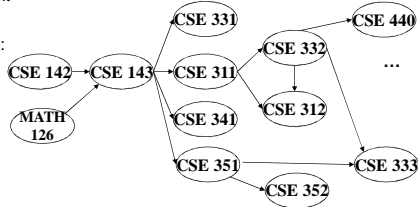
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Disclaimer: Do not use for official advising purposes!

### Topological Sort

Problem: Given a DAG  $G=(V, E)$ , output all the vertices in order such that if no vertex appears before any other vertex that has an edge to it

Example input:



Example output:

142, 126, 143, 311, 331, 332, 312, 341, 351, 333, 440, 352

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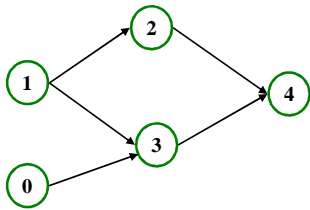
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Valid Topological Sorts:

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### Questions and comments

- Why do we perform topological sorts only on DAGs?
- Is there always a unique answer?
- What DAGs have exactly 1 answer?
- Terminology: A DAG represents a **partial order** and a topological sort produces a **total order** that is consistent with it

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Questions and comments

- Why do we perform topological sorts only on DAGs?
  - Because a cycle means there is no correct answer
- Is there always a unique answer?
  - No, there can be 1 or more answers; depends on the graph
- What DAGs have exactly 1 answer?
  - Lists
- Terminology: A DAG represents a partial order and a topological sort produces a total order that is consistent with it

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Uses

- Figuring out how to graduate
- Computing the order in which to recompute cells in a spreadsheet
- Determining the order to compile files using a Makefile
- In general, taking a dependency graph and coming up with an order of execution

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A first algorithm for topological sort

1. Label each vertex with its in-degree
  - Labeling also called marking
  - Think "write in a field in the vertex", though you could also do this with a data structure (e.g., array) on the side
2. While there are vertices not yet output:
  - a) Choose a vertex  $v$  with labeled with in-degree of 0
  - b) Output  $v$  and "remove it" (conceptually) from the graph
  - c) For each vertex  $u$  adjacent to  $v$  (i.e.  $u$  such that  $(v,u)$  in  $E$ ), decrement the in-degree of  $u$

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**Example** Output:

Node: 126 142 143 311 312 331 332 333 341 351 352 440  
 Removed?  
 In-degree: 0 0 2 1 2 1 1 2 1 1 1 1

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**Example** Output: 126

Node: 126 142 143 311 312 331 332 333 341 351 352 440  
 Removed? x  
 In-degree: 0 0 2 1 2 1 1 2 1 1 1 1

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**Example** Output: 126  
142

Node: 126 142 143 311 312 331 332 333 341 351 352 440  
 Removed? x x  
 In-degree: 0 0 2 1 2 1 1 2 1 1 1 1

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**Example**

Output: 126  
142  
143

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x									
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	0			0	0			
			0									

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**Example**

Output: 126  
142  
143  
311

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x	x								
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	1	0	0	0	0	0		
			0									

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**Example**

Output: 126  
142  
143  
311  
331

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x	x								
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	1	0	0	0	0	0		
			0									

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**Example**

Output: 126  
142  
143  
311  
331  
332

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x	x		x	x					
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	1	0	0	1	0	0	0	0
			0		0							

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**Example**

Output: 126  
142  
143  
311  
331  
332  
312

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x	x	x	x	x					
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	1	0	0	1	0	0	0	0
			0		0							

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**Example**

Output: 126  
142  
143  
311  
331  
332  
312  
341

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x	x	x	x	x		x			
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	1	0	0	1	0	0	0	0
			0		0							

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**Example**

Output: 126  
142  
143  
311  
331  
332  
312  
341  
351

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x	x	x	x	x		x	x		
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	1	0	0	1	0	0	0	0
			0		0			0				

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**Example**

Output: 126  
142  
143  
311  
331  
332  
312  
341  
351  
333  
352  
440

Node:	126	142	143	311	312	331	332	333	341	351	352	440
Removed?	x	x	x	x	x	x	x	x	x	x	x	x
In-degree:	0	0	2	1	2	1	1	2	1	1	1	1
			1	0	1	0	0	1	0	0	0	0
			0		0			0				

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**A couple of things to note**

- Needed a vertex with in-degree of 0 to start
  - No cycles
- Ties between vertices with in-degrees of 0 can be broken arbitrarily
  - Potentially many different correct orders

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Topological Sort: Running time?

```
labelEachVertexWithItsInDegree();
for(ctr=0; ctr < numVertices; ctr++){
  v = findNewVertexOfDegreeZero();
  put v next in output
  for each w adjacent to v
    w.indegree--;
}
```

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Topological Sort: Running time?

```
labelEachVertexWithItsInDegree();
for(ctr=0; ctr < numVertices; ctr++){
  v = findNewVertexOfDegreeZero();
  put v next in output
  for each w adjacent to v
    w.indegree--;
}
```

- What is the worst-case running time?
  - Initialization  $O(|V| + |E|)$
  - Sum of all find-new-vertex  $O(|V|^2)$  (because each  $O(|V|)$ )
  - Sum of all decrements  $O(|E|)$  (assuming adjacency list)
  - So total is  $O(|V|^2 + |E|)$  – not good for a sparse graph!

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Doing better

The trick is to avoid searching for a zero-degree node every time!

- Keep the "pending" zero-degree nodes in a list, stack, queue, box, table, or something
- Order we process them affects output but not correctness or efficiency provided add/remove are both  $O(1)$

Using a queue:

1. Label each vertex with its in-degree, enqueue 0-degree nodes
2. While queue is not empty
  - a)  $v = \text{dequeue}()$
  - b) Output  $v$  and remove it from the graph
  - c) For each vertex  $u$  adjacent to  $v$  (i.e.  $u$  such that  $(v,u) \in \mathcal{E}$ ), decrement the in-degree of  $u$ , if new degree is 0, enqueue it

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*Optimized Topological Sort:*

```

labelAllAndEnqueueZeros();
for(ctr=0; ctr < numVertices; ctr++){
  v = dequeue();
  put v next in output
  for each w adjacent to v {
    w.indegree--;
    if(w.indegree==0) enqueue(w);
  }
}
    
```

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*Optimized Topological Sort:*

```

labelAllAndEnqueueZeros();
for(ctr=0; ctr < numVertices; ctr++){
  v = dequeue();
  put v next in output
  for each w adjacent to v {
    w.indegree--;
    if(w.indegree==0) enqueue(w);
  }
}
    
```

- What is the worst-case running time?
  - Initialization:  $O(|V| + |E|)$
  - Sum of all enqueues and dequeues:  $O(|V|)$
  - Sum of all decrements:  $O(|E|)$  (assuming adjacency list)
  - So total is  $O(|E| + |V|)$  – much better for sparse graph!

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*Graph Traversals*

Next problem: For an arbitrary graph and a starting node  $v$ , find all nodes *reachable* (i.e., there exists a path) from  $v$

- Possibly “do something” for each node (an iterator!)
  - E.g. Print to output, set some field, etc.

Related:

- Is an undirected graph connected?
- Is a directed graph weakly / strongly connected?
  - For strongly, need a cycle back to starting node

Basic idea:

- Keep following nodes
- But “mark” nodes after visiting them, so the traversal terminates and processes each reachable node exactly once

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*Graph Traversals: Abstract idea*

```

traverseGraph(Node start) {
  Set pending = emptySet();
  pending.add(start)
  mark start as visited
  while(pending is not empty) {
    next = pending.remove()
    for each node u adjacent to next
      if(u is not marked) {
        mark u
        pending.add(u)
      }
  }
}
    
```

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*Running time and options*

- Assuming add and remove are  $O(1)$ , entire traversal is  $O(|E|)$
- The order we traverse depends entirely on add and remove
  - Popular choice: a stack "**depth-first graph search**" (DFS)
  - Popular choice: a queue "**breadth-first graph search**" (BFS)
- DFS and BFS are "big ideas" in computer science
  - **Depth**: recursively explore one part before going back to the other parts not yet explored
  - **Breadth**: Explore areas closer to the start node first

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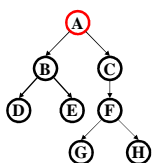
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*Recursive DFS, Example : trees*

- A tree is a graph and DFS and BFS are particularly easy to "see"



```

DFS(Node start) {
  mark and "process"(e.g. print) start
  for each node u adjacent to start
    if u is not marked
      DFS(u)
}
    
```

- Order processed: A, B, D, E, C, F, G, H
- Exactly what we called a "pre-order traversal" for trees
  - The marking is because we support arbitrary graphs and we want to process each node exactly once

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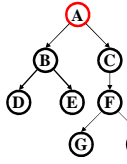
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Depth First Search(DFS) with a stack:



```

DFS2(Node start) {
  initialize stack s to hold start
  mark start as visited
  while(s is not empty) {
    next = s.pop() // and "process"
    for each node u adjacent to next
      if(u is not marked)
        mark u and push onto s
  }
}

```

- Order processed:

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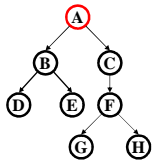
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DFS with a stack, Example: trees



```

DFS2(Node start) {
  initialize stack s to hold start
  mark start as visited
  while(s is not empty) {
    next = s.pop() // and "process"
    for each node u adjacent to next
      if(u is not marked)
        mark u and push onto s
  }
}

```

- Order processed: A, C, F, H, G, B, E, D
- A different but perfectly fine traversal

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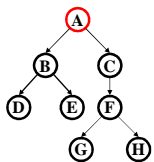
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Breadth First Search (BFS) with a queue:



```

BFS(Node start) {
  initialize queue q to hold start
  mark start as visited
  while(q is not empty) {
    next = q.dequeue()// and "process"
    for each node u adjacent to next
      if(u is not marked)
        mark u and enqueue onto q
  }
}

```

- Order processed:
- A "level-order" traversal

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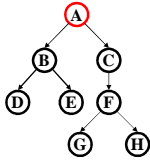
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*BFS with a queue, Example: trees*



```

BFS(Node start) {
  initialize queue q to hold start
  mark start as visited
  while(q is not empty) {
    next = q.dequeue()// and "process"
    for each node u adjacent to next
      if(u is not marked)
        mark u and enqueue onto q
  }
}
  
```

- Order processed: A, B, C, D, E, F, G, H
- A "level-order" traversal

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*What if I want to find the "shortest" path?*

- **Breadth-first** always finds shortest paths in terms of minimum number of edges from the starting node.
- **An aside: Depth-first** can use less space in finding a path
  - If *longest path* in the graph is  $p$  and highest out-degree is  $d$  then DFS stack never has more than  $d \cdot p$  elements
  - But a queue for BFS may hold  $O(|V|)$  nodes

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*Saving the path*

- Our graph traversals can answer the "reachability question":
  - "**Is there** a path from node  $x$  to node  $y$ ?"
- Q: But what if we want to **output the actual path**?
  - Like getting driving directions rather than just knowing it's possible to get there!
- A: Like this:
  - Instead of just "marking" a node, store the **previous node** along the path (when processing  $u$  causes us to add  $v$  to the search, set  $v.path$  field to be  $u$ )
  - When you reach the goal, follow **path** fields backwards to where you started (and then reverse the answer)
  - If just wanted path *length*, could put the integer distance at each node instead

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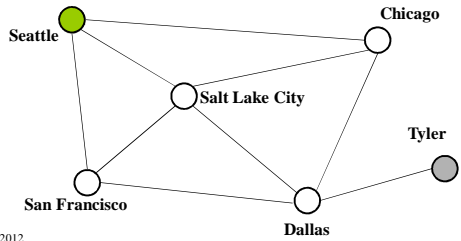
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### Example using BFS

- What is a path from Seattle to Tyler
- Remember marked nodes are not re-enqueued
  - Note shortest paths may not be unique



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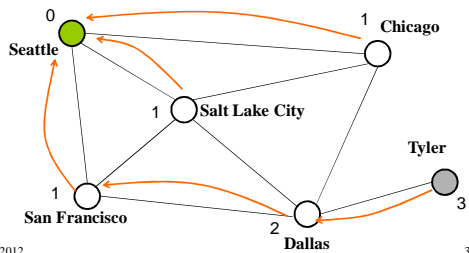
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### Example using BFS

- What is a path from Seattle to Tyler
- Remember marked nodes are not re-enqueued
  - Note shortest paths may not be unique



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