

Performance metrics for caches

- Basic performance metric: *hit ratio* h
 $h = \text{Number of memory references that hit in the cache} / \text{total number of memory references}$
Typically $h = 0.90$ to 0.97
- Equivalent metric: *miss rate* $m = 1 - h$
- Other important metric: *Average memory access time*
$$\text{Av.Mem. Access time} = h * T_{\text{cache}} + (1-h) * T_{\text{mem}}$$

where T_{cache} is the time to access the cache (e.g., 1 cycle) and T_{mem} is the time to access main memory (e.g., 100 cycles)
(Of course this formula has to be modified the obvious way if you have a hierarchy of caches)

Classifying the cache misses: The 3 C's

- **Compulsory misses** (cold start)
 - The first time you touch a block. Reduced (for a given cache capacity and associativity) by having large block sizes
- **Capacity misses**
 - The working set is too big for the ideal cache of same capacity and block size (i.e., fully associative with optimal replacement algorithm). Only remedy: bigger cache!
- **Conflict misses** (interference)
 - Mapping of two blocks to the same location. Increasing associativity decreases this type of misses.
- There is a fourth C: **coherence misses** (cf. multiprocessors)

Parameters for cache design

- Goal: Have h as high as possible without paying too much for T_{cache}
- The bigger the cache *size (or capacity)*, the higher h .
 - True but too big a cache increases T_{cache}
 - Limit on the amount of “real estate” on the chip (although this limit is not present for 1st level caches)
- The larger the cache *associativity*, the higher h .
 - True but too much associativity is costly because of the number of comparators required and might also slow down T_{cache} (extra logic needed to select the “winner”)
- *Block (or line) size*
 - For a given application, there is an optimal block size but that optimal block size varies from application to application

Parameters for cache design (continued)

- *Write policy* (in a moment)
 - There are several policies with, as expected, the most complex giving the best performance results
- *Replacement algorithm* (for set-associative caches)
 - Not very important for caches with small associativity (will be very important for paging systems)
- Split I and D-caches vs. unified caches.
 - First-level caches need to be split because of pipelining that requests an instruction every cycle. Allows for different design parameters for I-caches and D-caches
 - Second and higher level caches are unified (mostly used for data)

Review

- What is the non-computer definition of “cache”?
- What are the 3 parts of a memory address as seen by cache
- How are direct mapped caches “opposite” fully associative caches?
- Cache “lines” are also known as _____.
- What is a typical size for a cache line?
- Is 2-way better than 4-way set associative?
- “The _____er the cache, the better the performance”

Writing in a cache

- On a write hit, should we write:
 - In the cache only (*write-back*) policy
 - In the cache and main memory (or next level cache) (*write-through*) policy
- On a write miss, should we
 - Allocate a block as in a read (*write-allocate*)
 - Write only in memory (*write-around*)

Write-through policy

- Write-through (a.k.a store-through)
 - On a write hit, write both in cache and in memory
 - On a write miss, the most frequent option is write-around, i.e., write only in memory
- Pro:
 - memory is always coherent (better for I/O);
 - more reliable (no error detection-correction “ECC” required for cache)
- Con:
 - more memory traffic (can be somewhat alleviated with *write buffers*)

Write-back policy

- Write-back (a.k.a copy-back)
 - On a write hit, write only in cache (requires *dirty* bit)
 - On a write miss, most often *write-allocate* (fetch on miss) but variations are possible
 - We write to memory when a *dirty block* is replaced
- Pro-con reverse of write through

Cutting back on write backs

- In write-through, you write only the word (byte) modified
- In write-back, write the entire block
 - But you could have one dirty bit/word so on replacement you'd need to write only the words that are dirty

Example of cache hierarchies

(don't quote me on these numbers)

| MICRO | L1 | L2 |
|------------------------|---|--|
| Alpha 21064 | 8K(I), 8K(D), WT, 1-way, 32B | 128K to 8MB, WB, 1-way, 32B |
| Alpha 21164 | 8K(I), 8K(D), WT, 1-way, 32B ,D 1-u fr. | 96K, WB, on-chip, 3-way, 32B, 1-u free |
| Alpha 21264 | 64K(I), 64K(D), ?, 2-way, ? | up to 16MB |
| Pentium | 8K(I), 8K(D), both, 2-way, 32 B | Depends |
| Pentium II, III, IV | 16K(I), 16K(D), WB, 4-way(I), 2-way(D), 32B, 1-u free | 256K-1MBK, 32B, way, on-chip or tightly- coupled |

Examples (cont'd)

| | | |
|-------------------|----------------------------------|-----------------------------|
| PowerPC 620 | 32K(I),32K(D),WB 8-way, 64B | 1MB TO 128MB, WB, 1-way |
| MIPS R10000 | 32K(I),32K(D),1-u, 2-way, 32B | 512K to 16MB, 2-way, 32B |
| SUN UltraSparcIII | 32K(I),64K(D),1-u, 4-way | 4-8MB 1-way |

AMD K7

64K(I), 64K(D)

More Examples

Table 1: Benchmark Configurations

| | AMD Opteron | Broadcom BCM1250 | IBM PowerPC 970 | Motorola/ Freescale PowerPC 7455 (G4) | Motorola/ Freescale PowerPC 7447 (G4) |
|------------------------------|---|--------------------------------------|---|--|--|
| Frequency | 1.8 GHz | 800 MHz | 1.8 GHz | 933 MHz | 1.0 GHz |
| On-chip cache (L1) | 64 KB | 32 KB | 32 KB | 32 KB | 32 KB |
| On-chip cache (L2) | 1024 KB | 512 KB (shared by processors) | 512 KB | 256 KB | 512 KB |
| L3 Cache | None | None | None | 1MB Backside | None |
| Memory Controller | Dual- channel on- chip | Dual-channel on-chip | Apple dual channel, external | Apple single channel | Discovery II single channel |
| DRAM | 128 bits, 133 MHz DDR (PC2100) | 128 bits, 133 MHz DDR (PC2100) | 128 bits, 200 MHz DDR (PC3200) | 64 bits, 133 MHz DDR (PC2100) | 64 bits, 133 MHz DDR (PC2100) |
| Comments | | Dual processors on chip | Apple dual- processor SMP configuration | Apple G4 configuration | |

Back to associativity

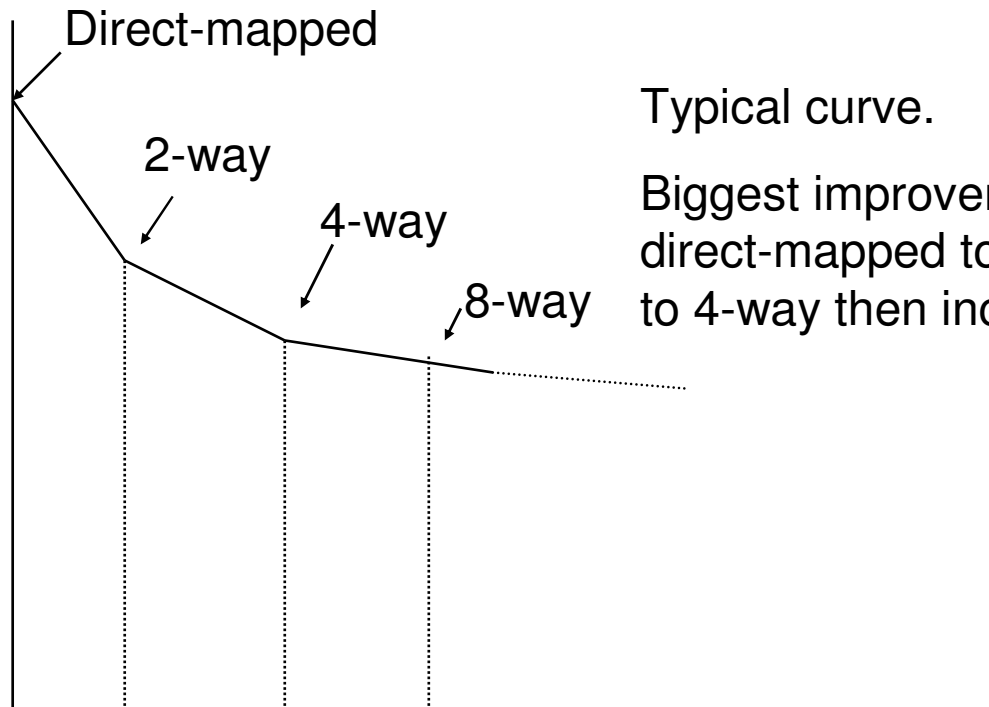
- Advantages
 - Reduces conflict misses
- Disadvantages
 - Needs more comparators
 - Access time is longer (need to choose among the comparisons, i.e., need of a multiplexor)
 - Replacement algorithm is needed and could get more complex as associativity grows

Replacement algorithm

- None for direct-mapped
- Random or LRU or pseudo-LRU for set-associative caches
 - LRU means that the entry in the set which has not been used for the longest time will be replaced (think about a stack)

Impact of associativity on performance

Miss ratio



Typical curve.

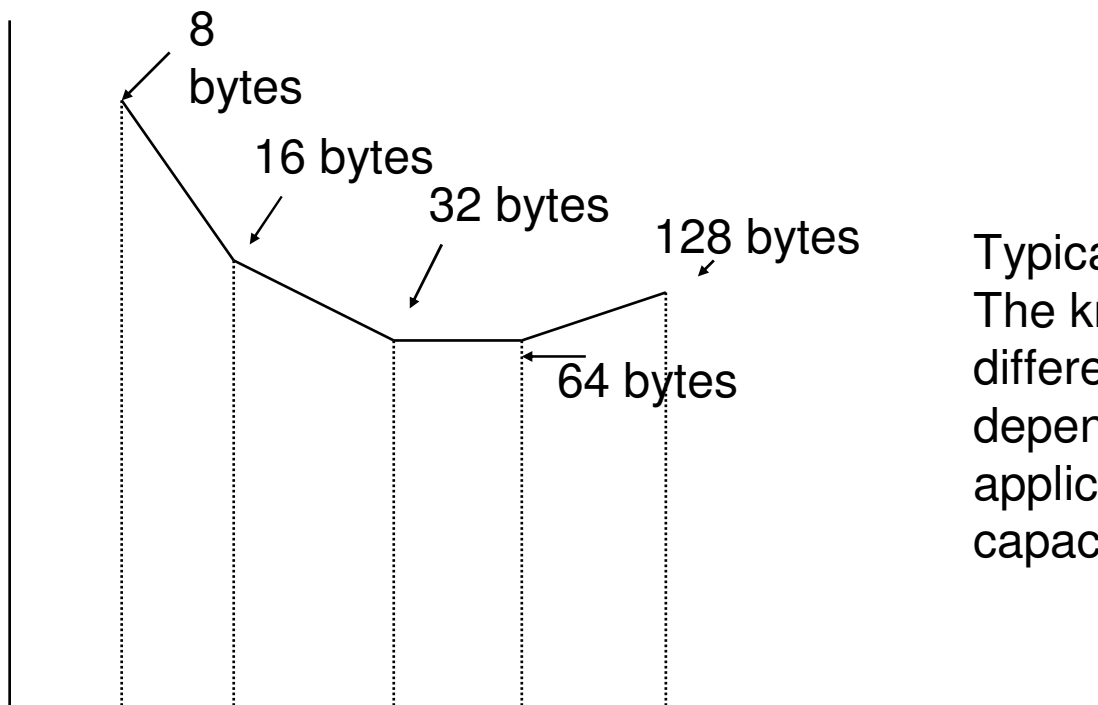
Biggest improvement from direct-mapped to 2-way; then 2 to 4-way then incremental

Impact of block size

- Recall block size = number of bytes stored in a cache line
- On a cache miss the whole block is brought into the cache
- For a given cache capacity, advantages of large block size:
 - decrease number of blocks: requires less real estate for tags
 - decrease miss rate **IF** the programs exhibit good *spatial locality*
 - increase transfer efficiency between cache and main memory
- For a given cache capacity, drawbacks of large block size:
 - increase latency of transfers
 - might bring unused data **IF** the programs exhibit poor spatial locality
 - Might increase the number of conflict/capacity misses

Impact of block size on performance

Miss ratio



Typical form of the curve. The knee might appear for different block sizes depending on the application and the cache capacity

Performance revisited

- Recall *Av.Mem. Access time* = $h * T_{cache} + (1-h) * T_{mem}$
- We can expand on T_{mem} as $T_{mem} = T_{acc} + b * T_{tra}$
 - where T_{acc} is the time to send the address of the block to main memory and have the DRAM read the block in its own buffer, and
 - T_{tra} is the time to transfer one word (4 bytes) on the memory bus from the DRAM to the cache, and b is the block size (in words) (might also depend on width of the bus)
- For example, if $T_{acc} = 5$ and $T_{tra} = 1$, what cache is best between
 - C1 ($b1 = 1$) and C2 ($b2 = 4$) for a program with $h1 = 0.85$ and $h2 = 0.92$ assuming $T_{cache} = 1$ in both cases.

Hiding memory latency

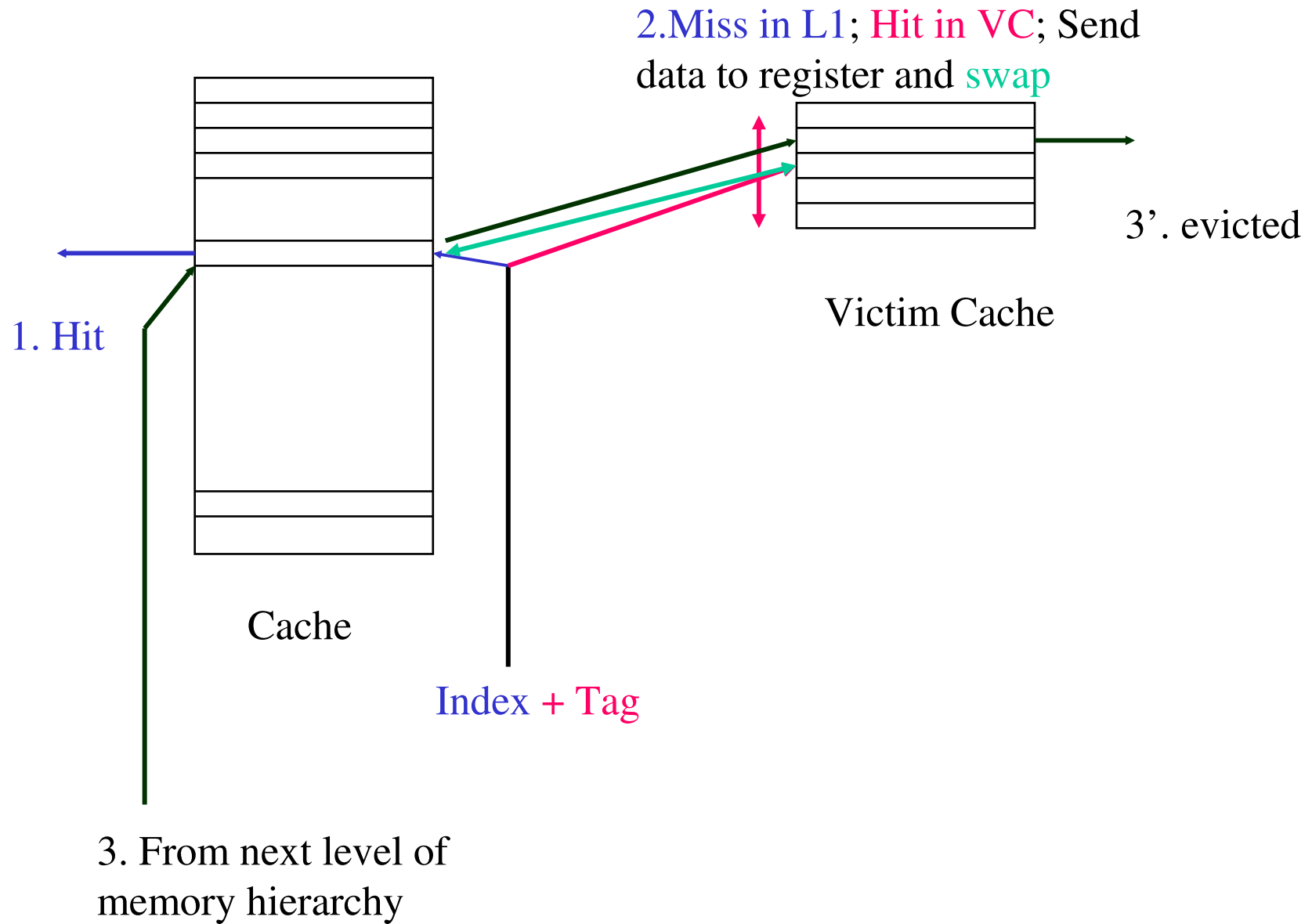
- On write-through, the processor has to wait till the memory has stored the data
- Inefficient since the store does not prevent the processor from continuing to work
- To speed-up the process, have *write buffers* between cache and main memory
 - write buffer is a (set of) temporary register that contains the contents and the address of what to store in main memory
 - The store to main memory from the write buffer can be done while the processor continues processing
- Same concept can be applied to dirty blocks in write-back policy

Coherency: caches and I/O

- In general I/O transfers occur directly to/from memory from/to disk
- What happens for memory to disk
 - With write-through memory is up-to-date. No problem
 - With write-back, need to “purge” cache entries that are dirty and that will be sent to the disk
- What happens from disk to memory
 - The entries in the cache that correspond to memory locations that are read from disk must be *invalidated*
 - Need of a *valid bit* in the cache (or other techniques)

Reducing Cache Misses with more “Associativity” -- Victim caches

- Example of an “hardware assist”
- **Victim cache**: Small fully-associative buffer “behind” the cache and “before” main memory
- Of course can also exist in a cache hierarchy
 - E.g., behind L1 and before L2, or behind L2 and before main memory)
- Main goal: remove some of the conflict misses in direct-mapped caches (or any cache with low associativity)



Operation of a Victim Cache

- 1. Hit in L1; Nothing else needed
- 2. Miss in L1 for block at location b , hit in victim cache at location v : swap contents of b and v (takes an extra cycle)
- 3. Miss in L1, miss in victim cache : load missing item from next level and put in L1; put entry replaced in L1 in victim cache; if victim cache is full, evict one of its entries.
- Victim buffer of 4 to 8 entries for a 32KB direct-mapped cache works well.