Introduction to Database Systems
CSE 414

Lecture 8: Relational Algebra

Announcements

- HW3 is out – due Friday
  - `git pull upstream master`
  - Make sure you have email from Microsoft Azure and log in

- Web quiz 2 due tonight

Relational Algebra

Relational Algebra

- Set-at-a-time algebra, which manipulates relations
- In SQL we say <u>*what*</u> we want
- In RA we can express <u>*how*</u> to get it
- Every DBMS implementation converts a SQL query to RA in order to execute it
- An RA expression is called a <u>*query plan*</u>

Why study another relational query language?

- RA is how SQL is implemented in DBMS
  - We will see more of this in a few weeks

- RA opens up opportunities for *query optimization*

Basics

- Relations and attributes
- Functions that are applied to relations
  - Return relations

    R2 = σ (R1)
  - Can be composed together

    R3 = π (σ (R1))
  - Often displayed using a tree rather than linearly
  - Use Greek symbols: σ, π, δ, etc

Sets v.s. Bags

- Sets: {a,b,c}, {a,d,e,f}, { }, . . .
- Bags: {a, a, b, c}, {b, b, b, b, b}, . . .

Relational Algebra has two flavors:

- Set semantics = standard Relational Algebra
- Bag semantics = extended Relational Algebra

DB systems implement bag semantics (Why?)

Relational Algebra Operators

- Union ∪, ~~intersection ∩~~, difference -
- Selection σ
- Projection π
- Cartesian product X, join ⋈
- (Rename ρ)
- Duplicate elimination δ
- Grouping and aggregation γ
- Sorting τ

RA

Extended RA

All operators take in 1 or more relations as inputs and return another relation

Union and Difference

$R1 \cup R2$

$R1 – R2$

Only make sense if R1, R2 have the same schema

What do they mean over bags ?

What about Intersection ?

- Derived operator using minus

$$R1 \cap R2 = R1 - (R1 - R2)$$

- Derived using join

$$R1 \cap R2 = R1 \bowtie R2$$

Selection

- Returns all tuples which satisfy a condition

$$\sigma_c(R)$$

- Examples
  - $\sigma_{Salary > 40000}$ (Employee)
  - $\sigma_{name = \text{“Smith”}}$ (Employee)
- The condition c can be =, <, <=, >, >=, <> combined with AND, OR, NOT

Employee

SSN	Name	Salary
1234545	John	20000
5423341	Smith	60000
4352342	Fred	50000

$\sigma_{Salary > 40000}$ (Employee)

SSN	Name	Salary
5423341	Smith	60000
4352342	Fred	50000

Projection

- Eliminates columns

$$\pi_{A1,\ldots,An}(R)$$

- Example: project social-security number and names:
  - $\pi_{SSN,\ Name}$ (Employee) → Answer(SSN, Name)

Different semantics over sets or bags! Why?

Employee

SSN	Name	Salary
1234545	John	20000
5423341	John	60000
4352342	John	20000

$\pi_{\text{Name,Salary}}$ (Employee)

Name	Salary
John	20000
John	60000
John	20000

Bag semantics

Name	Salary
John	20000
John	60000

Set semantics

Which is more efficient?

Composing RA Operators

Patient

no	name	zip	disease
1	p1	98125	flu
2	p2	98125	heart
3	p3	98120	lung
4	p4	98120	heart

$\pi_{zip,disease}$(Patient)

zip	disease
98125	flu
98125	heart
98120	lung
98120	heart

$\sigma_{disease='heart'}$(Patient)

no	name	zip	disease
2	p2	98125	heart
4	p4	98120	heart

$\pi_{zip,disease}(\sigma_{disease='heart'}$(Patient))

zip	disease
98125	heart
98120	heart

Cartesian Product

- Each tuple in R1 with each tuple in R2

$$R1 \times R2$$

- Rare in practice; mainly used to express joins

Cross-Product Example

Employee

Name	SSN
John	999999999
Tony	777777777

Dependent

EmpSSN	DepName
999999999	Emily
777777777	Joe

Employee X Dependent

Name	SSN	EmpSSN	DepName
John	999999999	999999999	Emily
John	999999999	777777777	Joe
Tony	777777777	999999999	Emily
Tony	777777777	777777777	Joe

Renaming

- Changes the schema, not the instance

$$\rho_{B1,\ldots,Bn}(R)$$

- Example:
  - Given Employee(Name, SSN)
  - $\rho_{N,\,S}$(Employee) → Answer(N, S)

Natural Join

$$R1 \bowtie R2$$

- Meaning: $R1 \bowtie R2 = \Pi_A(\sigma_\theta(R1 \times R2))$

- Where:
  - Selection σ_θ checks equality of all common attributes (i.e., attributes with same names)
  - Projection Π_A eliminates duplicate common attributes

Natural Join Example

R

A	B
X	Y
X	Z
Y	Z
Z	V

S

B	C
Z	U
V	W
Z	V

R ⋈ S =

$\Pi_{ABC}(\sigma_{R.B=S.B}(R \times S))$

A	B	C
X	Z	U
X	Z	V
Y	Z	U
Y	Z	V
Z	V	W

Natural Join Example 2

AnonPatient P

age	zip	disease
54	98125	heart
20	98120	flu

Voters V

name	age	zip
Alice	54	98125
Bob	20	98120

P $\bowtie$ V

age	zip	disease	name
54	98125	heart	Alice
20	98120	flu	Bob

Natural Join

- Given schemas R(A, B, C, D), S(A, C, E), what is the schema of $R \bowtie S$?
- Given R(A, B, C), S(D, E), what is $R \bowtie S$?
- Given R(A, B), S(A, B), what is $R \bowtie S$?

AnonPatient (age, zip, disease)
Voters (name, age, zip)

Theta Join

- A join that involves a predicate

$$R1 \bowtie_{\theta} R2 \;=\; \sigma_{\theta}\,(R1 \times R2)$$

- Here θ can be any condition
- No projection in this case!
- For our voters/patients example:

$$P \bowtie_{P.zip = V.zip \text{ and } P.age >= V.age - 1 \text{ and } P.age <= V.age + 1} V$$

Equijoin

- A theta join where θ is an equality predicate

$$R1 \bowtie_{\theta} R2 \quad = \sigma_{\theta} (R1 \times R2)$$

- By far the most used variant of join in practice
- What is the relationship with natural join?

Equijoin Example

AnonPatient P

age	zip	disease
54	98125	heart
20	98120	flu

Voters V

name	age	zip
p1	54	98125
p2	20	98120

$P \bowtie_{P.age=V.age} V$

P.age	P.zip	P.disease	V.name	V.age	V.zip
54	98125	heart	p1	54	98125
20	98120	flu	p2	20	98120

Join Summary

- **Theta-join**: $R \bowtie_\theta S = \sigma_\theta (R \times S)$
  - Join of R and S with a join condition θ
  - Cross-product followed by selection θ
  - No projection
- **Equijoin**: $R \bowtie_\theta S = \sigma_\theta (R \times S)$
  - Join condition θ consists only of equalities
  - No projection
- **Natural join**: $R \bowtie S = \pi_A (\sigma_\theta (R \times S))$
  - Equality on **all** fields with same name in R and in S
  - Projection π_A drops all redundant attributes

So Which Join Is It ?

When we write R $\bowtie$ S we usually mean an equijoin, but we often omit the equality predicate when it is clear from the context

More Joins

- **Outer join**
  - Include tuples with no matches in the output
  - Use NULL values for missing attributes
  - Does not eliminate duplicate columns

- Variants
  - Left outer join
  - Right outer join
  - Full outer join

Outer Join Example

AnonPatient P

age	zip	disease
54	98125	heart
20	98120	flu
33	98120	lung

AnnonJob J

job	age	zip
lawyer	54	98125
cashier	20	98120

$P ⟕ J$

P.age	P.zip	P.diseas e	J.job	J.age	J.zip
54	98125	heart	lawyer	54	98125
20	98120	flu	cashier	20	98120
33	98120	lung	null	null	null

Some Examples

```
Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,qty,price)
```

Name of supplier of parts with size greater than 10

```
Project[sname](Supplier Join[sno=sno]
               (Supply Join[pno=pno] (Select[psize>10](Part))))
```

Using symbols:

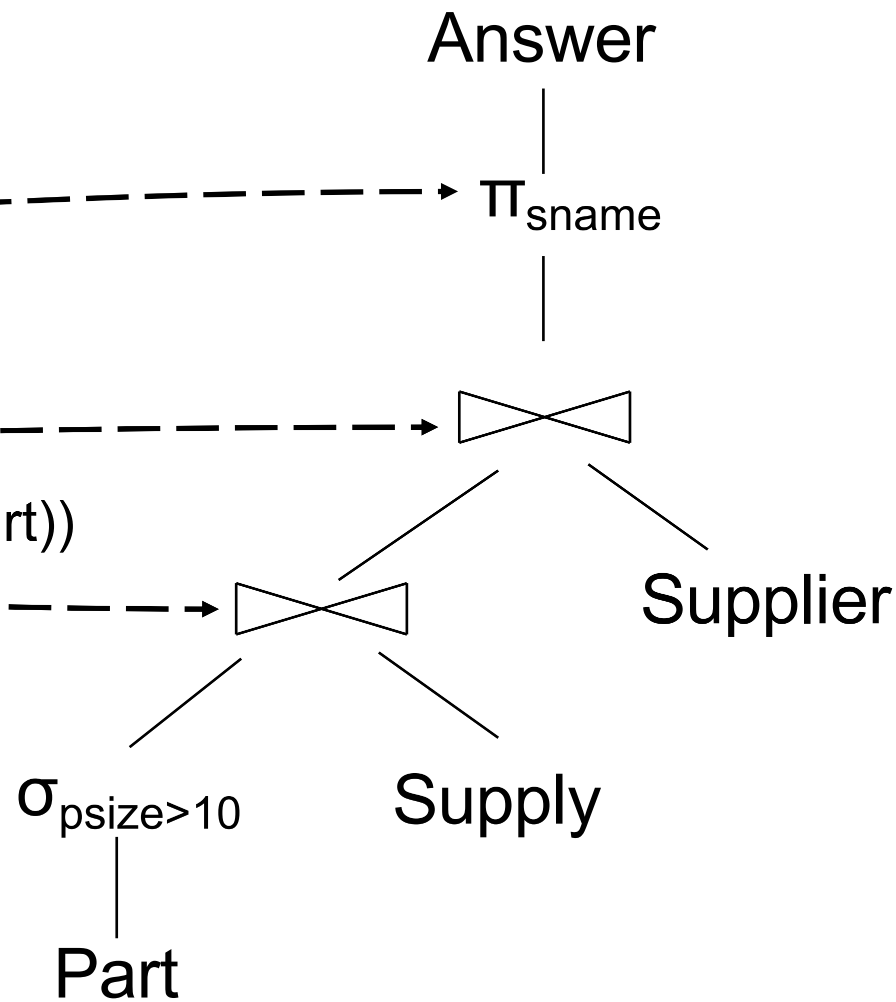
$\pi_{sname}(\text{Supplier} \bowtie (\text{Supply} \bowtie (\sigma_{psize>10} (\text{Part}))))$

Can be represented as trees as well

Representing RA Queries as Trees

```
Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,qty,price)
```

$\pi_{sname}(Supplier \bowtie Supply \bowtie (\sigma_{psize>10} (Part))$



Some Examples

```
Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,qty,price)
```

Name of supplier of parts with size greater than 10

$\pi_{sname}(\text{Supplier} \bowtie (\text{Supply} \bowtie (\sigma_{psize>10} (\text{Part}))))$

Name of supplier of red parts or parts with size greater than 10

$\pi_{sname}(\text{Supplier} \bowtie (\text{Supply} \bowtie (\sigma_{psize>10 \lor pcolor='red'} (\text{Part}))))$

$\pi_{sname}(\text{Supplier} \bowtie (\text{Supply} \bowtie (\sigma_{psize>10} (\text{Part}) \cup \sigma_{pcolor='red'} (\text{Part}))))$

Relational Algebra Operators

- Union ∪, ~~intersection ∩,~~ difference -
- Selection σ
- Projection π
- Cartesian product X, join ⋈
- (Rename ρ)
- Duplicate elimination δ
- Grouping and aggregation γ
- Sorting τ

RA

Extended RA

All operators take in 1 or more relations as inputs and return another relation

Extended RA: Operators on Bags

- Duplicate elimination δ
- Grouping γ
  - Takes in relation and a list of grouping operations (e.g., aggregates). Returns a new relation.
- Sorting τ
  - Takes in a relation, a list of attributes to sort on, and an order. Returns a new relation.

Grouping

- Specify groups and aggregates

$$\gamma_{A1,\ldots,An,\ \mathrm{sum/max}(B1)\ldots}(R)$$

- Example: project social-security number and names:
- Output is like project: only output is attributes in the subscript
- Can also rename: $\gamma_{A,\ \mathrm{count}(B) \rightarrow \mathrm{count}}(R)$

Using Extended RA Operators

```
SELECT city, sum(quantity)
FROM Sales
GROUP BY city
HAVING count(*) > 100
```

Answer

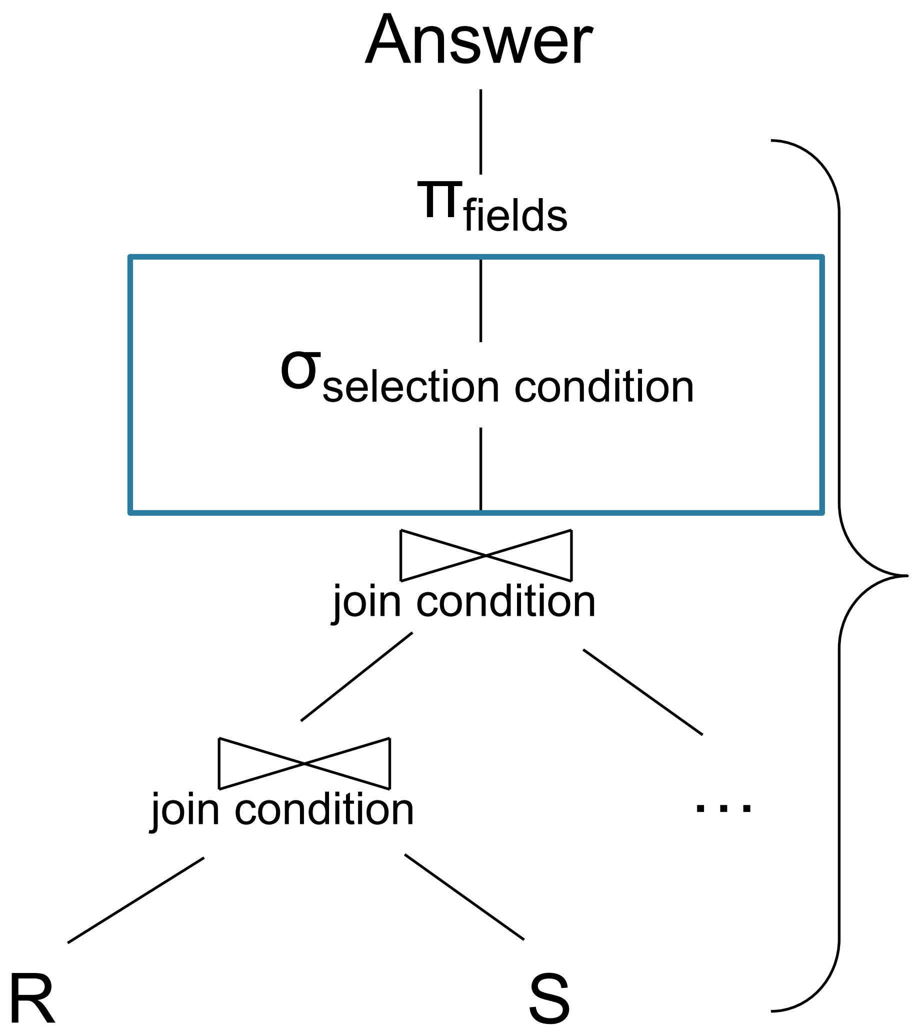
$\Pi_{\text{city, q}}$

$\sigma_{\text{c > 100}}$

$\gamma_{\text{city, sum(quantity)} \rightarrow \text{q, count(*)} \rightarrow \text{c}}$

Sales(product, city, quantity)

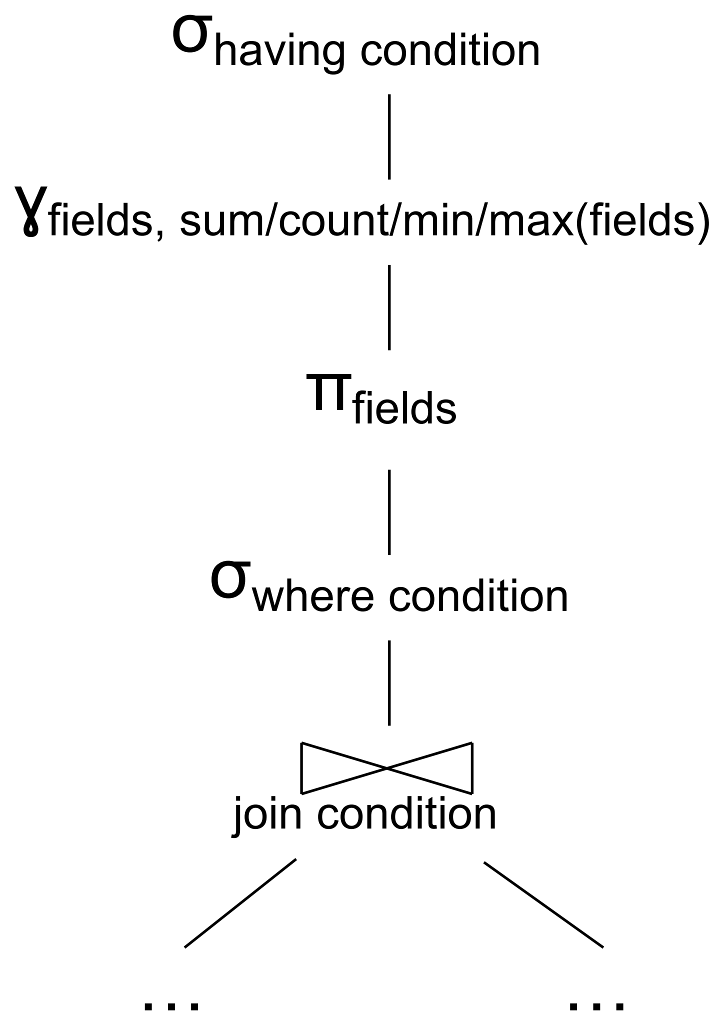
Typical Plan for a Query (1/2)




```
SELECT fields
FROM R, S, …
WHERE condition
```

SELECT-PROJECT-JOIN Query

Typical Plan for a Query (1/2)




```
SELECT fields
FROM R, S, …
WHERE condition
GROUP BY fields
HAVING condition
```

```
Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)
```

How about Subqueries?

Return all suppliers in WA that sell no products greater than $100

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

```
SELECT  Q.sno
FROM Supplier Q
WHERE  Q.sstate = 'WA'
  and not exists
  (SELECT *
   FROM Supply P
   WHERE P.sno = Q.sno
         and P.price > 100)
```

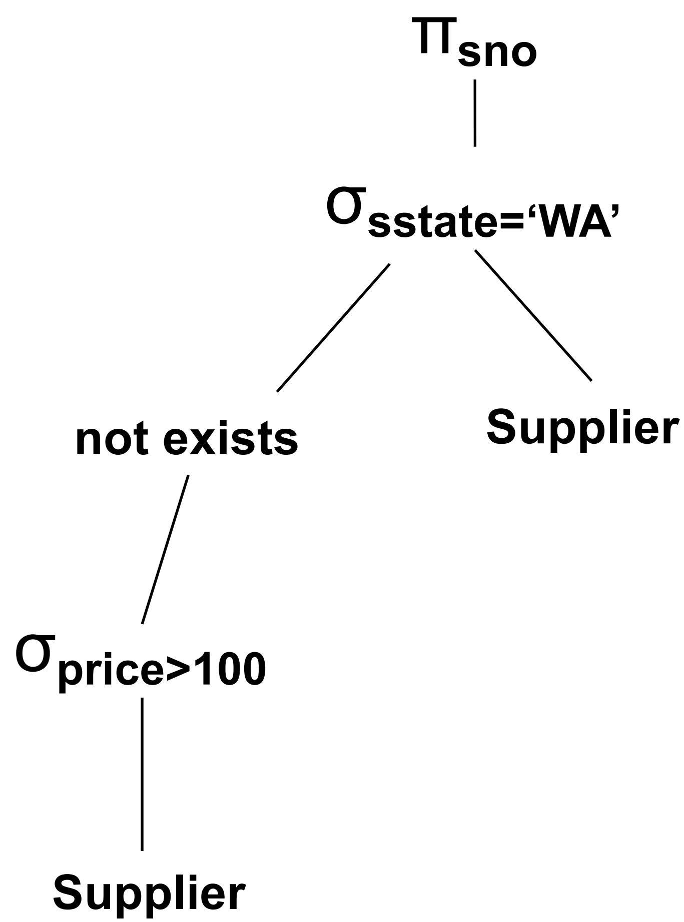
Return all suppliers in WA that sell no products greater than $100

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

Option 1: create nested plans

```
SELECT  Q.sno
FROM Supplier Q
WHERE  Q.sstate = ‘WA’
  and not exists
  (SELECT *
   FROM Supply P
   WHERE P.sno = Q.sno
         and P.price > 100)
```



Supplier(<u>sno</u>,sname,scity,sstate)
Part(<u>pno</u>,pname,psize,pcolor)
Supply(<u>sno,pno</u>,price)

How about Subqueries?

```
SELECT  Q.sno
FROM Supplier Q
WHERE  Q.sstate = 'WA'
  and not exists
  (SELECT *
   FROM Supply P
   WHERE P.sno = Q.sno
         and P.price > 100)
```

Correlation !

```
Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)
```

How about Subqueries?

```
SELECT  Q.sno
FROM Supplier Q
WHERE  Q.sstate = 'WA'
  and not exists
  (SELECT *
   FROM Supply P
   WHERE P.sno = Q.sno
         and P.price > 100)
```

De-Correlation

```
SELECT  Q.sno
FROM Supplier Q
WHERE  Q.sstate = 'WA'
  and Q.sno not in
  (SELECT P.sno
   FROM Supply P
   WHERE P.price > 100)
```

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

Un-nesting

```
(SELECT  Q.sno
 FROM Supplier Q
 WHERE  Q.sstate = 'WA')
    EXCEPT
 (SELECT P.sno
   FROM Supply P
   WHERE P.price > 100)
```

```
SELECT  Q.sno
FROM Supplier Q
WHERE  Q.sstate = 'WA'
  and Q.sno not in
  (SELECT P.sno
   FROM Supply P
   WHERE P.price > 100)
```

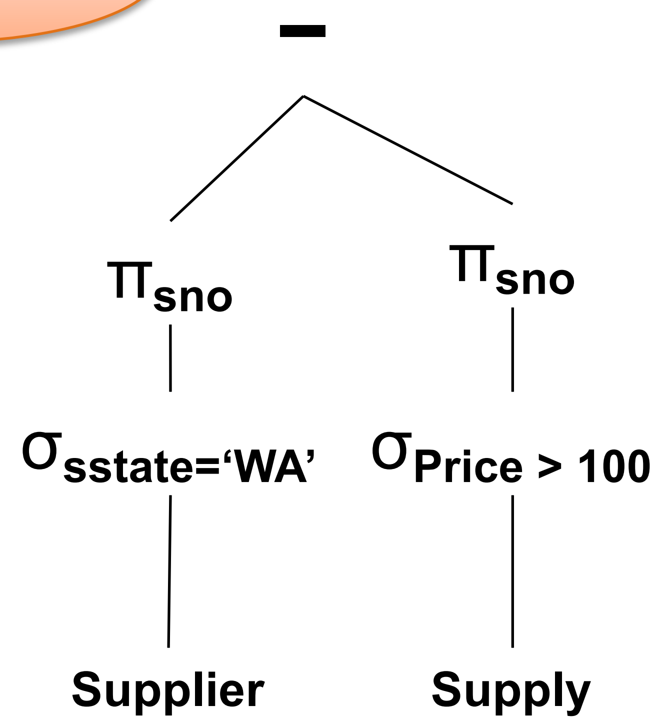
EXCEPT = set difference

```
Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)
```

How about Subqueries?

```
(SELECT  Q.sno
 FROM Supplier Q
 WHERE  Q.sstate = 'WA')
    EXCEPT
 (SELECT P.sno
   FROM Supply P
   WHERE P.price > 100)
```

Finally…



Summary of RA and SQL

- SQL = a declarative language where we say *what* data we want to retrieve
- RA = an algebra where we say *how* we want to retrieve the data
- **Theorem**: SQL and RA can express exactly the same class of queries

RDBMS translate SQL → RA, then optimize RA

Summary of RA and SQL

- SQL (and RA) cannot express ALL queries that we could write in, say, Java
- Example:
  - Parent(p,c): find all descendants of ‘Alice’
  - No RA query can compute this!
  - This is called a *recursive query*
- Next lecture: Datalog is an extension that can compute recursive queries

Class Overview

- Unit 1: Intro
- Unit 2: Relational Data Models and Query Languages
  - Data models, SQL, Relational Algebra, Datalog
- Unit 3: Non-relational data
- Unit 4: RDMBS internals and query optimization
- Unit 5: Parallel query processing
- Unit 6: DBMS usability, conceptual design
- Unit 7: Transactions

What is Datalog?

- Another query language for relational model
  - Designed in the 80's
  - Simple, concise, elegant
  - Extends relational queries with *recursion*
- Today is a hot topic:
  - Souffle (we will use in HW4)
  - Eve http://witheve.com/
  - Differential datalog https://github.com/frankmcsherry/differential-dataflow
  - Beyond databases in many research projects: network protocols, static program analysis

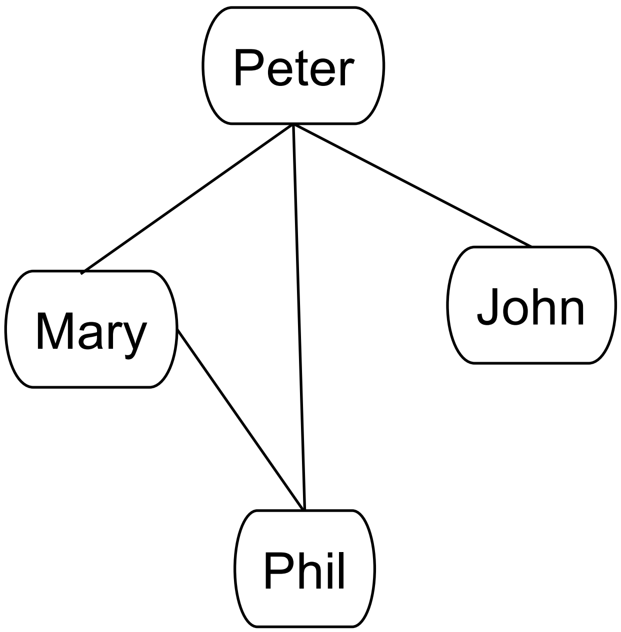
Soufflé

- Open-source implementation of Datalog DBMS
- Under active development
- Commercial implementations are available
  - More difficult to set up and use
- "sqlite" of Datalog
  - Set-based rather than bag-based

- Install in your VM
  - Run `sudo yum install souffle` in terminal
  - More details in upcoming HW4

Why bother with *yet* another relational query language?

Example: storing FB friends



As a graph

Or

Person1	Person2	is_friend
Peter	John	1
John	Mary	0
Mary	Phil	1
Phil	Peter	1
…	…	…

As a relation

We will learn the tradeoffs of different data models later this quarter

Compute your friends graph

p1	p2	isFriend
Peter	John	1
John	Mary	0
Mary	Phil	1
Phil	Peter	1
…	…	…

`Friends(p1, p2, isFriend)`

```
SELECT f.p2
FROM Friends as f
WHERE f.p1 = ‘me’ AND f.isFriend = 1
```

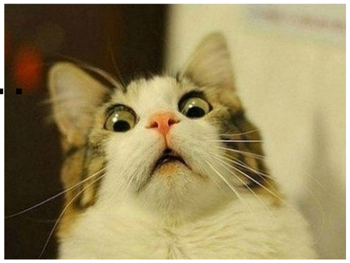
My own friends

```
SELECT f1.p2
FROM Friends as f1,
     (SELECT f.p2
      FROM Friends as f
      WHERE f.p1 = ‘me’ AND
      f.isFriend = 1) as f2
WHERE f1.p1 = f2.p2 AND
      f1.isFriend = 1
```

My FoF

Datalog allows us to write *recursive queries* easily

My FoFoF… My FoFoFoF…



When does it end???

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Schema

Datalog: Facts and Rules

Facts = tuples in the database

Rules = queries

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

Rules = queries

```
.decl Actor(id:number, fname:symbol, lname:symbol)
.decl Casts(id:number, mid:number)
.decl Movie(id:number, name:symbol, year:number)

Actor(344759,‘Douglas’, ‘Fowley’).
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, ‘A Night in Armour’, 1910).
Movie(29000, ‘Arizona’, 1940).
Movie(29445, ‘Ave Maria’, 1940).
```

Table declaration

Types in Souffle:
number
symbol (aka varchar)

Insert data

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,‘Douglas’, ‘Fowley’).
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, ‘A Night in Armour’, 1910).
Movie(29000, ‘Arizona’, 1940).
Movie(29445, ‘Ave Maria’, 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z=1940.
```

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z=1940.
```

Find Movies made in 1940

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z=1940.
```

SQL

```
SELECT name
FROM Movie
WHERE year = 1940
```

Find Movies made in 1940

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,‘Douglas’, ‘Fowley’).
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, ‘A Night in Armour’, 1910).
Movie(29000, ‘Arizona’, 1940).
Movie(29445, ‘Ave Maria’, 1940).
```

Rules = queries

id name year

```
Q1(y) :- Movie(x,y,z), z=1940.
```

Order of variable matters!

Find Movies made in 1940

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(iDontCare,y,z),
         z=1940.
```

Find Movies made in 1940

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(_,y,z), z=1940.
```

_ = "don't care" variables

Find Movies made in 1940

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,‘Douglas’, ‘Fowley’).
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, ‘A Night in Armour’, 1910).
Movie(29000, ‘Arizona’, 1940).
Movie(29445, ‘Ave Maria’, 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z=1940.
```

```
Q2(f,l) :- Actor(z,f,l), Casts(z,x),
           Movie(x,y,1940).
```

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z=1940.
```

```
Q2(f,l) :- Actor(z,f,l), Casts(z,x),
           Movie(x,y,1940).
```

Find Actors who acted in Movies made in 1940

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z=1940.
```

```
Q2(f,l) :- Actor(z,f,l), Casts(z,x),
           Movie(x,y,1940).
```

```
Q3(f,l) :- Actor(z,f,l), Casts(z,x1), Movie(x1,y1,1910),
                         Casts(z,x2), Movie(x2,y2,1940).
```

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

Rules = queries

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

```
Q1(y) :- Movie(x,y,z), z=1940.
```

```
Q2(f,l) :- Actor(z,f,l), Casts(z,x),
           Movie(x,y,1940).
```

```
Q3(f,l) :- Actor(z,f,l), Casts(z,x1), Movie(x1,y1,1910),
                          Casts(z,x2), Movie(x2,y2,1940).
```

Find Actors who acted in a Movie in 1940 and in one in 1910

Actor(id, fname, lname)
Casts(pid, mid)
Movie(id, name, year)

Datalog: Facts and Rules

Facts = tuples in the database

```
Actor(344759,'Douglas', 'Fowley').
Casts(344759, 29851).
Casts(355713, 29000).
Movie(7909, 'A Night in Armour', 1910).
Movie(29000, 'Arizona', 1940).
Movie(29445, 'Ave Maria', 1940).
```

Rules = queries

```
Q1(y) :- Movie(x,y,z), z=1940.
```

```
Q2(f,l) :- Actor(z,f,l), Casts(z,x),
           Movie(x,y,1940).
```

```
Q3(f,l) :- Actor(z,f,l), Casts(z,x1), Movie(x1,y1,1910),
                         Casts(z,x2), Movie(x2,y2,1940).
```

Extensional Database Predicates = EDB = Actor, Casts, Movie

Intensional Database Predicates = IDB = Q1, Q2, Q3