

CSE 344 Midterm

Wednesday, Nov. 1st, 2017, 1:30-2:20

Name: _____

Question	Points	Score
1	36	
2	29	
3	15	
4	20	
Total:	100	

- This exam is CLOSED book and CLOSED devices.
- You are allowed ONE letter-size page with notes (both sides).
- You have 50 minutes;
- Answer the easy questions before you spend too much time on the more difficult ones.
- Good luck!

1 SQL

1. (36 points)

A company maintains a database about their employees and projects with the following schema.

```
Employee(eid, name, salary)
```

```
Project(pid, title, budget)
```

```
WorksOn(eid, pid, year)
```

`WorksOn` records which employee worked on which projects. `salary` and `budget` represent yearly salary and budget respectively. An employee may work on multiple project during the same year, and may also work on the same project during multiple years. All keys are underlined, and `WorksOn.eid`, `WorksOn.pid` are foreign keys to `Employee` and `Project` respectively.

- (a) (10 points) The yearly salary expenses of a project is the sum of all salaries of the employees who worked on that project during that year. Write a SQL query to find all the projects whose yearly salary expenses exceeded its budget. Return only the project title, and sort the projects in ascending order alphabetically by their title.

```
Employee(eid, name, salary)
Project(pid, title, budget)
WorksOn(eid, pid, year)
```

- (b) (10 points) We say that an employee worked intermittently on a project p if she worked on p during one year, then did not work on p during a later year, then worked again on p during an even later year. For example if Alice worked on the project during 2012, 2013, and 2017 then we say that she worked intermittently on p ; if Bob worked on that project during 2013, 2014, 2015 and no other years, then we say he worked continuously. Write a SQL query to retrieve all employees that worked intermittently on some project. Return the employee name, and the project title.

```
Employee(eid, name, salary)
Project(pid, title, budget)
WorksOn(eid, pid, year)
```

(c) For each question below indicate whether the two SQL queries are equivalent. Assume that the database does not contain any NULL values.

i. (2 points) Are Q1 and Q2 equivalent?

```
Q1: select W.year, W.pid, count(*)
      from WorksOn W
      group by W.year, W.pid;
```

```
Q2: select distinct W.year, W.pid,
      (select count(*)
       from WorksOn W2
       where W.year=W2.year and W.pid = W2.pid)
      from WorksOn W;
```

i. _____

Yes/No:

ii. (2 points) Are Q3 and Q4 equivalent?

```
Q3: select W.year, W.pid, count(*)
      from WorksOn W, Employee E
      where W.eid = E.eid and E.salary > 100000
      group by W.year, W.pid;
```

```
Q4: select W.year, W.pid,
      (select count(*)
       from Employee E
       where W.eid = E.eid and E.salary > 100000)
      from WorksOn W;
```

ii. _____

Yes/No:

iii. (2 points) Are Q5 and Q6 equivalent?

```
Q5: select distinct E.name
      from Employee E, WorksOn W, WorksOn W2
      where E.eid = W.eid and E.eid = W2.eid
            and W.pid = W2.pid
            and W.year > 2010 and W2.year < 2015;
```

```
Q6: select distinct E.name
      from Employee E, WorksOn W
      where E.eid = W.eid
            and W.year > 2010;
```

iii. _____

Yes/No:

iv. (2 points) Are Q7 and Q8 equivalent?

```
Q7: select distinct E.name
      from Employee E, WorksOn W, WorksOn W2
      where E.eid = W.eid and E.eid = W2.eid
            and W.pid = W2.pid
            and W.year < 2010 and W2.year < 2015;
```

```
Q8: select distinct E.name
      from Employee E, WorksOn W
      where E.eid = W.eid
            and W.year < 2010;
```

iv. _____

Yes/No:

Employee(eid, name, salary)
 Project(pid, title, budget)
 WorksOn(eid, pid, year)

(d) Consider the following database instance:

Employee			WorksOn			Project		
eid	name	salary	eid	pid	year	pid	title	budget
1	Alice	1000	1	10	NULL	10	OS	NULL
2	Bob	NULL	1	20	2015	20	ML	5000
			2	20	NULL			

Indicate for each query below what answers it returns; write “empty” if the answer is the emptyset.

i. (2 points) What does query Q1 return?:

```
Q1: select x.name, z.title
      from Employee x, WorksOn y, Project z
      where x.eid = y.eid and y.pid = z.pid
            and (y.year = 2015 or y.year != 2015);
```

ii. (2 points) What does query Q2 return?:

```
Q2: select x.name, z.title
      from Employee x, WorksOn y, Project z
      where x.eid = y.eid and y.pid = z.pid
            and ( (salary = 1000 and year = 2015) or budget = 5000);
```

Employee		
eid	name	salary
1	Alice	1000
2	Bob	NULL

WorksOn		
eid	pid	year
1	10	NULL
1	20	2015
2	20	NULL

Project		
pid	title	budget
10	OS	NULL
20	ML	5000

iii. (2 points) What does query Q3 return?:

```
Q3: select x.name, z.title
     from Employee x, WorksOn y, Project z
     where x.eid = y.eid and y.pid = z.pid
           and (salary = 1000 or budget = 5000);
```

iv. (2 points) What does query Q4 return?:

```
Q4: select x.name, z.title
     from Employee x, WorksOn y, Project z
     where x.eid = y.eid and y.pid = z.pid
           and ((salary = 1000 or year = 2015) and not(budget = 5000));
```

2 Relational Algebra

2. (29 points)

Consider the same relational schema as before, including the key/foreign key constraints:

Employee(eid, name, salary)

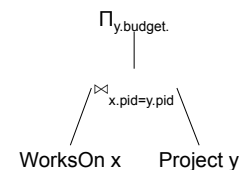
Project(pid, title, budget)

WorksOn(eid, pid, year)

- (a) (5 points) Write a Relational Algebra expression in the form of a logical query plan (i.e., draw a tree) that is equivalent to the SQL query below. Your query plan does not have to be necessarily “optimal”: however, points will be taken off for overly complex solutions.

```
select x.eid, x.name
from Employee x
where not exists (select *
                  from WorksOn y, Project z
                  where x.eid = y.eid and y.pid = z.pid
                  and z.budget > 1000000);
```

Hint: to avoid renaming, use aliases in the query plan, like this



- (b) Assume that the database instance does not contain NULL's, but otherwise can be any valid instance (satisfying all key/foreign key constraints). Answer the questions below, when the relational algebra expressions have bag semantics.

- i. (2 points) Are these two expressions equivalent?

$$\Pi_{\text{year}}(\sigma_{\text{salary}>40000}(\text{Employee} \bowtie_{\text{eid}=\text{eid}} \text{WorksOn})) = \Pi_{\text{year}}(\text{WorksOn})$$

i. _____

Yes/No:

- ii. (2 points) Are these two expressions equivalent?

$$\Pi_{\text{year}}(\sigma_{\text{year}>2015}(\text{Employee} \bowtie_{\text{eid}=\text{eid}} \text{WorksOn})) = \Pi_{\text{year}}(\sigma_{\text{year}>2015}(\text{WorksOn}))$$

ii. _____

Yes/No:

- iii. (2 points) Are these two expressions equivalent?

$$\Pi_{\text{name}}(\sigma_{\text{salary}>40000}(\text{Employee} \bowtie_{\text{eid}=\text{eid}} \text{WorksOn})) = \Pi_{\text{name}}(\sigma_{\text{salary}>40000}(\text{Employee}))$$

iii. _____

Yes/No:

iv. (2 points) Are these two expressions equivalent?

$$\Pi_{\text{name}}(\sigma_{\text{year}>2015}(\text{Employee} \bowtie_{\text{eid}=\text{eid}} \text{WorksOn})) = \Pi_{\text{name}}(\text{Employee})$$

Yes/No:

iv. _____

v. (2 points) The notation $|S|$ means the cardinality of a set S (number of tuples in S). Does the following always hold?

$$|\text{Employee} \bowtie_{\text{eid}=\text{eid}} \sigma_{\text{year}<2015}(\text{WorksOn})| \leq |\text{Employee}|$$

Yes/No:

v. _____

vi. (2 points) Does the following always hold?

$$|\sigma_{\text{salary}<5000}(\text{Employee}) \bowtie_{\text{eid}=\text{eid}} \text{WorksOn}| \leq |\text{WorksOn}|$$

Yes/No:

vi. _____

(c) For each statement below, indicate whether it is true or false.

- i. (2 points) If the attribute K of a relation is a key, then no two tuples in the relation can have the same value of K .

i. _____

True/False:

- ii. (2 points) If the attribute K of a relation is a foreign key, then no two tuples in the relation can have the same value of K .

ii. _____

True/False:

- iii. (2 points) *First normal form* means that all relations in the database are flat.

iii. _____

True/False:

- iv. (2 points) *Physical data independence* means that the relations in database are physically independent of each other.

iv. _____

True/False:

- v. (2 points) All queries expressible in Relational Algebra are monotone.

v. _____

True/False:

- vi. (2 points) All queries expressible in datalog (with recursion, but without negation and without aggregates) are monotone.

vi. _____

True/False:

3 Datalog

Employee(eid, name, salary)

Project(pid, title, budget)

WorksOn(eid, pid, year)

3. (15 points)

Answer the questions below.

- (a) (5 points) Write a datalog program that returns all employees that worked only on the ‘‘Compiler’’ project. Your query should return the `eid` and `name` of each such employee.

```
Employee(eid, name, salary)
Project(pid, title, budget)
WorksOn(eid, pid, year)
```

- (b) (10 points) A project p1 influences a project p2, if there exists an employee who worked on p1 during some year, then worked on p2 during some later year. After a major bug was discovered in several projects, the company traced it down to a design flaw in the ‘‘Compiler’’ project, and now wants to retain only the projects that were not influenced by ‘‘Compiler’’. (Note ‘‘Compiler’’ *is* influenced by ‘‘Compiler’’.) Write a datalog program to find all projects who were not influenced by the ‘‘Compiler’’ project; return their pid and title.

4 Semistructured Data

4. (20 points)

(a) Answer the following questions. In your answer you may omit apostrophes, i.e. you may write `{A:a1}` instead of `{'A':'a1'}`.

i. (2 points) What does the following SQL++ query return?

```
with t as
  [{'A':'a1', 'F':[{ 'B':'1'}, { 'B':'2'}], 'G':[{ 'C':'1'}, { 'C':'2'}]},
   {'A':'a2', 'F':[{ 'B':'3'}, { 'B':'4'}, { 'B':'5'}], 'G':[ ]},
   {'A':'a3', 'F':[{ 'B':'2'}], 'G':[{ 'C':'1'}, { 'C':'3'}]}]
Select x.A
From t x, x.G y
where y.C='1';
```

ii. (2 points) What does the following SQL++ query return?

```
with t as
  [{'A':'a1', 'F':[{ 'B':'1'}, { 'B':'2'}], 'G':[{ 'C':'1'}, { 'C':'2'}]},
   {'A':'a2', 'F':[{ 'B':'3'}, { 'B':'4'}, { 'B':'5'}], 'G':[ ]},
   {'A':'a3', 'F':[{ 'B':'2'}], 'G':[{ 'C':'1'}, { 'C':'3'}]}]
Select x.A, y.B
From t x, x.F y;
```

iii. (2 points) What does the following SQL++ query return?

```
with t as
  [{'A':'a1', 'F': [{'B':'1'}, {'B':'2'}], 'G': [{'C':'1'}, {'C':'2'}]},
   {'A':'a2', 'F': [{'B':'3'}, {'B':'4'}, {'B':'5'}], 'G': [ ]},
   {'A':'a3', 'F': [{'B':'2'}], 'G': [{'C':'1'}, {'C':'3'}]}]
Select x.A, y.B
From t x, x.F y, x.G z
where y.B=z.C ;
```

iv. (2 points) What does the following SQL++ query return?

```
with t as
  [{'A':'a1', 'F': [{'B':'1'}, {'B':'2'}], 'G': [{'C':'1'}, {'C':'2'}]},
   {'A':'a2', 'F': [{'B':'3'}, {'B':'4'}, {'B':'5'}], 'G': [ ]},
   {'A':'a3', 'F': [{'B':'2'}], 'G': [{'C':'1'}, {'C':'3'}]}]
Select x1.A as A1, x2.A as A2, y.B
From t x1, t x2, x1.F y, x2.G z
where y.B=z.C ;
```

(b) For each statement below, indicate whether it is true or false.

- i. (2 points) An *OLTP workload* means a workload of queries that have many joins, aggregates, and very few or no updates.

i. _____

True/False:

- ii. (2 points) An *OLAP workload* means a workload of queries that have many joins, aggregates, and very few or no updates.

ii. _____

True/False:

- iii. (2 points) NoSQL systems are primarily intended for OLTP workloads, and not for OLAP workloads.

iii. _____

True/False:

- iv. (2 points) NoSQL systems emerged because SQL is a very old language and needs to be replaced by something more modern.

iv. _____

True/False:

- v. (2 points) NoSQL systems typically run on a large, distributed cluster (meaning: many servers).

v. _____

True/False:

- vi. (2 points) NoSQL systems support physical data independence better than relational database systems.

vi. _____

True/False: