

# CSE 421 Algorithms

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Lecture 9  
Minimum Spanning Trees

## Who was Dijkstra?

- What were his major contributions?



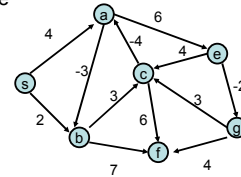
<http://www.cs.utexas.edu/users/EWD/>

- Edsger Wybe Dijkstra was one of the most influential members of computing science's founding generation. Among the domains in which his scientific contributions are fundamental are
  - algorithm design
  - programming languages
  - program design
  - operating systems
  - distributed processing
  - formal specification and verification
  - design of mathematical arguments



## Shortest Paths

- Negative Cost Edges
  - Dijkstra's algorithm assumes positive cost edges
  - For some applications, negative cost edges make sense
  - Shortest path not well defined if a graph has a negative cost cycle



## Negative Cost Edge Preview

- Topological Sort can be used for solving the shortest path problem in directed acyclic graphs
- Bellman-Ford algorithm finds shortest paths in a graph with negative cost edges (or reports the existence of a negative cost cycle).

## Dijkstra's Algorithm Implementation and Runtime

$S = \emptyset; d[s] = 0; d[v] = \text{infinity for } v \neq s$

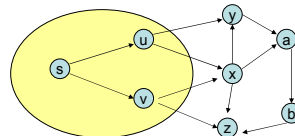
While  $S \neq V$

Choose  $v$  in  $V-S$  with minimum  $d[v]$

Add  $v$  to  $S$

For each  $w$  in the neighborhood of  $v$

$$d[w] = \min(d[w], d[v] + c(v, w))$$

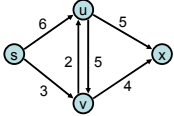


HEAP OPERATIONS  
n Extract Mins  
m Heap Updates

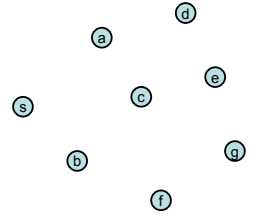
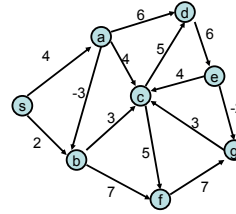
Edge costs are assumed to be non-negative

## Bottleneck Shortest Path

- Define the bottleneck distance for a path to be the maximum cost edge along the path



## Compute the bottleneck shortest paths



## Dijkstra's Algorithm for Bottleneck Shortest Paths

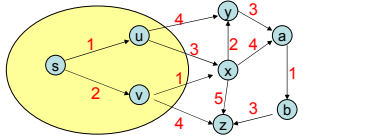
$S = \{\}$ ;  $d[s] = \text{negative infinity}$ ;  $d[v] = \text{infinity}$  for  $v \neq s$   
 While  $S \neq V$

Choose  $v$  in  $V-S$  with minimum  $d[v]$

Add  $v$  to  $S$

For each  $w$  in the neighborhood of  $v$

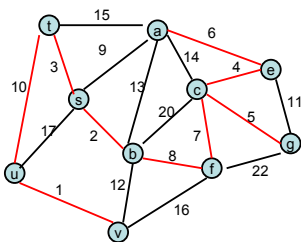
$$d[w] = \min(d[w], \max(d[v], c(v, w)))$$



## Minimum Spanning Tree

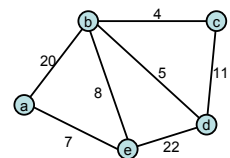
- Introduce Problem
- Demonstrate three different greedy algorithms
- Provide proofs that the algorithms work

## Minimum Spanning Tree



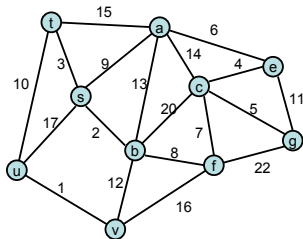
## Greedy Algorithms for Minimum Spanning Tree

- Extend a tree by including the cheapest outgoing edge
- Add the cheapest edge that joins disjoint components
- Delete the most expensive edge that does not disconnect the graph



### Greedy Algorithm 1 Prim's Algorithm

- Extend a tree by including the cheapest outgoing edge

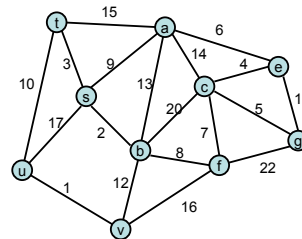


Construct the MST with Prim's algorithm starting from vertex a  
Label the edges in order of insertion



### Greedy Algorithm 2 Kruskal's Algorithm

- Add the cheapest edge that joins disjoint components

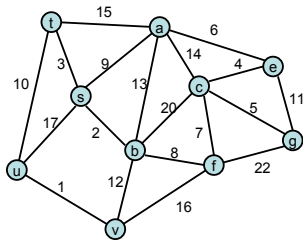


Construct the MST with Kruskal's algorithm  
Label the edges in order of insertion



### Greedy Algorithm 3 Reverse-Delete Algorithm

- Delete the most expensive edge that does not disconnect the graph



Construct the MST with the reverse-delete algorithm  
Label the edges in order of removal



### Why do the greedy algorithms work?

- For simplicity, assume all edge costs are distinct
- Let  $S$  be a subset of  $V$ , and suppose  $e = (u, v)$  is the minimum cost edge of  $E$ , with  $u$  in  $S$  and  $v$  in  $V-S$
- $e$  is in every minimum spanning tree

### Proof

- Suppose  $T$  is a spanning tree that does not contain  $e$
- Add  $e$  to  $T$ , this creates a cycle
- The cycle must have some edge  $e_1 = (u_1, v_1)$  with  $u_1$  in  $S$  and  $v_1$  in  $V-S$
- $T_1 = T - \{e_1\} + \{e\}$  is a spanning tree with lower cost
- Hence,  $T$  is not a minimum spanning tree

### Optimality Proofs

- Prim's Algorithm computes a MST
- Kruskal's Algorithm computes a MST

## Reverse-Delete Algorithm

- Lemma: The most expensive edge on a cycle is never in a minimum spanning tree

## Dealing with the assumption of no equal weight edges

- Force the edge weights to be distinct
  - Add small quantities to the weights
  - Give a tie breaking rule for equal weight edges

## Dijkstra's Algorithm for Minimum Spanning Trees

$S = \{s\}$ ;  $d[s] = 0$ ;  $d[v] = \text{infinity}$  for  $v \neq s$

While  $S \neq V$

    Choose  $v$  in  $V-S$  with minimum  $d[v]$

    Add  $v$  to  $S$

    For each  $w$  in the neighborhood of  $v$

$d[w] = \min(d[w], c(v, w))$

