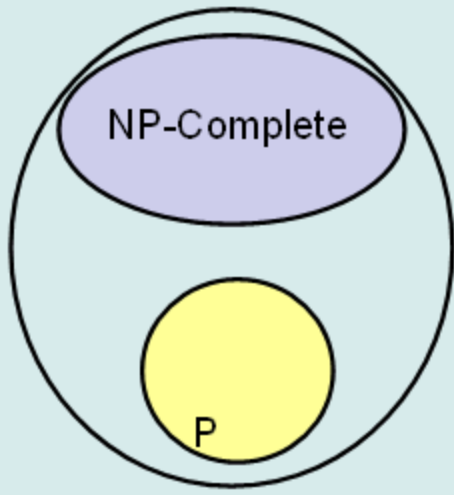


# Lecture24

---



## CSE 421 Introduction to Algorithms

### Lecture 24 Survey of NP Complete Problems

# Announcements

- Homework 9, Due Friday, March 8
- Final exam,
  - Monday, March 11, 2:30-4:20 pm, PDT
  - Comprehensive (~60% post midterm, ~40% pre midterm)
  - Old finals / answers on home page

# Today

Here are 21 NP-Complete Problems



## REDUCIBILITY AMONG COMBINATORIAL PROBLEMS<sup>†</sup>

Richard M. Karp

University of California at Berkeley

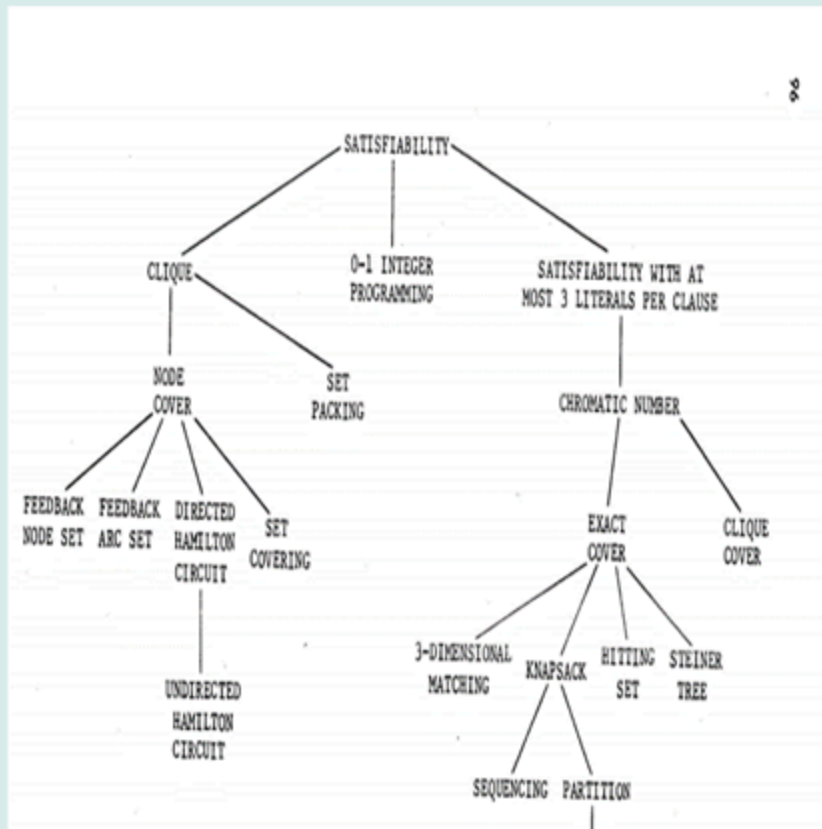
**Abstract:** A large class of computational problems involve the determination of properties of graphs, digraphs, integers, arrays of integers, finite families of finite sets, boolean formulas and elements of other countable domains. Through simple encodings from such domains into the set of words over a finite alphabet these problems can be converted into language recognition problems, and we can inquire into their computational complexity. It is reasonable to consider such a problem satisfactorily solved when an algorithm for its solution is found which terminates within a number of steps bounded by a polynomial in the length of the input. We show that a large number of classic unsolved problems of covering, matching, packing, routing, assignment and sequencing are equivalent, in the sense that either each of them possesses a polynomial-bounded algorithm or none of them does.

### 1. INTRODUCTION

All the general methods presently known for computing the chromatic number of a graph, deciding whether a graph has a Hamilton circuit, or solving a system of linear inequalities in which the variables are constrained to be 0 or 1, require a combinatorial search for which the worst case time requirement grows exponentially with the length of the input. In this paper we give theorems which strongly suggest, but do not imply, that these problems, as well as many others, will remain intractable perpetually.

<sup>†</sup>This research was partially supported by National Science Foundation Grant GJ-474.

# Reducibility Among Combinatorial Problems

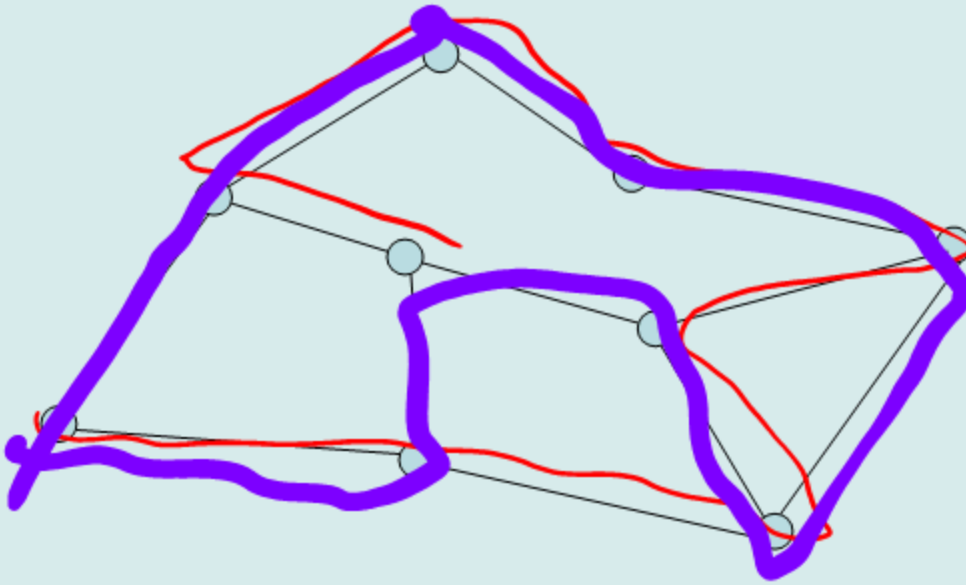


# NP Complete Problems

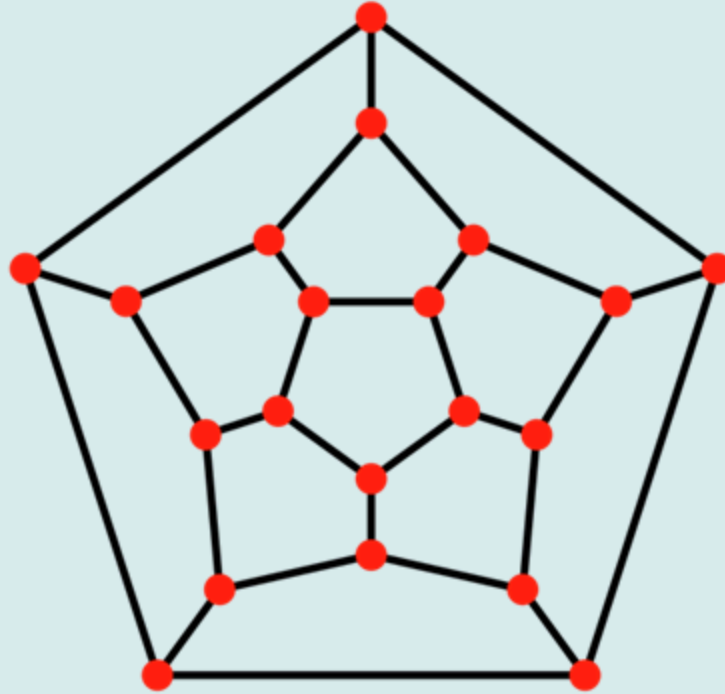
- ✓ 1. Circuit Satisfiability
- 2. Formula Satisfiability
  - a. 3-SAT
- 3. Graph Problems
  - a. Independent Set
  - b. Vertex Cover
  - c. Clique
- 4. Path Problems
  - a. Hamiltonian cycle
  - b. Hamiltonian path
  - c. Traveling Salesman
- 5. Partition Problems
  - a. Three dimensional matching
  - b. Exact cover
- 6. Graph Coloring
- 7. Number problems
  - a. Subset sum
- 8. Integer linear programming
- 9. Scheduling with release times and deadlines

# Hamiltonian Circuit Problem

- Hamiltonian Circuit – a simple cycle including all the vertices of the graph

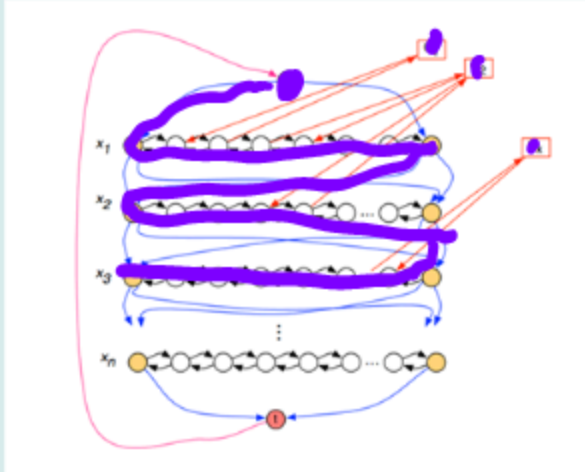


# Find the Circuit



# Thm: Hamiltonian Circuit is NP Complete

- Reduction from 3-SAT



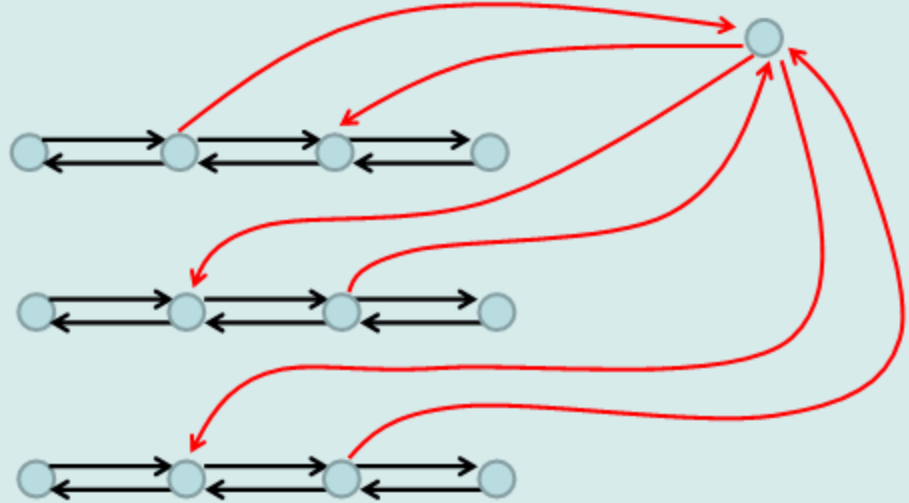
Encode truth values  
satisfy each  
of clauses.



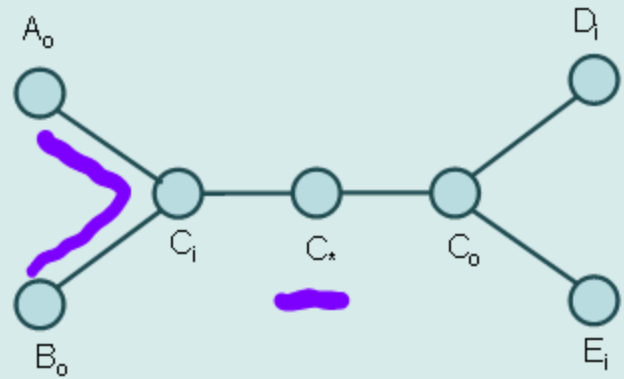
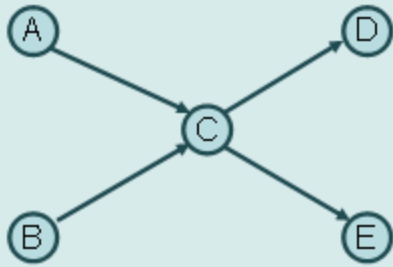
# Clause Gadget

$$\overline{x_1} \vee \overline{x_2} \vee \overline{x_3}$$

$x_1$  Group

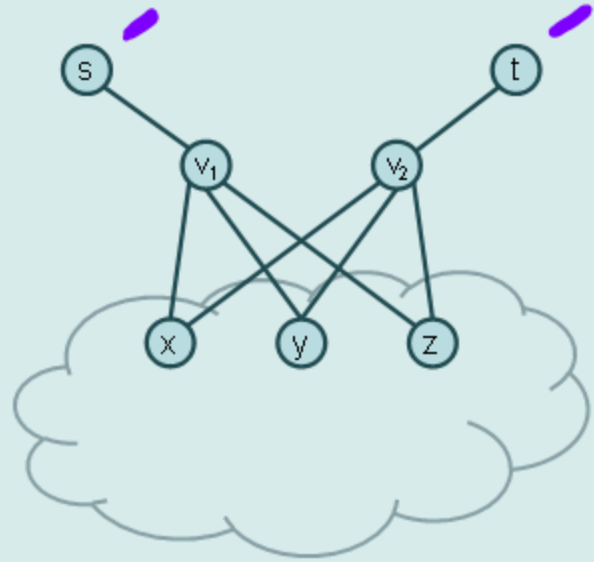
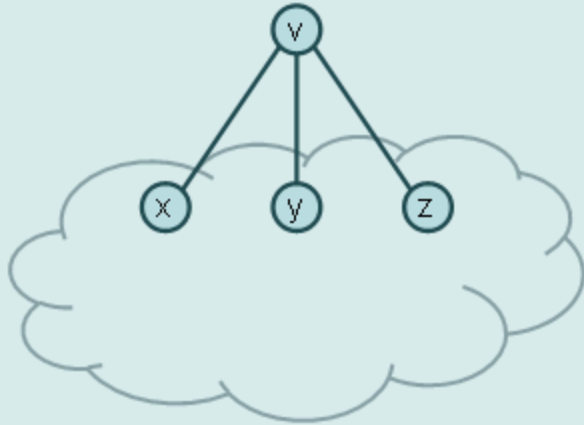


# DHC $<_p$ UHC



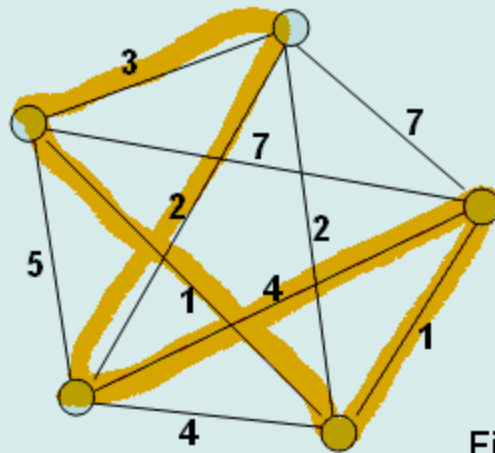
# Reduce Hamiltonian Circuit to Hamiltonian Path

$G_2$  has a Hamiltonian Path iff  $G_1$  has a Hamiltonian Circuit



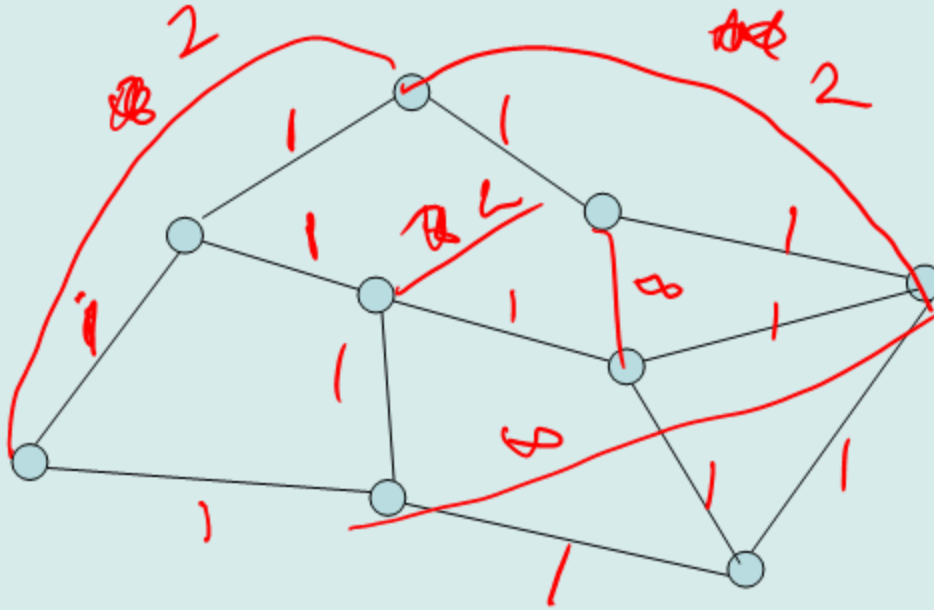
# Traveling Salesman Problem

- Given a complete graph with edge weights, determine the shortest tour that includes all of the vertices (visit each vertex exactly once, and get back to the starting point)

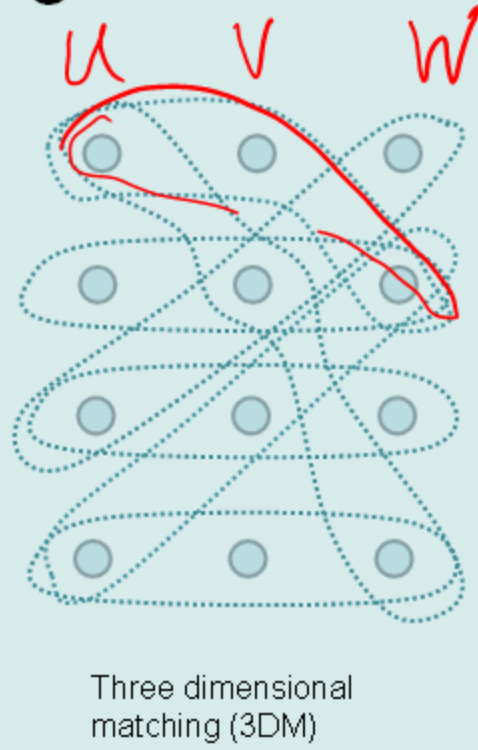
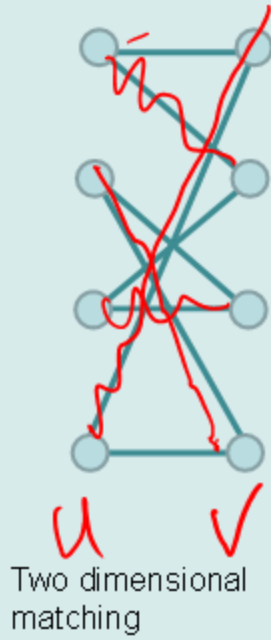


Find the minimum cost tour

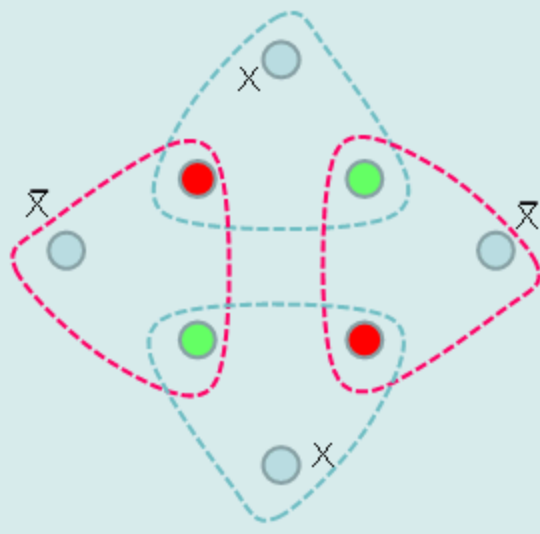
# Thm: $HC \leq_p TSP$



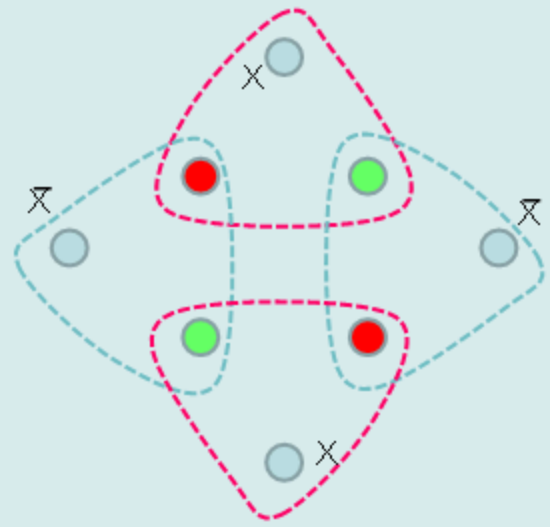
# Matching



# 3-SAT $\leq_p$ 3DM



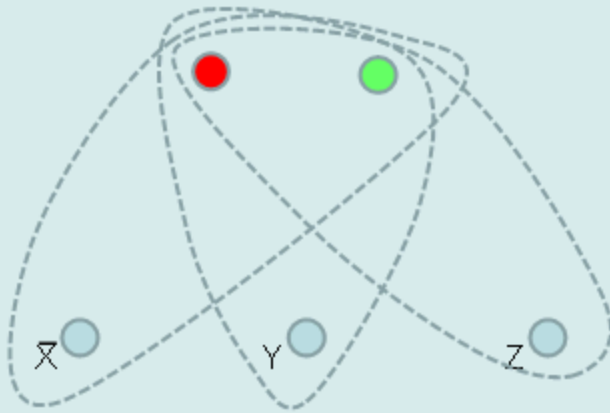
X True



X False

Truth Setting Gadget

# 3-SAT $\leq_p$ 3DM



Clause gadget for ( $\bar{X}$  OR Y OR Z)



Garbage Collection Gadget  
(Many copies)



# Exact Cover (sets of size 3) XC3

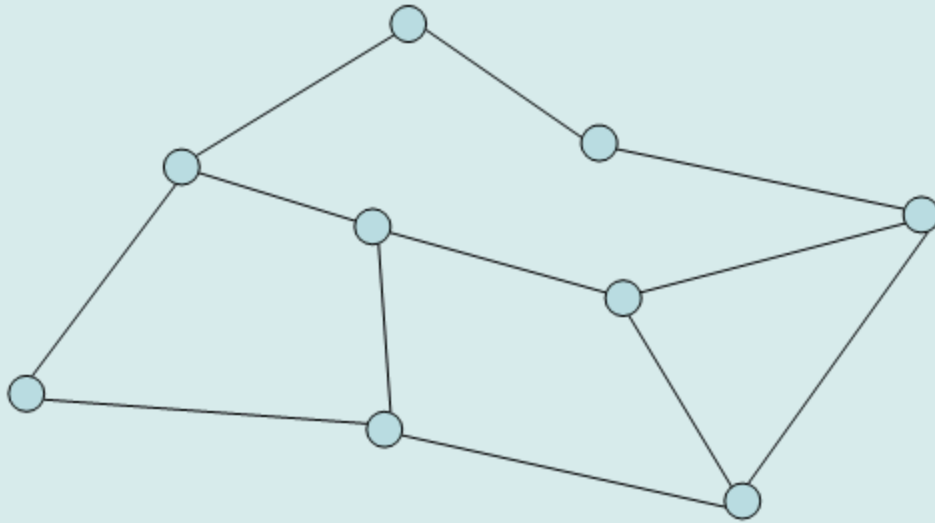
Given a collection of sets of size 3 of a domain of size  $3N$ , is there a sub-collection of  $N$  sets that cover the sets

(A, B, C), (D, E, F), (A, B, G),  
(A, C, I), (B, E, G), (A, G, I),  
(B, D, F), (C, E, I), (C, D, H),  
(D, G, I), (D, F, H), (E, H, I),  
(F, G, H), (F, H, I)

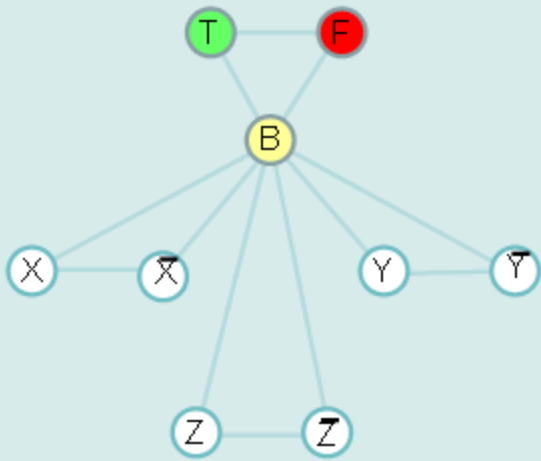
$$3DM \leq_P XC3$$

# Graph Coloring

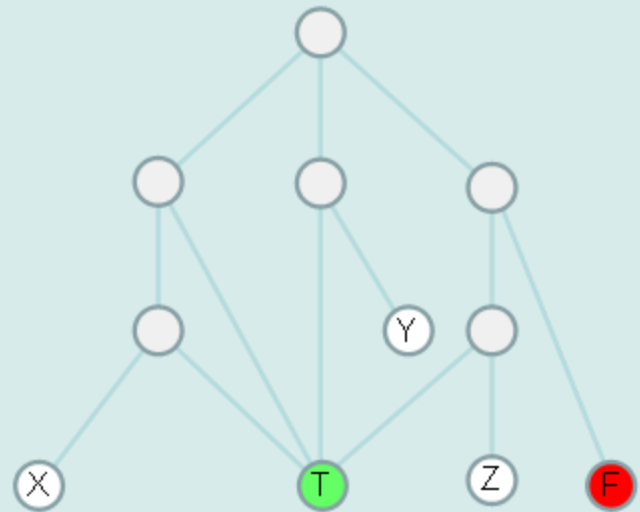
- NP-Complete
  - Graph K-coloring
  - Graph 3-coloring
- Polynomial
  - Graph 2-Coloring



# 3-SAT $\leq_P$ 3 Colorability




Truth Setting Gadget



Clause Testing Gadget

(Can be colored if at least one input is T)

# Number Problems

- **Subset sum problem**
  - Given natural numbers  $w_1, \dots, w_n$  and a target number  $W$ , is there a subset that adds up to exactly  $W$ ?
- **Subset sum problem is NP-Complete**
- **Subset Sum problem can be solved in  $O(nW)$  time**  


# $XC3 <_p$ SUBSET SUM

Idea: Represent each set as a large integer, where the element  $x_i$  is encoded as  $D^i$  where  $D$  is an integer

$$\{x_3, x_5, x_9\} \Rightarrow D^3 + D^5 + D^9$$

0000010000010000000001

Does there exist a subset that sums to exactly  $D^1 + D^2 + D^3 + \dots + D^{n-1} + D^n$

Detail: How large is  $D$ ? We need to make sure that we do not have any carries, so we can choose  $D = m+1$ , where  $m$  is the number of sets.

21

# Integer Linear Programming

- Linear Programming – maximize a linear function subject to linear constraints
- Integer Linear Programming – require an integer solution
- NP Completeness reduction from 3-SAT

Use 0-1 variables for  $x_i$ 's

Constraint for clause  $x_1 \vee \overline{x_2} \vee \overline{x_3}$

$$x_1 + (1 - x_2) + (1 - x_3) > 0$$

$$\text{Min } \sum a_i x_i$$

require

$$x_i \in \mathbb{Z}$$

# Scheduling with release times and deadlines

- Tasks  $T_1, \dots, T_n$  with release time  $r_i$ , deadline  $d_i$ , and work  $w_i$
- Reduce from Subset Sum
  - Given natural numbers  $w_1, \dots, w_n$  and a target number  $K$ , is there a subset that adds up to exactly  $K$ ?
  - Suppose the sum  $w_1 + \dots + w_n = W$
- Task  $T_i$  has release time 0 and deadline  $W+1$
- Add an additional task with release time  $K$ , deadline  $K+1$  and work 1

