# Lecture 8: Database Design

Monday, January 23, 2006

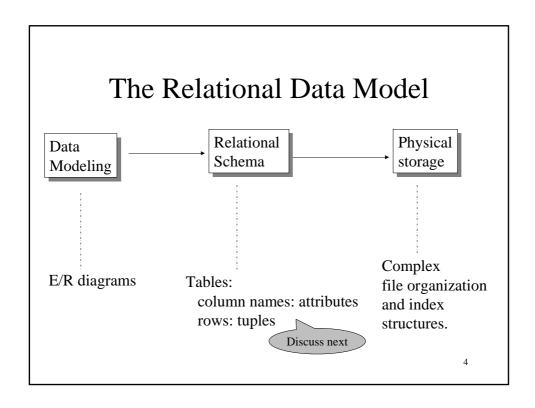
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#### Announcements/Reminders

- Homework 1: solutions are posted
- Homework 2: posted (due Wed. Feb.1st)
- Project Phase 1 due Wednesday

### Outline

- The relational data model: 3.1
- Functional dependencies: 3.4
  - Will continue next time



#### Normal Forms

Idea: replace one relational schema with another one, which is *better*.

Hence, normal form, NF.

- 1NF = rather trivial
- 3NF, BCNF = next time
- Other normal forms = in the book

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# First Normal Form (1NF) A database schema is in First Normal Form if all tables are flat

May need

to add keys

# Student Name GPA Courses Alice 3.8 Math DB OS Bob 3.7 DB OS Carol 3.9 Math OS

	Bob	3.7	
	Carol	3.9	
	Takes	-	
	Student	Course	
	Alice	Math	
	Carol	Math	
	Alice	DB	
	Bob	DB	
/	Alice	OS	
	Carol	OS	

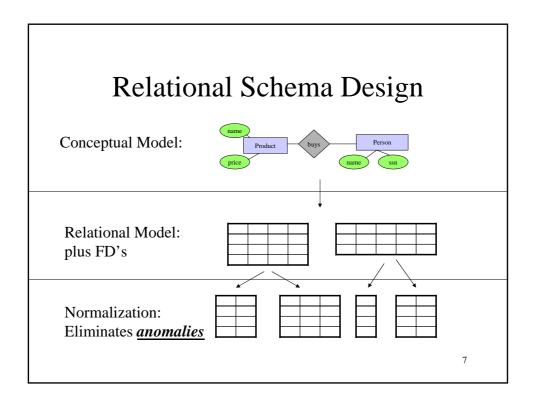
GPA

3.8

Name

Alice

Course	
Math	
DB	
OS	
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#### **Data Anomalies**

When a database is poorly designed we get anomalies:

**Redundancy**: data is repeated

**Updated anomalies**: need to change in several places

**Delete anomalies**: may lose data when we don't want

### Relational Schema Design

Recall set attributes (persons with several phones):

Name	SSN	PhoneNumber	City
Fred	123-45-6789	206-555-1234	Seattle
Fred	123-45-6789	206-555-6543	Seattle
Joe	987-65-4321	908-555-2121	Westfield

One person may have multiple phones, but lives in only one city

#### **Anomalies:**

- Redundancy = repeat data
- Update anomalies = Fred moves to "Bellevue"
- Deletion anomalies = Joe deletes his phone number: what is his city ?

#### Relation Decomposition

#### Break the relation into two:

Name	SSN	PhoneNumber	City
Fred	123-45-6789	206-555-1234	Seattle
Fred	123-45-6789	206-555-6543	Seattle
Joe	987-65-4321	908-555-2121	Westfield

Name	<u>SSN</u>	City
Fred	123-45-6789	Seattle
Joe	987-65-4321	Westfield

SSN	<u>PhoneNumber</u>
123-45-6789	206-555-1234
123-45-6789	206-555-6543
987-65-4321	908-555-2121

#### Anomalies have gone:

- No more repeated data
- Easy to move Fred to "Bellevue" (how ?)
- Easy to delete all Joe's phone number (how ?)

# Relational Schema Design (or Logical Design)

#### Main idea:

- Start with some relational schema
- Find out its *functional dependencies*
- Use them to design a better relational schema

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### **Functional Dependencies**

- A form of constraint
  - hence, part of the schema
- Finding them is part of the database design
- Also used in normalizing the relations

## **Functional Dependencies**

#### Definition:

If two tuples agree on the attributes

$$A_1, A_2, ..., A_n$$

then they must also agree on the attributes

$$B_1, B_2, ..., B_m$$

Formally:

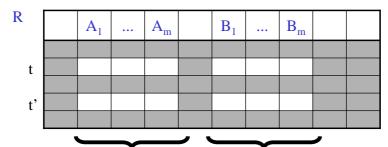
$$A_1, A_2, ..., A_n \rightarrow B_1, B_2, ..., B_m$$

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#### When Does an FD Hold

Definition:  $A_1, ..., A_m \rightarrow B_1, ..., B_n$  holds in R if:

$$\forall t,t' \in R, (t.A_1 = t'.A_1 \wedge ... \wedge t.A_m = t'.A_m \Rightarrow t.B_1 = t'.B_1 \wedge ... \wedge t.B_n = t'.B_n)$$



if t, t' agree here then t, t' agree here

# Examples

An FD holds, or does not hold on an instance:

EmpID	Name	Phone	Position
E0045	Smith	1234	Clerk
E3542	Mike	9876	Salesrep
E1111	Smith	9876	Salesrep
E9999	Mary	1234	Lawyer

EmpID → Name, Phone, Position

Position → Phone

but not Phone → Position

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# Example

EmpID	Name	Phone	Position
E0045	Smith	1234	Clerk
E3542	Mike	9876 ←	Salesrep
E1111	Smith	9876 ←	Salesrep
E9999	Mary	1234	Lawyer

Position → Phone

# Example

EmpID	Name	Phone	Position
E0045	Smith	$1234 \rightarrow$	Clerk
E3542	Mike	9876	Salesrep
E1111	Smith	9876	Salesrep
E9999	Mary	1234 →	Lawyer

but not Phone → Position

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# Typical Examples of FDs

Product: name → price, manufacturer

Person:  $ssn \rightarrow name, age$ 

 $zip \rightarrow city$ city,  $state \rightarrow zip$ 

# Example

FD's are constraints:

- On some instances they hold
- On others they don't

name → color
category → department
color, category → price

name	category	color	department	price
Gizmo	Gadget	Green	Toys	49
Tweaker	Gadget	Green	Toys	99

Does this instance satisfy all the FDs?

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## Example

name → color
category → department
color, category → price

name	category	color	department	price
Gizmo	Gadget	Green	Toys	49
Tweaker	Gadget	Black	Toys	99
Gizmo	Stationary	Green	Office-supp.	59

What about this one?

## An Interesting Observation

If all these FDs are true:

name → color category → department color, category → price

Then this FD also holds:

name, category  $\rightarrow$  price

Why??

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# Goal: Find ALL Functional Dependencies

- Anomalies occur when certain "bad" FDs hold
- We know some of the FDs
- Need to find *all* FDs, then look for the bad ones

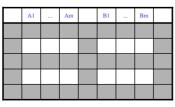
#### Inference Rules for FD's

$$A_1, A_2, ..., A_n \rightarrow B_1, B_2, ..., B_m$$

Is equivalent to

$$\begin{bmatrix} A_{1}, A_{2}, ..., A_{n} \rightarrow B_{1} \\ A_{1}, A_{2}, ..., A_{n} \rightarrow B_{2} \\ .... \\ A_{1}, A_{2}, ..., A_{n} \rightarrow B_{m} \end{bmatrix}$$

Splitting rule and Combing rule



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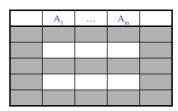
# Inference Rules for FD's (continued)

$$A_1, A_2, ..., A_n \rightarrow A_i$$

**Trivial Rule** 

where i = 1, 2, ..., n

Why?



# Inference Rules for FD's (continued)

#### **Transitive Closure Rule**

If 
$$A_1, A_2, ..., A_n \rightarrow B_1, B_2, ..., B_m$$

and 
$$B_1, B_2, ..., B_m \rightarrow C_1, C_2, ..., C_p$$

then 
$$\boxed{ \underbrace{A_1, A_2, ..., A_n \Rightarrow C_1, C_2, ..., C_p} }$$
 Why ?



## Example (continued)

Start from the following FDs:

1. name  $\rightarrow$  color

2. category → department

3. color, category  $\rightarrow$  price

#### Infer the following FDs:

Inferred FD	Which Rule did we apply?
4. name, category → name	
5. name, category → color	
6. name, category → category	
7. name, category → color, category	
8. name, category → price	

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## Example (continued)

Answers:

1. name  $\rightarrow$  color

2. category → department

3. color, category  $\rightarrow$  price

Inferred FD	Which Rule did we apply ?
4. name, category → name	Trivial rule
5. name, category → color	Transitivity on 4, 1
6. name, category → category	Trivial rule
7. name, category → color, category	Split/combine on 5, 6
8. name, category → price	Transitivity on 3, 7

THIS IS TOO HARD! Let's see an easier way.

#### Inference Rules for FDs

- The three simple rules are all we need to derive all possible FDs
- Called "Armstrong Rules"
- However, they are clumsy to use in practice
- Better: use "closure" of a set of attributes (next)