

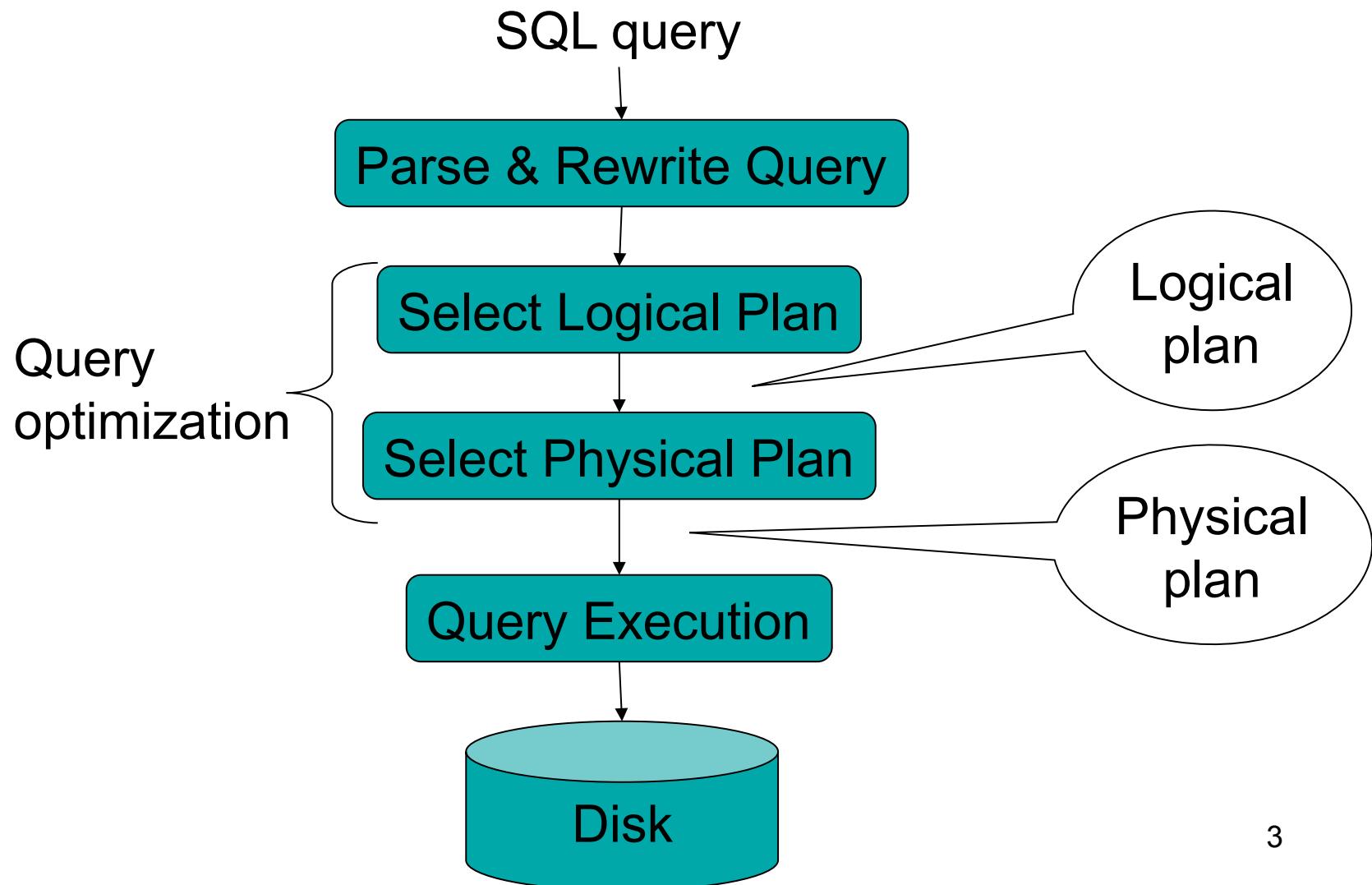
Lecture 17: Query execution

Wednesday, May 12, 2010

Outline of Next Few Lectures

- Query execution
- Query optimization

Steps of the Query Processor



Example Database Schema

Supplier(sno, sname, scity, sstate)

Part(pno, pname, psize, pcolor)

Supply(sno, pno, price)

View: Suppliers in Seattle

```
CREATE VIEW NearbySupp AS
SELECT sno, sname
FROM Supplier
WHERE scity='Seattle' AND sstate='WA'
```

Example Query

Find the names of all suppliers in Seattle
who supply part number 2

```
SELECT sname FROM NearbySupp
WHERE sno IN ( SELECT sno
                FROM Supplies
               WHERE pno = 2 )
```

Steps in Query Evaluation

- **Step 0: Admission control**
 - User connects to the db with username, password
 - User sends query in text format
- **Step 1: Query parsing**
 - Parses query into an internal format
 - Performs various checks using catalog
 - Correctness, authorization, integrity constraints
- **Step 2: Query rewrite**
 - View rewriting, flattening, etc.

Rewritten Version of Our Query

Original query:

```
SELECT sname  
FROM NearbySupp  
WHERE sno IN ( SELECT sno  
                FROM Supplies  
                WHERE pno = 2 )
```

Rewritten query:

```
SELECT S.sname  
FROM Supplier S, Supplies U  
WHERE S.scity='Seattle' AND S.ssstate='WA'  
AND S.sno = U.sno  
AND U.pno = 2;
```

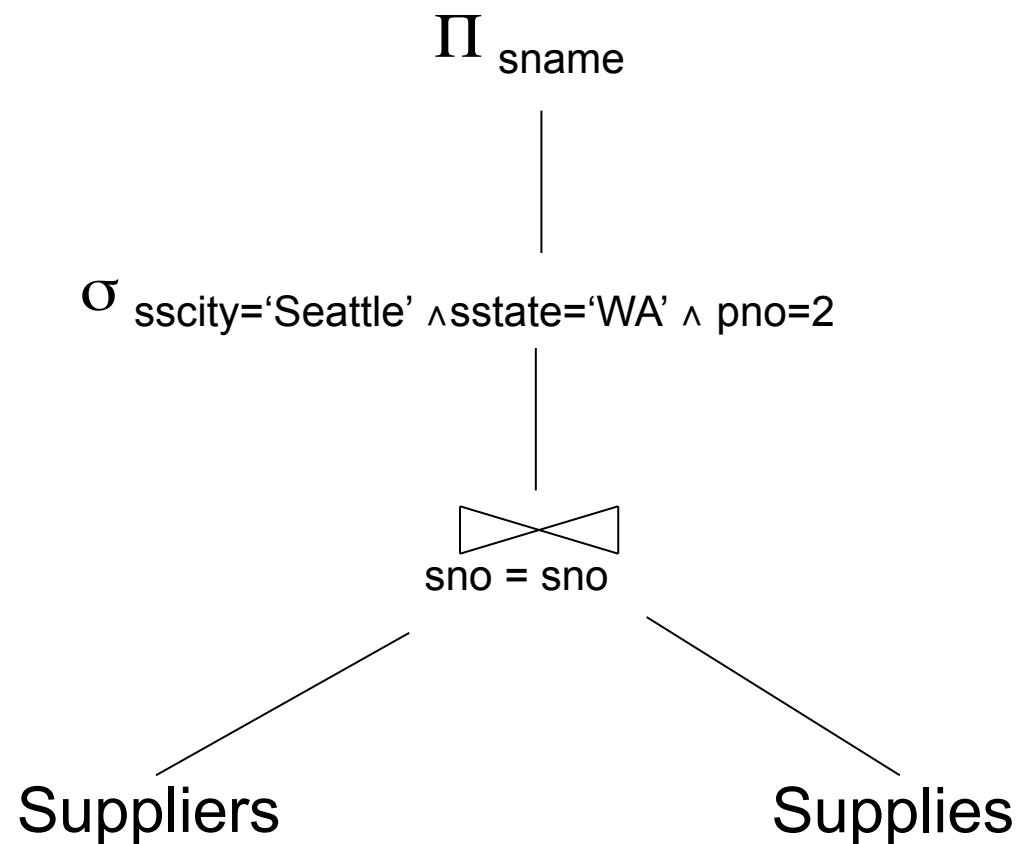
Continue with Query Evaluation

- **Step 3: Query optimization**
 - Find an efficient query plan for executing the query
- **A query plan is**
 - **Logical query plan:** an extended relational algebra tree
 - **Physical query plan:** with additional annotations at each node
 - Access method to use for each relation
 - Implementation to use for each relational operator

Extended Algebra Operators

- Union \cup , intersection \cap , difference $-$
- Selection σ
- Projection π
- Join \bowtie
- Duplicate elimination δ
- Grouping and aggregation γ
- Sorting τ
- Rename ρ

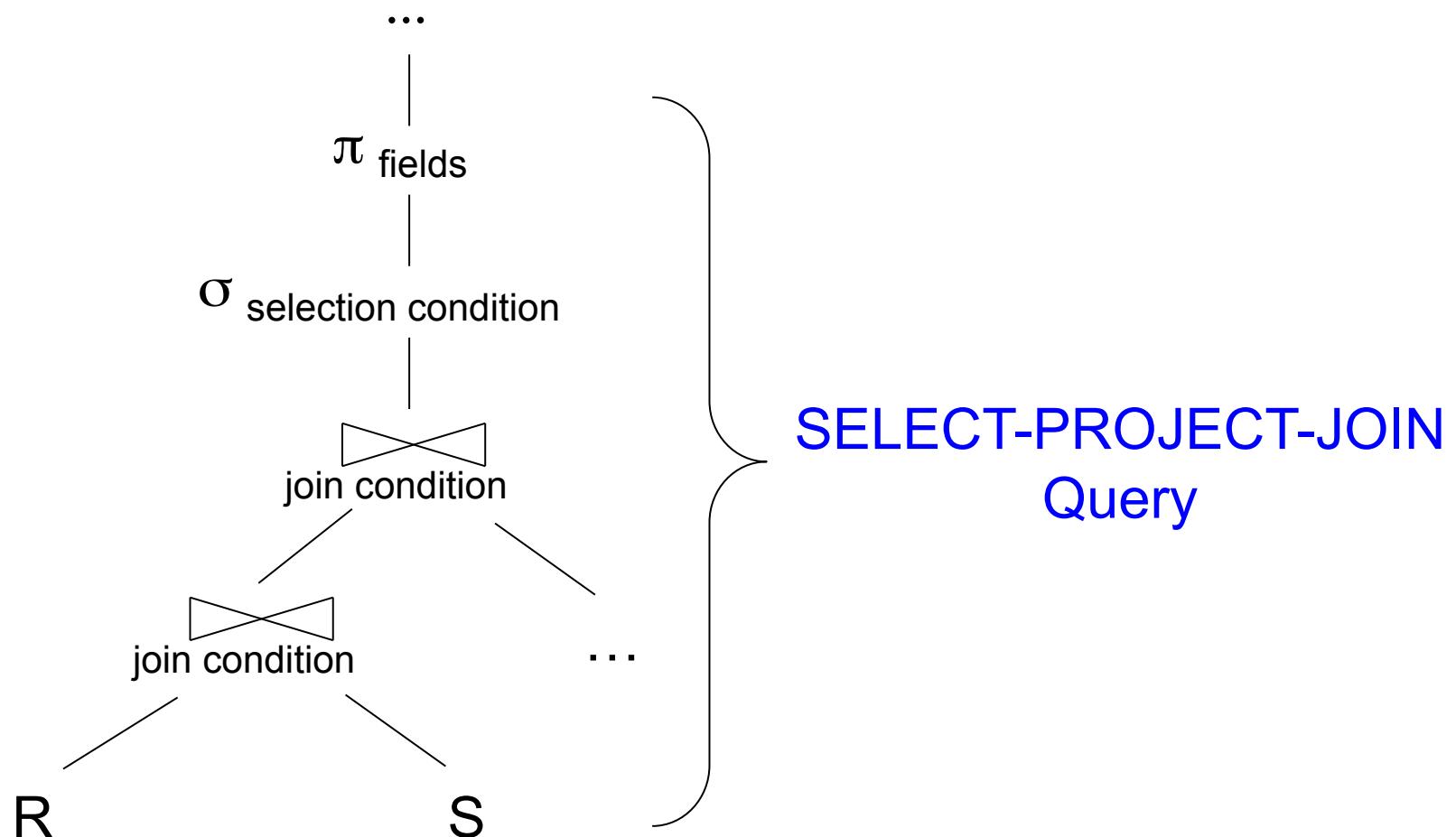
Logical Query Plan



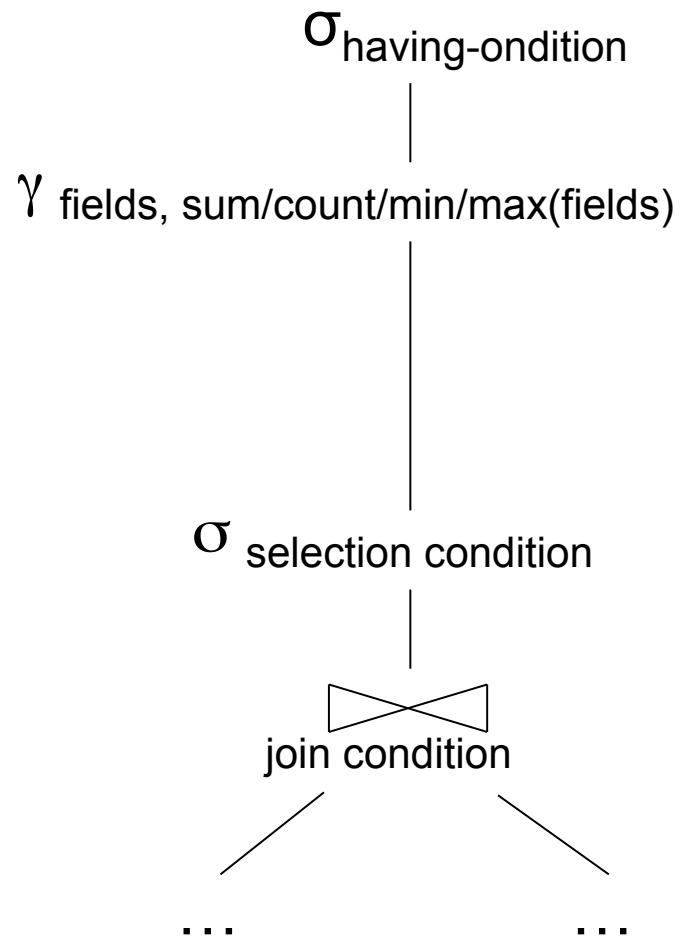
Query Block

- Most optimizers operate on individual query blocks
- A query block is an SQL query with **no nesting**
 - **Exactly one**
 - SELECT clause
 - FROM clause
 - **At most one**
 - WHERE clause
 - GROUP BY clause
 - HAVING clause

Typical Plan for Block (1/2)



Typical Plan For Block (2/2)



Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

```
SELECT Q.sno
FROM Supplier Q
WHERE Q.sstate = 'WA'
and not exists
    SELECT *
    FROM Supply P
    WHERE P.sno = Q.sno
        and P.price > 100
```

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

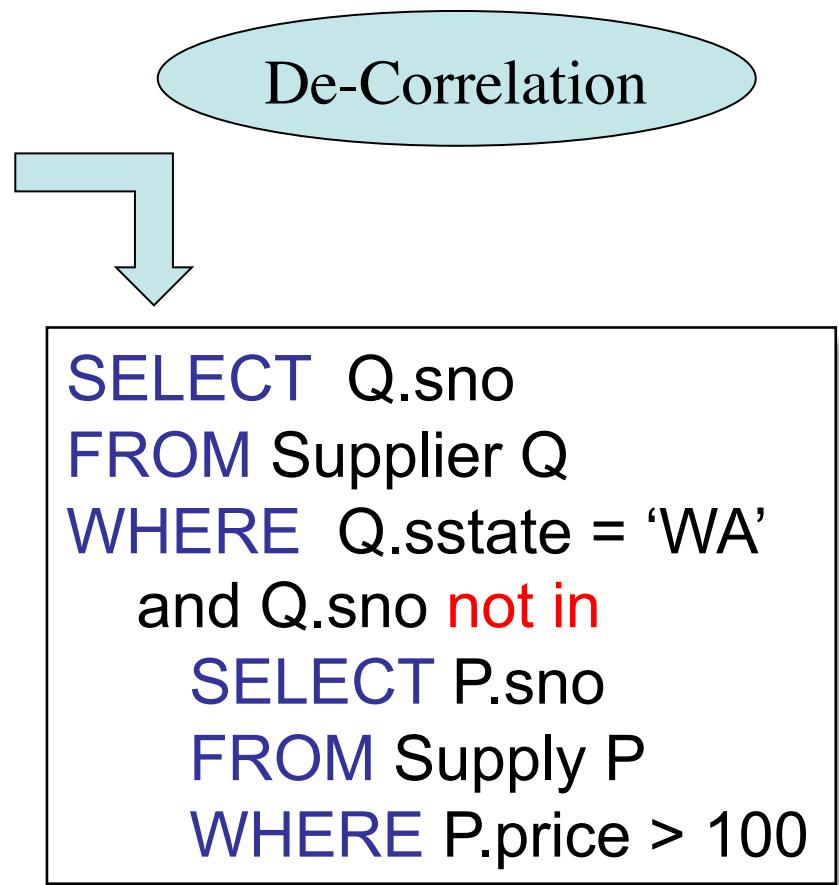
```
SELECT Q.sno  
FROM Supplier Q  
WHERE Q.sstate = 'WA'  
and not exists  
    SELECT *  
    FROM Supply P  
    WHERE P.sno = Q.sno  
        and P.price > 100
```

Correlation !

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

```
SELECT Q.sno  
FROM Supplier Q  
WHERE Q.sstate = 'WA'  
and not exists  
    SELECT *  
    FROM Supply P  
    WHERE P.sno = Q.sno  
        and P.price > 100
```



Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

Un-nesting

```
(SELECT Q.sno  
FROM Supplier Q  
WHERE Q.sstate = 'WA')  
EXCEPT  
(SELECT P.sno  
FROM Supply P  
WHERE P.price > 100)
```

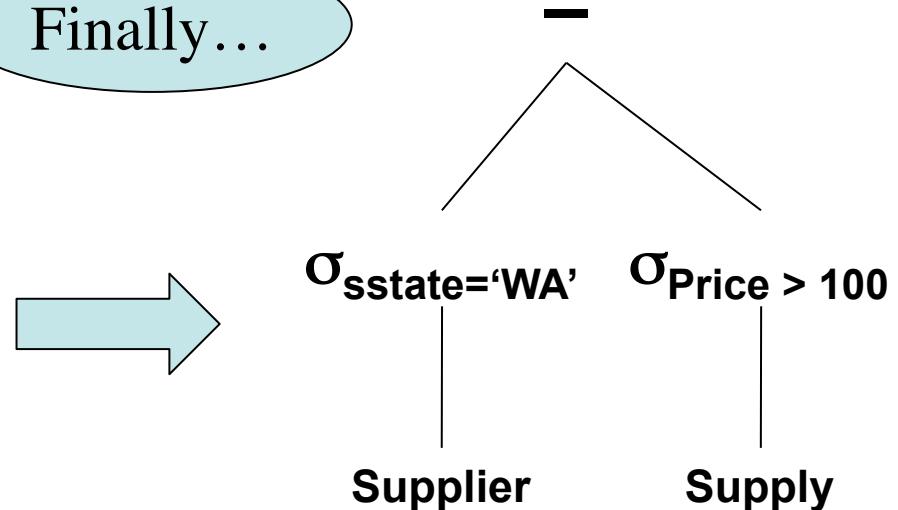
```
SELECT Q.sno  
FROM Supplier Q  
WHERE Q.sstate = 'WA'  
and Q.sno not in  
SELECT P.sno  
FROM Supply P  
WHERE P.price > 100
```

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

How about Subqueries?

```
(SELECT Q.sno  
FROM Supplier Q  
WHERE Q.sstate = 'WA')  
EXCEPT  
(SELECT P.sno  
FROM Supply P  
WHERE P.price > 100)
```

Finally...



Physical Query Plan

- Logical query plan with extra annotations
- **Access path selection** for each relation
 - Use a file scan or use an index
- **Implementation choice** for each operator
- **Scheduling decisions** for operators

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

Physical Query Plan

(On the fly)

π_{sname}

(On the fly)

$\sigma_{\text{scity}='Seattle' \wedge \text{sstate}='WA' \wedge \text{pno}=2}$

(Nested loop)


 $\text{sno} = \text{sno}$

Suppliers
(File scan)

Supplies
(File scan)

Final Step in Query Processing

- **Step 4: Query execution**
 - How to synchronize operators?
 - How to pass data between operators?
- What techniques are possible?
 - One thread per process
 - Iterator interface
 - Pipelined execution
 - Intermediate result materialization

Iterator Interface

- Each **operator implements this interface**
- Interface has only three methods
- **open()**
 - Initializes operator state
 - Sets parameters such as selection condition
- **get_next()**
 - Operator invokes get_next() recursively on its inputs
 - Performs processing and produces an output tuple
- **close()**: cleans-up state

Pipelined Execution

- Applies parent operator to tuples directly as they are produced by child operators
- Benefits
 - No operator synchronization issues
 - Saves cost of writing intermediate data to disk
 - Saves cost of reading intermediate data from disk
 - Good resource utilizations on single processor
- This approach is used whenever possible

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

Pipelined Execution

(On the fly)

π_{sname}

(On the fly)

$\sigma_{\text{scity}=\text{'Seattle'} \wedge \text{sstate}=\text{'WA'} \wedge \text{pno}=2}$

(Nested loop)

$\bowtie_{\text{sno} = \text{sno}}$

Suppliers
(File scan)

Supplies
(File scan)

Intermediate Tuple Materialization

- Writes the results of an operator to an intermediate table on disk
- No direct benefit but
- Necessary for some operator implementations
- When operator needs to examine the same tuples multiple times

$\text{Supplier}(\text{sno}, \text{sname}, \text{scity}, \text{sstate})$
 $\text{Part}(\text{pno}, \text{pname}, \text{psize}, \text{pcolor})$
 $\text{Supply}(\text{sno}, \text{pno}, \text{price})$

Intermediate Tuple Materialization

(On the fly)

(Sort-merge join)

(Scan: write to T1)

$\sigma_{\text{scity}='Seattle' \wedge \text{sstate}='WA'}$

Suppliers
(File scan)

π_{sname}

\bowtie
 $\text{sno} = \text{sno}$

(Scan: write to T2)

$\sigma_{\text{pno}=2}$

Supplies
(File scan)

Physical Operators

Each of the logical operators may have one or more implementations = physical operators

Will discuss several basic physical operators, with a focus on join

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

Question in Class

Logical operator:

Supply(sno,pno,price) $\bowtie_{pno=pno}$ Part(pno,pname,psize,pcolor)

Propose three physical operators for the join, assuming the tables are in main memory:

- 1.
- 2.
- 3.

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

Question in Class

Logical operator:

Supply(sno,pno,price) $\bowtie_{pno=pno}$ Part(pno,pname,psize,pcolor)

Propose three physical operators for the join, assuming the tables are in main memory:

1. Nested Loop Join
2. Merge join
3. Hash join

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

1. Nested Loop Join

```
for S in Supply do {  
    for P in Part do {  
        if (S.pno == P.pno) output(S,P);  
    }  
}
```

Supply = *outer relation*

Part = *inner relation*

Note: sometimes terminology
is switched

Would it be more efficient to
choose Part=inner, Supply=outer ?
What if we had an index on Part.pno ?

It's more complicated...

- Each **operator implements this interface**
- **open()**
- **get_next()**
- **close()**

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

Nested Loop Join Revisited

```
open () {  
    Supply.open();  
    Part.open();  
    S = Supply.get_next();  
}
```

```
close () {  
    Supply.close();  
    Part.close();  
}
```

```
get_next() {  
    repeat {  
        P= Part.get_next();  
        if (P== NULL)  
            { Part.close();  
                S= Supply.get_next();  
                if (S== NULL) return NULL;  
                Part.open();  
                P= Part.get_next();  
            }  
        until (S.pno == P.pno);  
        return (S, P)  
    }  
}
```

ALL operators need to be implemented this way !

BRIEF Review of Hash Tables

Separate chaining:

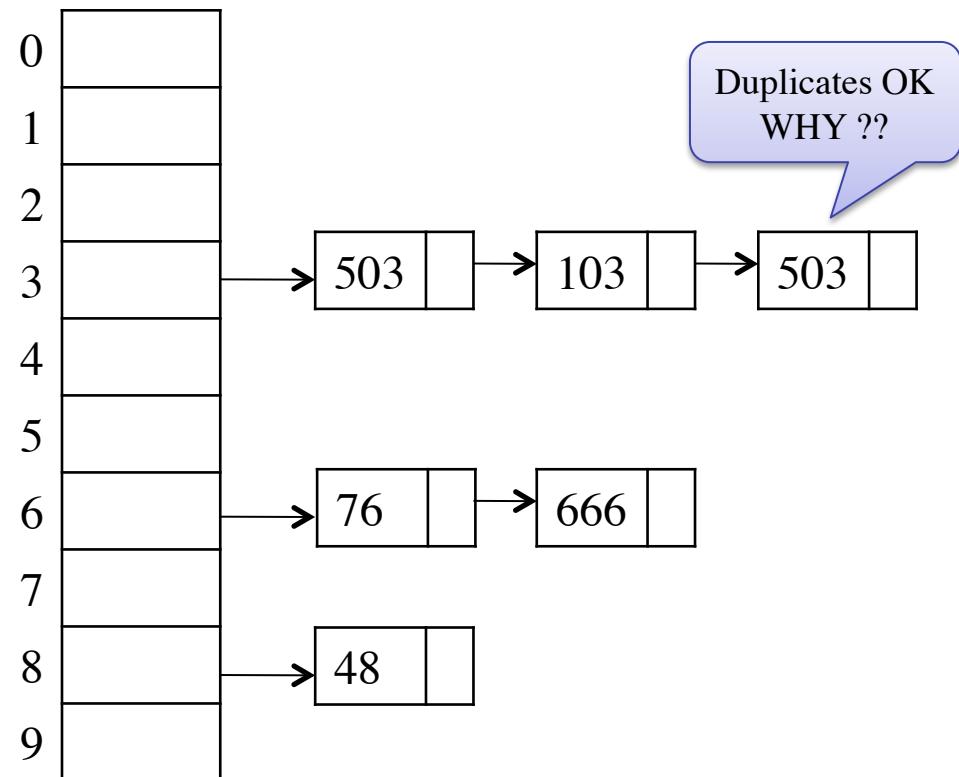
A (naïve) hash function:

$$h(x) = x \bmod 10$$

Operations:

$$\text{find}(103) = ??$$

$$\text{insert}(488) = ??$$



BRIEF Review of Hash Tables

- $\text{insert}(k, v)$ = inserts a key k with value v
- Many values for one key
 - Hence, duplicate k 's are OK
- $\text{find}(k)$ = returns the *list* of all values v associated to the key k

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

2. Hash Join (main memory)

Build
phase

```
for S in Supply do insert(S.pno, S);
```

```
for P in Part do {  
    LS = find(P.pno);  
    for S in LS do { output(S, P); }  
}
```

Probing

Supply=outer
Part=inner

Recall: need to rewrite as open, get_next, close

Supplier(sno,sname,scity,sstate)
Part(pno,pname,psize,pcolor)
Supply(sno,pno,price)

3. Merge Join (main memory)

```
Part1 = sort(Part, pno);
Supply1 = sort(Supply,pno);
P=Part1.get_next(); S=Supply1.get_next();

While (P!=NULL and S!=NULL) {
    case:
        P.pno > S.pno:  P = Part1.get_next( );
        P.pno < S.pno:  S = Supply1.get_next();
        P.pno == S.pno { output(P,S);
                           S = Supply1.get_next();
                         }
}
}
```

Why ???