

# File System Reliability (part 2)

# Main Points

- Approaches to reliability
  - Careful sequencing of file system operations
  - Copy-on-write (WAFL, ZFS)
  - Journalling (NTFS, linux ext4)
  - Log structure (flash storage)
- Approaches to availability
  - RAID

# Last Time: File System Reliability

- Transaction concept
  - Group of operations
  - Atomicity, durability, isolation, consistency
- Achieving atomicity and durability
  - Careful ordering of operations
  - Copy on write

# Reliability Approach #1: Careful Ordering

- Sequence operations in a specific order
  - Careful design to allow sequence to be interrupted safely
- Post-crash recovery
  - Read data structures to see if there were any operations in progress
  - Clean up/finish as needed
- Approach taken in FAT, FFS (fsck), and many app-level recovery schemes (e.g., Word)

# Reliability Approach #2: Copy on Write File Layout

- To update file system, write a new version of the file system containing the update
  - Never update in place
  - Reuse existing unchanged disk blocks
- Seems expensive! But
  - Updates can be batched
  - Almost all disk writes can occur in parallel
- Approach taken in network file server appliances (WAFL, ZFS)

# Copy On Write

- Pros
  - Correct behavior regardless of failures
  - Fast recovery (root block array)
  - High throughput (best if updates are batched)
- Cons
  - Potential for high latency
  - Small changes require many writes
  - Garbage collection essential for performance

# Logging File Systems

- Instead of modifying data structures on disk directly, write changes to a journal/log
  - Intention list: set of changes we intend to make
  - Log/Journal is **append-only**
- Once changes are on log, safe to apply changes to data structures on disk
  - Recovery can read log to see what changes were intended
- Once changes are copied, safe to remove log

# Redo Logging

- Prepare
  - Write all changes (in transaction) to log
- Commit
  - Single disk write to make transaction durable
- Redo
  - Copy changes to disk
- Garbage collection
  - Reclaim space in log
- Recovery
  - Read log
  - Redo any operations for committed transactions
  - Garbage collect log



# Before Transaction Start

Cache

Tom = \$200

Mike = \$100

Nonvolatile  
Storage

Tom = \$200

Mike = \$100

Log:

The diagram illustrates the state of a database system before a transaction begins. It features two main components: a Cache and Nonvolatile Storage. The Cache, located at the top, contains the values 'Tom = \$200' and 'Mike = \$100'. Below it, the Nonvolatile Storage is represented by a cylinder. Inside this cylinder, the same values 'Tom = \$200' and 'Mike = \$100' are stored. Additionally, a rectangular box labeled 'Log:' is positioned within the Nonvolatile Storage, indicating the presence of a transaction log.

# After Updates Are Logged

Cache

Tom = \$100

Mike = \$200

Nonvolatile  
Storage

Tom = \$200

Mike = \$100

Log: Tom = \$100 Mike = \$200



# After Commit Logged

Cache

Tom = \$100

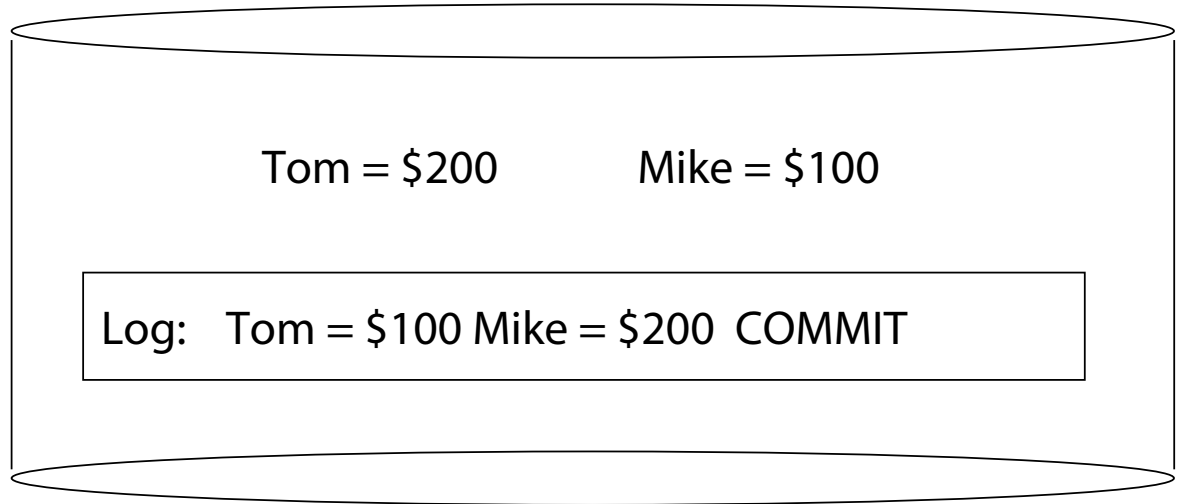
Mike = \$200

Nonvolatile  
Storage

Tom = \$200

Mike = \$100

Log: Tom = \$100 Mike = \$200 COMMIT



# After Copy Back

Cache

Tom = \$100

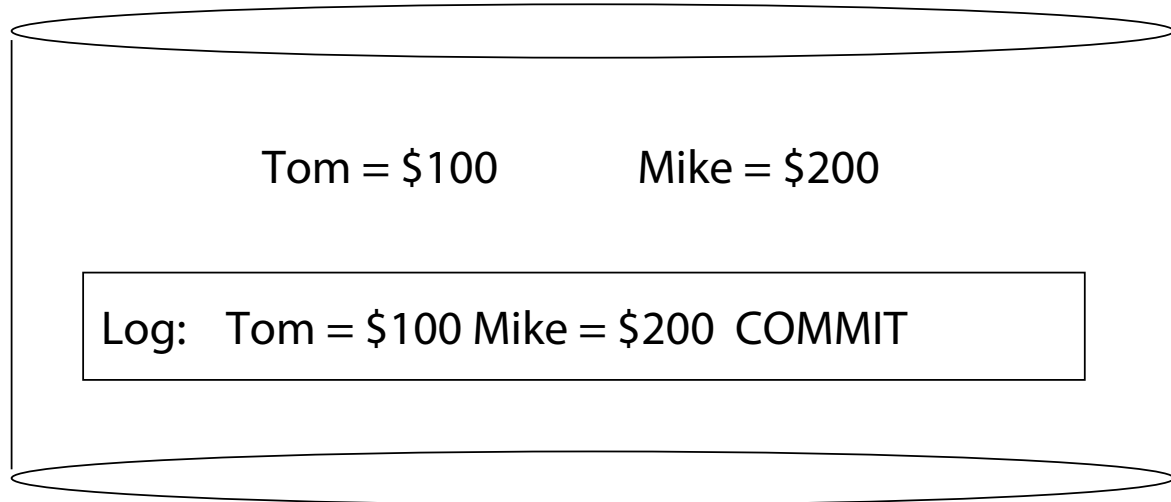
Mike = \$200

Nonvolatile  
Storage

Tom = \$100

Mike = \$200

Log: Tom = \$100 Mike = \$200 COMMIT



# After Garbage Collection

Cache

Tom = \$100

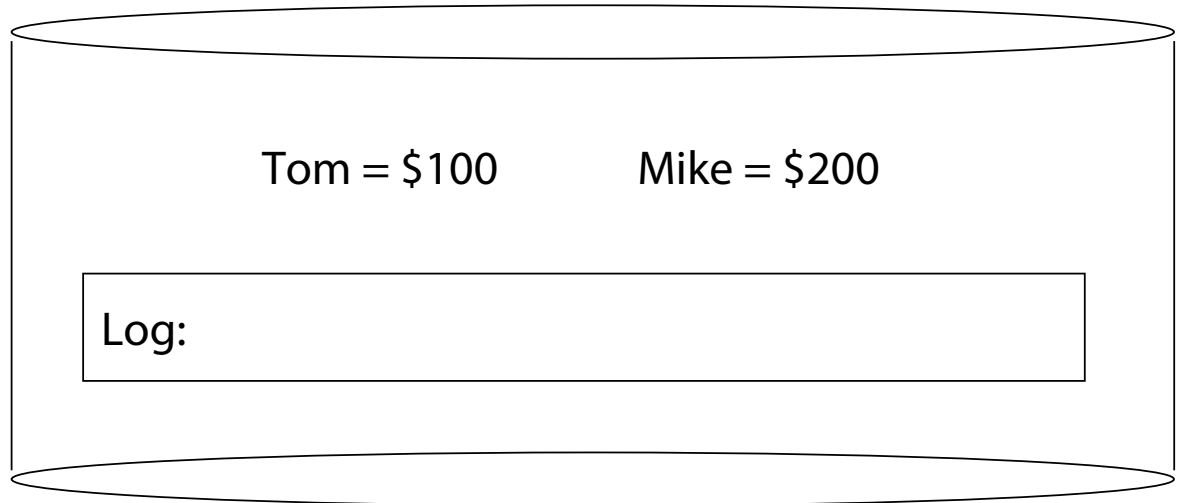
Mike = \$200

Nonvolatile  
Storage

Tom = \$100

Mike = \$200

Log:



# Redo Logging

- Prepare
  - Write all changes (in transaction) to log
- Commit
  - Single disk write to make transaction durable
- Redo
  - Copy changes to disk
- Garbage collection
  - Reclaim space in log
- Recovery
  - Read log
  - Redo any operations for committed transactions
  - Garbage collect log

# Questions

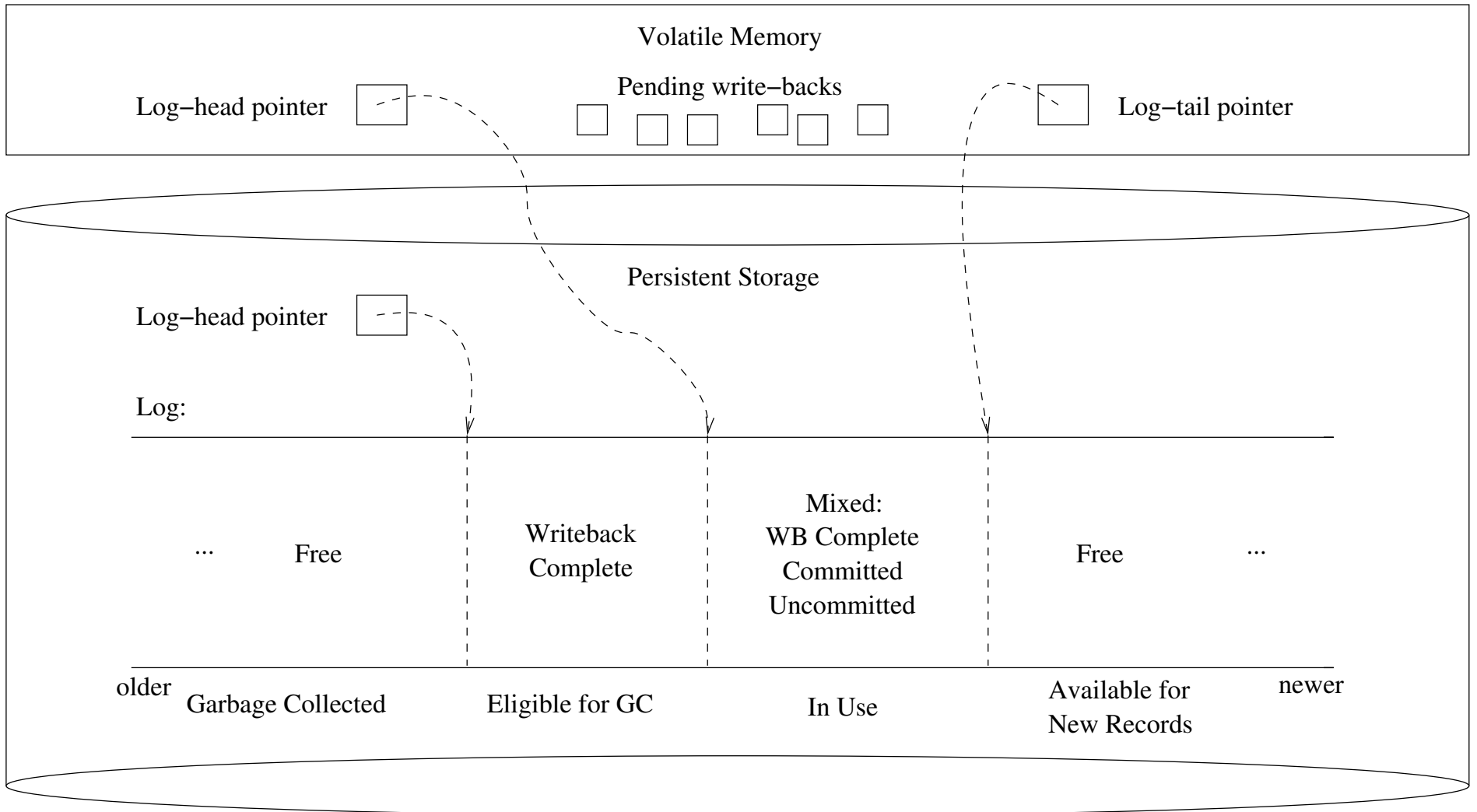
- What happens if machine crashes?
  - Before transaction start
  - After transaction start, before operations are logged
  - After operations are logged, before commit
  - After commit, before write back
  - After write back before garbage collection
- What happens if machine crashes during recovery?

# Performance

- Log written sequentially
  - Often kept in flash storage
- Asynchronous write back
  - Any order as long as all changes are logged before commit, and all write backs occur after commit
- Can process multiple transactions
  - Transaction ID in each log entry
  - Transaction completed iff its commit record is in log



# Redo Log Implementation



# Transaction Isolation

Process A

move file from x to y

```
mv x/file y/
```

Process B

grep across x and y

```
grep x/* y/* > log
```

What if grep starts after  
changes are logged, but  
before commit?

# Two Phase Locking

- Two phase locking: release locks only AFTER transaction commit
  - Prevents a process from seeing results of another transaction that might not commit

# Transaction Isolation

Process A

Lock x, y

move file from x to y

```
mv x/file y/
```

Commit and release x,y

Process B

Lock x, y, log

grep across x and y

```
grep x/* y/* > log
```

Commit and release x, y,  
log

Grep occurs either before  
or after move

# Serializability

- With two phase locking and redo logging, transactions appear to occur in a sequential order (serializability)
  - Either: grep then move or move then grep
- Other implementations can also provide serializability
  - Optimistic concurrency control: abort any transaction that would conflict with serializability

# Caveat

- Most file systems implement a transactional model internally
  - Copy on write
  - Redo logging
- Most file systems provide a transactional model for individual system calls
  - File rename, move, ...
- Most file systems do NOT provide a transactional model for user data
  - Historical artifact (imo)

# Question

- Do we need the copy back?
  - What if update in place is very expensive?
  - Ex: flash storage, RAID

# Log Structure

- Log is the data storage; no copy back
  - Storage split into contiguous fixed size segments
    - Flash: size of erasure block
    - Disk: efficient transfer size (e.g., 1MB)
  - Log new blocks into empty segment
    - Garbage collect dead blocks to create empty segments
  - Each segment contains extra level of indirection
    - Which blocks are stored in that segment
- Recovery
  - Find last successfully written segment



# Reliability vs. Availability

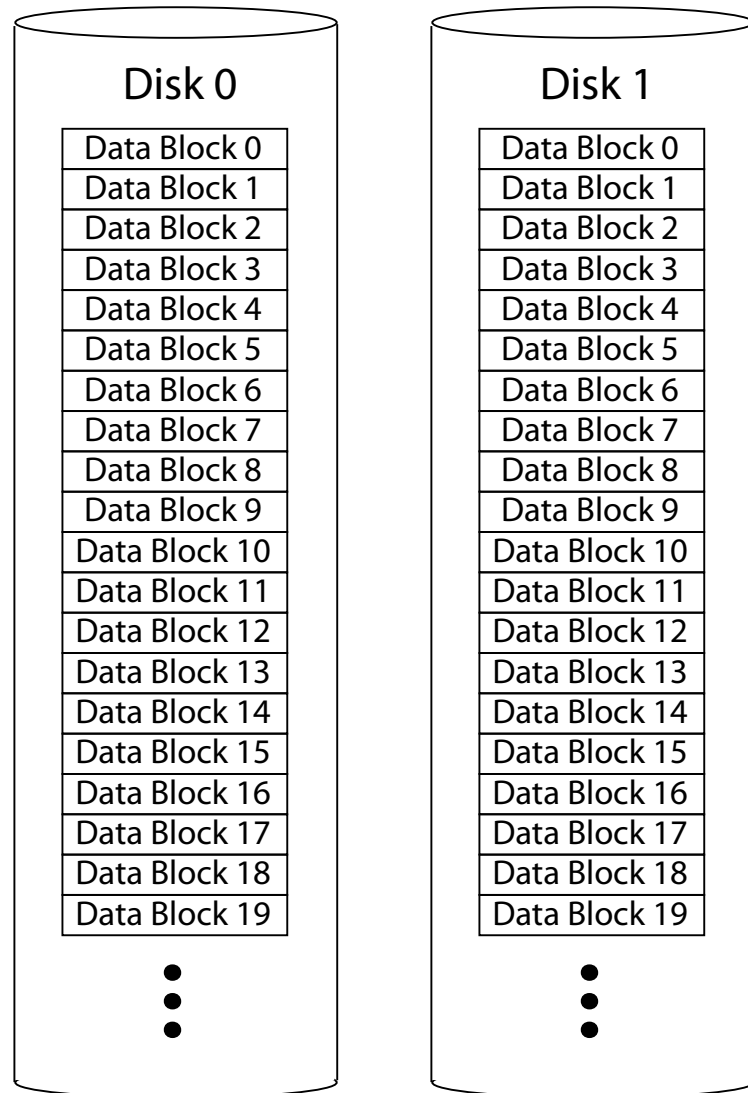
- Storage reliability: data fetched is what you stored
  - Transactions, redo logging, etc.
- Storage availability: data is there when you want it
  - What if there is a disk failure?
- What if you have more data than fits on a single disk?
  - If failures are independent and data is spread across  $k$  disks, data available  $\sim \text{Prob}(\text{disk working})^k$

# RAID

- Replicate data for availability
  - RAID 0: no replication
  - RAID 1: mirror data across two or more disks
    - Google File System replicated all data on three disks, spread across multiple racks
  - RAID 5: split data across disks, with redundancy to recover from a single disk failure
  - RAID 6: RAID 5, with extra redundancy to recover from two disk failures

# RAID 1: Mirroring

- Replicate writes to both disks
- Reads can go to either disk



# Parity

- Parity block:
  - Block1 xor block2 xor block3 ...

100011

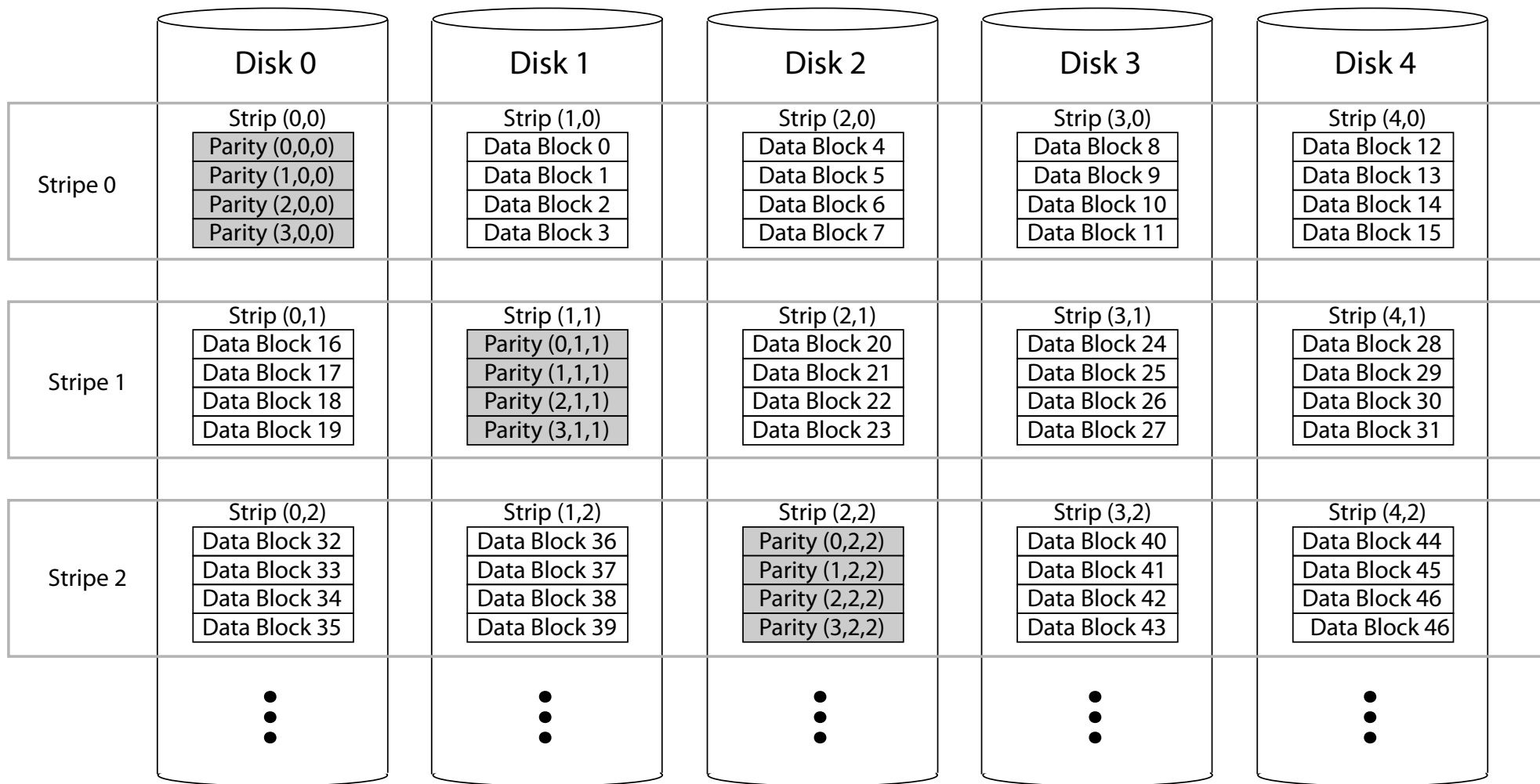
011011

110001

-----

101001

# RAID 5



# RAID Update

- Mirroring
  - Write every mirror
- RAID-5: one block
  - Read old data block
  - Read old parity block
  - Write new data block
  - Write new parity block
    - Old data xor old parity xor new data
- RAID-5: entire stripe
  - Write data blocks and parity

# Non-Recoverable Read Errors

- Disk devices can lose data
  - One sector per  $10^{15}$  bits read
  - Causes:
    - Physical wear
    - Repeated writes to nearby tracks
- What impact does this have on RAID recovery?

# Read Errors and RAID recovery

- Example
  - 10 1TB disks
  - 1 fails
  - Read remaining disks to reconstruct missing data
- Probability of recovery =  
 $(1 - 10^{-15})^{(9 \text{ disks} * 8 \text{ bits} * 10^{12} \text{ bytes/disk})}$   
= 93%
- Solutions:
  - RAID-6 (more redundancy)
  - Scrubbing – read disk sectors in background to find latent errors