# **AVOIDING COORDINATION WITH NETWORK ORDERING: NOPAXOS AND ERIS**

**Ellis Michael** 

### Cloud News Daily Lightning Strikes Disrupt Google Data Center

### Cloud News Daily Lightning Strikes Disrupt Google Data Center

### BUSINESS INSIDER

Many Customers' Data

### **Amazon's Cloud Crash Disaster Permanently Destroyed**

### Cloud News Daily Lightning Strikes Disrupt Google Data Center Technology News Microsoft and Google cloud users suffer service outages INSIDER

Many Customers' Data

### **Amazon's Cloud Crash Disaster Permanently Destroyed**

# **STATE MACHINE REPLICATION**



#### Operation A

Operation B

Operation C

### **STATE MACHINE REPLICATION**



Operation A

Operation B







Operation A

e

Operation B

Operation B

Operation A

Operation C

Operation C

### **STATE MACHINE REPLICATION**



e

Operation A

Operation B





### **PAXOS FOR STATE MACHINE REPLICATION**



### **PAXOS FOR STATE MACHINE REPLICATION**





### **PAXOS FOR STATE MACHINE REPLICATION**





- Paxos protocol on every operation
- High performance cost

Messages may be:
dropped
reordered
delivered with arbitrary latency

Asynchronou Network

All replicas:

- messages
- same order

Paxos protocol on every operation •

Paxos

High performance cost



synchronou Network

All replicas:

- messages
- same order

Paxos protocol on every operation •

Paxos

High performance cost



Replication is trivial •

synchronou Network

All replicas:

- messages
- same order

Paxos protocol on every operation

Paxos

High performance cost



- Replication is trivial
- Network implementation has • the same complexity as Paxos









### Strong

### Network Guarantee











### Network Guarantee

#### Can we build a network model that:

#### provides performance benefits •



•





### can be implemented more efficiently





#### Network Guarantee

# **SPECPAXOS ASSUMED THE NETWORK WAS MOSTLY ORDERED**

# WHAT IF IT COULD PROVIDE AN ORDERING GUARANTEE?

### **TOWARDS AN ORDERED BUT UNRELIABLE** NETWORK

# Key Idea: Separate ordering from reliable delivery in state machine replication

Network provides ordering

Replication protocol handles reliability

### **OUM APPROACH**

- Designate one **sequencer** in the network
- - 1. Forward OUM messages to the sequencer
  - value into packet headers
  - 3. Receivers use sequence numbers to detect reordering and message drops

• Sequencer maintains a counter for each OUM group

2. Sequencer increments counter and writes counter







#### Senders









#### Ordered Unreliable Multicast







#### Senders



#### Ordered Unreliable Multicast





#### Senders



#### Receivers

Sec. 1 the Sec.

#### Ordered Unreliable Multicast





#### Senders



#### Ordered Unreliable

### Ordered Multicast: no coordination required to determine order of messages





#### Senders



#### Ordered Unreliable

#### Ordered Multicast: no coordination required to determine order of messages



# **Drop Detection:** coordination only required when messages are dropped

Senders

# **SEQUENCER IMPLEMENTATIONS**

#### In-switch sequencing

- next generation programmable switches
- implemented in P4
- nearly **zero cost**

Middlebox prototype Cavium Octeon network processor connects to root switches adds 8 us latency



End-host sequencing no specialized hardware required incurs higher latency penalties similar throughput benefits

•

•



## **SEQUENCER IMPLEMENTATIONS**

#### In-switch sequencing next generation programmable switches implemented in P4 nearly zero cost

•

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- Cavium Octeon network processor connects to root switches

### **Middlebox** prototype

adds 8 us latency

#### **End-host** sequencing no specialized hardware required incurs higher latency penalties similar throughput benefits





### **SEQUENCER IMPLEMENTATIONS**

# In-switch sequencing next generation programmable switches implemented in P4 nearly zero cost

Middlebox prototype Cavium Octeon network processor connects to root switches adds 8 us latency

# End-host sequencing

- no specialized hardware required
- incurs higher latency penalties
- similar throughput benefits

Contraction of the second seco

### **NOPAXOS OVERVIEW**

- Built on top of the guarantees of OUM
- Client requests are totally ordered but can be dropped
- No coordination in the common case
- Replicas run agreement on drop detection

View change protocol for leader or sequencer failure























waits for replies from majority including leader's





Execute

V



waits for replies from majority including leader's

reply

#### no coordination


#### Round Trip Time

Execute

V

reply

#### waits for replies from majority including leader's

no coordination

### **GAP AGREEMENT**

Replicas detect message drops.

message from the leader

• Leader replica: coordinates to commit a **NO-OP** (Paxos)

• Efficient recovery from network anomalies

# • Non-leader replicas: recover the missing

## WHY DO FOLLOWERS NOT EXECUTE?

- followers might not be involved in the quorum to commit a no-op. The leader might get replaced.
- Followers simply log operations. Operations are
- or get a state transfer.

• Request logs in NOPaxos are **non-authoritative**. The

permanently committed with periodic synchronization.

• If a leader gets replaced and discovers that some of its commands weren't actually committed, it can roll-back

## **VIEW CHANGE**

- Handles leader or sequencer failure
- committed in the previous view.
- Runs a view change protocol similar to VR
- view-number is a tuple of <leader-number, session-number>

## • Ensures that all replicas are in a consistent state and agree on all of the commands and no-ops

#### Latency (us)

#### better ↓



better  $\rightarrow$ 

#### Throughput (ops/sec)





4.7X throughput and more than 40% reduction in latency

NOPaxos

130,000 195,000 260,000

better  $\rightarrow$ 

Throughput (ops/sec)





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Throughput (ops/sec)

### **NOPAXOS IS RESILIENT TO NETWORK ANOMALIES**



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### **NOPAXOS IS RESILIENT TO NETWORK ANOMALIES**



	500	
Latoro or (ma)	375 —	
Latency (us)	250 —	
better↓		
	125	
	0	65,000
		Throug

130,000 195,000 260,000 better  $\rightarrow$ shput (ops/sec)



#### NOPaxos Unreplicated 195,000 260,000

better  $\rightarrow$ 

Throughput (ops/sec)

130,000





NOPaxos using end-host sequencer

within 2% throughput and 6us latency of an unreplicated system

NOPaxos

Inreplicated

130,000 195,000 260,000

better  $\rightarrow$ 

Throughput (ops/sec)





similar throughput but 36% higher latency

within 2% throughput and 6us latency of an unreplicated system

NOPaxos using end-host sequencer

NOPaxos

Inreplicated

130,000 195,000 260,000

better  $\rightarrow$ 

Throughput (ops/sec)

#### SUMMARY

- replication
- A network model OUM that provides ordered but unreliable message delivery
- A more efficient replication protocol NOPaxos that ensures reliable delivery
- to an unreplicated system

#### • Separate ordering from reliable delivery in state machine

The combined system achieves performance equivalent

### THE ERIS TRANSACTION PROTOCOL

## **EXISTING TRANSACTIONAL SYSTEMS: EXTENSIVE COORDINATION**



#### Shard 3

#### **EXISTING TRANSACTIONAL SYSTEMS: EXTENSIVE COORDINATION** commit ok prepare req





#### EXISTING TRANSACTIONAL SYSTEMS: EXTENSIVE COORDINATION req prepare ok con

: :



#### EXISTING TRANSACTIONAL SYSTEMS: EXTENSIVE COORDINATION req prepare ok con



ERIS

#### Processes independent transactions without coordination in the normal case

unreplicated system on TPC-C

 Strongly consistent, fault tolerant transactions with minimal performance penalties

# • Performance within 3% of a nontransactional,

### **KEY CONTRIBUTIONS**

#### A new architecture that divides the responsibility for transactional guarantees by

messages within and across shards

...and a co-designed transaction protocol with minimal coordination.

# ...leveraging the datacenter network to order











Reliability (within shard)

Ordering (within shard)





Isolation

Reliability (within shard)

Ordering (within shard)



Ordering (across shard)

Isolation

Reliability

Reliability (within shard)

Ordering (within shard)

## **A NEW WAY TO DIVIDE RESPONSIBILITIES**

Reliability (across shards)

Ordering (across shard)



## **A NEW WAY TO DIVIDE RESPONSIBILITIES**

Reliability (across shards)

#### Application

#### Network

Ordering (across shard)







## **IN-NETWORK CONCURRENCY CONTROL GOALS**

# • Globally consistent ordering across messages delivered to multiple destination shards

No reliable delivery guarantee

Recipients can detect dropped messages












**T1 T2** (ABC) (AB)









## **MULTI-SEQUENCED GROUPCAST**

- Groupcast: message header specifies a set of destination multicast groups
- Multi-sequenced groupcast: messages are
- Sequencer keeps a counter for each group
- Extends OUM in NOPaxos

# sequenced atomically across all recipient groups



### Counter: A0 B0 C0









### Counter: A0 B0 C0











Counter: A0 B0 C0









Counter: A1 B1 C1









Counter: A1 B1 C1









### Counter: A1 B1 C1









### Counter: A1 B1 C1



























Counter: A2 B2 C1









### Counter: A2 B2 C1









### Counter: A2 B2 C1









#### Counter: A2 B2 C1

**T3** (A)

























A3 B2 C1









### Counter: A3 B2 C1









### Counter: A3 B2 C1









### Counter: A3 B2 C1







### WHAT HAVE WE ACCOMPLISHED SO FAR?

### Consistently ordered groupcast primitive with drop detection

 How do we go from r to transactions?

### How do we go from multi-sequenced groupcast

## **TRANSACTION MODEL**

Eris supports two types of transactions

- Independent transactions:
  - One-shot (stored procedures)
  - No cross-shard dependencies
- Fully general transactions

Proposed by H-Store [VLDB '07] and Granola [ATC '12]

START TRANSACTION UPDATE tb t1 SET t1.Salary = t1.Salary + 100 WHERE t1.Salary < 500 COMMIT



Name Bob







START TRANSACTION UPDATE tb t1 SET t1.Salary = t1.Salary + 100 WHERE 500 < (SELECT AVG(t2.Salary) FROM tb t2) COMMIT







#### Many applications consist entirely of independent transactions

START TRANSACTION UPDATE tb t1 SET t1.Salary = t1.Salary + 100 WHERE t1.Salary < 500 COMMIT





Name Bob



START TRANSACTION UPDATE tb t1 SET t1.Salary = t1.Salary + 100 WHERE t1.Salary < 500 COMMIT





### WHY INDEPENDENT TRANSACTIONS?

### No coordination/communication across shards

- Executing them serially at each shard in a
- order
- server failures?

# consistent order guarantees serializability

Multi-sequenced groupcast establishes such an

How to handle message drops and sequencer/

# NORMAL CASE


# NORMAL CASE



# NORMAL CASE











### **HOW TO HANDLE DROPPED MESSAGES?**





A





## **HOW TO HANDLE DROPPED MESSAGES?**





Α





## How to handle dropped messages?











## How to handle dropped messages?











## How to handle dropped messages?











#### **HOW TO HANDLE DROPPED MESSAGES?**



## Global coordination problem

























#### Failure Coordinator



























































**Received A2?** 











Not Found



























































## **DESIGNATED LEARNER AND SEQUENCER** FAILURES

Designated learner (DL) failure:

- View change based protocol
- views

Sequencer failure:

- Higher epoch number from the new sequencer
- transactions completed in the previous epoch.

• Ensures new DL learns all committed transactions from previous

• Epoch change ensures all replicas across all shards start the new epoch in consistent states. They should all agree on the exact set of

## **CAN WE PROCESS NON-INDEPENDENT TRANSACTIONS EFFICIENTLY?**

## **APPROACH: DIVIDE INTO INDEPENDENT** TRANSACTIONS

- Relies on the linearizable execution of independent transactions
- This means that we have the abstraction of a single, correct machine that processes independent transactions only.
- Uses locks to provide strong isolation
- Two phases:
  - Independent transaction 1: execute reads and acquire locks
  - Independent transaction 2: commit/abort changes and release locks

## **BENEFITS OF OUR LAYERED ARCHITECTURE**

- server can unilaterally send the abort command for its general transactions as an independent transaction.
- single step.
- Takes advantage of the efficient independent transaction round trips in the normal case.

Simple solution to handle client failures: if the client fails, any

No deadlocks/deadlock detection. Locks are acquired in a

• Furthermore, we don't even need aborts! Wait queues are easy.

processing layer. General transactions are processed in two

## **EVALUATION COMPARISON SYSTEMS**

# Lock-Store (2PC + 2PL + Paxos) • TAPIR [SOSP '15] • Granola [ATC'12]

Non-transactional, unreplicated (NT-UR)



## Distributed independent

**Eris** NT-UR Granola



## Distributed independent



#### Distributed independent transactions

**Eris achieves** throughput within 10% of NT-UR

**Eris** NT-UR Granola

Distributed independent transactions

Throug



More than **70% reduction** in latency compared to Lock-Store, and within 10% latency of NT-UR

Granola by more than 3X

Eris NT-UR Granola

in

## **ERIS ALSO PERFORMS WELL ON GENERAL** TRANSACTIONS



#### Distributed general transactions
## **ERIS ALSO PERFORMS WELL ON GENERAL TRANSACTIONS**



Distributed general transactions

Eris maintains throughput within 10% of NT-UR

Granola **Eris** NT-UR

## **ERIS EXCELS AT COMPLEX TRANSACTIONAL APPLICATIONS**



240K



#### TPC-C benchmark

Granola **Eris** 

NT-UR

## **ERIS EXCELS AT COMPLEX TRANSACTIONAL APPLICATIONS**

Throughput (txns/sec)

180K

120K

240K

60K

OK

Lock-Store

#### TPC-C benchmark



TAPIR Granola **Eris** 

NT-UR

## **ERIS EXCELS AT COMPLEX TRANSACTIONAL APPLICATIONS**

Throughput (txns/sec)

180K

120K

240K

throughput than

60K

OK

Lock-Store

#### TPC-C benchmark

#### within 3% throughput of **NT-UR**



Granola TAPIR Eris

NT-UR

## **ERIS IS RESILIENT TO NETWORK ANOMALIES**



Throughput (txns/sec)

#### Packet Drop Rate

## **ERIS IS RESILIENT TO NETWORK ANOMALIES**



Throughput (txns/sec)

#### Packet Drop Rate

## **ERIS RECAP**

- A new division of responsibility for transaction processing
  - consistent order of transactions across shards
  - \* An efficient protocol that ensures reliable delivery of independent transactions
  - processing
- Result: strongly consistent, fault-tolerant transactions with minimal performance overhead

\* An in-network concurrency control mechanism that establishes a

\* A general transaction layer atop independent transaction

## **ERIS AND NOPAXOS DISCUSSION**

- NOPaxos, it's not a problem.
- What properties are important to NOPaxos's "scalability"?
- How deployable are these approaches?
- How scalable is Eris compared to two-phase commit?

# Can we use an end-host sequencer for Eris? In