

# CSE 454

Index Compression  
Alta Vista  
PageRank

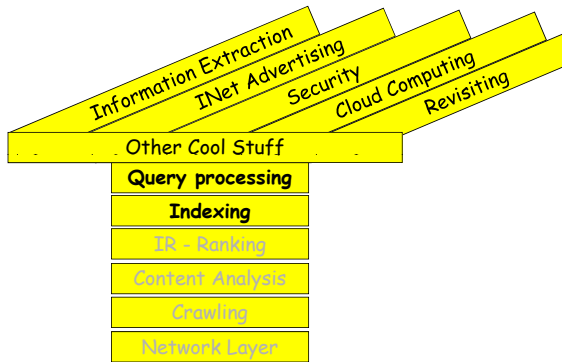
# Administrivia

- **No class Tues 10/26**
  - Instead go to today's colloquium
  - Group Meetings



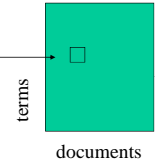
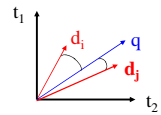
- **Never-Ending Language Learning**
  - Today 3:30pm EEB 105

# Class Overview



# Review

- **Vector Space Representation**
  - Dot Product as Similarity Metric
- **TF-IDF for Computing Weights**
  - $w_{ij} = f(i,j) * \log(N/n_i)$
  - Where  $q = \dots \text{word}_i \dots$
  - $N = |\text{docs}|$      $n_i = |\text{docs with word}_i|$
- **But How Process Efficiently?**



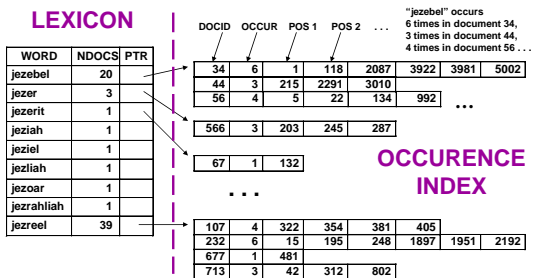
# Retrieval

## Document-term matrix

	$t_1$	$t_2$	...	$t_j$	...	$t_m$	nf
$d_1$	$w_{11}$	$w_{12}$	...	$w_{1j}$	...	$w_{1m}$	$1/ d_1 $
$d_2$	$w_{21}$	$w_{22}$	...	$w_{2j}$	...	$w_{2m}$	$1/ d_2 $
...	...	...	...	...	...	...	...
$d_i$	$w_{i1}$	$w_{i2}$	...	$w_{ij}$	...	$w_{im}$	$1/ d_i $
...	...	...	...	...	...	...	...
$d_n$	$w_{n1}$	$w_{n2}$	...	$w_{nj}$	...	$w_{nm}$	$1/ d_n $

$w_{ij}$  is the weight of term  $t_j$  in document  $d_i$   
Most  $w_{ij}$ 's will be zero.

# Inverted Files for Multiple Documents



## Many Variations Possible

- **Address space (flat, hierarchical)**
  - Alta Vista uses flat approach
- **Record term-position information**
- **Precalculate TF-IDF info**
- **Stored header, font & tag info**
- **Compression strategies**

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## Compression

- **What Should We Compress?**
  - Repository
  - Lexicon
  - Inv Index
- **What properties do we want?**
  - Compression ratio
  - Compression speed
  - Decompression speed
  - Memory requirements
  - Pattern matching on compressed text
  - Random access

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## Inverted File Compression

Each inverted list has the form  $\langle f_i; d_1, d_2, d_3, \dots, d_{f_i} \rangle$

A naïve representation results in a storage overhead of  $(f + n) * \lceil \log N \rceil$

This can also be stored as  $\langle f_i; d_1, d_2 - d_1, \dots, d_{f_i} - d_{f_i-1} \rangle$

Each difference is called a **d-gap**. Since  $\sum(d - \text{gaps}) \leq N$ ,

each pointer requires fewer than  $\lceil \log N \rceil$  bits.

Trick is encoding .... since worst case ....

➡ Assume **d-gap representation for the rest of the talk, unless stated otherwise**

Slides adapted from Tapas Kanungo and David Mount, Univ Maryland

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## Text Compression

Two classes of text compression methods

- **Symbolwise (or statistical) methods**
  - Estimate probabilities of symbols - modeling step
  - Code one symbol at a time - coding step
  - Use shorter code for the most likely symbol
  - Usually based on either arithmetic or Huffman coding
- **Dictionary methods**
  - Replace fragments of text with a single code word
  - Typically an index to an entry in the dictionary.
    - eg: Ziv-Lempel coding: replaces strings of characters with a pointer to a previous occurrence of the string.
  - No probability estimates needed

➡ **Symbolwise methods are more suited for coding d-gaps**

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## Classifying d-gap Compression Methods:

- **Global: each list compressed using same model**
  - **non-parameterized**: probability distribution for d-gap sizes is predetermined.
  - **parameterized**: probability distribution is adjusted according to certain parameters of the collection.
- **Local: model is adjusted according to some parameter, like the frequency of the term**
- **By definition, local methods are parameterized.**

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## Conclusion

- **Local methods best**
- **Parameterized global models ~ non-parameterized**
  - Pointers not scattered randomly in file
- **In practice, best index compression algorithm is:**
  - **Local Bernoulli method (using Golomb coding)**
- **Compressed inverted indices usually faster+smaller than**
  - Signature files
  - Bitmaps

Local < Parameterized Global < Non-parameterized Global

↙ Not by much

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## CSE 454 - Case Studies

### Design of Alta Vista

Based on a talk by Mike Burrows

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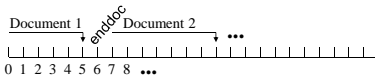
## AltaVista: Inverted Files

- Map each word to list of locations where it occurs
- Words = null-terminated byte strings
- Locations = 64 bit unsigned ints
  - Layer above gives interpretation for location
    - URL
    - Index into text specifying word number
- Slides adapted from talk by Mike Burrows

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## Documents

- A document is a region of location space
  - Contiguous
  - No overlap
  - Densely allocated (first doc is location 1)
- All document structure encoded with words
  - enddoc at last location of document
  - begintitle, endtitle mark document title



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## Format of Inverted Files

- Words ordered lexicographically
- Each word followed by list of locations
- Common word prefixes are compressed
- Locations encoded as deltas
  - Stored in as few bytes as possible
  - 2 bytes is common
  - Sneaky assembly code for operations on inverted files
    - Pack deltas into aligned 64 bit word
    - First byte contains continuation bits
    - Table lookup on byte => no branch instructions, no mispredicts
    - 35 parallelized instructions/ 64 bit word = 10 cycles/word
- Index ~ 10% of text size

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## Index Stream Readers (ISRs)

- Interface for
  - Reading result of query
  - Return ascending sequence of locations
  - Implemented using lazy evaluation
- Methods
  - loc(ISR) return current location
  - next(ISR) advance to next location
  - seek(ISR, X) advance to next loc after X
  - prev(ISR) return previous location ← !

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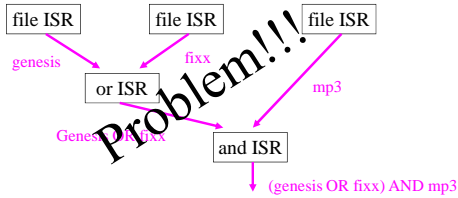
## Processing Simple Queries

- User searches for “mp3”
- Open ISR on “mp3”
  - Uses hash table to avoid scanning entire file
- Next(), next(), next()
  - returns locations containing the word

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## Combining ISRs

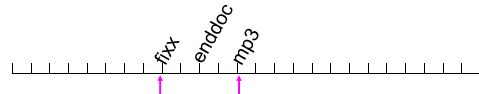
- **And** Compare locs on two streams
- **Or** Merges two or more ISRs
- **Not** Returns locations not in ISR (lazily)



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## What About File Boundaries?

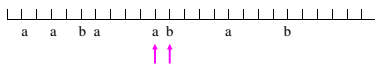


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## ISR Constraint Solver

- **Inputs:**
  - Set of ISRs: A, B, ...
  - Set of Constraints
- **Constraint Types**
  - $\text{loc}(A) \leq \text{loc}(B) + K$
  - $\text{prev}(A) \leq \text{loc}(B) + K$
  - $\text{loc}(A) \leq \text{prev}(B) + K$
  - $\text{prev}(A) \leq \text{prev}(B) + K$
- **For example: phrase "a b"**
  - $\text{loc}(A) \leq \text{loc}(B)$ ,  $\text{loc}(B) \leq \text{loc}(A) + 1$

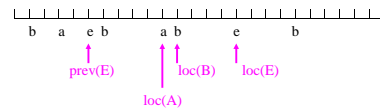


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## Two words on one page

- Let E be ISR for word enddoc
  - Constraints for conjunction a AND b
    - $\text{prev}(E) \leq \text{loc}(A)$
    - $\text{loc}(A) \leq \text{loc}(E)$
    - $\text{prev}(E) \leq \text{loc}(B)$
    - $\text{loc}(B) \leq \text{loc}(E)$
- What if prev(E) Undefined?

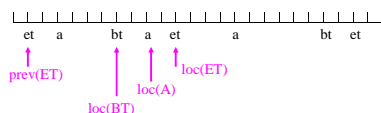


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## Advanced Search

- **Field query: a in Title of page**
- Let BT, ET be ISRP of words begintitle, endtitle
- **Constraints:**
  - $\text{loc}(BT) \leq \text{loc}(A)$
  - $\text{loc}(A) \leq \text{loc}(ET)$
  - $\text{prev}(ET) \leq \text{loc}(BT)$



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## Implementing the Solver

### Constraint Types

- $\text{loc}(A) \leq \text{loc}(B) + K$
- $\text{prev}(A) \leq \text{loc}(B) + K$
- $\text{loc}(A) \leq \text{prev}(B) + K$
- $\text{prev}(A) \leq \text{prev}(B) + K$

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## Remember: Index Stream Readers

### • Methods

- loc(ISR)                      return current location
- next(ISR)                    advance to next location
- seek(ISR, X)                advance to next loc after X
- prev(ISR)                    return previous location

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## Solver Algorithm

```
while (unsatisfied_constraints)
  satisfy_constraint(choose_unsat_constraint())
```

loc(ISR)	return cur loc
next(ISR)	adv to nxt loc
seek(ISR, X)	adv to nxt loc
prev(ISR)	return pre loc

- **To satisfy:**  $loc(A) \leq loc(B) + K$
- Execute: seek(B, loc(A) - K)

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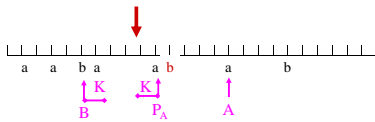
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## Solver Algorithm

```
while (unsatisfied_constraints)
  satisfy_constraint(choose_unsat_constraint())
```

loc(ISR)	return cur loc
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prev(ISR)	return pre loc

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- **To satisfy:**  $prev(A) \leq loc(B) + K$
- Execute: seek(B, prev(A) - K)



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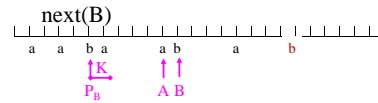
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## Solver Algorithm

```
while (unsatisfied_constraints)
  satisfy_constraint(choose_unsat_constraint())
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- **To satisfy:**  $loc(A) \leq loc(B) + K$
- Execute: seek(B, loc(A) - K)
- **To satisfy:**  $prev(A) \leq loc(B) + K$
- Execute: seek(B, prev(A) - K)
- **To satisfy:**  $loc(A) \leq prev(B) + K$
- Execute: seek(B, loc(A) - K),
- next(B)



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## Solver Algorithm

```
while (unsatisfied_constraints)
  satisfy_constraint(choose_unsat_constraint())
```

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- Execute: seek(B, prev(A) - K)
- **To satisfy:**  $loc(A) \leq prev(B) + K$
- Execute: seek(B, loc(A) - K),
- next(B)
- **To satisfy:**  $prev(A) \leq prev(B) + K$
- Execute: seek(B, prev(A) - K)
- next(B)

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## Solver Algorithm

```
while (unsatisfied_constraints)
  satisfy_constraint(choose_unsat_constraint())
```

Heuristic:  
Which choice  
advances a  
stream the  
furthest?

- **To satisfy:**  $loc(A) \leq loc(B) + K$
- Execute: seek(B, loc(A) - K)
- **To satisfy:**  $prev(A) \leq loc(B) + K$
- Execute: seek(B, prev(A) - K)
- **To satisfy:**  $loc(A) \leq prev(B) + K$
- Execute: seek(B, loc(A) - K),
- next(B)
- **To satisfy:**  $prev(A) \leq prev(B) + K$
- Execute: seek(B, prev(A) - K)
- next(B)

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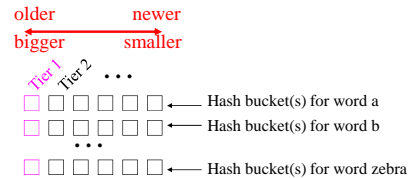
## Update

- Can't insert in the middle of an inverted file
- Must rewrite the entire file
  - Naïve approach: need space for two copies
  - Slow since file is huge
- Split data along two dimensions
  - Buckets solve disk space problem
  - Tiers alleviate small update problem

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## Buckets & Tiers

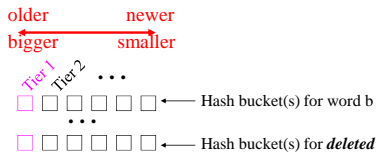
- Each word is hashed to a bucket
- Add new documents by adding a new tier
  - Periodically merge tiers, bucket by bucket



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## What if Word Removed from Doc?

- Delete documents by adding deleted word
  - Deleted word
- Expunge deletions when merging tier 1



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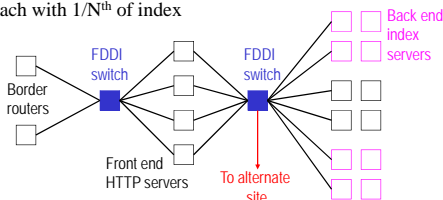
## Scaling

- How handle huge traffic?
  - AltaVista Search ranked #16
  - 10,674,000 unique visitors (Dec'99)
- Scale across N hosts
  1. Ubiquitous index. Query one host
  2. Split N ways. Query all, merge results
  3. Ubiquitous index. Host handles subrange of locations. Query all, merge results
  4. Hybrids

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## AltaVista Structure

- Front ends
  - Alpha workstations
- Back ends
  - 4-10 CPU Alpha servers
    - 8GB RAM, 150GB disk
  - Organized in groups of 4-10 machines
    - Each with 1/N<sup>th</sup> of index



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