

## Synchronization

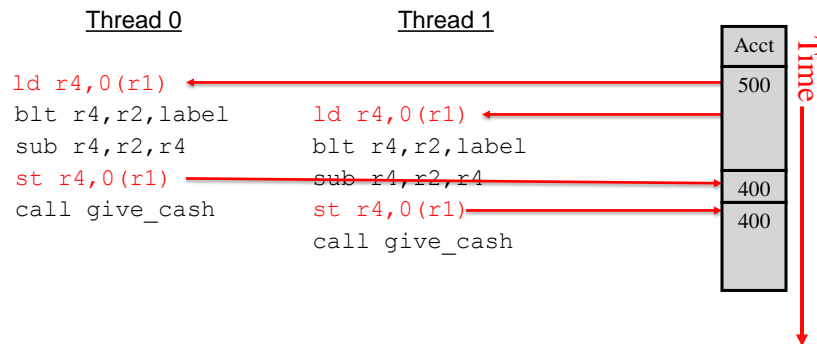
Coherency protocols guarantee that a reading processor (thread) sees the most current update to shared data.

Often we want to follow program behaviors that are on a higher plane than an individual access

Coherency protocols **do not** regulate access to shared data:

- Do not ensure that only one thread does a **series** of accesses to shared data or a shared hardware or software resource at a time
- **Critical sections** order thread access to shared data
- Do not force threads to start executing particular sections of code together
- **Barriers** force threads to start executing particular sections of code together

## Critical Sections: Motivating Example



## Critical Sections

### A **critical section**

- a sequence of code that only one thread can execute at a time
- provides **mutual exclusion**
  - a thread has exclusive access to the code & the data that it accesses
  - guarantees that only one thread can update shared data at a time
- to execute a critical section, a thread
  - acquires a lock that guards it
  - executes its code
  - releases the lock

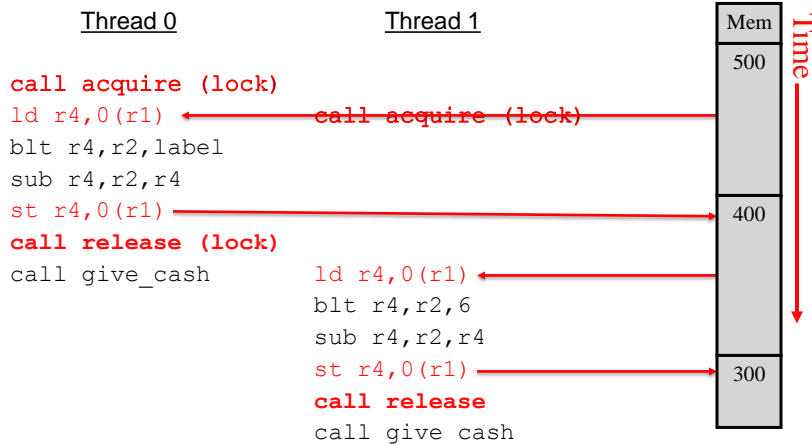
The effect is to synchronize or order threads with respect to their accessing shared data

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## Critical Sections: Correct Example



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## Barriers

### Barrier synchronization

- a **barrier**: point in a program which all threads must reach before any thread can cross
  - threads reach the barrier & then wait until all other threads arrive
  - all threads are released at once & begin executing code beyond the barrier
- example implementation of a barrier:
  - set a lock-protected counter to the number of threads
  - each thread decrements the counter
  - when the counter value becomes 0, all threads have crossed the barrier
  - code that implements the counter must be a critical section
- useful for:
  - programs that execute in (semantic) phases
  - synchronizing after a parallel loop

## Locking

Locking facilitates access to a critical section & shared data.

Locking protocol:

- **synchronization variable or lock**
  - 0: lock is available
  - 1: lock is unavailable because another thread holds it
- a thread obtains the lock before it can enter a critical section or access shared data
  - sets the lock to 1
- thread releases the lock before it leaves the critical section or after its last access to shared data
  - clears the lock

## Acquiring a Lock

Acquiring a lock is done with an **atomic read-modify-write** to a location in memory

**Atomic exchange instruction:** swap a value in memory & a value in a register as one operation

- set the register to 1
- swap the register value & the lock value in memory
- new register value determines whether got the lock

```
AcquireLock:
    li    R3, #1           /* create lock value
    swap  R3, 0(R4)       /* exchange register & lock
    bnez  R3, AcquireLock /* have to try again */
```

Other examples

- test & set: tests the value in a memory location & sets it to 1
- fetch & increment/decrement: returns the value of a memory location +/- 1

## Releasing a Lock

Store a 0 in the lock

## Load-locked & Store Conditional

Performance problem with atomic read-modify-write:

- 2 memory operations in one
- must hold the bus until both operations complete

**Pair** of instructions *appears* atomic

- avoids need for uninterruptible memory read & write pair
- **load-locked & store-conditional**
  - load-locked returns the original (lock) value in memory
  - if the contents of lock memory has not changed when the store-conditional is executed, the processor still has the lock
    - store-conditional returns a 1 if successful

```
GetLk:   li      R3, #1          /* create lock value
         ll      R2, 0(R1)     /* read lock variable
         ...
         sc      R3, 0(R1)     /* try to lock it
         beqz   R3, GetLk     /* cleared if sc failed
         ... (critical section)
```

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## Load-locked & Store Conditional

Implemented with special processor registers: **lock-flag register** & **lock-address register**

- load-locked sets lock-address register to lock's memory address & lock-flag register to 1
- store-conditional returns lock-flag register value
- if still 1, then processor has the lock
- if 0, then processor no longer has the lock & has to try again
- why would the lock-flag register be cleared?
  - if the lock is written by another processor
  - if a context switch or interrupt

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## Synchronization APIs

User-level software thread synchronization library routines constructed with atomic hardware primitives

- efficient **spin locks**
  - **busywaiting** until obtain the lock
    - contention with atomic exchange causes invalidations (for the write) & coherency misses (for the rereads)
    - avoid if have separate loops for reading & testing the lock & updating it
    - spinning done in the cache rather than over the bus

```

getLk:      li      R2, #1
spinLoop:   ll     R1, lockVariable
            blbs   R1, spinLoop
            sc    R2, lockVariable
            beqz  R2, getLk
            .... (critical section)
            st    R0, lockVariable
  
```

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## Synchronization APIs

- **blocking locks**
  - block the thread immediately
  - block the thread after a certain number of spins

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## Inter-thread Strategy

An example overall synchronization/coherence strategy:

- design cache coherency protocol for little interprocessor contention for locks (the common case)
- add techniques to avoid performance loss if there is contention for a lock & still provide low latency if no contention

## Synchronization Strategy

Have a race condition for acquiring a lock when it is unlocked

- $O(p^2)$  bus transactions for  $p$  contending processors with write-invalidate

Two techniques to avoid  $O(p^2)$

- **exponential back-off** - software solution
  - each processor retries at a different time
  - successive retries done an exponentially increasing time later
- **queuing locks** - hardware solution (could be software)
  - each processor spins on a different location (in a queue)
  - when a lock is released, only the next processor in the queue see its lock go "unlocked"
  - other processors continue to spin/block
  - lock is effectively passed from one processor to the next
  - also addresses fairness (locks acquired in FIFO order)

## Trickiness

Writing programs that are both correct and parallel

- Choosing the locking strategy
- Choosing the right locking granularity
  - Coarse-grain are simple to get correct, but limit parallelism
  - Fine-grain the opposite
- Acquiring & releasing nested locks in the correct order, or deadlock
- Avoiding locks when they aren't really needed

## Transactional Memory

The idea:

- No locks, just shared data
- Execute critical sections speculatively
- Abort on conflicts

```
begin_transaction();  
if (accts[id_from].bal >= amt) {  
    accts[id_from].bal -= amt;  
    accts[id_to].bal += amt; }  
end_transaction();
```



## Transactional Memory

### **begin\_transaction :**

- Checkpoint the registers
- Track all read addresses
- Buffer all the writes so they're invisible to other processors

### **end\_transaction :**

- Commit the writes to memory

Implemented with cache block state: read & write bits

- Set bits on read or write
- Clear bits on commit
- If any block with read or write bit set is invalidated, abort the transaction by restoring the checkpoint & re-executing.

## Transactional Memory

- + Has the programming simplicity of coarse-grain locks
  - execute transactions speculatively
- + Higher concurrency (parallelism) of fine-grain locks
  - abort if a conflict
  - only serialized if data is actually write-shared
- + No lock acquisition overhead

## Transactional Memory

### Issues:

- What if reads/writes don't fit in the cache?
- What if a transaction gets swapped out in the middle?
- What if the transaction does a (not-abortable) I/O or syscall?
- How do we automatically "transactionify" existing lock-based programs?
- Should transactions be implemented in hardware, software or both?

## Important Issues

### Red & Green

- role of coherency protocol vs. role of thread synchronization
- critical section
- mutual exclusion
- barrier synchronization
- how locks work
- inefficient & efficient atomic operations
- 3<sup>rd</sup> application of snooping
- spinning vs. blocking
- 4<sup>th</sup> illustration of trading latency for throughput
- inefficient & efficient busywaiting
- 2<sup>nd</sup> use of speculation
- 2<sup>nd</sup> roll-back situation