CSE-505: Programming Languages Lecture 19 — Subtyping

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Being Less Restrictive

"Will a λ term get stuck?" is undecidable, so a sound, decidable type system can *always* be made less restrictive

An "uninteresting" rule that is sound but not "admissable":

 $\frac{\Gamma \vdash e_1 : \tau}{\Gamma \vdash \text{if true } e_1 \; e_2 : \tau}$

We'll study ways to give one term many types ("polymorphism")

Fact: The version of STLC with explicit argument types $(\lambda x : \tau \cdot e)$ has no polymorphism: If $\Gamma \vdash e : \tau_1$ and $\Gamma \vdash e : \tau_2$, then $\tau_1 = \tau_2$

Fact: Even without explicit types, many "reuse patterns" do not type-check. Example: $(\lambda f. (f \ 0, f \ true))(\lambda x. (x, x))$ (evaluates to ((0, 0), (true, true)))

An overloaded PL word

Polymorphism means many things...

- ▶ Ad hoc polymorphism: $e_1 + e_2$ in SML<C<Java<C++
- ► Ad hoc, cont'd: Maybe e₁ and e₂ can have different run-time types and we choose the + based on them
- Parametric polymorphism: e.g., Γ ⊢ λx. x : ∀α.α → α or with explicit types: Γ ⊢ Λα. λx : α. x : ∀α.α → α (which "compiles" i.e. "erases" to λx. x)
- Subtype polymorphism: new Vector().add(new C()) is legal Java because new C() has types Object and C

... and nothing.

(More precise terms: "static overloading," "dynamic dispatch," "type abstraction," and "subtyping")

Today

This lecture is about *subtyping*

- Let more terms type-check without adding any new operational behavior
 - But at end consider coercions
- Continue using STLC as our core model
- Complementary to type variables which we will do later
 - Parametric polymorphism (∀), a.k.a. generics
 - First-class ADTs (∃)
- Even later: OOP, dynamic dispatch, inheritance vs. subtyping

Motto: Subtyping is not a matter of opinion!

Records

We'll use records to motivate subtyping:

$$\{l_1=v_1,\ldots,l_n=v_n\}.l_i o v_i$$

$$\frac{e_i \to e'_i}{\{l_1 = v_1, \dots, l_{i-1} = v_{i-1}, l_i = e_i, \dots, l_n = e_n\}} \qquad \frac{e \to e'}{e.l \to e.l}$$
$$\to \{l_1 = v_1, \dots, l_{i-1} = v_{i-1}, l_i = e'_i, \dots, l_n = e_n\}$$

$$\frac{\Gamma \vdash e_1 : \tau_1 \quad \dots \quad \Gamma \vdash e_n : \tau_n \quad \text{labels distinct}}{\Gamma \vdash \{l_1 = e_1, \dots, l_n = e_n\} : \{l_1 : \tau_1, \dots, l_n : \tau_n\}}$$

$$rac{\Gammadashee e:\{l_1: au_1,\ldots,l_n: au_n\} \qquad 1\leq i\leq n}{\Gammadashee e.l_i: au_i}$$

Should this typecheck?

$$(\lambda x: \{l_1:int, l_2:int\}. x.l_1 + x.l_2)\{l_1=3, l_2=4, l_3=5\}$$

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Suggests width subtyping:

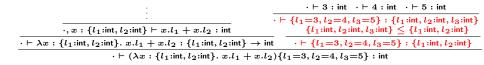
 $au_1 \leq au_2$

$$\{l_1:\tau_1,\ldots,l_n:\tau_n,l: au\} \leq \{l_1:\tau_1,\ldots,l_n: au_n\}$$

And one one new type-checking rule: Subsumption

 $\frac{\Gamma \vdash e: \tau' \quad \tau' \leq \tau}{\Gamma \vdash e: \tau}$

Now it type-checks



Instantiation of Subsumption is highlighted (pardon formatting)

The derivation of the subtyping fact $\{l_1:int, l_2:int, l_3:int\} \leq \{l_1:int, l_2:int\}$ would continue, using rules for the $\tau_1 \leq \tau_2$ judgment

But here we just use the one axiom we have so far

Clean division of responsibility:

- Where to use subsumption
- How to show two types are subtypes

Does this program type-check? Does it get stuck?

 $(\lambda x: \{l_1: int, l_2: int\}. x.l_1 + x.l_2) \{l_2=3; l_1=4\}$

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Suggests permutation subtyping:

$$\{l_1:\tau_1,\ldots,l_{i-1}:\tau_{i-1},l_i:\tau_i,\ldots,l_n:\tau_n\} \leq \\ \{l_1:\tau_1,\ldots,l_i:\tau_i,l_{i-1}:\tau_{i-1},\ldots,l_n:\tau_n\}$$

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Example with width and permutation: Show $\cdot \vdash \{l_1=7, l_2=8, l_3=9\}: \{l_2:int, l_1:int\}$

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 $(\lambda x: \{l_1: int, l_2: int\}. x.l_1 + x.l_2) \{l_2=3; l_1=4\}$

Suggests *permutation subtyping*:

$$\{l_1:\tau_1,\ldots,l_{i-1}:\tau_{i-1},l_i:\tau_i,\ldots,l_n:\tau_n\} \leq \\ \{l_1:\tau_1,\ldots,l_i:\tau_i,l_{i-1}:\tau_{i-1},\ldots,l_n:\tau_n\}$$

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It's no longer clear there is an (efficient, sound, complete) type-checking algorithm

- They sometimes exist and sometimes don't
- Here they do

Transitivity

Subtyping is always transitive, so add a rule for that:

$$rac{ au_1 \leq au_2 \qquad au_2 \leq au_3}{ au_1 \leq au_3}$$

Or just use the subsumption rule multiple times. Or both.

In any case, type-checking is no longer syntax-directed: There may be 0, 1, or many different derivations of $\Gamma \vdash e: \tau$

• And also potentially many ways to show $au_1 \leq au_2$

Hopefully we could define an algorithm and prove it "answers yes" if and only if there exists a derivation

Digression: Efficiency

With our semantics, width and permutation subtyping make perfect sense

But it would be nice to compile *e.l* down to:

- 1. evaluate e to a record stored at an address a
- 2. load a into a register r_1
- 3. load field l from a fixed offset (e.g., 4) into r_2

Many type systems are engineered to make this easy for compiler writers

Makes restrictions seem odd if you do not know techniques for implementing high-level languages

Digression continued

With width subtyping alone, the strategy is easy

With permutation subtyping alone, it's easy but have to "alphabetize"

With both, it's not easy...

$$f_1: \{l_1: \text{int}\} \rightarrow \text{int} \quad f_2: \{l_2: \text{int}\} \rightarrow \text{int}$$

 $x_1 = \{l_1 = 0, l_2 = 0\} \quad x_2 = \{l_2 = 0, l_3 = 0\}$
 $f_1(x_1) \quad f_2(x_1) \quad f_2(x_2)$

Can use *dictionary-passing* (look up offset at run-time) and maybe *optimize away* (some) lookups

Named types can avoid this, but make code less flexible

So far

- A new subtyping judgement and a new typing rule subsumption
- Width, permutation, and transitivity

Now: This is all much more useful if we extend subtyping so it can be used on "parts" of larger types:

- Example: Can't yet use subsumption on a record field's type
- Example: There are no supertypes yet of $au_1 o au_2$

Depth

Does this program type-check? Does it get stuck?

 $(\lambda x: \{l_1: \{l_3: \mathsf{int}\}, l_2: \mathsf{int}\}. \ x. l_1. l_3 + x. l_2) \{l_1 = \{l_3 = 3, l_4 = 9\}, l_2 = 4\}$

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Does this program type-check? Does it get stuck?

 $(\lambda x: \{l_1: \{l_3: int\}, l_2: int\}, x.l_1.l_3 + x.l_2) \{l_1 = \{l_3 = 3, l_4 = 9\}, l_2 = 4\}$

Suggests *depth subtyping*

$$\frac{\tau_i \leq \tau'_i}{\{l_1:\tau_1,\ldots,l_i:\tau_i,\ldots,l_n:\tau_n\} \leq \{l_1:\tau_1,\ldots,l_i:\tau'_i,\ldots,l_n:\tau_n\}}$$

(With permutation subtyping, can just have depth on left-most field)

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 $(\lambda x: \{l_1: \{l_3: \mathsf{int}\}, l_2: \mathsf{int}\}, x.l_1.l_3 + x.l_2) \{l_1 = \{l_3 = 3, l_4 = 9\}, l_2 = 4\}$

Suggests depth subtyping

$$au_i \leq au_i'$$

 $\overline{\{l_1:\tau_1,\ldots,l_i:\tau_i,\ldots,l_n:\tau_n\}} \le \{l_1:\tau_1,\ldots,l_i:\tau_i',\ldots,l_n:\tau_n\}$

(With permutation subtyping, can just have depth on left-most field)

Soundness of this rule depends crucially on fields being immutable!

- Depth subtyping is unsound in the presence of mutation
- Trade-off between power (mutation) and sound expressiveness (depth subtyping)
- Homework 4 explores mutation and subtyping

Function subtyping

Given our rich subtyping on records (and/or other primitives), how do we extend it to other types, notably $\tau_1 \rightarrow \tau_2$?

For example, we'd like int \rightarrow { l_1 :int, l_2 :int} \leq int \rightarrow { l_1 :int} so we can pass a function of the subtype somewhere expecting a function of the supertype

 $\frac{???}{\tau_1 \to \tau_2 \le \tau_3 \to \tau_4}$

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For a function to have type $\tau_3 \rightarrow \tau_4$ it must return something of type τ_4 (including subtypes) whenever given something of type τ_3 (including subtypes). A function assuming less than τ_3 will do, but not one assuming more. A function returning more than τ_4 but not one returning less.

Function subtyping, cont'd

$$egin{array}{ll} & au_3 \leq au_1 & au_2 \leq au_4 \ & au_1 o au_2 \leq au_3 o au_4 \end{array} \qquad \qquad {
m Also want:} \ & au \leq au \end{array}$$

Example: $\lambda x : \{l_1:\operatorname{int}, l_2:\operatorname{int}\}. \{l_1 = x.l_2, l_2 = x.l_1\}$ can have type $\{l_1:\operatorname{int}, l_2:\operatorname{int}, l_3:\operatorname{int}\} \rightarrow \{l_1:\operatorname{int}\}$ but not $\{l_1:\operatorname{int}\} \rightarrow \{l_1:\operatorname{int}\}$

Function subtyping, cont'd

$$\begin{array}{ll} \tau_3 \leq \tau_1 & \tau_2 \leq \tau_4 \\ \tau_1 \rightarrow \tau_2 \leq \tau_3 \rightarrow \tau_4 \end{array} \qquad \qquad \text{Also want:} \quad \frac{}{\tau \leq \tau} \end{array}$$

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Jargon: Function types are *contravariant* in their argument and *covariant* in their result

 Depth subtyping means immutable records are covariant in their fields

Function subtyping, cont'd

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Jargon: Function types are *contravariant* in their argument and *covariant* in their result

 Depth subtyping means immutable records are covariant in their fields

This is unintuitive enough that you, a friend, or a manager, will some day be convinced that functions can be covariant in their arguments. THIS IS ALWAYS WRONG (UNSOUND). Remember (?) that a PL professor JUMPED UP AND DOWN about this. Summary of subtyping rules

$$\frac{\tau_1 \leq \tau_2 \quad \tau_2 \leq \tau_3}{\tau_1 \leq \tau_3} \qquad \qquad \frac{\tau \leq \tau}{\tau \leq \tau}$$

$$\{l_1: au_1,\ldots,l_n: au_n,l: au\} \leq \{l_1: au_1,\ldots,l_n: au_n\}$$

$$\{ l_1:\tau_1, \dots, l_{i-1}:\tau_{i-1}, l_i:\tau_i, \dots, l_n:\tau_n \} \leq \\ \{ l_1:\tau_1, \dots, l_i:\tau_i, l_{i-1}:\tau_{i-1}, \dots, l_n:\tau_n \}$$

$$\frac{\tau_i \leq \tau'_i}{\{l_1:\tau_1,\ldots,l_i:\tau_i,\ldots,l_n:\tau_n\} \leq \{l_1:\tau_1,\ldots,l_i:\tau'_i,\ldots,l_n:\tau_n\}}$$
$$\frac{\tau_3 \leq \tau_1 \qquad \tau_2 \leq \tau_4}{\tau_3 \leq \tau_4}$$

$$au_1
ightarrow au_2 \leq au_3
ightarrow au_4$$

Notes:

- As always, elegantly handles arbitrarily large syntax (types)
- For other types, e.g., sums or pairs, would have more rules, deciding carefully about co/contravariance of each position

Maintaining soundness

Our Preservation and Progress Lemmas still "work" in the presence of subsumption

So in theory, any subtyping mistakes would be caught when trying to prove soundness!

In fact, it seems too easy: induction on typing derivations makes the subsumption case easy:

- Progress: One new case if typing derivation · ⊢ e : τ ends with subsumption. Then · ⊢ e : τ' via a shorter derivation, so by induction a value or takes a step.
- Preservation: One new case if typing derivation · ⊢ e : τ ends with subsumption. Then · ⊢ e : τ' via a shorter derivation, so by induction if e → e' then · ⊢ e' : τ'. So use subsumption to derive · ⊢ e' : τ.

Hmm...

Ah, Canonical Forms

That's because Canonical Forms is where the action is:

 \blacktriangleright If $\cdot \vdash v: \{l_1:\tau_1, \ldots, l_n:\tau_n\}$, then v is a record with fields l_1, \ldots, l_n

• If
$$\cdot \vdash v: au_1
ightarrow au_2$$
, then v is a function

We need these for the "interesting" cases of Progress

Now have to use induction on the typing derivation (may end with many subsumptions) *and* induction on the subtyping derivation (e.g., "going up the derivation" only adds fields)

 Canonical Forms is typically trivial without subtyping; now it requires some work

Note: Without subtyping, Preservation is a little "cleaner" via induction on $e \rightarrow e'$, but with subtyping it's *much* cleaner via induction on the typing derivation

That's why we did it that way

A matter of opinion?

If subsumption makes well-typed terms get stuck, it is wrong

We might allow less subsumption (e.g., for efficiency), but we shall not allow more than is sound

But we have been discussing "subset semantics" in which $e:\tau$ and $\tau\leq\tau'$ means e is a τ'

There are "fewer" values of type τ than of type τ', but not really

Very tempting to go beyond this, but you must be very careful...

But first we need to emphasize a really nice property of our current setup: *Types never affect run-time behavior*

Erasure

A program type-checks or does not. If it does, it evaluates just like in the untyped λ -calculus. More formally, we have:

- 1. Our language with types (e.g., $\lambda x : \tau \cdot e$, $A_{\tau_1+\tau_2}(e)$, etc.) and a semantics
- 2. Our language without types (e.g., $\lambda x. e$, A(e), etc.) and a different (but very similar) semantics
- 3. An erasure metafunction from first language to second
- 4. An equivalence theorem: Erasure commutes with evaluation

This useful (for reasoning and efficiency) fact will be less obvious (but true) with parametric polymorphism

Coercion Semantics

Wouldn't it be great if...

- int \leq float
- int $\leq \{l_1: int\}$
- $au \leq {
 m string}$
- we could "overload the cast operator"

For these proposed $\tau \leq \tau'$ relationships, we need a run-time action to turn a τ into a τ'

Called a coercion

Could use float_of_int and similar but programmers whine about it

Implementing Coercions

If coercion C (e.g., float_of_int) "witnesses" $\tau \leq \tau'$ (e.g., int \leq float), then we insert C where τ is subsumed to τ'

So translation to the untyped language depends on where subsumption is used. So it's from *typing derivations* to programs.

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But typing derivations aren't unique: uh-oh

Example 1:

- Suppose int \leq float and $au \leq$ string
- Consider $\cdot \vdash \texttt{print_string}(34) : \texttt{unit}$

Example 2:

- Suppose int $\leq \{l_1:int\}$
- Consider 34 == 34, where == is equality on ints or pointers

Coherence

Coercions need to be *coherent*, meaning they don't have these problems

More formally, programs are deterministic even though type checking is not—any typing derivation for e translates to an equivalent program

Alternately, can make (complicated) rules about where subsumption occurs and which subtyping rules take precedence

► Hard to understand, remember, implement correctly

lt's a mess...

C++

Semi-Example: Multiple inheritance a la C++

Note: A compile-time error "ambiguous call"

Note: Same in Java with interfaces ("reference is ambiguous")

Upcasts and Downcasts

- "Subset" subtyping allows "upcasts"
- "Coercive subtyping" allows casts with run-time effect
- What about "downcasts"?

Upcasts and Downcasts

- "Subset" subtyping allows "upcasts"
- "Coercive subtyping" allows casts with run-time effect
- What about "downcasts"?

That is, should we have something like:

 $ext{if_hastype}(au, e_1) ext{ then } x. \ e_2 ext{ else } e_3$

Roughly, if at run-time e_1 has type τ (or a subtype), then bind it to x and evaluate e_2 . Else evaluate e_3 . Avoids having exceptions.

Not hard to formalize

Downcasts

Can't deny downcasts exist, but here are some bad things about them:

- ► Types don't erase you need to represent τ and e₁'s type at run-time. (Hidden data fields)
- Breaks abstractions: Before, passing {l₁ = 3, l₂ = 4} to a function taking {l₁ : int} hid the l₂ field, so you know it doesn't change or affect the callee

Some better alternatives:

- Use ML-style datatypes the programmer decides which data should have tags
- Use parametric polymorphism the right way to do container types (not downcasting results)