## Clocks, Event Ordering, and Global Predicate Computation

## Example of Global Predicate

- Setting: Locks in distributed system
  - Objects locked by nodes and moved to the node that is currently modifying it
  - Nodes requesting the object/lock, send a message to the current node locking it and blocks for a response
- How do we detect deadlocks in this scenario?

#### Events and Histories

- Processes execute sequences of events
- Events can be of 3 types: local, send, and receive
- $oldsymbol{o} e_p^i$  is the i-th event of process p
- The local history  $h_p$  of process p is the sequence of events executed by process p

## Ordering events

- Observation 1:
  - Events in a local history are totally ordered

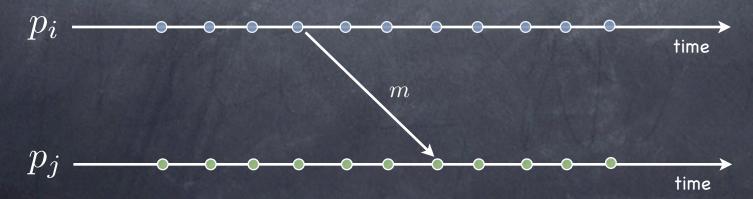


## Ordering events

- Observation 1:
  - Events in a local history are totally ordered



- Observation 2:



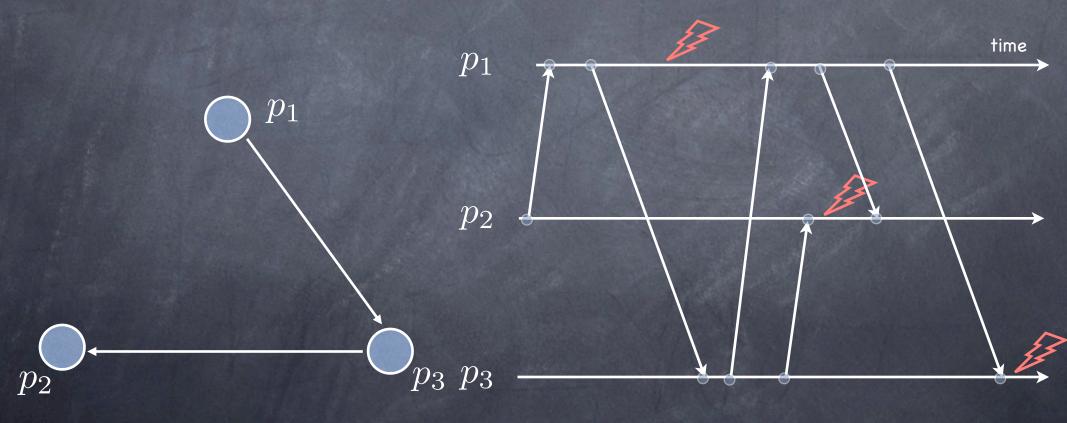
# Happened-before (Lamport[1978])

A binary relation —defined over events

- 1. If  $e_i^k, e_i^l \in h_i$  and k < l, then  $e_i^k \rightarrow e_i^l$
- 2. if  $e_i = send(m)$  and  $e_j = receive(m)$ , then  $e_i \rightarrow e_j$
- 3. if  $e \rightarrow e'$  and  $e' \rightarrow e''$  then  $e \rightarrow e''$

## Space-Time diagrams

A graphic representation of a distributed execution



H and → impose a partial order

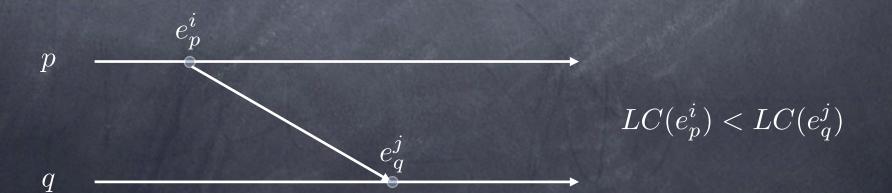
#### Global States & Clocks

- Need to reason about global states of a distributed system
- Global state: processor state + communication channel state
- Consistent global state: causal dependencies are captured
- Use virtual clocks to reason about the timing relationships between events on different nodes

## Lamport Clocks

Each process maintains a local variable LC  $LC(e) \equiv \mbox{value of } LC \mbox{ for event } e$ 

$$p \xrightarrow{e_p^i} e_p^{i+1} \longrightarrow LC(e_p^i) < LC(e_p^{i+1})$$



#### Increment Rules

$$p \xrightarrow{e_p^i \qquad e_p^{i+1}}$$

$$LC(e_p^{i+1}) = LC(e_p^i) + 1$$

$$p \xrightarrow{e_p^i}$$

$$q \xrightarrow{e_q^j}$$

$$LC(e_q^j) = max(LC(e_q^{j-1}), LC(e_p^i)) + 1$$

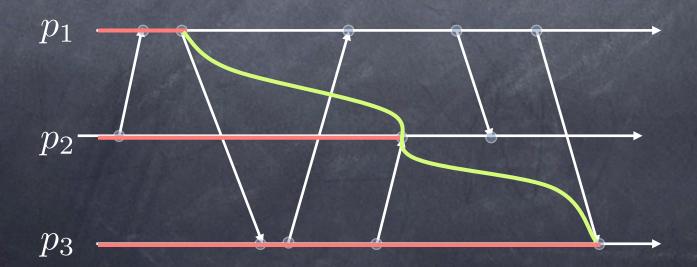
Timestamp m with TS(m) = LC(send(m))

#### Cuts

A cut C is a subset of the global history of H

The frontier of C is the set of events

$$e_1^{c_1}, e_2^{c_2}, \dots e_n^{c_n}$$



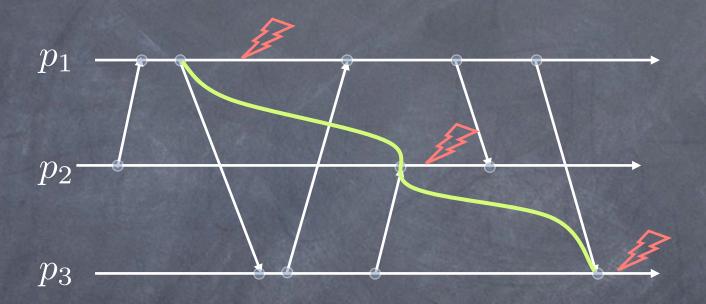
## Consistent cuts and consistent global states

A cut is consistent if

$$\forall e_i, e_j : e_j \in C \land e_i \rightarrow e_j \Rightarrow e_i \in C$$

A consistent global state is one corresponding to a consistent cut

#### What $p_0$ sees



Not a consistent global state: the cut contains the event corresponding to the receipt of the last message by  $p_3$  but not the corresponding send event

#### Global Consistent States

© Can we use Lamport Clocks as part of a mechanism to get globally consistent states?

### Global Snapshot

- Develop a simple global snapshot protocol
- Refine protocol as we relax assumptions
- Record:
  - □ processor states
  - □ channel states
- Assumptions:
  - □ FIFO channels
  - riangle Each m timestamped with with T(send(m))

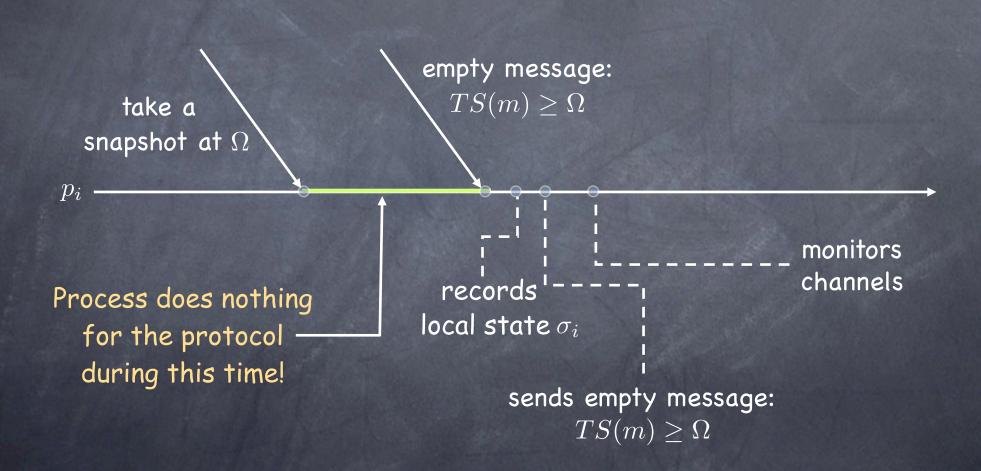
### Snapshot I

- i.  $p_0$  selects  $t_{ss}$
- ii.  $p_0$  sends "take a snapshot at  $t_{ss}$ " to all processes
- iii. when clock of  $p_i$  reads  $t_{ss}$  then p
  - a. records its local state  $\sigma_i$
  - b. sends an empty message along its outgoing channels
  - c. starts recording messages received on each of incoming channels
  - d. stops recording a channel when it receives first message with timestamp greater than or equal to  $t_{ss}$

### Snapshot II

- $oldsymbol{\circ}$  processor  $p_0$  selects  $\Omega$
- $p_0$  sends "take a snapshot at  $\Omega$ " to all processes; it waits for all of them to reply and then sets its logical clock to  $\Omega$
- - $\square$  records its local state  $\sigma_i$
  - □ sends an empty message along its outgoing channels
  - □ starts recording messages received on each incoming channel
  - $\square$  stops recording a channel when receives first message with timestamp greater than or equal to  $\Omega$

## Relaxing synchrony



## Snapshot III

- lacktriangle when  $p_i$  receives "take a snapshot" for the first time from  $p_j$ :
  - $\square$  records its local state  $\sigma_i$
  - □ sends "take a snapshot" along its outgoing channels
  - $\square$  sets channel from  $p_i$  to empty
  - starts recording messages received over each of its other incoming channels
- **3** when  $p_i$  receives "take a snapshot" beyond the first time from  $p_k$ :
  - $\hfill\square$  stops recording channel from  $p_k$
- when  $p_i$  has received "take a snapshot" on all channels, it sends collected state to  $p_0$  and stops.

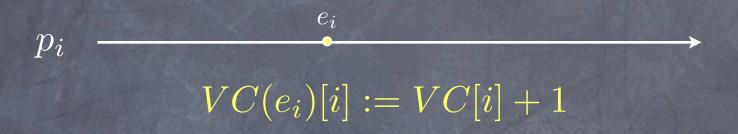
## Same problem, different approach

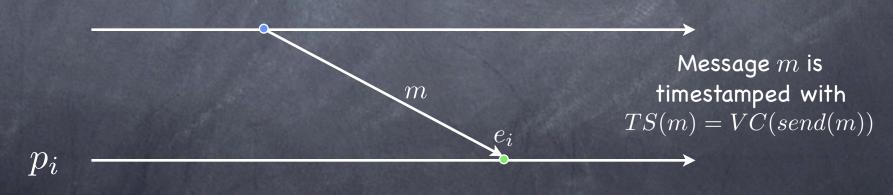
- Monitor process does not query explicitly
- Instead, it passively collects information and uses it to build an observation.

(reactive architectures, Harel and Pnueli [1985])

An observation is an ordering of event of the distributed computation based on the order in which the receiver is notified of the events.

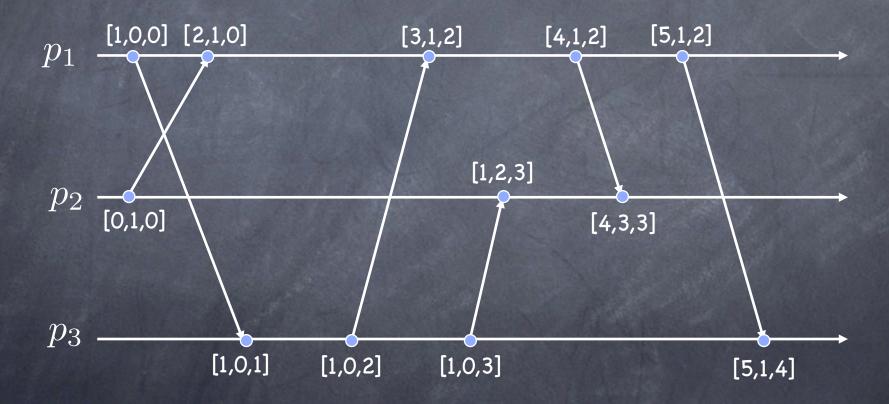
## Update rules



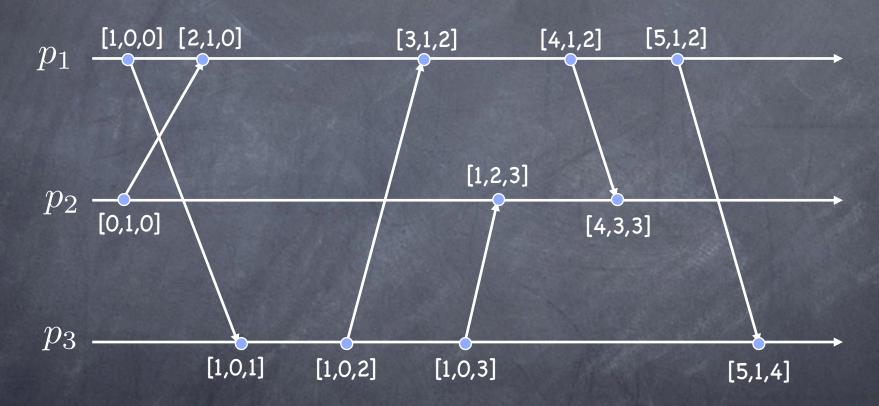


$$VC(e_i) := max(VC, TS(m))$$
$$VC(e_i)[i] := VC[i] + 1$$

## Example



## Operational interpretation



 $VC(e_i)[i]$  = no. of events executed by  $p_i$  up to and including  $e_i$ 

 $VC(e_i)[j]$  = no. of events executed by  $p_j$  that happen before  $e_i$  of  $p_i$ 

## The protocol

- $p_0$  maintains an array  $D[1,\ldots,n]$  of counters
- $D[i] = TS(m_i)[i]$  where  $m_i$  is the last message delivered from  $p_i$

Rule: Deliver m from  $p_j$  as soon as both of the following conditions are satisfied:

$$D[j] = TS(m)[j] - 1$$
  
$$D[k] \ge TS(m)[k], \forall k \ne j$$

#### Summary

- Lamport clocks and vector clocks provide us with good tools to reason about timing of events in a distributed system
- Global snapshot algorithm provides us with an efficient mechanism for obtaining consistent global states