

Modeling Stylized Character Expressions via Deep Learning

Deepali Aneja¹, Alex Colburn², Gary Faigin³, Linda Shapiro¹, and Barbara Mones¹

¹ Department of Computer Science and Engineering,
University of Washington, Seattle WA, USA

² Zillow Group, Seattle WA, USA

³ Gage Academy of Art, Seattle WA, USA

Facial expressions : The art of non-verbal communication



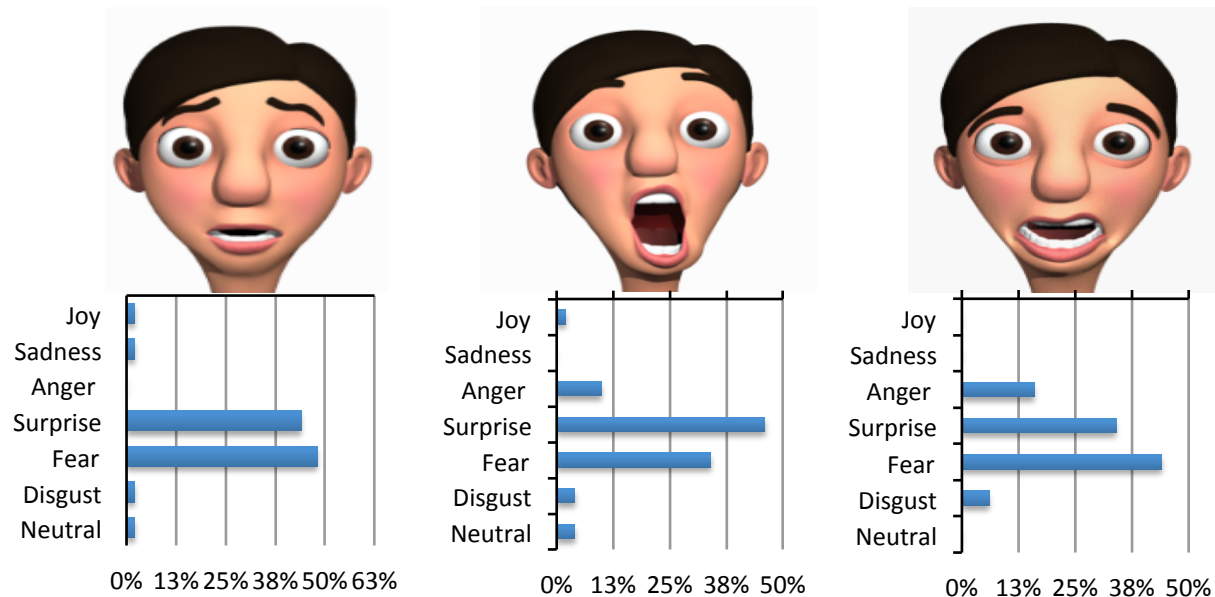
Stylized character expressions



Animated Shorts from Animation Research Labs, University of Washington.

Creating recognizable expressions

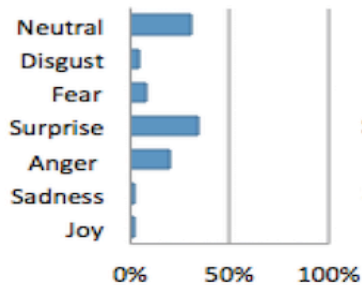
- Accurate facial expression depiction is critical and **difficult** for storytelling.
- We asked professional animators to make this character look surprised.
 - None of the expressions achieved above 50% recognition on Mechanical Turk.



Geometric Mapping

Intended
Expression

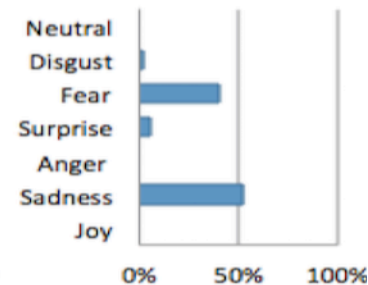
MPEG – 4
Anger



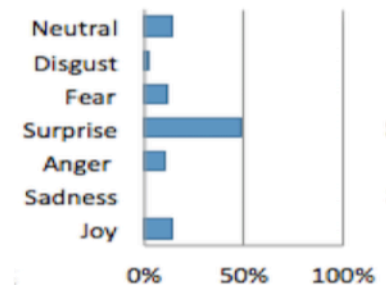
HapFACS
Anger



HapFACS
Fear



FACSGen
Fear



MPEG-4 : Pereira, F.C., Ebrahimi, T.: The MPEG-4 Book. Prentice Hall PTR, Upper Saddle River, NJ, USA (2002)

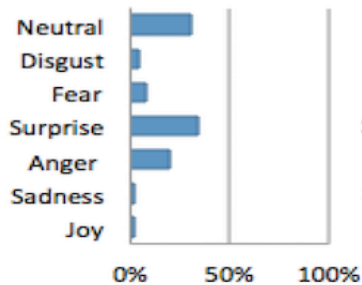
HapFACS : Amini, R., Lisetti, C.: HapFACS: an open source API/Software to generate FACS- Based expressions for ECAs animation (ACII). (2013) 270–275

FACSGen: Roesch, E.B., Tamarit, L., Reveret, L., Grandjean, D., Sander, D., Scherer, K.R.: FACSGen: a tool to synthesize emotional facial expressions through systematic manipulation of facial action units. Journal of Nonverbal Behavior (2011) 1–16

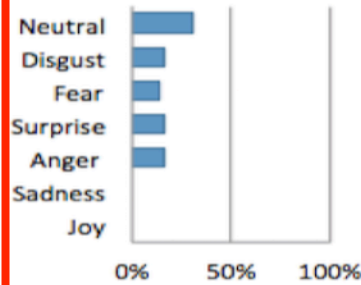
Geometric Mapping

Intended
Expression

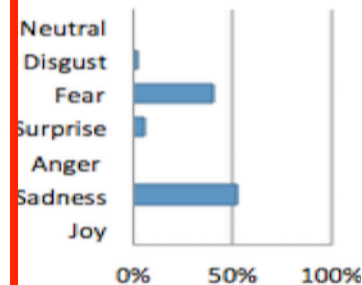
MPEG – 4
Anger



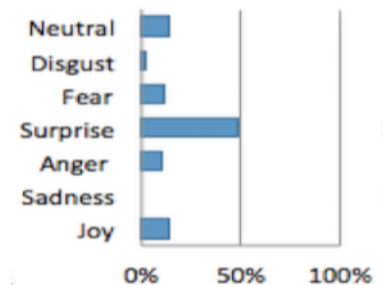
HapFACS
Anger



HapFACS
Fear



FACSGen
Fear



MPEG-4 : Pereira, F.C., Ebrahimi, T.: The MPEG-4 Book. Prentice Hall PTR, Upper Saddle River, NJ, USA (2002)

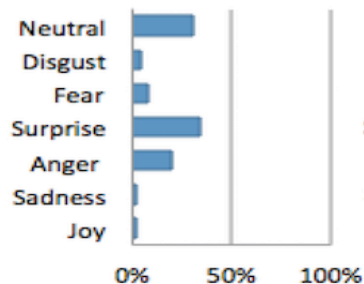
HapFACS : Amini, R., Lisetti, C.: HapFACS: an open source API/Software to generate FACS- Based expressions for ECAs animation (ACII). (2013) 270–275

FACSGen: Roesch, E.B., Tamarit, L., Reveret, L., Grandjean, D., Sander, D., Scherer, K.R.: FACSGen: a tool to synthesize emotional facial expressions through systematic manipulation of facial action units. Journal of Nonverbal Behavior (2011) 1–16

Geometric Mapping

Intended
Expression

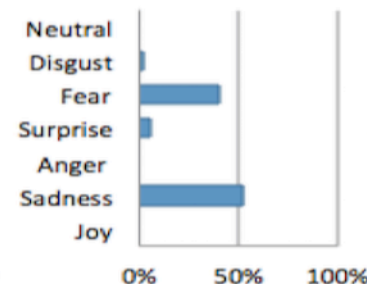
MPEG – 4
Anger



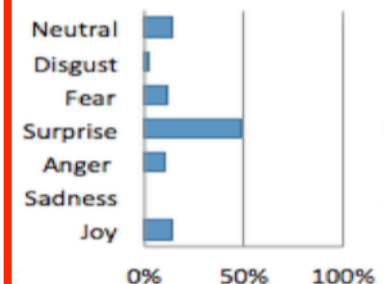
HapFACS
Anger



HapFACS
Fear



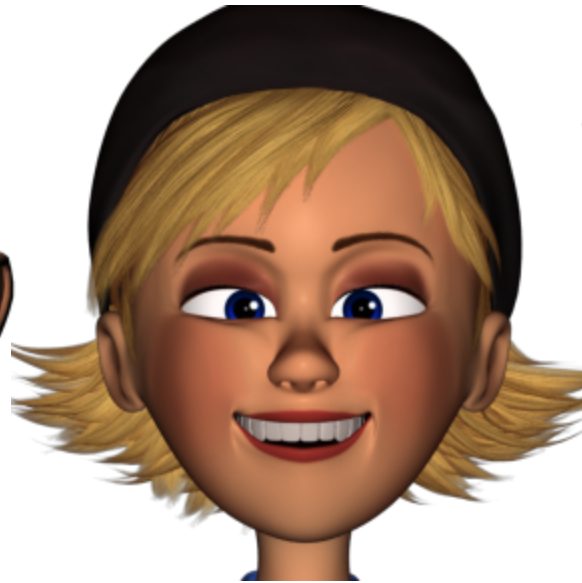
FACSGen
Fear



MPEG-4 : Pereira, F.C., Ebrahimi, T.: The MPEG-4 Book. Prentice Hall PTR, Upper Saddle River, NJ, USA (2002)

HapFACS : Amini, R., Lisetti, C.: HapFACS: an open source API/Software to generate FACS- Based expressions for ECAs animation (ACII). (2013) 270–275

FACSGen: Roesch, E.B., Tamarit, L., Reveret, L., Grandjean, D., Sander, D., Scherer, K.R.: FACSGen: a tool to synthesize emotional facial expressions through systematic manipulation of facial action units. Journal of Nonverbal Behavior (2011) 1–16



Contributions

- A data-driven **perceptual** model of facial expressions.
- A novel stylized character data set (**FERG-DB**) with cardinal expression annotations.
- A mechanism to accurately **retrieve plausible character expressions** from human expression queries.
 - Validated the results (Expert and Mechanical Turk)

Our Approach



Retrieve characters using
—————→
perceptual model mapping
and human geometry



- Use deep learning to learn mappings between
 - **Human expressions and characters expressions**
 - Humans and humans
 - Characters and characters
- This is not only geometric mapping
 - It is **perceptual modelling of expressions!**

Expression Retrieval



Step 1

Use deep learning to create a perceptual model of human expressions

Human feature space



Step 2

Learn analogous character model

Character feature space



Step 3

Learn Mapping

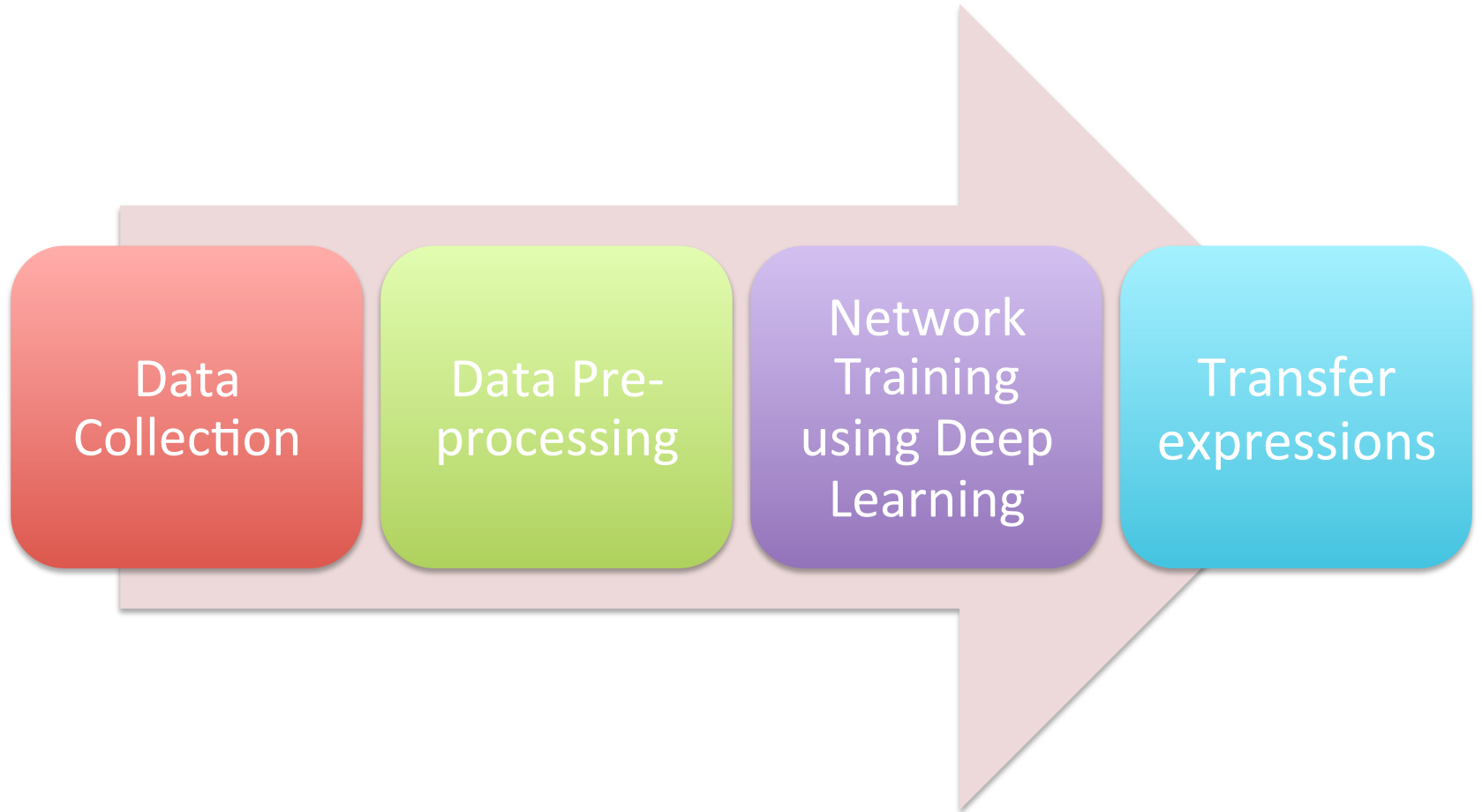


Step 4

Retrieve characters using perceptual model mapping and human geometry



Steps



Training Data

- Seven classes : Anger, Disgust, Fear, Joy, Neutral, Sad and Surprise
- Stylized Characters expression database
 - Total of 70K images
 - **Facial Expression Research Group (FERG-DB) is publicly available.**
- Human expression database
 - Total of 75K images



Human Database

- CK+: The Extended Cohn-Kanade -309 images
- DISFA: Denver Intensity of Spontaneous Facial Actions 60,000 images
- KDEF: The Karolinska Directed Emotional Faces 4900 images
- MMI: 10,000 images

- Total of 75K images - We balanced out the final number of the samples for training our network to avoid any bias towards any particular expression.

Stylized Character Database

- Six stylized characters (adding two more characters soon!)
 - The animator created the key poses for each expression and labeled via MT to populate the database initially
 - Key poses having **70%** MT test agreement and then interpolated between the key poses
- We only used the expression key poses having **70%** MT test agreement among 50 Turkers for the same pose. Interpolating between the key poses resulted in **70K images** (around 8,000 images per character).

Data Pre-processing

Extract Face 49 landmarks (Intraface)

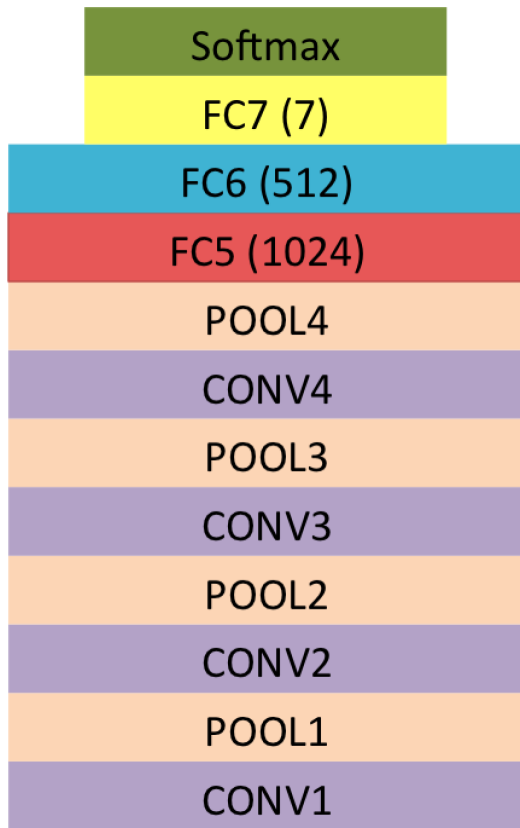
```
graph TD; A[Extract Face 49 landmarks (Intraface)] --> B[Register faces to an average frontal face via an affine transformation]; B --> C[Face bounding box selection]; C --> D[Re-size to 256x256 pixels for analysis];
```

Register faces to an average frontal face via an **affine transformation**

Face bounding box selection

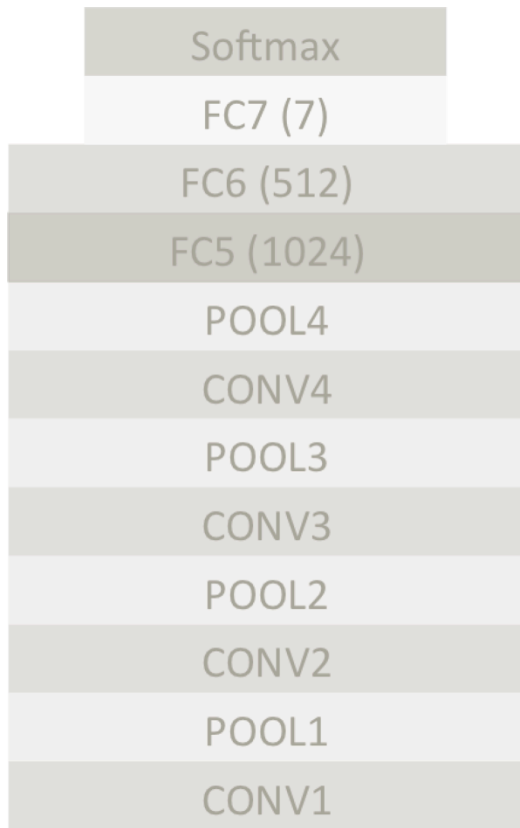
Re-size to 256x256 pixels for analysis

Network Architecture

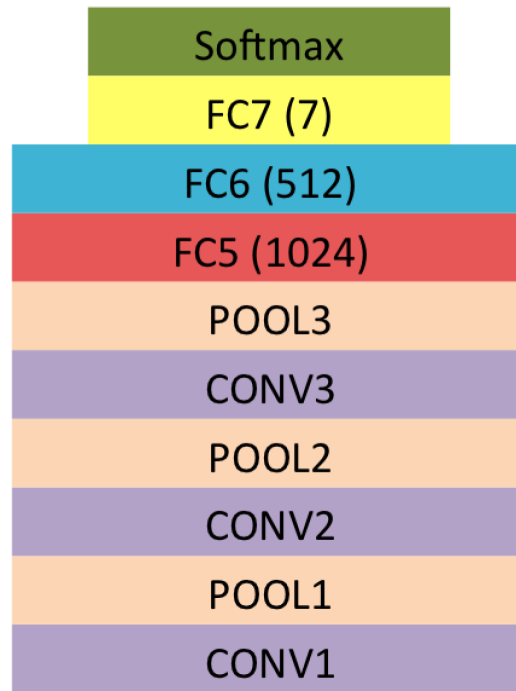


Human CNN (HCNN)

Network Architecture

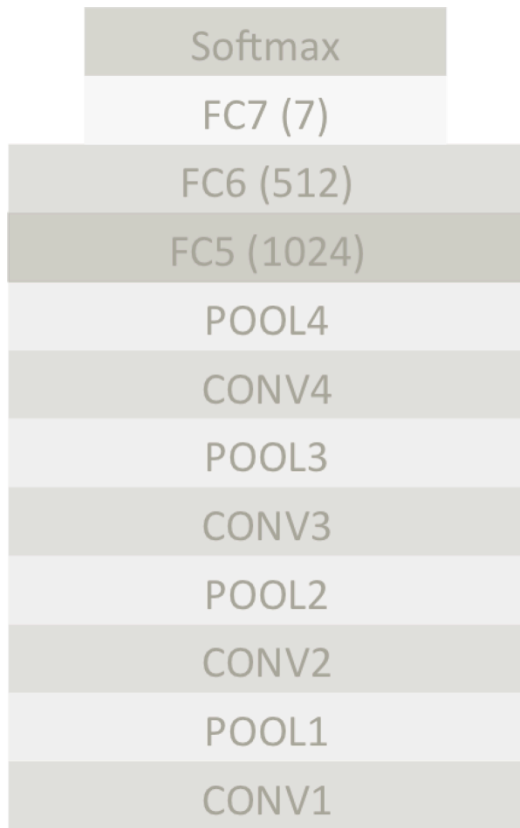


Human CNN (HCNN)

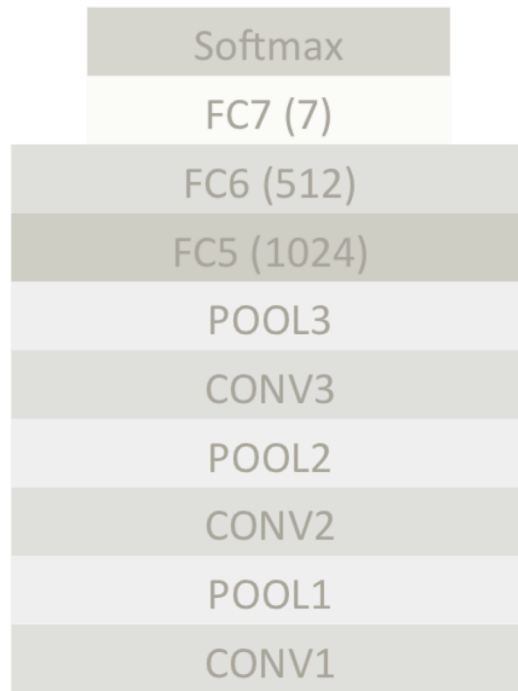


Character CNN (CCNN)

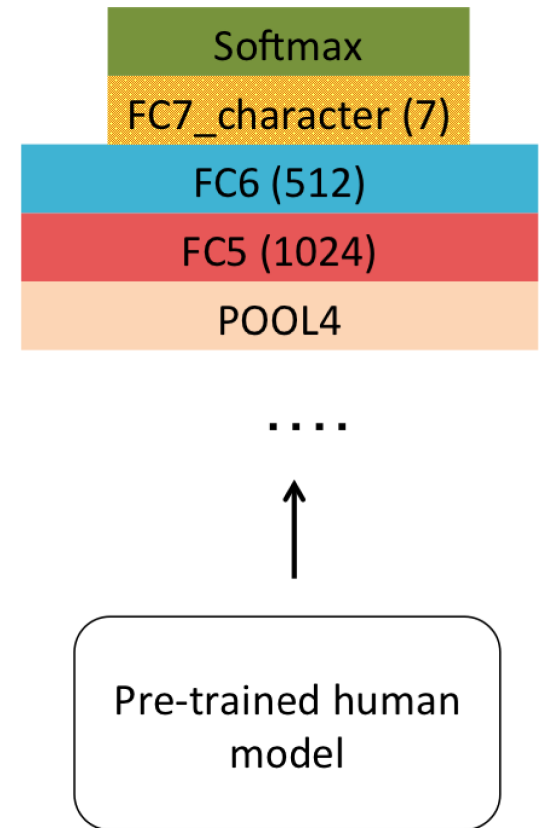
Network Architecture



Human CNN (HCNN)

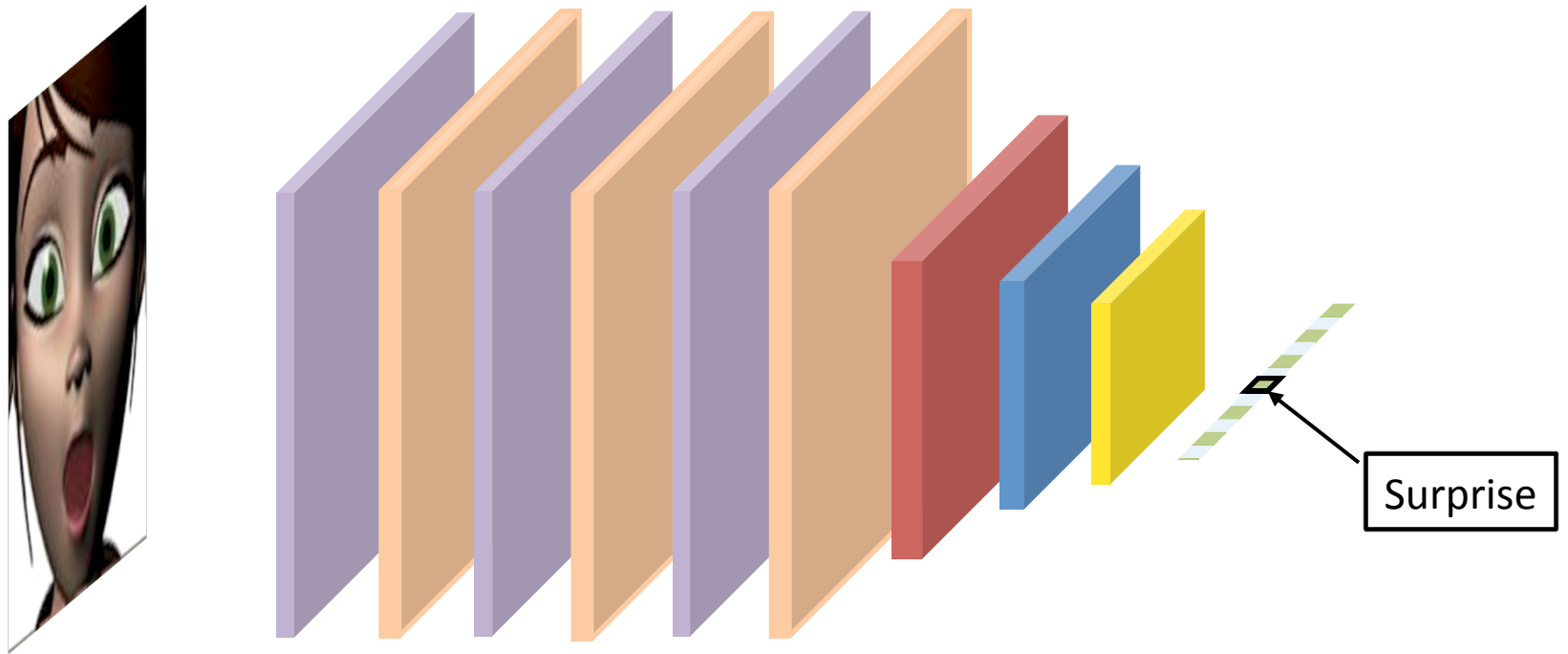


Character CNN (CCNN)



Transfer Learning
Shared CNN (SCNN)

Network prediction



■ Convolutional layer ■ Max pooling layer ■ FC5 ■ FC6 ■ FC7

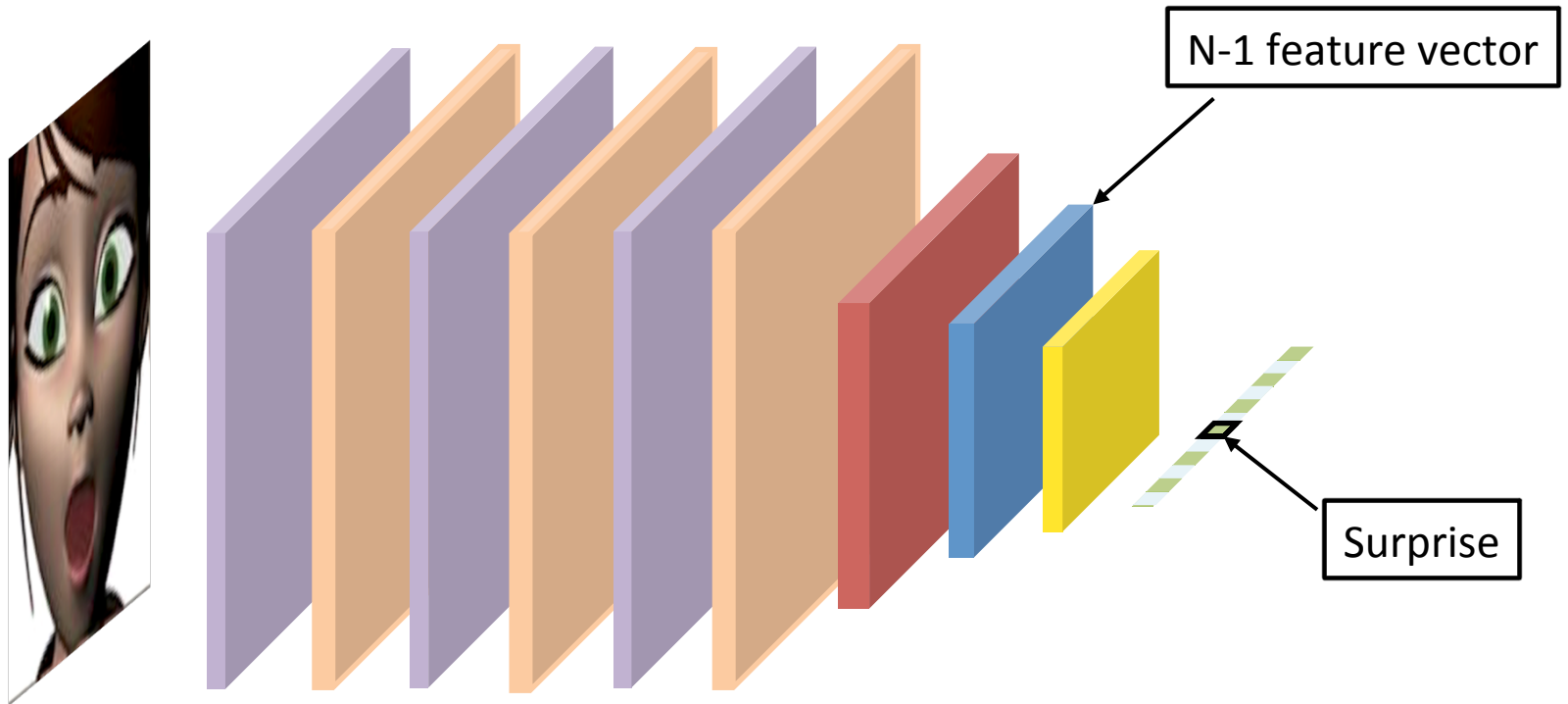
Expression Recognition Accuracy

Predicted Expression

	Anger	Disgust	Fear	Joy	Neutral	Sad	Surprise
Actual Expression							
Anger	61.6	11.3	7.8	1.9	5.3	7.2	4.6
Disgust	3.6	82.4	1.5	2.7	6.2	3.1	0.3
Fear	6.2	5.1	51	7.3	16.2	4.6	9.3
Joy	0.4	1.8	1.5	87.2	7.4	0.3	1.2
Neutral	1.3	4.3	8.3	5.5	78.2	1.3	0.9
Sad	4.2	7.1	5.4	1.6	6.8	73.2	1.5
Surprise	0.6	0.2	3.1	2.8	1.2	0.3	91.5

- Accuracy of Human CNN - 85.27%
- Accuracy of Character CNN - 89.02%

Retrieval



■ Convolutional layer ■ Max pooling layer ■ FC5 ■ FC6 ■ FC7

Distance Metrics

- Extracted features from the **last fully connected layer (FC6)** of both the models: HCNN and SCNN and normalized the feature vectors

$$\phi_d = \alpha |\text{JS Distance}| + \beta |\text{Geometric Distance}|$$



Expression feature vectors
(N-1) Layer features



Geometry feature vectors

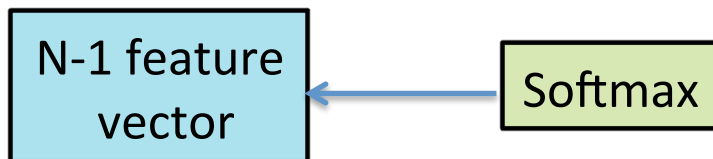
Distance Metrics

- Extracted features from the **last fully connected layer (FC6)** of both the models: HCNN and SCNN and normalized the feature vectors

$$\phi_d = \alpha |\text{JS Distance}| + \beta |\text{Geometric Distance}|$$

Expression feature vectors
(N-1) Layer features

Geometry feature vectors



Back propagation

Distance Metrics

- Extracted features from the **last fully connected layer (FC6)** of both the models: HCNN and SCNN and normalized the feature vectors

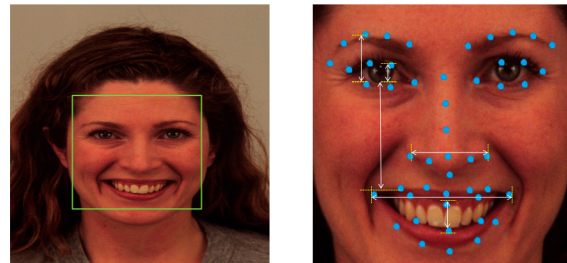
$$\phi_d = \alpha |\text{JS Distance}| + \beta |\text{Geometric Distance}|$$

Expression feature vectors
(N-1) Layer features

Geometry feature vectors



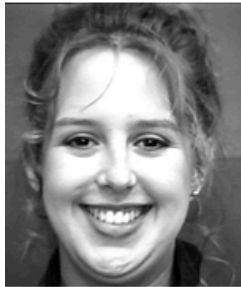
Back propagation



Character Retrieval

Query

Multiple retrieval results for the joy query image



Query

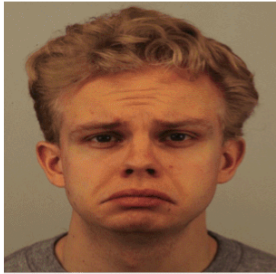


$$\phi_d = \alpha |\text{JS Distance}|$$

Character Retrieval

Query

Character retrievals sorted by geometry

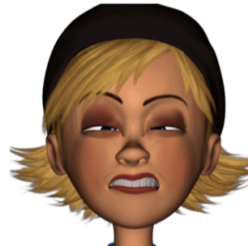
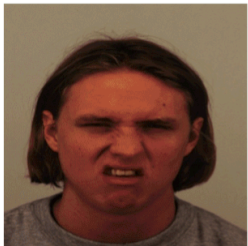
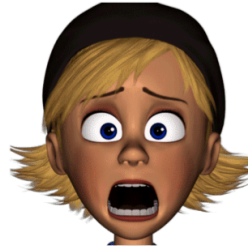
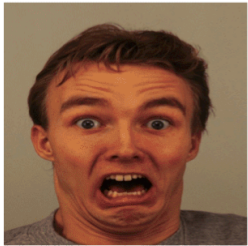
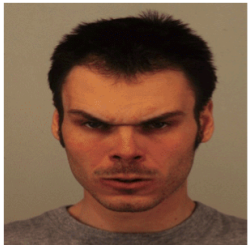


$$\phi_d = \alpha |\text{JS Distance}| + \beta |\text{Geometric Distance}|$$

DeepExpr Results

Query

Top matches of Character retrievals



Average Retrieval Score

(for each expression across all characters)

$$score(q) = \frac{1}{1 - N \cdot N_{rel}} \left(\sum_{k=1}^{N_{rel}} R_k - \frac{N_{rel}(N_{rel} + 1)}{2} \right)$$

Expression	Geometry	DeepExpr
Anger	0.384	0.213
Disgust	0.386	0.171
Fear	0.419	0.228
Joy	0.276	0.106
Neutral	0.429	0.314
Sad	0.271	0.149
Surprise	0.322	0.125

Average Retrieval Score

(for each expression across all characters)

$$score(q) = \frac{1}{1 - N \cdot N_{rel}} \left(\sum_{k=1}^{N_{rel}} R_k - \frac{N_{rel}(N_{rel} + 1)}{2} \right)$$

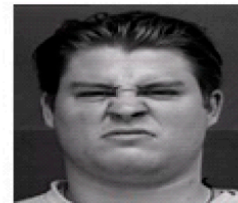
Expression	Geometry	DeepExpr
Anger	0.384	0.213
Disgust	0.386	0.171
Fear	0.419	0.228
Joy	0.276	0.106
Neutral	0.429	0.314
Sad	0.271	0.149
Surprise	0.322	0.125

Query

Top match retrievals

Geometry

DeepExpr



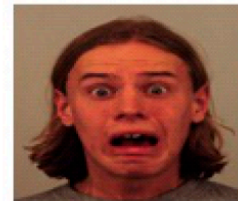
Query (Disgust)



Geometry



DeepExpr



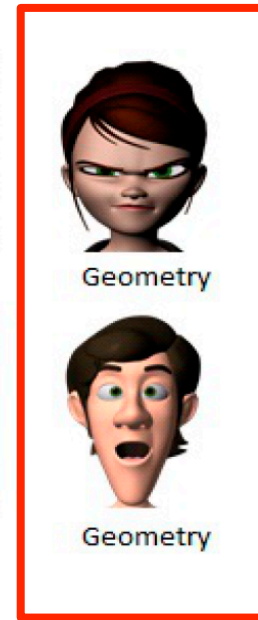
Query (Fear)



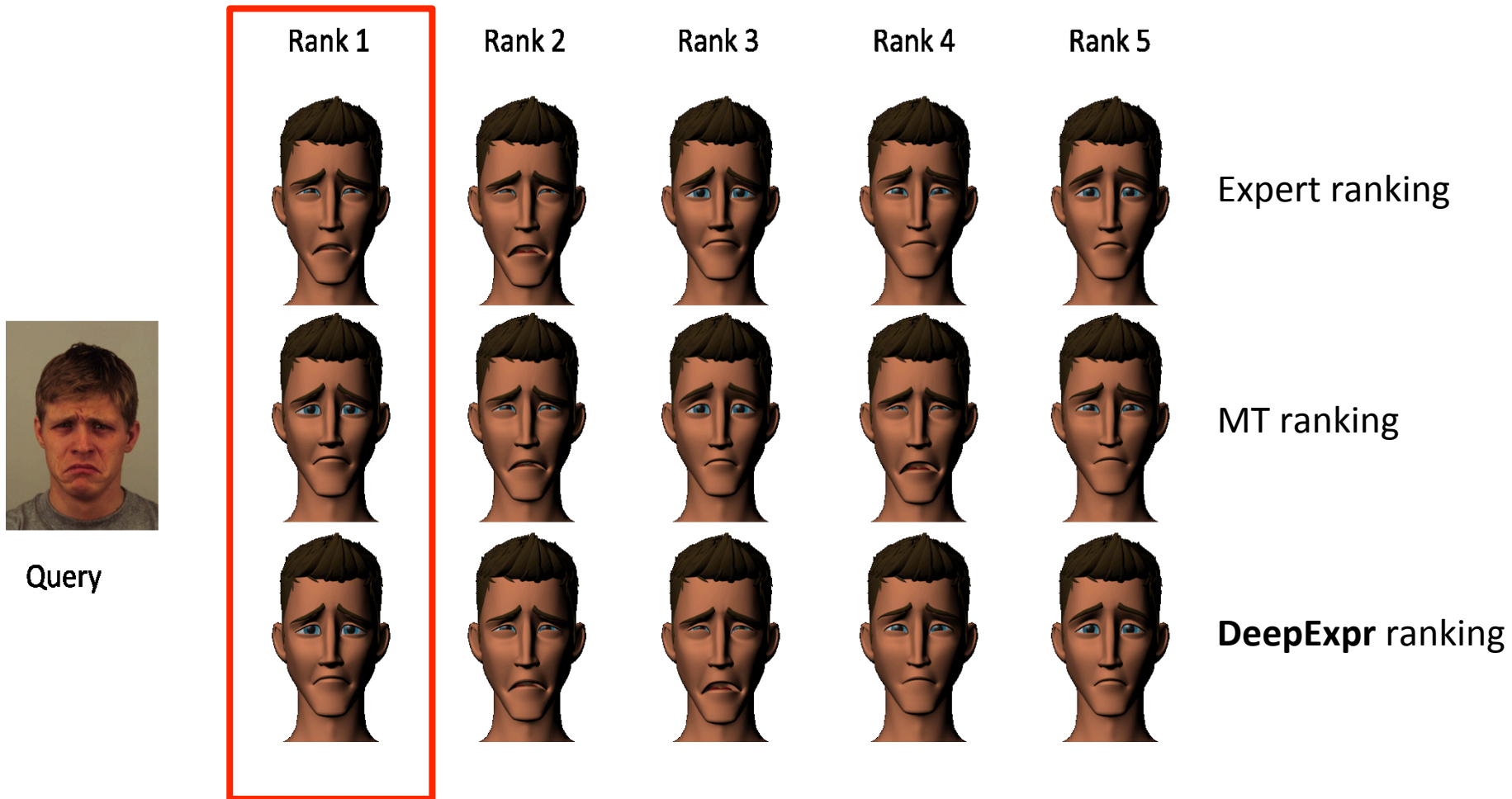
Geometry



DeepExpr

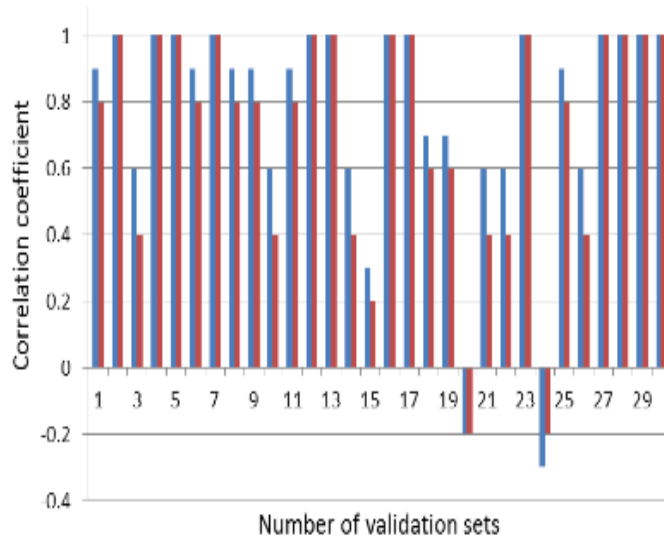


Comparison tests

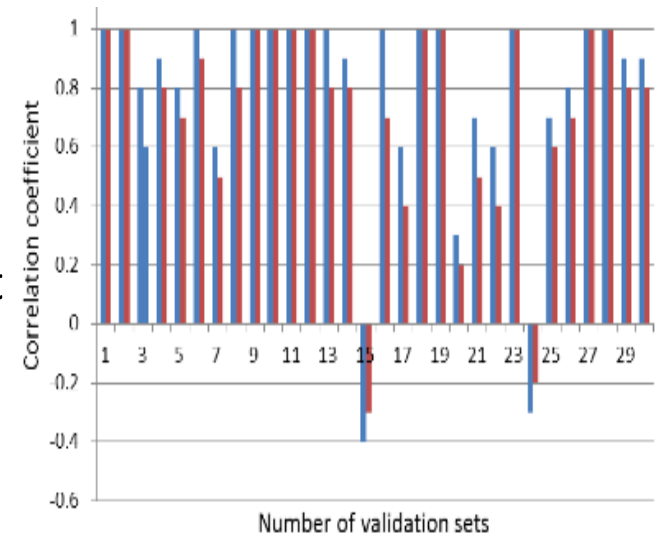


Correlation

Correlation with Expert



Correlation with MT subjects



■ Spearman
■ Kendall test

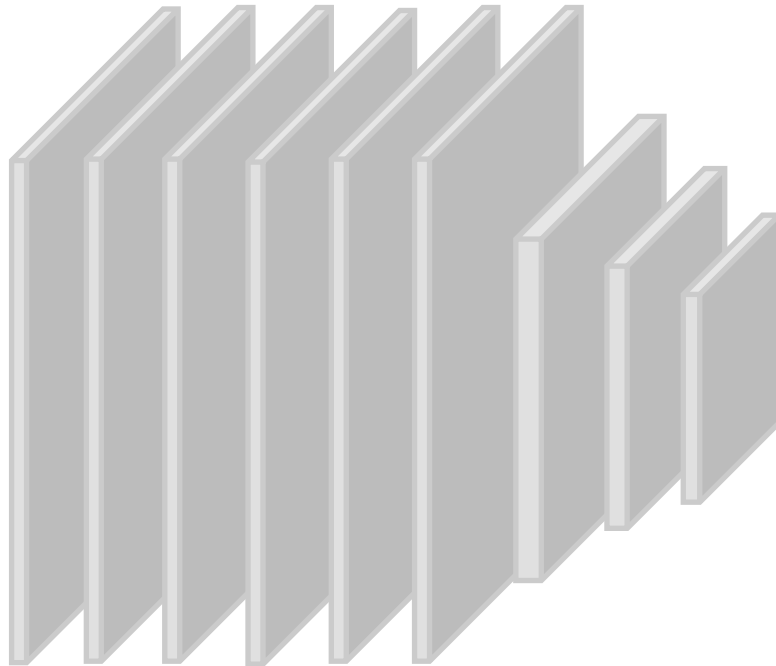
- Spearman correlation with expert best rank is **0.934** and with MT best rank is **0.942**
- Kendall correlation with expert best rank is **0.910** and with MT best rank is **0.927**

Conclusions

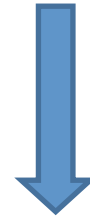
- **Perceptual** model of facial expressions
- **FERG-DB** with cardinal expression annotations
- **Plausible character expression** retrieval

- Improve visual storytelling applications:
 - Animated films
 - Gaming
 - Online marketing
 - VR/AR experiences
 - Robotics

Future work



2D feature vectors



3D Maya parameters

Thank you!

Project webpage

<http://grail.cs.washington.edu/projects/deepexpr/>

Stylized Character expression Database download

<http://grail.cs.washington.edu/projects/deepexpr/ferg-db.html>

Acknowledgements – Jamie Austad (animator), Zillow Group, the creators of the rigs we used in our project: Mery (www.meryproject.com), Ray (CGTarian Online School), Malcolm (www.animSchool.com), Aia & Jules (www.animationmentor.com), and Bonnie (Josh Sobel Rigs).