CSE584: Software Engineering Lecture 9: Tools & Analysis (B)

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Lackwit (O'Callahan & Jackson)

- Code-oriented tool that exploits type inference
- Answers queries about C programs

 e.g., "locate all potential assignments to this field"
 - Accounts for aliasing, calls through function pointers, type casts
- Efficient
 - e.g., answers queries about a Linux kernel (157KLOC) in under 10 minutes on a PC

Placement

- Lexical tools are very general, but are often imprecise because they have no knowledge of the underlying programming language
- Syntactic tools have some knowledge of the language, are harder to implement, but can give more precise answers
- Semantic tools have deeper knowledge of the language, but generally don't scale, don't work on real languages and are hard to implement

Lackwit

Sample queries

- Semantic
- Scalable
- Real language (C)
- Static
 Can work on incomplete
- incomplete programs – Make assumptions about missing code, or supply stubs
- -Which integer variables contain file handles? -Can pointer foo in function bar be passed to free()? If so, what paths in the call graph are involved? -Field f of variable v has an incorrect
- value; where in the source might it have changed? Which functions modify the sum of

-Which functions modify the cur_veh field of map_manager_global?

Lackwit analysis

- Approximate (may return false positives)
- Conservative (may not return false negatives) under some conditions
 - C's type system has holes
 - Lackwit makes assumptions similar to those made by programmers (e.g., "no out-of-bounds memory accesses")
 - Lackwit is unsound only for programs that don't satisfy these assumptions

Query commonalities

- There are a huge number of names for storage locations
 - local and global variables; procedure parameters; for records, etc., the sub-components
- Values flow from location to location, which can be associated with many different names
- Archetypal query: Which other names identify locations to which a value could flow to or from a location with this given name?
 Answers can be given textually or graphically





Underlying technique

- Use type inference, allowing type information to be exploited to reduce information about values flowing to locations (and thus names)
- But what to do in programming languages without rich type systems?

Trivial example

- DollarAmt getSalary(EmployeeNum e)
- Relatively standard declaration
- Allows us to determine that there is no way for the value of e to flow to the result of the function
 - Because they have different types
- int getSalary(int e)
- Another, perhaps more common, way to declare the same function
- This doesn't allow the direct inference that e's value doesn't flow to the function return – Because they have the
- same type Demands type inference mechanism for precision

Lackwit's type system

- Lackwit ignores the C type declarations
- Computes new types in a richer type system

 $\begin{array}{l} \texttt{char* strcpy(char* dest, char* source)} \\ \texttt{(num}^{\alpha}\,\texttt{ref}^{\beta}, \texttt{num}^{\alpha}\,\texttt{ref}^{\gamma}) \rightarrow^{\varphi}\texttt{num}^{\alpha}\,\texttt{ref}^{\beta} \end{array}$

Implies

-Result may be aliased with dest (flow between pointers) -Values may flow between the characters of the parameters -No flow between source and dest arguments (no aliasing)

Incomplete type information void* return1st(void* x,void* y) { return x; } (a ref^β, b) →^φ a ref^β • The type variable a indicates that the type of the contents of the printer a indicates that the type of

- The type variable a indicates that the type of the contents of the pointer x is unconstrained
 But it must be the same as the type of the contents of pointer y
- Increases the set of queries that Lackwit can
 answer with precision



Type stuff

- Modified form of Hindley-Milner algorithm "W"
- · Efforts made to handle
 - Mutable types
 - Recursive types
 - Null pointers
 - Uninitialized data
 Type casts
 - Declaration order

<pre>void copy(char * from, char * to) { to = *from; } void copy5(char * fromarray, char * toarray) { int i; for (i = 0; i < 5; i++) { copy(from + i, to + i); } void main(void) { char from1[5] = { 'h', 'e', 'l', 'l', 'o' }; char to1[5]; char from2[5] = { 'k', 'i', 't', 'y' }; char foo2[5]; copy5(from1, to1); }</pre>	<pre>*from1 is not compatible with either *from2 or *to2 But it is with copy:*from, copy:*to, copy5:*from + copy5:*to</pre>
copy5(from2, to2); copy5 main:from1 main:to1 main:from2 main:to2	$ \begin{array}{c} \forall \alpha, \forall \beta, \forall \phi, (num^{\prime\prime}, cc^{\prime\prime}, num^{\prime\prime}, cc^{\prime\prime}) \rightarrow^{\phi}() \\ \forall \delta, \forall \phi, \forall \alpha, (num^{\prime\prime}, cc^{\prime\prime}, num^{\prime\prime}, cc^{\prime\prime}) \rightarrow^{\phi}() \\ num^{\prime\prime}, cc^{\prime\prime} \\ num^{\prime\prime}, cc^{\prime} \\ num^{\prime\prime}, cc^{\prime} \\ num^{\prime\prime}, cc^{\prime} \end{array} $







- Approximate, although safe under many (most?) conditions
- Reasonably efficient

 Although I didn't show the numbers, they are now better than reported in the ICSE

Program invariants

- One way to try to manage the complexity of software systems is to use program invariants
- Invariants can aid in the development of correct programs
 - The invariants are defined explicitly as part of the construction of the program [Dijkstra][Hoare][Gries][...]

Invariants and evolution

- Invariants can aid in the evolution of software as well
- In particular, programmers can easily make changes that violate unstated invariants
 - The violated invariants are often far from the site of the change
 - These changes can cause errors
 - The presence of invariants can reduce the number of or cost of finding these violations

Other uses for invariants

- Documenting code
- Checking assumptions: convert to assert
- Locating unusual conditions
- Providing hints for higher-level profiledirected compilation [Calder]
- Bootstrapping proofs [Wegbreit][Bensalem]
- ...



Today's focus

- An approach to make invariants more prevalent and more practical
- Underlying assumption:
- The presence of invariants will reduce the difficulty and cost of evolution
- Goal: recover invariants from programs
- Technique: run the program, examine values
- Artifact: Daikon

Goal: Recover invariants

- Detect invariants such as those found in assert statements or specifications
 - x > abs(y)
 - $-x = 16^*y + 4^*z + 3$
 - array a contains no duplicates
 for each node n, n = n.child.parent
 - for each node n, n = n.cniid.par
 graph g is acyclic
 - grapn g i – ...

Experiment 1 [Gries 81]: Recover formal specifications

// Sum array b of length n into
// variable s
i := 0; s := 0;
while i ≠ n do
{ s := s+b[i]; i := i+1 }

Precondition: $n \ge 0$ Postcondition: $S = \sum_{0 \le j < n} b[j]$ Loop invariant: $0 \le i \le n$ and $S = \sum_{0 \le j < i} b[j]$





Inferred loop invariants

```
LOOP:

N = size(B)

S = sum(B[0..I-1]) 

N in [7..13]

I in [0..13] 

I <= N 

B: All elements in [-100..100]

B[0..I-1]: All elements in [-100..100]
```

Experiment 2: Code without explicit invariants

- 563-line C program: regular expression search & replace [Hutchins][Rothermel]
- Task: modify to add Kleene +
- Complementary use of both detected invariants and traditional tools (such as grep)



More invariant uses

 Showed procedures used in limited ways

makepat

- start = 0 and delim = '10'
- Demonstrated test suite inadequacy
- #calls(in_set_2) = #calls(stclose)
 Changes in invariants validated
- program changes
 - stclose: *j = orig(*j)+1
- plclose: *j ≥ orig(*j)+2

Experiment 2 conclusions

Invariants

- effectively summarize value data
- support programmer's own inferences
 lead programmers to think in terms of invariants
- provide serendipitous information
- Additional useful components of
- Daikon
 - trace database (supports queries)
 - invariant differencer

Other experiments

Students

UW CSE 142 (C, small) MIT 6.170 (Java, ≤ 5000 lines) Testing research Hoffman (Java, 2000 lines) Siemens (C, ~500 lines) Program checkers

Xi (Java, small) ESC (Java, 500 lines)

Textbooks

Gries (Lisp, tiny) Weiss (Java, small) Java in a Nutshell (Java, ≤ 300 lines) Medic planner (Lisp, 13,000 lines)

Ways to obtain invariants

- · Programmer-supplied
- Static analysis: examine the program text [Cousot][Gannod]
 - properties are guaranteed to be true
 - pointers are intractable in practice
- Dynamic analysis: run the program
 - complementary to static techniques





Running the program

- Requires a test suite
 - Standard test suites are adequate
 - Relatively insensitive to test suite (if large enough)
- No guarantee of completeness or soundness
 - Useful nonetheless (cf. Purify, ESC, PREfix)
 - Complementary to other techniques and tools

Sample invariants

- *x,y,z* are variables; *a,b,c* are constants
- Invariants over numbers
 - unary: x = a, $a \le x \le b$, $x \equiv a \pmod{b}$, ...
 - n-ary: $x \le y$, x = ay + bz + c, x = max(y, z), ...
- Invariants over sequences
 - unary: sorted, invariants over all elements
 - with sequence: subsequence, ordering
 - with scalar: membership
- Why these invariants?

Checking invariants

- For each potential invariant:

 Instantiate
 That is, determine constants like *a* and
 - b in y = ax + b
 - Check for each set of variable values
 - Stop checking when falsified
- This is inexpensive
 - Many invariants, but each cheap to check
 - Falsification usually happens very early

Performance: runtime growth

- Cubic in number of variables at a program point
 - Linear in number of invariants checked/discovered
- Linear in number of samples (test suite size)
- Linear in number of instrumented program points

Absolute runtime

- A few minutes per "average" procedure
 - 10,000 calls
 - 70 variables
 - Instrument entry and exit
- Unoptimized prototype

Relevance

- Our first concern in this research was whether we could find any invariants of interest
- When we found we could, we found a different problem
 - We found many invariants of interest
 But most invariants we found were not
 - But most invariants we found were not relevant

Improved invariant relevance

- Add desired invariants
 - Implicit values
 - Unused polymorphism
- Eliminate undesired invariants (and
- improve performance) – Unjustified properties
- Redundant invariants
- Incomparable variables

1. Implicit values

Find relationships over non-variables

- array: length, sum, min, max
- array and scalar: element at index, subarray
- number of calls to a procedure
- ...

Derived variables

- Successfully produces desired invariants
- Adds many new variables
 - slowdown
 - irrelevant invariants
- Staged derivation and invariant inference
 - avoid deriving meaningless values
 - avoid computing tautological invariants

2. Unused polymorphism

- Variables declared with general type, used with more specific type
 - Ex: given a generic list that contains only integers, report that the contents are sorted
- Also applicable to subtype polymorphism

Unused polymorphism example

class MyInteger { int value; ... }
class Link { Object element; Link next; ... }
class List { Link header; ... }
List myList = new List();
for (int i=0; ic10; i++)
 myList.add(new MyInteger(i));

Desired invariant in class List

header.closure(next).element.value: sorted by \leq

Polymorphism elimination

- Pass 1: front end outputs object ID, runtime type, and all known fields
- Pass 2: given refined type, front end outputs more fields
- · Effective for programs tested so far
- · Sound for deterministic programs







Disregard duplicate values

- Idea: count a value only if its var was just modified
- Front end outputs modification bit per value
 - compared techniques for eliminating duplicates
- · Result: eliminates undesired invariants

4. Redundant invariants

Given

- 0 ≤ i ≤ j • Redundant a[i] ∈ a[0..j] max(a[0..i]) ≤ max(a[0..j])
- Redundant invariants are logically implied
 Implementation contains many such tests

Suppress redundancies

- Avoid deriving variables: suppress 25-50%
 - equal to another variable
 - nonsensical
- · Avoid checking invariants:
 - false invariants: trivial improvement
 - true invariants: suppress 90%
- Avoid reporting trivial invariants: suppress 25%





Comparability results

- · Comparisons:
 - declared types: 60% as many comparisons
 Lackwit: 5% as many comparisons; scales well
- Runtime: 40-70% improvement
- · Few differences in reported invariants

Richer types of invariant

Object/class invariants

node.left.value < node.right.value
string.data[string.length] = '\0'
</pre>

Pointers (recursive data structures) tree is sorted

Conditionals

if proc.priority < 0 then proc.status = active ptr = null or *ptr > i

Pointer experiment

- Data structures from Weiss's Data Structures and Algorithm Analysis in Java
- Identified goal invariants by reading book
- Added linearization and data splitting to Daikon
- Results
 - 90-100% of goal invariants
 - few extraneous invariants

Object invariant

class LinkedList { Link header; ... }
class Link { int element; Link next; ...
}

Object invariant:

```
header ≠ null
header.element = 0
size(header.closure(next)) ≥ 1
```



Linearize data structures

- Traverse pointer-directed data structures
- Present to invariant engine as sequence
 - cyclicity determined by front end







Summary

- Dynamic invariant detection is feasible - Conceived and developed the idea
 - Prototype implementation
- Dynamic invariant detection is accurate & useful
- Techniques to improve basic approach
- Experiments provide preliminary support
- Dynamic invariant detection is a challenging and promising area for research and practice
 Practical? Not yet clear!

Path Profiling: Ball and Larus

What does it do? Run it!

- On the first day of Christmas my true love gave to me a partridge in a pear tree.
- On the second day of Christmas my true love gave to me two turtle doves
- and a partridge in a pear tree.
- On the third day of Christmas my true love gave to me three french hens, two turtle doves and a partridge in a pear tree.

• But why?

- http://www.research.microsoft.com/~tball/papers/XmasGift/
- Reverse engineering the Twelve Days of Christmas

Counting arguments

- The poem takes O(N*N) time to read and O(N*N) space to write

 N is the number of gifts
- We can derive an exact count of the number of times gifts
- A gift with ordinal value t is mentioned 13-t times in the poem
- For example, "five gold rings" occurs 13-5=8 times
- Summing over all gifts yields 1+2+...11+12 = 13*6 = 78 total gift mentions
 - 66 mentions of non-partridge gifts

Continuing like this...key numbers are

- 12 days of Christmas (also 11, to catch "off-by-one" cases)
- · 26 unique strings
- 66 occurrences of non-partridgein-a-pear-tree presents
- 114 strings printed
- 2358 characters printed

Pretty printing the program...

/* pretty-printed version of twelve days of christmas program */
finclude <etdo.h>
main(t_,,a)
christman(t_,a)
christman(





main: three arguments

- The first argument t is count of the number of arguments on the command line (including the name of the program itself)
- The selection of different legs of the function seem to be driven by the parameter t

Use profiling to extract counts

- Apply the Hot Path Browser (HPB) tool (Ball, Larus and Rosay)
 - Instruments programs to record and display Ball/Larus path profiles
 - A Ball/Larus path profile counts how many times each acyclic intraprocedural path executes





Path clusters by frequency: manually identify computational signature

- Path 0 initializes the recursion with the call main(2,2,...)
 Paths 19, 22, and 23 control the printing of the 12 verses
 - Path 19 represents the first verse
 - Path 23 the middle 10 verses
 - Path 22 the last verse
 - The sum of these paths' frequencies is 12
 - The browser can help show that each of the paths covers
 - a different set of recursive calls to main
- Paths 9 and 13 control the printing of the nonpartridge-gifts within a verse
 - The frequencies of the two paths sum to 66

More

- Paths 2 and 3 print out a string

 Each path has frequency 114, the exact number of strings predicted by our model
- Paths 1 and 7 print out the characters in a string
- Each path executes 2358 timesPaths 4 and 5 with the large and unusual frequencies
 - of 24931 and 39652? — Path 4 skips over n sub-strings in the large string
 - Every time a sub-string is printed, a linear search through the text string is done to find the string
 - Path 5 linearly scans for each character to be printed — the string that encodes the character translation to find the character that matches the current character to be printed

Jinsight: http://www.research.ibm.com/jinsight/ · Tools for analyzing the dynamic behavior of Java programs Visualization Pattern extraction Database guery De Pauw, Multidimensional analysis Sevitsky, et al. Applied to performance analysis - memory leak diagnosis debugging program understanding A special focus on the analysis of large, complex, data-intensive, and web-based systems



Execution activity or memory summarized at any level of detail, along call paths, and along two dimensions simultaneously

























Slices (not Weiser slices)

- A slice is a subset of the trace information • corresponding to a user-selected feature in a program - Applies to any view
- Slices intended to filter out extraneous information, focusing analysis on one area
- Slices give you an extra dimension for
- measuring program execution
 - Can compute any measurement about a program
 - Ex: define slices to represent functional areas of your program; then measure execution time in each thread, method, method invocation, etc. spent in each functional area



Tools

- Static vs. dynamic – Complementary
- Finding bugs vs. improving performance
- Program representations
 Affect precision and performance
- Partial specifications – You get some benefit for small cost
- Inference to reduce programmer effort - Type, dynamic