

CSE 589  
Applied Algorithms  
Spring 1999

Image Compression  
Vector Quantization  
Nearest Neighbor Search

### Lossy Image Compression Methods

- Basic theory - trade-off between bit rate and distortion.
- Vector quantization (VQ).
  - A indices of set of representative blocks can be used to code an image, yielding good compression. Requires training.
- Wavelet Compression.
  - An image can be decomposed into a low resolution version and the detail needed to recover the original. Sending most significant bits of the wavelet coded yields excellent compression.

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### JPEG Standard

- JPEG - Joint Photographic Experts Group
  - Current image compression standard. Uses discrete cosine transform, scalar quantization, and Huffman coding.
  - JPEG 2000 will move to wavelet compression.

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### Barbara



original

JPEG

32:1 compression ratio  
.25 bits/pixel (8 bits)



VQ

Wavelet-SPIHT

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JPEG



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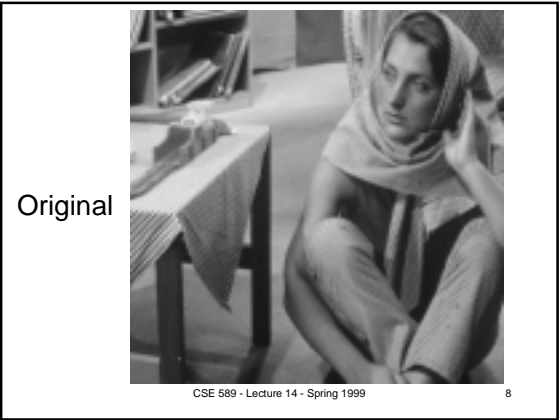
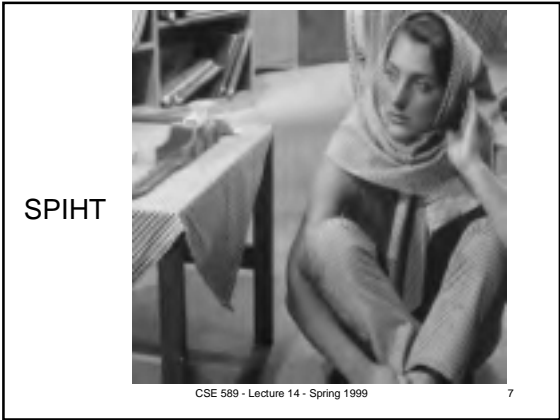
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VQ



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### Distortion

original                      compressed                      decompressed

$x$                        $y$                        $\hat{x}$

Encoder                      Decoder

- Lossy compression:  $x \neq \hat{x}$
- Measure of distortion is commonly mean squared error (MSE). Assume  $x$  has  $n$  real components (pixels).

$$MSE = \frac{1}{n} \sum_{i=1}^n (x_i - \hat{x}_i)^2$$

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### PSNR

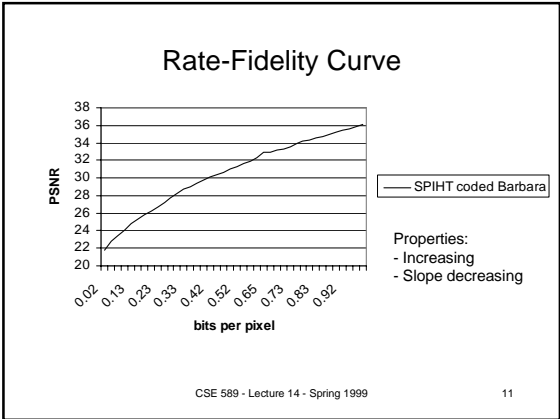
- Peak Signal to Noise Ratio (PSNR) is the standard way to measure fidelity.

$$PSNR = 10 \log_{10} \left( \frac{m^2}{MSE} \right)$$

where  $m$  is the maximum value of a pixel possible.  
For gray scale images (8 bits per pixel)  $m = 255$ .

- PSNR is measured in decibels (dB).
- .5 to 1 dB is said to be a perceptible difference.

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### PSNR is not Everything


vq

PSNR = 25.8 dB                      PSNR = 25.8 dB

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**PSNR Reflects Fidelity (1)**

VQ




PSNR 25.8  
.63 bpp  
12.8 : 1

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**PSNR Reflects Fidelity (2)**

VQ




PSNR 24.2  
.31 bpp  
25.6 : 1

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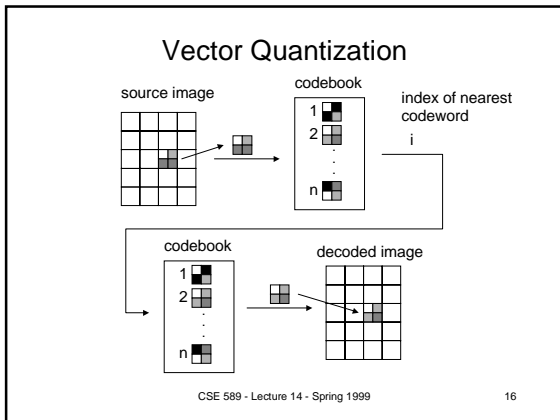
**PSNR Reflects Fidelity (2)**

VQ



PSNR 23.2  
.16 bpp  
51.2 : 1

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**Vector Quantization Facts**

- The image is partitioned into  $a \times b$  blocks.
- The codebook has  $n$  representative  $a \times b$  blocks called codewords, each with an index.
- Compression is
 
$$\frac{\log_2 n}{ab} \text{ bpp}$$
- Example:  $a = b = 4$  and  $n = 1,024$ 
  - compression is  $10/16 = .63$  bpp
  - compression ratio is  $8 : .63 = 12.8 : 1$

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**Examples**



4 x 4 blocks .63 bpp      4 x 8 blocks .31 bpp      8 x 8 blocks .16 bpp

Codebook size = 1,024

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## Encoding and Decoding

- Encoding:
  - Scan the  $a \times b$  blocks of the image. For each block find the nearest codeword in the code book and output its index.
  - Nearest neighbor search.
- Decoding:
  - For each index output the codeword with that index into the destination image.
  - Table lookup.

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## The Codebook

- Both encoder and decoder must have the same codebook.
- The codebook must be useful for many images and be stored someplace.
- The codebook must be designed properly to be effective.
- Design requires a representative training set.
- These are major drawbacks to VQ.

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## Codebook Design Problem

- Input: A training set  $X$  of vectors of dimension  $d$  and a number  $n$ . ( $d = a \times b$  and  $n$  is number of codewords)
- Output:  $n$  vectors  $c_1, c_2, \dots, c_n$  that minimizes the sum of the distances from each member of the training set to its nearest codeword. That is minimizes

$$\sum_{x \in X} \|c_{n(x)} - x\| = \sum_{x \in X} \sqrt{\sum_{i=1}^d (c_{n(x)}(i) - x(i))^2}$$

where  $c_{n(x)}$  is the nearest codeword to  $x$ .

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## Algorithms for Codebook Design

- The optimal codebook design problem appears to be a NP-hard problem.
- There is a very effective method, called the generalized Lloyd algorithm (GLA) for finding a good local minimum.
- GLA is also known in the statistics community as the k-means algorithm.
- GLA is slow.

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## GLA

- Start with an initial codebook  $c_1, c_2, \dots, c_n$  and training set  $X$ .
- Iterate:
  - Partition  $X$  into  $X_1, X_2, \dots, X_n$  where  $X_i$  includes the members of  $X$  that are closest to  $c_i$ .
  - Let  $x_i$  be the centroid of  $X_i$ .

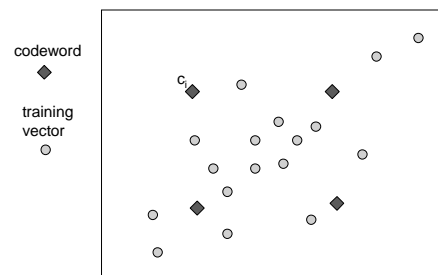
$$x_i = \frac{1}{|X_i|} \sum_{x \in X_i} x$$

- if  $\sum_{i=1}^n \|x_i - c_i\|$  is sufficiently small then quit. otherwise continue the iteration with the new codebook  $c_1, c_2, \dots, c_n = x_1, x_2, \dots, x_n$ .

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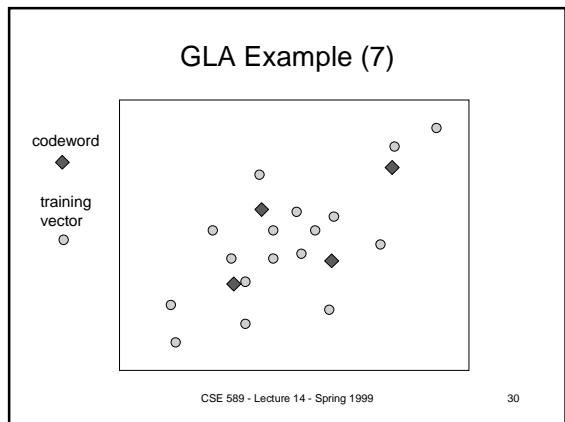
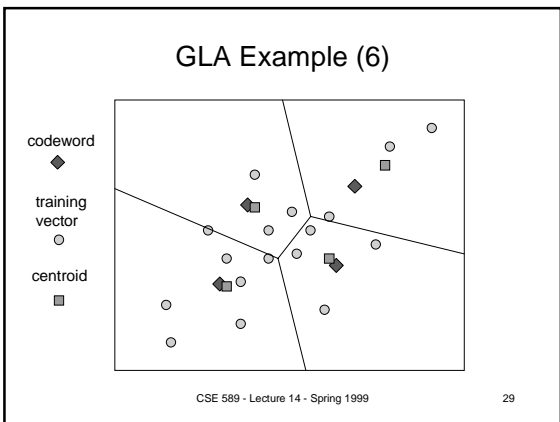
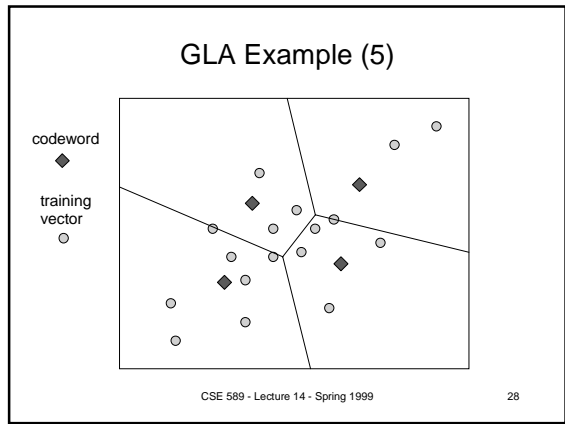
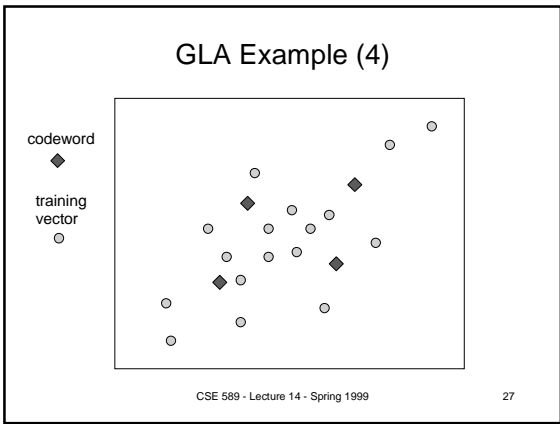
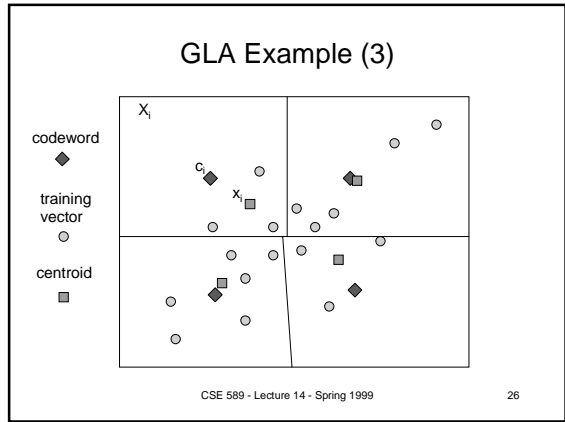
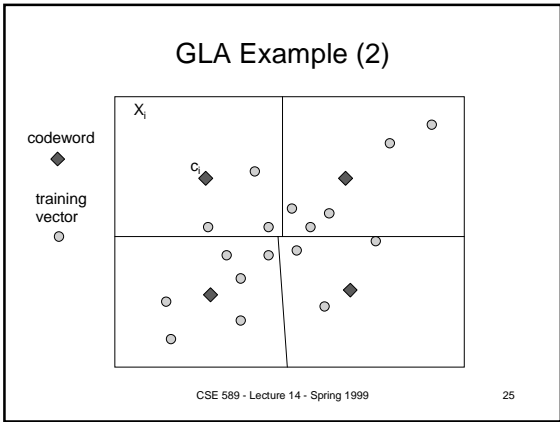
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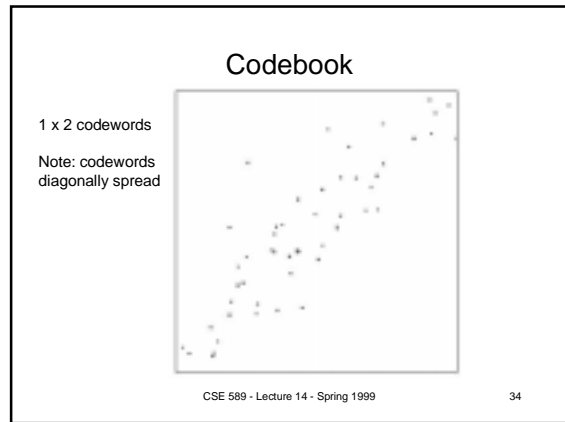
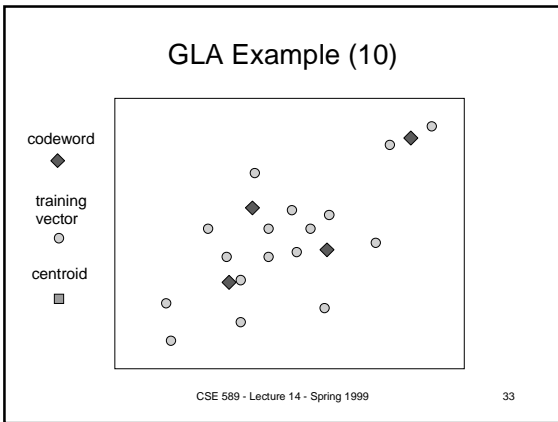
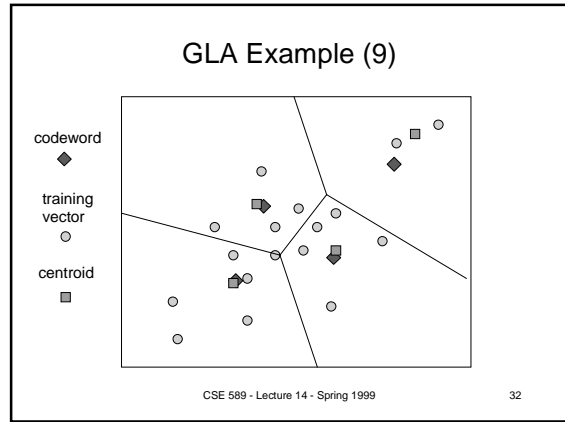
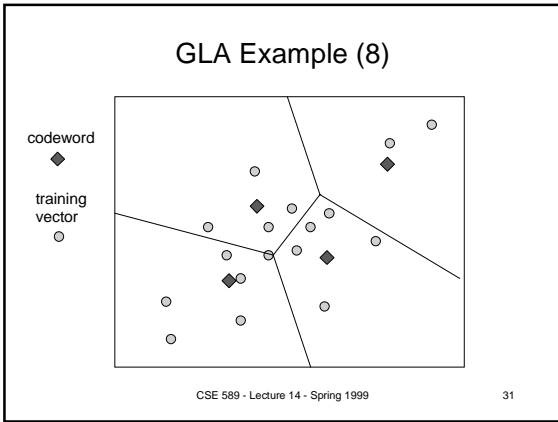
## GLA Example (1)



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- ### GLA Advice
- Time per iteration is dominated by the partitioning step, which is  $m$  nearest neighbor searches where  $m$  is the training set size.
    - Average time per iteration  $O(m \log n)$  assuming  $d$  is small.
  - Training set size.
    - Training set should be at least 20 training vectors per code word to get reasonable performance.
    - Too small a training set results in “over training”.
  - Number of iterations can be large.
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- ### Nearest Neighbor Search
- Preprocess a set of  $n$  vectors  $V$  in  $d$  dimensions into a search data structure.
  - Input: A query vector  $q$ .
  - Output: The vector  $v$  in  $V$  that is nearest to  $q$ .  
That is, the vector  $v$  in  $V$  that minimizes
- $$\|v - q\|^2 = \sum_{i=1}^d (v(i) - q(i))^2$$
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## NNS in VQ

- Used in codebook design.
  - Used in GLA to partition the training set.
  - Since codebook design is seldom done then speed of NNS is not too big a issue.
- Used in VQ encoding.
  - Codebook size is commonly 1,000 or more.
  - Naive linear search would make encoding too slow.
  - Can we do better than linear search?

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## Naive Linear Search

- Keep track of the current best vector, best-v, and best distance squared, best-squared-d.
  - For an unsearched vector v compute  $\|v - q\|^2$  to see if it smaller than best-squared-d.
  - If so then replace best-v.
  - If d is moderately large it is a good idea not to compute the squared distance completely. Bail out when  $k < d$  and

$$\text{best - squared - d} \leq \sum_{i=1}^k (v(i) - q(i))^2$$

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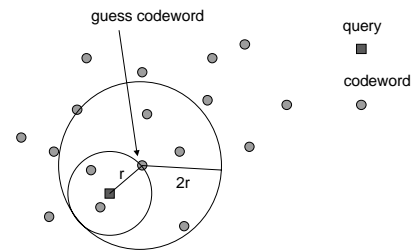
## Orchard's Method

- Invented by Orchard (1991)
- Uses a "guess codeword". The guess codeword is the codeword of an adjacent coded vector.
- Orchard's Principle.
  - if r is the distance between the guess codeword and the query vector q then the nearest codeword to q must be within a distance of 2r of the guess codeword.

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## Orchard's Principle



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