

6. Affine transformations

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Reading

Required:

- Watt, Chapter 1.

Supplemental:

- Foley *et al.*, Chapter 5.1–5.5
- David F. Rogers and J. Alan Adams, *Mathematical Elements for Computer Graphics*, Second edition, McGraw-Hill, New York, 1990, Chapter 2.

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Geometric transformations

Geometric transformations will map points in one space to points in another: $(x', y', z') = \mathbf{f}(x, y, z)$.

These transformations can be very simple, such as scaling each coordinate, or complex, such as non-linear twists and bends.

We'll focus on transformations that can be represented easily with matrix operations.

We'll start in 2D...

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Representation

We can represent a **point** $p = (x, y)$ in the plane

- as a column vector $\begin{bmatrix} x \\ y \end{bmatrix}$
- as a row vector $\begin{bmatrix} x & y \end{bmatrix}$

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Representation, cont.

We can represent a **2-D transformation** M by a matrix

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix}$$

If p is a column vector, M goes on the left:

$$p' = Mp$$
$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

If p is a row vector, M^T goes on the right:

$$p' = pT$$
$$\begin{bmatrix} x' & y' \end{bmatrix} = \begin{bmatrix} x & y \end{bmatrix} \begin{bmatrix} a & c \\ b & d \end{bmatrix}$$

We will use **column vectors**.

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Two-dimensional transformations

Here's all you get with a 2×2 transformation matrix M :

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

So

$$x' = ax + by$$
$$y' = cx + dy$$

We will develop some intimacy with the elements a, b, c, d, \dots

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Identity

Suppose we choose $a = d = 1, b = c = 0$:

- Gives the **identity matrix**

$$\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

- Doesn't move the points at all

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Scaling

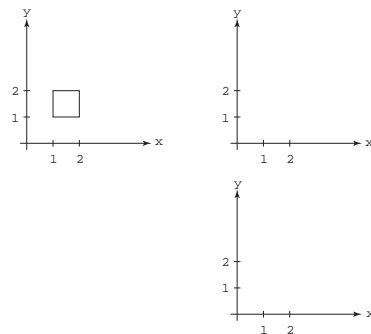
Suppose we set $b = c = 0$, but let a and d take on any *positive* value:

- Gives a **scaling matrix**

$$\begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix}$$

- Provides **differential scaling in x and y** :

$$x' = ax$$
$$y' = dy$$

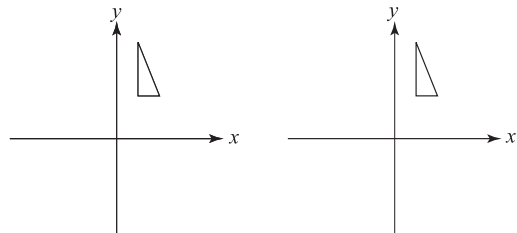


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Suppose we keep $b = c = 0$, but let a or d go negative.

Examples:

$$\begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \quad \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}$$



Now let's leave $a = d = 1$ and experiment with c . . .

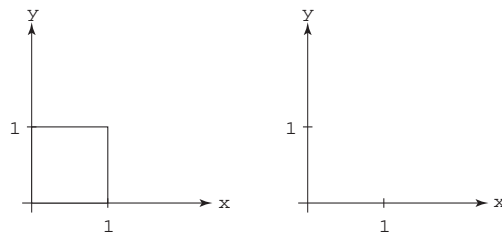
The matrix

$$\begin{bmatrix} 1 & 0 \\ c & 1 \end{bmatrix}$$

gives:

$$\begin{aligned} x' &= x \\ y' &= cx + y \end{aligned}$$

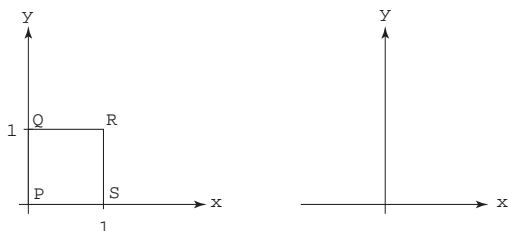
Effect is called a "_____."



Effect on unit square

Let's see how a general 2×2 transformation M affects the unit square:

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 0 & a & a+b & b \\ 0 & c & c+d & d \end{bmatrix}$$



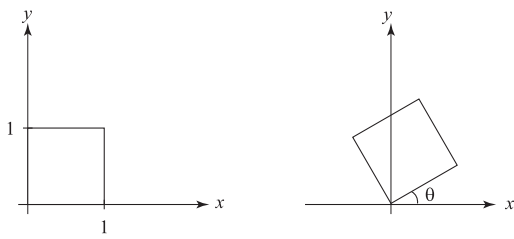
Effect on unit square, cont.

Observe:

- Origin invariant under M
- M can be determined just by knowing how the corners $(1, 0)$ and $(0, 1)$ are mapped
- a and d give x - and y -scaling
- b and c give x - and y -shearing

Rotation

From our observations of the effect on the unit square, it should be easy to write down a matrix for “rotation about the origin”:



- $\begin{bmatrix} 1 & \\ 0 & \end{bmatrix} \rightarrow$
- $\begin{bmatrix} & \\ 0 & 1 \end{bmatrix} \rightarrow$

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Limitations of the 2×2 matrix

A 2×2 matrix allows

- Scaling
- Rotation
- Reflection
- Shearing

Q: What important operation does that leave out?

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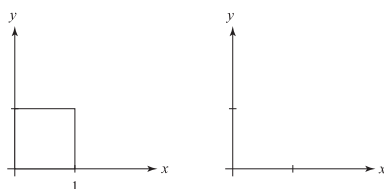
Homogeneous coordinates

Idea is to loft the problem up into 3-space, adding a third component to every point:

$$\begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

And then transform with a 3×3 matrix:

$$\begin{bmatrix} x' \\ y' \\ w' \end{bmatrix} = \begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$



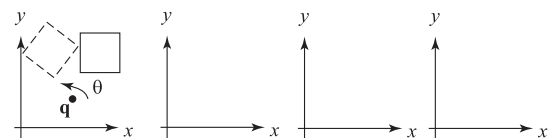
... Gives **translation!**

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Rotation about arbitrary points

Until now, we have only considered rotation about the origin.

With homogeneous coordinates, you can specify a rotation, θ , about any point $\mathbf{q} = [q_x q_y]^T$ with a matrix:



1. Translate q to origin
2. Rotate
3. Translate back

Note: Transformation order is important!

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Mathematical properties of affine transformations

All of the transformations we've looked at so far are examples of "affine transformations."

Here are some useful properties of affine transformations:

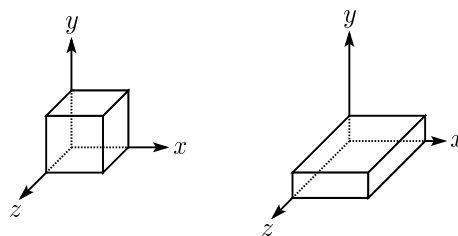
- Lines map to lines
- Parallel lines remain parallel
- Midpoints map to midpoints
(in fact, ratios are always preserved)

Basic 3-D transformations: scaling

Some of the 3-D transformations are just like the 2-D ones. For example, scaling:

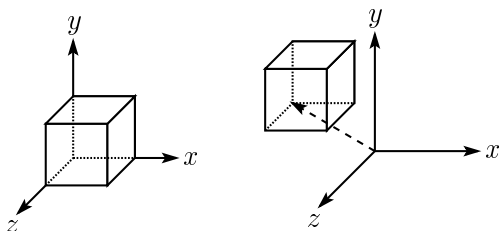
Scaling

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



Translation in 3D

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & t_x \\ 0 & 1 & 0 & t_y \\ 0 & 0 & 1 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



Rotation in 3D

Rotation now has more possibilities in 3D:

$$R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

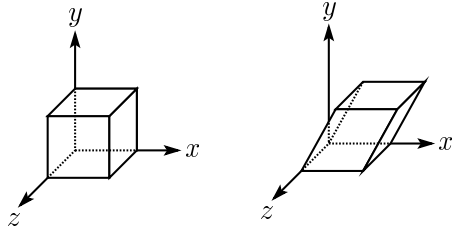
$$R_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Shearing in 3D

Shearing is also more complicated. Here is one example:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & b & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



Summary

What to take away from this lecture:

- All the names in boldface.
- How points and transformations are represented.
- What all the elements of a 2×2 transformation matrix do and how these generalize to 3×3 transformations.
- What homogeneous coordinates are and how they work for affine transformations.
- How to concatenate transformations.
- The mathematical properties of affine transformations.