

# CSEP 590A Computational Biology Summer 2006

## Lecture 7 Gene Prediction

### Motivation

Sequence data flooding into Genbank

What does it mean?

protein genes, RNA genes, mitochondria,  
chloroplast, regulation, replication, structure,  
repeats, transposons, unknown stuff, ...

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### Some References

(more on schedule page)

An extensive online bib

<http://www.nslj-genetics.org/gene/>

A good intro survey

JM Claverie (1997) "Computational methods for the identification of genes in vertebrate genomic sequences" *Human Molecular Genetics*, 6(10)(review issue): 1735-1744.

A gene finding bake-off

M Burset, [R Guigo](#) (1996), "Evaluation of gene structure prediction programs", *Genomics*, 34(3): 353-367.

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### Protein Coding Nuclear DNA

Focus of this lecture

Goal: Automated annotation of new  
sequence data

State of the Art:

predictions ~ 60% similar to real proteins  
~80% if database similarity used  
lab verification still needed, still expensive

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## Biological Basics

Central Dogma:

DNA  $\xrightarrow{\text{transcription}}$  RNA  $\xrightarrow{\text{translation}}$  Protein

Codons: 3 bases code one amino acid

Start codon

Stop codons

3', 5' Untranslated Regions (UTR's)

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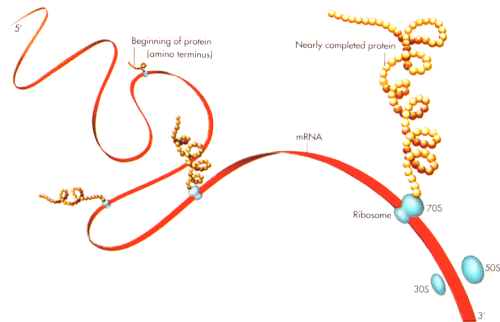
## Codons & The Genetic Code

		Second Base				
		U	C	A	G	
First Base	U	Phe	Ser	Tyr	Cys	U
		Phe	Ser	Tyr	Cys	C
		Leu	Ser	Stop	Stop	A
	C	Leu	Ser	Stop	Trp	G
		Leu	Pro	His	Arg	U
		Leu	Pro	His	Arg	C
		Leu	Pro	Gln	Arg	A
	A	Leu	Pro	Gln	Arg	G
		Ile	Thr	Asn	Ser	U
		Ile	Thr	Asn	Ser	C
	G	Ile	Thr	Lys	Arg	A
		Met/Start	Thr	Lys	Arg	G
Val		Ala	Asp	Gly	U	
Val		Ala	Asp	Gly	C	
Val	Ala	Glu	Gly	A		
Val	Ala	Glu	Gly	G		

Ala : Alanine  
 Arg : Arginine  
 Asn : Asparagine  
 Asp : Aspartic acid  
 Cys : Cysteine  
 Gln : Glutamine  
 Glu : Glutamic acid  
 Gly : Glycine  
 His : Histidine  
 Ile : Isoleucine  
 Leu : Leucine  
 Lys : Lysine  
 Met : Methionine  
 Phe : Phenylalanine  
 Pro : Proline  
 Ser : Serine  
 Thr : Threonine  
 Trp : Tryptophane  
 Tyr : Tyrosine  
 Val : Valine

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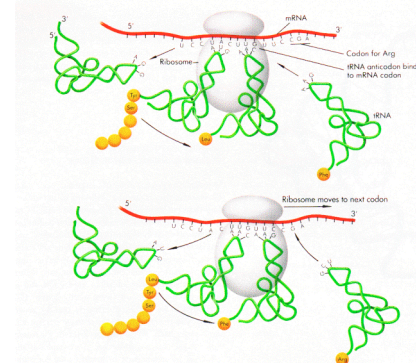
## Translation: mRNA $\rightarrow$ Protein



Watson, Gilman, Wilkowsky, & Zoller, 1992

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## Ribosomes



Watson, Gilman, Wilkowsky, & Zoller, 1992

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## Idea #1: Find Long ORF's

**Reading frame:** which of the 3 possible sequences of triples does the ribosome read?

**Open Reading Frame:** No stop codons

In random DNA

average ORF =  $64/3 = 21$  triplets

300bp ORF once per 36kbp per strand

But average protein ~ 1000bp

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## Idea #2: Codon Frequency

In random DNA

Leucine : Alanine : Tryptophan = 6 : 4 : 1

But in real protein, ratios ~ 6.9 : 6.5 : 1

So, coding DNA is not random

Even more: synonym usage is biased (in a species dependant way)

examples known with 90% AT 3<sup>rd</sup> base

Why? E.g. histone, enhancer, splice interactions

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## Recognizing Codon Bias

Assume

Codon usage i.i.d.; abc with freq.  $f(abc)$

$a_1a_2a_3a_4\dots a_{3n+2}$  is coding, unknown frame

Calculate

$p_1 = f(a_1a_2a_3)f(a_4a_5a_6)\dots f(a_{3n-2}a_{3n-1}a_{3n})$

$p_2 = f(a_2a_3a_4)f(a_5a_6a_7)\dots f(a_{3n-1}a_{3n}a_{3n+1})$

$p_3 = f(a_3a_4a_5)f(a_6a_7a_8)\dots f(a_{3n}a_{3n+1}a_{3n+2})$

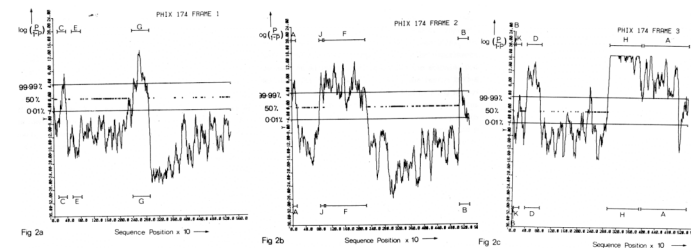
$P_i = p_i / (p_1 + p_2 + p_3)$

More generally: k-th order Markov model

k=5 or 6 is typical

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## Codon Usage in $\Phi$ x174



Staden & McClatchan, NAR 10, 1 1982, 141-156

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## Promoters, etc.

In prokaryotes, most DNA coding

E.g. ~70% in *H. influenzae*

Long ORFs + codon stats do well

But obviously won't be perfect

short genes

5' & 3' UTR's

Can improve by modeling promoters & other signals

e.g. via WMM or higher-order Markov models

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## Eukaryotes

As in prokaryotes (but maybe more variable)

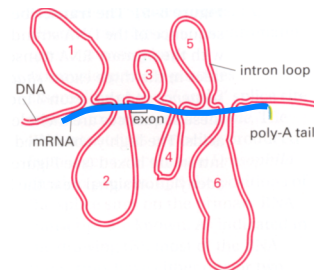
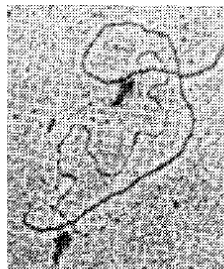
promoters

start/stop transcription

start/stop translation

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## And then...



Nobel Prize of the week: P. Sharp, 1993, Splicing

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## Mechanical Devices of the Spliceosome: Motors, Clocks, Springs, and Things

Jonathan P. Staley and Christine Guthrie

[CELL Volume 92, Issue 3](#), 6 February 1998, Pages 315-326

[doi:10.1016/S0092-8674\(00\)80925-3](https://doi.org/10.1016/S0092-8674(00)80925-3)

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Figure 2. Spliceosome Assembly, Rearrangement, and Disassembly Requires ATP, Numerous DExD/H box Proteins, and Prp24. The snRNPs are depicted as circles. The pathway for *S. cerevisiae* is shown.

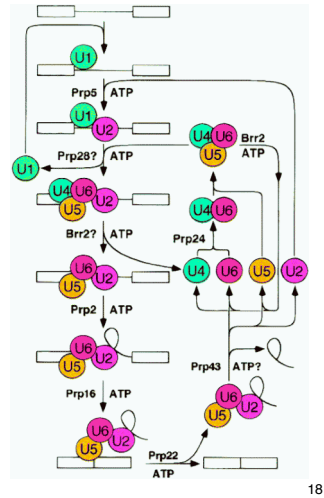


Figure 3. Splicing Requires Numerous Rearrangements

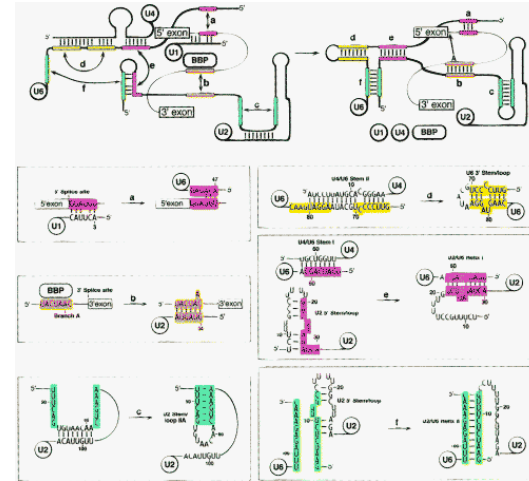


Figure 3. Splicing Requires Numerous Rearrangements

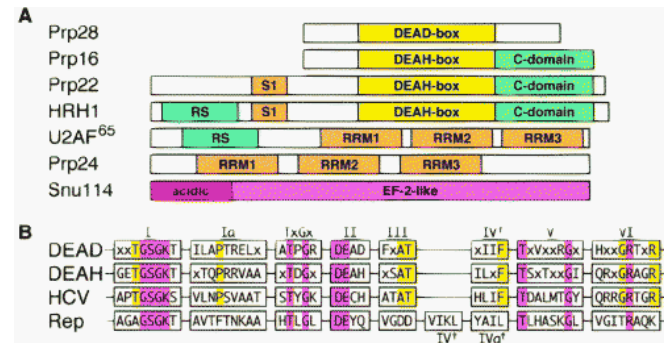
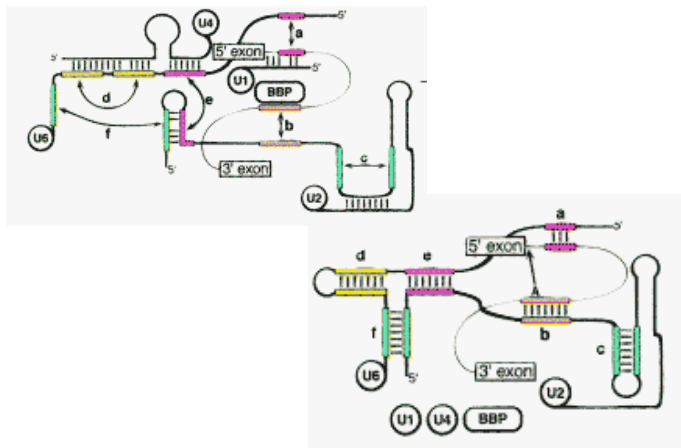


Figure 5. Sequence Characteristics of the Spliceosome's Mechanical Gadgets(A) Examples of domain structure. DEAD and DEAH, helicase-like domains; C-domain, conserved in the DEAH proteins; S1, a ribosomal motif implicated in RNA binding; RS, rich in serine/arginine dipeptides; RRM, RNA recognition motif; EF-2, elongation factor 2. All factors are from *S. cerevisiae* except for the mammalian factors U2AF<sup>65</sup> and HRH1, the human ortholog of Prp22.(B) Sequence motifs of the DExD/H box domains. DEAD, residues identical between Prp5, Prp28, and U5 $\approx$ 100 kDa (Table 1). DEAH, amino acid residues identical between Prp2, Prp16, Prp22, Prp43, hPRP16, and HRH1 (Table 1). x, any amino acid. The specific

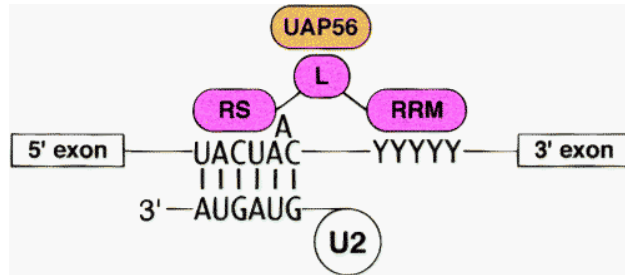


Figure 6. A Paradigm for Unwindase Specificity and Timing? The DExD/H box protein UAP56 (orange) binds U2AF<sup>65</sup> (pink) through its linker region (L). U2 binds the branch point. Y's indicate the polypyrimidine stretch; RS, RRM as in Figure 5A. Sequences are from mammals.

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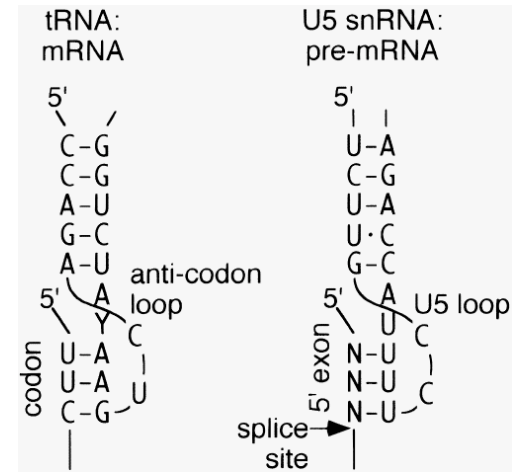
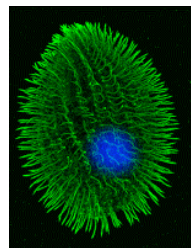
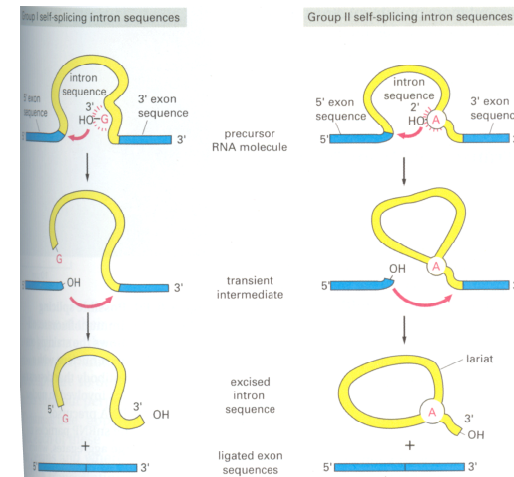
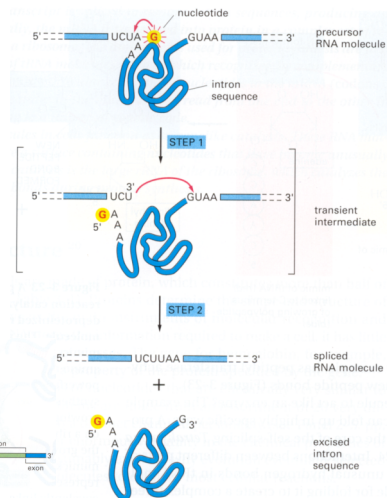
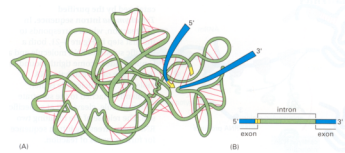


Figure 7. A Parallel between the Spliceosome and the Ribosome? The binding of a yeast Phe codon by the anticodon loop of the cognate tRNA is compared with the binding of a 5' exon by the yeast U5 loop, a hypothetical, yet provocative, configuration. N, any nucleotide.



*Tetrahymena thermophila*



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## Eukaryotes

As in prokaryotes (but maybe more variable)

promoters  
start/stop transcription  
start/stop translation

New Features:

polyA site/tail  
introns, exons, splicing  
branch point signal  
alternative splicing



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## Characteristics of human genes

(Nature, 2/2001, Table 21)

	Median	Mean	Sample (size)
Internal exon	122 bp	145 bp	RefSeq alignments to draft genome sequence, with confirmed intron boundaries (43,317 exons)
Exon number	7	8.8	RefSeq alignments to finished seq (3,501 genes)
Introns	1,023 bp	3,365 bp	RefSeq alignments to finished seq (27,238 introns)
3' UTR	400 bp	770 bp	Confirmed by mRNA or EST on chromo 22 (689)
5' UTR	240 bp	300 bp	Confirmed by mRNA or EST on chromo 22 (463)
Coding seq	1,100 bp	1340bp	Selected RefSeq entries (1,804)*
(CDS)	367 aa	447 aa	
Genomic span	14 kb	27 kb	Selected RefSeq entries (1,804)*

\* 1,804 selected RefSeq entries were those with full-length unambiguous alignment to finished sequence

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## Big Genes

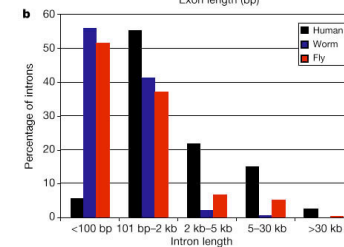
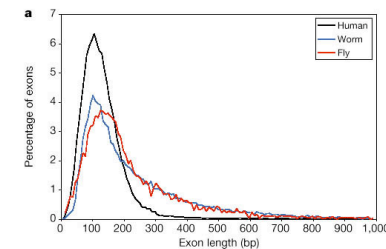
Many genes are over 100 kb long,  
Max known: dystrophin gene (DMD), 2.4 Mb.

The variation in the size distribution of coding sequences and exons is less extreme, although there are remarkable outliers.

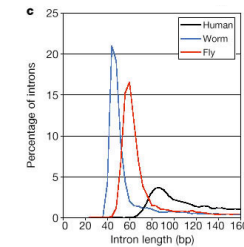
The titin gene has the longest currently known coding sequence at 80,780 bp; it also has the largest number of exons (178) and longest single exon (17,106 bp).

RNApol rate: 2.5 kb/min

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Nature 2/2001



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Nature 2/2001

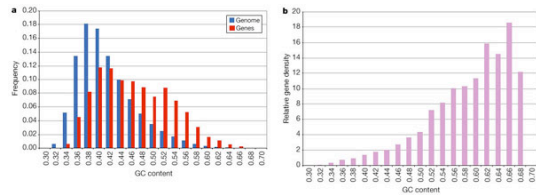
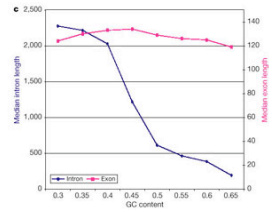


Figure 36 GC content. a. Distribution of GC content in genes and in the genome. For 9,315 known genes mapped to the draft genome sequence, the local GC content was calculated in a window covering either the whole alignment or 20,000 bp centred around the midpoint of the alignment, whichever was larger. Ns in the sequence were not counted. GC content for the genome was calculated for adjacent nonoverlapping 20,000-bp windows across the sequence. Both the gene and genome distributions have been normalized to sum to one.



b. Gene density as a function of GC content, obtained by taking the ratio of the data in a. Values are less accurate at higher GC levels because the denominator is small. c. Dependence of mean exon and intron lengths on GC content. For exons and introns, the local GC content was derived from alignments to finished sequence only, and were calculated from windows covering the feature or 10,000 bp centred on the feature, whichever was larger.

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## Computational Gene Finding?

How do we algorithmically account for all this complexity...

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## A Case Study -- Genscan

C Burge, S Karlin (1997), "Prediction of complete gene structures in human genomic DNA", [Journal of Molecular Biology](#), 268: 78-94.

## Training Data

238 multi-exon genes  
 142 single-exon genes  
 total of 1492 exons  
 total of 1254 introns  
 total of 2.5 Mb

NO alternate splicing, none > 30kb, ...

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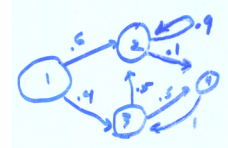


## Performance Comparison

Program	Accuracy						
	per nuc.		per exon				
	Sn	Sp	Sn	Sp	Avg.	ME	WE
GENSCAN	0.93	0.93	0.78	0.81	0.80	0.09	0.05
FGENEH	0.77	0.88	0.61	0.64	0.64	0.15	0.12
GeneID	0.63	0.81	0.44	0.46	0.45	0.28	0.24
Genie	0.76	0.77	0.55	0.48	0.51	0.17	0.33
GenLang	0.72	0.79	0.51	0.52	0.52	0.21	0.22
GeneParser2	0.66	0.79	0.35	0.40	0.37	0.34	0.17
GRAIL2	0.72	0.87	0.36	0.43	0.40	0.25	0.11
SORFIND	0.71	0.85	0.42	0.47	0.45	0.24	0.14
Xpound	0.61	0.87	0.15	0.18	0.17	0.33	0.13
GeneID+	0.91	0.91	0.73	0.70	0.71	0.07	0.13
GeneParser3	0.86	0.91	0.56	0.58	0.57	0.14	0.09

After Burge&Karlin, Table 1. Sensitivity, Sn = TP/AP; Specificity, Sp = TP/PP

## Generalized Hidden Markov Models



- $\pi$ : Initial state distribution
- $a_{ij}$ : Transition probabilities
- One submodel per state
- Outputs are *strings* gen'ed by submodel
- Given length  $L$ 
  - Pick start state  $q_1$  ( $\sim\pi$ )
  - While  $\sum d_i < L$ 
    - Pick  $d_i$
    - Pick string  $s_i$  of length  $d_i = |s_i| \sim$  submodel for  $q_i$
    - Pick next state  $q_{i+1}$  ( $\sim a_{ij}$ )
  - Output  $s_1 s_2 \dots$

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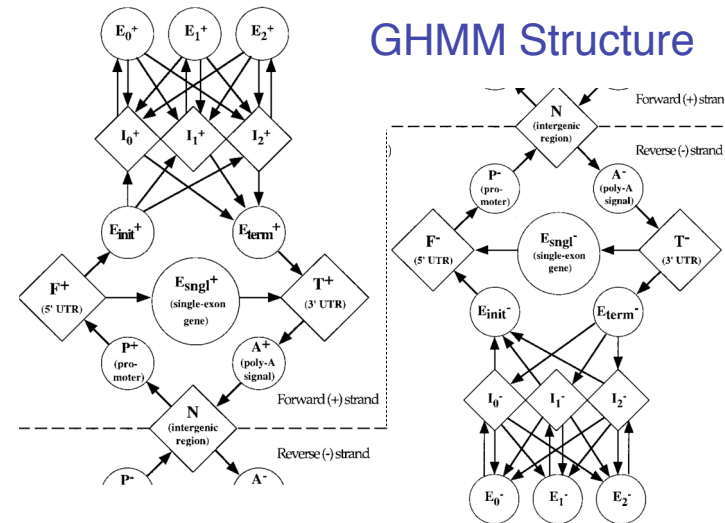
## Decoding

- A “parse”  $\phi$  of  $s = s_1 s_2 \dots s_L$  is a pair  $d = d_1 d_2 \dots d_k$   $q = q_1 q_2 \dots q_k$  with  $\sum d_i = L$
- Now use something like the forward/backward algorithms to calculate probabilities like “P(seq up to position  $i$  generated ending in state  $q_k$ )”, which involves summing over possible predecessor states  $q_{k-1}$  and possible  $d_k$

$$Pr(\phi | s) = \frac{Pr(\phi \wedge s)}{Pr(s)} \dots$$

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## GHMM Structure



## Length Distributions

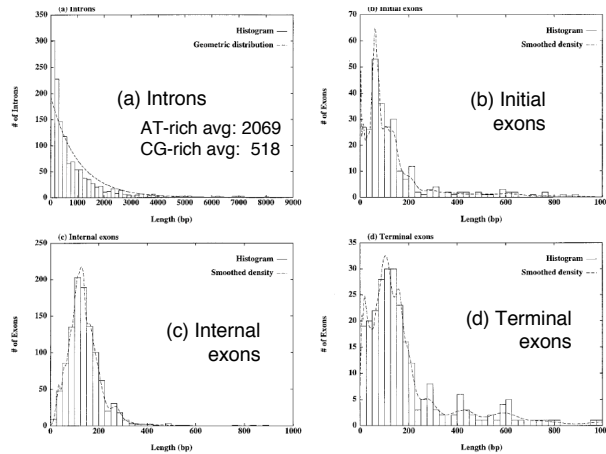


Figure 4. Length distributions are shown for (a) 1254 introns; (b) 238 initial exons; (c) 1151 internal exons; and (d) 238 terminal exons from the 238 multi-exon genes of the learning set  $\mathcal{L}$ . Histograms (continuous lines) were derived with a bin size of 300 bp in (a), and 25 bp in (b), (c), (d). The broken line in (a) shows a geometric (exponential) distribution with parameters derived from the mean of the intron lengths; broken lines in (b), (c) and (d) are the smoothed empirical distributions of exon lengths used by GENSCAN (details given by Burge, 1997). Note different horizontal and vertical scales are used in (a), (b), (c), (d) and that multimodality in (b) and (d) may, in part, reflect relatively

## Effect of G+C Content

Group	I	II	III	IV
C ≠ G% range	<43	43-51	51-57	>57
Number of genes	65	115	99	101
Est. proportion single-exon genes	0.16	0.19	0.23	0.16
Codelen: single-exon genes (bp)	1130	1251	1304	1137
Codelen: multi-exon genes (bp)	902	908	1118	1165
Introns per multi-exon gene	5.1	4.9	5.5	5.6
Mean intron length (bp)	2069	1086	801	518
Est. mean transcript length (bp)	10866	6504	5781	4833
Isochore	L1+L2	H1+H2	H3	H3
DNA amount in genome (Mb)	2074	1054	102	68
Estimated gene number	22100	24700	9100	9100
Est. mean intergenic length	83000	36000	5400	2600
<b>Initial probabilities:</b>				
Intergenic (N)	0.892	0.867	0.54	0.418
Intron (I+, I-)	0.095	0.103	0.338	0.388
5' Untranslated region (F+, F-)	0.008	0.018	0.077	0.122
3' Untranslated region (T+, T-)	0.005	0.011	0.045	0.072
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## Submodels

### 5' UTR

$L \sim \text{geometric}(769 \text{ bp}), s \sim \text{MM}(5)$

### 3' UTR

$L \sim \text{geometric}(457 \text{ bp}), s \sim \text{MM}(5)$

### Intergenic

$L \sim \text{geometric}(\text{GC-dependent}), s \sim \text{MM}(5)$

### Introns

$L \sim \text{geometric}(\text{GC-dependent}), s \sim \text{MM}(5)$

## Submodel: Exons

Inhomogeneous 3-periodic 5th order Markov models

Separate models for low GC (<43%), high GC

Track "phase" of exons, i.e. reading frame.

## Signal Models I: WMM's

### Polyadenylation

6 bp, consensus AATAAA

### Translation Start

12 bp, starting 6 bp before start codon

### Translation stop

A stop codon, then 3 bp WMM

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## Signal Models II: more WMM's

### Promoter

70% TATA

15 bp TATA WMM

s ~ null, L ~ Unif(14-20)

8 bp cap signal WMM

30% TATA-less

40 bp null

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## Signal Models III: W/WAM's

### Acceptor Splice Site (3' end of intron)

[-20..+3] relative to splice site modeled by "1st order weight array model"

### Branch point & polypyrimidine tract

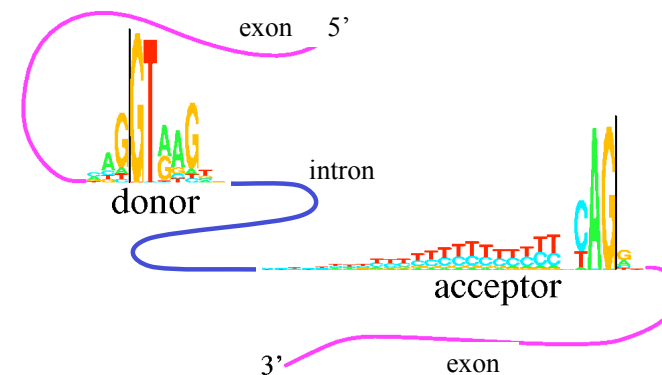
Hard. Even weak consensus like YYRAY found in [-40..-21] in only 30% of training

"Windowed WAM": 2nd order WAM, but averaged over 5 preceding positions

"captures weak but detectable tendency toward YYY triplets and certain branch point related triplets like TGA, TAA, ..."

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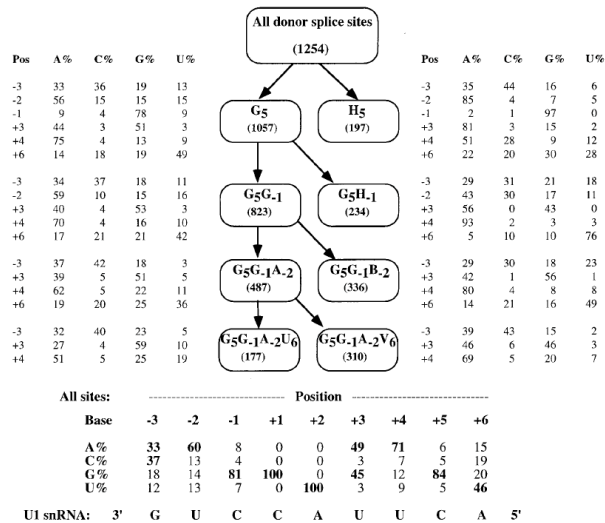
## What's in the Primary Sequence?



# Signal Models IV: Maximum Dependence Decomposition

Donor splice sites (5' end of intron) show dependencies between non-adjacent positions, e.g. poor match at one end compensated by strong match at other end, 6 bp away

Model is basically a decision tree  
Uses  $\chi^2$  test to quantitate dependence



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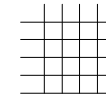
# $\chi^2$ test for independence

i	Con	j:	-3	-2	-1	+3	+4	+5	+6	Sum
-3	c/a	---	61.8*	14.9	5.8	20.2*	11.2	18.0*	131.8*	
-2	A	115.6*	---	40.5*	20.3*	57.5*	59.7*	42.9*	336.5*	
-1	G	15.4	82.8*	---	13.0	61.5*	41.4*	96.6*	310.8*	
+3	a/g	8.6	17.5*	13.1	---	19.3*	1.8	0.1	60.5*	
+4	A	21.8*	56.0*	62.1*	64.1*	---	56.8*	0.2	260.9*	
+5	G	11.6	60.1*	41.9*	93.6*	146.6*	---	33.6*	387.3*	
+6	t	22.2*	40.7*	103.8*	26.5*	17.8*	32.6*	---	243.6*	

\* means chi-squared p-value < .001

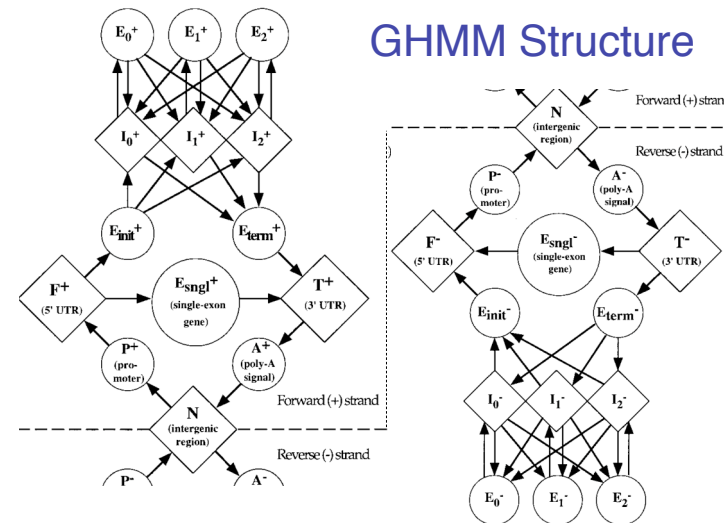
$$\chi^2 = \sum_i \frac{(\text{observed}_i - \text{expected}_i)^2}{\text{expected}_i}$$

"expected" means expected assuming independence



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# GHMM Structure



## Summary of Burge & Karlin

Coding DNA & control signals  
nonrandom  
Weight matrices, WAMs, etc. for controls  
Codon frequency, etc. for coding  
GHMM nice for overall architecture  
Careful attention to small details pays

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## Problems with BK training set

1 gene per sequence  
Annotation errors  
Single exon genes over-represented?  
Highly expressed genes over-represented?  
Moderate sized genes over-represented?  
(none > 30 kb) ...  
Similar problems with other training sets, too

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## Problems with all methods

Pseudo genes  
Short ORFs  
Sequencing errors  
Non-coding RNA genes & spliced UTR's  
Overlapping genes  
Alternative splicing/polyadenylation  
Hard to find novel stuff -- not in training  
Species-specific weirdness -- spliced leaders,  
polycistronic transcripts, RNA editing...

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## Other important ideas

Database search - does gene you're  
predicting look anything like a known  
protein?  
Comparative genomics - what does this  
region look like in related organisms?

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