

CSEP 590
Data Compression
Autumn 2007

Predictive Coding
Burrows-Wheeler Transform

Predictive Coding

- The next symbol can be statistically predicted from the past.
 - Code with context
 - Code the difference
 - Move to front, then code
- Goal of prediction
 - The prediction should make the distribution of probabilities of the next symbol as skewed as possible
 - After prediction there is no way to predict more so we are in the first order entropy model

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Bad and Good Prediction

- From information theory – The lower the information the fewer bits are needed to code the symbol.

$$\text{inf}(a) = \log_2\left(\frac{1}{P(a)}\right)$$

- Examples:
 - $P(a) = 1023/1024$, $\text{inf}(a) = .000977$
 - $P(a) = 1/2$, $\text{inf}(a) = 1$
 - $P(a) = 1/1024$, $\text{inf}(a) = 10$

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Entropy

- Entropy is the expected number of bits to code a symbol in the model with a_i having probability $P(a_i)$.

$$H = \sum_{i=1}^m P(a_i) \log_2\left(\frac{1}{P(a_i)}\right)$$

- Good coders should be close to this bound.
 - Arithmetic
 - Huffman
 - Golomb
 - Tunstall

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PPM

- Prediction with Partial Matching
 - Cleary and Witten (1984)
 - Tries to find a good context to code the next symbol

good context	a	e	i	r	s	y
the	0	0	5	7	4	7
he	10	1	7	10	9	7
e	12	2	10	15	10	10
<nil>	50	70	30	35	40	13

- Uses adaptive arithmetic coding for each context

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JBIG

- Coder for binary images
 - documents
 - graphics
- Codes in scan line order using context from the same and previous scan lines.

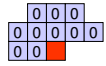


- Uses adaptive arithmetic coding with context

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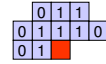
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JBIG Example



next bit	0	1
frequency	100	10

$$H = \frac{10}{110} \log_2 \left(\frac{110}{10} \right) + \frac{100}{110} \log_2 \left(\frac{110}{100} \right) = .44$$



next bit	0	1
frequency	15	50

$$H = \frac{15}{65} \log_2 \left(\frac{65}{15} \right) + \frac{50}{65} \log_2 \left(\frac{65}{50} \right) = .78$$

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Issues with Context

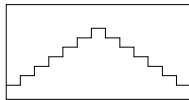
- Context dilution
 - If there are too many contexts then too few symbols are coded in each context, making them ineffective because of the zero-frequency problem.
- Context saturation
 - If there are too few contexts then the contexts might not be as good as having more contexts.
- Wrong context
 - Again poor predictors.

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Prediction by Differencing

- Used for Numerical Data
- Example: 2 3 4 5 6 7 8 7 6 5 4 3 2



- Transform to 2 1 1 1 1 1 1 -1 -1 -1 -1 -1 -1
 - much lower first-order entropy

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General Differencing

- Let x_1, x_2, \dots, x_n be some numerical data that is correlated, that is x_i is near x_{i+1}
- Better compression can result from coding $x_1, x_2 - x_1, x_3 - x_2, \dots, x_n - x_{n-1}$
- This idea is used in
 - signal coding
 - audio coding
 - video coding
- There are fancier prediction methods based on linear combinations of previous data, but these may require training.

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Move to Front Coding

- Non-numerical data
- The data have a relatively small working set that changes over the sequence.
- Example: a b a b a a b c c b b c c c b d b c c
- Move to Front algorithm
 - Symbols are kept in a list indexed 0 to m-1
 - To code a symbol output its index and move the symbol to the front of the list

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Example

- Example: a b a b a a b c c b b c c c b d b c c

0 1 2 3
a b c d

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Example

- Example: a b a b a a b c c b b c c c b d b c c
0 1

0 1 2 3
a b c d



0 1 2 3
b a c d

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Example

- Example: a b a b a a b c c b b c c c b d b c c
0 1 1

0 1 2 3
b a c d



0 1 2 3
a b c d

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Example

- Example: a b a b a a b c c b b c c c b d b c c
0 1 1 1

0 1 2 3
a b c d



0 1 2 3
b a c d

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Example

- Example: a b a b a a b c c b b c c c b d b c c
0 1 1 1 1

0 1 2 3
b a c d



0 1 2 3
a b c d

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Example

- Example: a b a b a a b c c b b c c c b d b c c
0 1 1 1 1 0

0 1 2 3
a b c d

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Example

- Example: a b a b a a b c c b b c c c b d b c c
0 1 1 1 1 0 1

0 1 2 3
a b c d



0 1 2 3
b a c d

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Example

- Example: ababaabccbbccccbdbcc
 0 1 1 1 1 0 1 2

0	1	2	3
b	a	c	d
↓			
0	1	2	3
c	b	a	d

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Example

- Example: ababaabccbbbccccbdbcc
 0 1 1 1 1 0 1 2 0 1 0 1 0 0 0 1 3 1 2 0

0	1	2	3
c	b	d	a

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Example

- Example: ababaabccbbccccbdbcc
 0 1 1 1 1 0 1 2 0 1 0 1 0 0 0 1 3 1 2 0

Frequencies of {a, b, c, d}
 a b c d
 4 7 8 1

Frequencies of {0, 1, 2, 3}
 0 1 2 3
 8 9 2 1

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Extreme Example

Input:
 aaaaaaaaaabbbbbbbbbcccccccccccccccccccc

Output
 0000000000100000000020000000003000000000

Frequencies of a b c d
 a b c d
 10 10 10 10

Frequencies of 0 1 2 3
 0 1 2 3
 37 1 1 1

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Burrows-Wheeler Transform

- Burrows-Wheeler, 1994
- BW Transform creates a representation of the data which has a small working set.
- The transformed data is compressed with move to front compression.
- The decoder is quite different from the encoder.
- The algorithm requires processing the entire string at once (it is not on-line).
- It is a remarkably good compression method.

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Encoding Example

- abracadabra
- 1. Create all cyclic shifts of the string.

0	abracadabra
1	bracadabraa
2	racadabraab
3	acadabraabr
4	cadabraabra
5	adabraabrac
6	dabraabraca
7	abraabracad
8	braabracad
9	raabracadab
10	aabracadabr

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Encoding Example

2. Sort the strings alphabetically in to array A

0	abracadabra	A	0	aabracadabr
1	bracadabraa	1	abraabracad	
2	racadabraab	2	abracadabra	
3	acadabraabr	3	acadabraabr	
4	cadabraabra	4	adabraabrac	
5	adabraabrac	5	braabracada	
6	dabraabraca	6	bracadabraa	
7	abraabracad	7	cadabraabra	
8	braabracada	8	dabraabraca	
9	raabracadab	9	raabracadab	
10	aabracadabr	10	racadabraab	

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Encoding Example

3. L = the last column

A	0	aabracadabr	
	1	abraabracad	L = rdarcaaabb
	2	abracadabra	
	3	acadabraabr	
	4	adabraabrac	
	5	braabracada	
	6	bracadabraa	
	7	cadabraabra	
	8	dabraabraca	
	9	raabracadab	
	10	racadabraab	

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Encoding Example

4. Transmit X the index of the input in A and L (using move to front coding).

A	0	aabracadabr	
	1	abraabracad	L = rdarcaaabb
	2	abracadabra	X = 2
	3	acadabraabr	
	4	adabraabrac	
	5	braabracada	
	6	bracadabraa	
	7	cadabraabra	
	8	dabraabraca	
	9	raabracadab	
	10	racadabraab	

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Why BW Works

- Ignore decoding for the moment.
- The prefix of each shifted string is a context for the last symbol.
 - The last symbol appears just before the prefix in the original.
- By sorting, similar contexts are adjacent.
 - This means that the predicted last symbols are similar.

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Decoding Example

- We first decode assuming some information. We then show how to compute the information.
- Let A^s be A shifted by 1

A	0	aabracadabr	A ^s	0	raabracadab
	1	abraabracad	1	dabraabraca	
	2	abracadabra	2	aabracadabr	
	3	acadabraabr	3	racadabraab	
	4	adabraabrac	4	cadabraabra	
	5	braabracada	5	abraabracad	
	6	bracadabraa	6	abracadabra	
	7	cadabraabra	7	acadabraabr	
	8	dabraabraca	8	adabraabrac	
	9	raabracadab	9	braabracada	
	10	racadabraab	10	bracadabraa	

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Decoding Example

- Assume we know the mapping $T[i]$ is the index in A^s of the string i in A.
- $T = [2\ 5\ 6\ 7\ 8\ 9\ 10\ 4\ 1\ 0\ 3]$

A	0	aabracadabr	A ^s	0	raabracadab
	1	abraabracad	1	dabraabraca	
	2	abracadabra	2	aabracadabr	
	3	acadabraabr	3	racadabraab	
	4	adabraabrac	4	cadabraabra	
	5	braabracada	5	abraabracad	
	6	bracadabraa	6	abracadabra	
	7	cadabraabra	7	acadabraabr	
	8	dabraabraca	8	adabraabrac	
	9	raabracadab	9	braabracada	
	10	racadabraab	10	bracadabraa	

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Decoding Example

- Let F be the first column of A, it is just L, sorted.

F = 0 1 2 3 4 5 6 7 8 9 10
 a a a a a b b c d r r

T = 0 1 2 3 4 5 6 7 8 9 10
 2 5 6 7 8 9 10 4 1 0 3

- Follow the pointers in T in F to recover the input starting with X.

Decoding Example

F = 0 1 2 3 4 5 6 7 8 9 10
 a a a a a b b c d r r

T = 0 1 2 3 4 5 6 7 8 9 10
 2 5 6 7 8 9 10 4 1 0 3

a

Decoding Example

F = 0 1 2 3 4 5 6 7 8 9 10
 a a a a a b b c d r r

T = 0 1 2 3 4 5 6 7 8 9 10
 2 5 6 7 8 9 10 4 1 0 3

ab

Decoding Example

F = 0 1 2 3 4 5 6 7 8 9 10
 a a a a a b b c d r r

T = 0 1 2 3 4 5 6 7 8 9 10
 2 5 6 7 8 9 10 4 1 0 3

abr

Decoding Example

- Why does this work?
- The first symbol of $A[T[i]]$ is the second symbol of $A[i]$ because $A^s[T[i]] = A[i]$.

A	0	a	a	b	r	a	a	b	r		T	0	2		A ^s	0	r	a	a	b	r	a	a	b	r												
	1	a	b	r	a	a	b	r	a	a	5	1	d	a	b	r	a	a	b	r	a	a	6	2	a	a	b	r	a	a	b	r					
	2	a	b	r	a	a	b	r	a	a	6	2	a	a	b	r	a	a	b	r	a	a	7	3	r	a	c	a	a	a	a	b	b				
	3	a	c	a	d	a	b	r	a	a	7	3	r	a	c	a	d	a	b	r	a	a	8	4	c	a	d	a	b	r	a	a	b	r			
	4	a	d	a	b	r	a	a	b	r	8	4	c	a	d	a	b	r	a	a	b	r	9	5	a	b	r	a	a	b	r	a	a	c	a	d	
	5	b	r	a	a	b	r	a	a	c	a	9	5	a	b	r	a	a	b	r	a	a	10	6	a	b	r	a	a	c	a	d	a	b	r	a	
	6	b	r	a	a	c	a	d	a	b	r	10	6	a	b	r	a	a	c	a	d	a	4	7	a	c	a	d	a	b	r	a	a	b	r	a	
	7	c	a	d	a	b	r	a	a	b	r	4	7	a	c	a	d	a	b	r	a	a	1	8	a	d	a	b	r	a	a	b	r	a	a	c	a
	8	d	a	b	r	a	a	b	r	a	a	1	8	a	d	a	b	r	a	a	b	r	0	9	b	r	a	a	b	r	a	a	c	a	d	a	b
	9	r	a	a	b	r	a	a	c	a	d	0	9	b	r	a	a	b	r	a	a	3	10	b	r	a	a	c	a	d	a	b	r	a	a	c	a
	10	r	a	a	c	a	d	a	b	r	a	3	10	b	r	a	a	c	a	d	a																

Decoding Example

- How do we compute F and T from L and X?
- F is just L sorted

0 1 2 3 4 5 6 7 8 9 10
 F = a a a a a b b c d r r
 L = r d a r c a a a a b b

Note that L is the first column of A^s and A^s is in the same order as A.

If i is the k-th x in F then $T[i]$ is the k-th x in L.

Decoding Example

```

0 1 2 3 4 5 6 7 8 9 10
F = a a a a a b b c d r r
    ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘
L = r d a r c a a a a b b

T = 0 1 2 3 4 5 6 7 8 9 10
    2 5 6 7 8

```

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Decoding Example

```

0 1 2 3 4 5 6 7 8 9 10
F = a a a a a b b c d r r
    ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘
L = r d a r c a a a a b b

T = 0 1 2 3 4 5 6 7 8 9 10
    2 5 6 7 8 9 10

```

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Decoding Example

```

0 1 2 3 4 5 6 7 8 9 10
F = a a a a a b b c d r r
    ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘
L = r d a r c a a a a b b

T = 0 1 2 3 4 5 6 7 8 9 10
    2 5 6 7 8 9 10 4

```

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Decoding Example

```

0 1 2 3 4 5 6 7 8 9 10
F = a a a a a b b c d r r
    ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘
L = r d a r c a a a a b b

T = 0 1 2 3 4 5 6 7 8 9 10
    2 5 6 7 8 9 10 4 1

```

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Decoding Example

```

0 1 2 3 4 5 6 7 8 9 10
F = a a a a a b b c d r r
    ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘ ↙ ↘
L = r d a r c a a a a b b

T = 0 1 2 3 4 5 6 7 8 9 10
    2 5 6 7 8 9 10 4 1 0 3

```

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Notes on BW

- Alphabetic sorting does not need the entire cyclic shifted inputs.
 - Sort the indices of the string
 - Most significant symbols first radix sort works
- There are high quality practical implementations
 - Bzip
 - Bzip2 (seems to be w/o patents)

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Encoding Exercise

Encode the string $abababababababab = (ab)^8$

1. Find L and X
2. Do move-to-front coding of L .
3. Estimate the length of the code using first order entropy.

Decoding Exercise

Decode $L = baaaaaba, X = 6$

1. First Compute F and T
2. Use those to decode.