# In the beginning, there was *symmetric* encryption.

If you had the key you could encrypt ...

If you had the key you could encrypt ...

 If you had the key you could decrypt ...

If you had the key you could decrypt ...

Message: ATTACK AT DAWN
Key: +3
11111
Ciphertext: DWWDFN DW GDZQ

... and some people were happy.

Then, there was asymmetric encryption.

Some people encrypted ...

Some people encrypted ...

... others decrypted.

E-commerce ensued ...

E-commerce ensued ...

... and more people were happy.

# The first and most used asymmetric cipher was RSA.

The first and most used asymmetric cipher was RSA.

# $E(m) = m^e \pmod{n}$

### Some people noticed the algebraic structure ...

Ergo ...

 $E(m_1) \times E(m_2)$ 

$$E(m_1) \times E(m_2) = m_1^e \times m_2^e$$

$$E(m_1) \times E(m_2)$$
  
=  $m_1^e \times m_2^e$   
=  $(m_1 \times m_2)^e$ 

$$E(m_1) \times E(m_2)$$
  
=  $m_1^e \times m_2^e$   
=  $(m_1 \times m_2)^e$   
=  $E(m_1 \times m_2)$ 

They looked for interesting applications ...

### They looked for interesting applications ...

... and they failed.

#### ... if only RSA worked additively ...

#### ... if only RSA worked additively ...

we could compute sums ...

### ... if only RSA worked additively ...

we could compute sums ... and averages ...

### ... if only RSA worked additively ...

we could compute sums ... and averages ... and tally elections ... I was one of those musing.

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An additive encryption homomorphism ...

I was one of those musing.

An additive encryption homomorphism ...

$$E(m,r) = r^e c^m$$

$$E(m_1, r_1) = r_1^e c^{m_1} \quad E(m_2, r_2) = r_2^e c^{m_2}$$

$$E(m_1, r_1) = r_1^e c^{m_1} \quad E(m_2, r_2) = r_2^e c^{m_2}$$

 $E(m_1, r_1) \times E(m_2, r_2)$ 

$$E(m_1, r_1) = r_1^{e} c^{m_1} \qquad E(m_2, r_2) = r_2^{e} c^{m_2}$$
$$E(m_1, r_1) \times E(m_2, r_2)$$
$$= r_1^{e} c^{m_1} \times r_2^{e} c^{m_2}$$

$$E(m_1, r_1) = r_1^e c^{m_1} \qquad E(m_2, r_2) = r_2^e c^{m_2}$$
$$E(m_1, r_1) \times E(m_2, r_2)$$
$$= r_1^e c^{m_1} \times r_2^e c^{m_2}$$
$$= (r_1 r_2)^e c^{m_1 + m_2}$$

$$E(m_1, r_1) = r_1^e c^{m_1} \qquad E(m_2, r_2) = r_2^e c^{m_2}$$

$$E(m_1, r_1) \times E(m_2, r_2)$$

$$= r_1^e c^{m_1} \times r_2^e c^{m_2}$$

$$= (r_1 r_2)^e c^{m_1 + m_2}$$

$$= E(m_1 + m_2, r_1 r_2)$$
$$E(m_1, r_1) = r_1^e c^{m_1} \qquad E(m_2, r_2) = r_2^e c^{m_2}$$
  

$$E(m_1, r_1) \times E(m_2, r_2)$$
  

$$= r_1^e c^{m_1} \times r_2^e c^{m_2}$$
  

$$= (r_1 r_2)^e c^{m_1 + m_2}$$
  

$$= E(m_1 + m_2, r_1 r_2)$$

The product of encryptions of two messages is *an* encryption of the sum of the two messages.

#### I used this to build verifiable election systems ...

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... and I was *really* happy ...

I used this to build verifiable election systems ...

... and I was *really* happy ...

and few others cared.

What people really wanted was the ability to do arbitrary computing on encrypted data...

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... and this required the ability to compute both sums and products ... What people really wanted was the ability to do arbitrary computing on encrypted data...

... and this required the ability to compute both sums and products ...

... on the same data set!

... and years ...

... with no success.







#### XOR (add mod 2)

0 XOR 0	0
1 XOR 0	1
0 XOR 1	1
1 XOR 1	0



#### AND (mult mod 2)

0 AND 0	0
1 AND 0	0
0 AND 1	0
1 AND 1	1

... because {XOR,AND} is Turing-complete ...

(any function can be written as a combination of XOR and AND gates)

0

0

0

1



... because {XOR,AND} is Turing-complete ...

(any function can be written as a combination of XOR and AND gates)

Example: Searching a database



... because {XOR,AND} is Turing-complete ...

(any function can be written as a combination of XOR and AND gates)



... because {XOR,AND} is Turing-complete ...

... if you can compute XOR and AND on encrypted bits...

... you can compute **ANY** function on encrypted inputs...



### This is A M A Z I N G!

## Private **bing** Search

# **Private Cloud computing**

### This is A M A Z I N G!

# Private **bing** Search

# **Private Cloud computing**

In general,

Delegate *processing* of data without giving away *access* to it

People tried to compute both AND and XOR on encrypted bits ...

... for years ...

... and years ...

... with no success.

#### Well, actually, there were some *partial* answers ...

Fully homomorphic

Josh's system

MANY add ZERO mult

MANY add MANY mult

#### Well, actually, there were some *partial* answers ...



#### ... and some bold attempts [Fellows-Koblitz] ...

#### ... which were quickly broken ...



... until, in October 2008 ...



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#### ... until, in October 2008 ...

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bes Magazine dated July 13, 2009

Idy Greenberg, 06.24.09, 06:00 PM EDT

searcher's algorithm could teach computers a new

### ... Craig Gentry came up with the first fully homomorphic encryption scheme ...

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public-key encryption several decades ago. The breakthr encryption,' makes possible the deep and unlimited analy scrambled --- without sacrificing confidentiality "

# How does it work?

# What is the magic?

Gentry's scheme was complex ...

... it used advanced algebraic number theory ...

Polynomials?

 $(x^{2} + 6x + 1) + (x^{2} - 6x) = (2x^{2} + 1)$  $(x^{2} + 6x + 1) \times (x^{2} - 6x) = (x^{4} - 35x^{2} - 6x)$ 

Polynomials?

 $(x^{2} + 6x + 1) + (x^{2} - 6x) = (2x^{2} + 1)$  $(x^{2} + 6x + 1) \times (x^{2} - 6x) = (x^{4} - 35x^{2} - 6x)$ 

Matrices?

$$\begin{pmatrix} 1 & 0 \\ 1 & 2 \end{pmatrix} + \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 3 \end{pmatrix}$$
$$\begin{pmatrix} 1 & 0 \\ 1 & 2 \end{pmatrix} X \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} -1 & 1 \\ -1 & 3 \end{pmatrix}$$

**Polynomials?**  $(x^2 + 6x + 1) + (x^2 - 6x) = (2x^2 + 1)$  $(x^2 + 6x + 1) \times (x^2 - 6x) = (x^4 - 35x^2 - 6x)$ 

Matrices?

$$\begin{pmatrix} 1 & 0 \\ 1 & 2 \end{pmatrix} + \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ 1 & 3 \end{pmatrix}$$
$$\begin{pmatrix} 1 & 0 \\ 1 & 2 \end{pmatrix} X \begin{pmatrix} -1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} -1 & 1 \\ -1 & 3 \end{pmatrix}$$

### How about integers?!? [Gentry, Halevi, van Dijk, V.]

2 + 3 = 5 $2 \times 3 = 6$ 

### TODAY: Secret-key (Symmetric-key) Encryption

Secret key: large odd number p



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#### To Encrypt a bit **b**:

– pick a (random) "large" multiple of p, say q·p



Secret key: large odd number p

### To Encrypt a bit **b**:

- pick a (random) "large" multiple of p, say q·p
- pick a (random) "small" number 2·r+b
   (this is even if b=0, and odd if b=1)


Secret key: large odd number p

## To Encrypt a bit **b**:

- pick a (random) "large" multiple of p, say q·p
- pick a (random) "small" number 2·r+b
   (this is even if b=0, and odd if b=1)
- Ciphertext c = q·p+2·r+b



Secret key: large odd number p

## To Encrypt a bit **b**:

-3p

-2p

- pick a (random) "large" multiple of p, say q·p
- pick a (random) "small" number 2·r+b
  - (this is even if b=0, and odd if b=1)
- Ciphertext c = q·p+2·r+b

-p



( )

р

2p

3p

How secure is this?

... if there were no noise (think r=0) ... and I give you two encryptions of 0 ( $q_1 p \& q_2 p$ ) ... then you can recover the secret key p = GCD( $q_1 p, q_2 p$ )



*How secure is this?* 

## ... but if there is noise

... the GCD attack doesn't work

... and neither does any attack (we believe)

... this is called the approximate GCD assumption



$$-c_1 = q_1 \cdot p + (2 \cdot r_1 + b_1)$$

$$-c_2 = q_2 \cdot p + (2 \cdot r_2 + b_2)$$



$$-\mathbf{c_1} = \mathbf{q}_1 \cdot \mathbf{p} + (2 \cdot \mathbf{r}_1 + \mathbf{b}_1)$$

$$-\mathbf{c_2} = q_2 \cdot p + (2 \cdot r_2 + b_2)$$

$$-c_{1}+c_{2} = \mathbf{p} \cdot (\mathbf{q}_{1} + \mathbf{q}_{2}) + \mathbf{2} \cdot (\mathbf{r}_{1}+\mathbf{r}_{2}) + (\mathbf{b}_{1}+\mathbf{b}_{2})$$



$$-\mathbf{c_1} = \mathbf{q_1} \cdot \mathbf{p} + (2 \cdot \mathbf{r_1} + \mathbf{b_1})$$

$$-\mathbf{c_2} = q_2 \cdot p + (2 \cdot r_2 + b_2)$$

 $-c_{1}+c_{2} = \mathbf{p}\cdot(q_{1}+q_{2}) + \mathbf{2}\cdot(r_{1}+r_{2}) + (b_{1}+b_{2})$ 

Odd if 
$$b_1=0$$
,  $b_2=1$  (or)  
 $b_1=1$ ,  $b_2=0$   
Even if  $b_1=0$ ,  $b_2=0$  (or)  
 $b_1=1$ ,  $b_2=1$ 



$$-\mathbf{c_1} = \mathbf{q_1} \cdot \mathbf{p} + (2 \cdot \mathbf{r_1} + \mathbf{b_1})$$

$$-\mathbf{c_2} = q_2 \cdot p + (2 \cdot r_2 + b_2)$$

$$-c_{1}+c_{2} = \mathbf{p}\cdot(\mathbf{q}_{1}+\mathbf{q}_{2}) + \mathbf{2}\cdot(\mathbf{r}_{1}+\mathbf{r}_{2}) + (\mathbf{b}_{1}+\mathbf{b}_{2})$$

 $lsb = b_1 XOR b_2$ 



### ANDing two encrypted bits:

$$-\mathbf{c_1} = \mathbf{q}_1 \cdot \mathbf{p} + (2 \cdot \mathbf{r}_1 + \mathbf{b}_1)$$

$$-\mathbf{c_2} = q_2 \cdot p + (2 \cdot r_2 + b_2)$$

$$-\mathbf{c_1}\mathbf{c_2} = \mathbf{p} \cdot (\mathbf{c_2} \cdot \mathbf{q_1} + \mathbf{c_1} \cdot \mathbf{q_2} - \mathbf{q_1} \cdot \mathbf{q_2}) + \mathbf{2} \cdot (\mathbf{r_1}\mathbf{r_2} + \mathbf{r_1}\mathbf{b_2} + \mathbf{r_2}\mathbf{b_1}) + \mathbf{b_1}\mathbf{b_2}$$



#### ANDing two encrypted bits:

$$-\mathbf{c_1} = \mathbf{q_1} \cdot \mathbf{p} + (2 \cdot \mathbf{r_1} + \mathbf{b_1})$$

$$-\mathbf{c_2} = q_2 \cdot p + (2 \cdot r_2 + b_2)$$

$$-c_{1}c_{2} = \mathbf{p} \cdot (c_{2} \cdot q_{1} + c_{1} \cdot q_{2} - q_{1} \cdot q_{2}) + \frac{2 \cdot (r_{1}r_{2} + r_{1}b_{2} + r_{2}b_{1}) + b_{1}b_{2}}{2 \cdot (r_{1}r_{2} + r_{1}b_{2} + r_{2}b_{1}) + b_{1}b_{2}}$$

 $lsb = b_1 AND b_2$ 





# the noise grows!









$$-\mathbf{c_1} + \mathbf{c_2} = \mathbf{p} \cdot (\mathbf{q_1} + \mathbf{q_2}) + \mathbf{2} \cdot (\mathbf{r_1} + \mathbf{r_2}) + (\mathbf{b_1} + \mathbf{b_2})$$

noise= 2 \* (initial noise)







$$-c_{1}+c_{2} = \mathbf{p} \cdot (q_{1} + q_{2}) + 2 \cdot (r_{1}+r_{2}) + (b_{1}+b_{2})$$
noise= 2 \* (initial noise)  

$$-c_{1}c_{2} = \mathbf{p} \cdot (c_{2} \cdot q_{1}+c_{1} \cdot q_{2}-q_{1} \cdot q_{2}) + 2 \cdot (r_{1}r_{2}+r_{1}b_{2}+r_{2}b_{1}) + b_{1}b_{2}$$

noise = (initial noise)<sup>2</sup>







## ... so what's the problem?







## ... so what's the problem?







... so what's the problem?

*If the |noise| > p/2, then ...* 

decryption will output an incorrect bit



# So, what did we accomplish?

... we can do lots of additions and

... some multiplications (= a "somewhat homomorphic" encryption)

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... enough to do many useful tasks, e.g., database search, spam filtering etc.

# So, what did we accomplish?

... we can do lots of additions and

... some multiplications (= a "somewhat homomorphic" encryption)

... enough to do many useful tasks, e.g., database search, spam filtering etc.

But I promised much more ...



## Gentry's **"bootstrapping theorem"**...



#### Gentry's "bootstrapping theorem" ...

## ... If you can go a (large) part of the way, then you can go all the way.



#### Gentry's "bootstrapping theorem" ...

## ... If you can go a (large) part of the way,

## then you can go all the way.

[HOW? WE'LL SEE IN A BIT]



... can I buy a homomorphic encryption software and start encrypting my data?

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... well, not quite yet

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... encrypting a bit takes ~19s (!) with the current best implementation

... can I buy a homomorphic encryption software and start encrypting my data?

... well, not quite yet

... encrypting a bit takes ~19s (!) with the current best implementation

... it takes 99 min to encrypt this sentence

... can I buy a homomorphic encryption software and start encrypting my data?

... well, not quite yet

... encrypting a bit takes ~19s (!) with the current best implementation

... but we are improving rapidly...

... a number of new, more efficient schemes

# *... optimized implementation efforts (in hardware and software)*

... and a \$20M DARPA project to fund all this



... a number of new, more efficient schemes

*... optimized implementation efforts (in hardware and software)* 

... and a \$20M DARPA project to fund all this

So, watch out for new developments!

#### **References:**

[1] "Computing arbitrary functions of Encrypted Data", Craig Gentry, Communications of the ACM 53(3), 2010.

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[3] "Implementing Gentry's Fully Homomorphic Encryption", Craig Gentry and Shai Halevi https://researcher.ibm.com/researcher/files/us-shaih/fheimplementation.pdf, Eurocrypt 2011. Gentry's **"bootstrapping method" ...** ... If you can go a (large) part of the way, then you can go all the way...

noise=p/2



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# *Problem:* Add and Mult increase noise (Add doubles, Mult squares the noise)

Gentry's **"bootstrapping method" ...** ... If you can go a (large) part of the way, then you can go all the way...

noise=p/2

*Problem:* Add and Mult increase noise (Add doubles, Mult squares the noise)

So, we want to do *noise-reduction* 



Let's think...

... What is the best noise-reduction procedure?

noise=p/2 noise=0

Let's think...

# ... What is the best noise-reduction procedure? ... something that kills all noise

noise=p/2
Let's think...

... What is the best noise-reduction procedure? ... something that kills all noise noise=p/2 ... and recovers the message Let's think...

... What is the best noise-reduction procedure? ... something that kills all noise noise=p/2 ... and recovers the message

**Decryption!** 

Let's think ....

... What is the best noise-reduction procedure? ... something that kills all noise noise=p/2 ... and recovers the message **Decryption!** b Decrypt Ctxt = Enc(b) Secret key noise=0

Let's think ....

... What is the best noise-reduction procedure? ... something that kills all noise

... and recovers the message

# **Decryption!**



### Let's think ...

... What is the best noise-reduction procedure?





Let's think...

... I want to reduce noise *without letting you decrypt* 









... I cannot release the secret key (lest everyone sees my data)

... but *I can release Enc(secret key)* 

noise=p/2

... Now, to reduce noise ...

... Homomorphically evaluate the decryption ckt!!!



noise=0

... I cannot release the secret key (lest everyone sees my data) ... but *I can release Enc(secret key)* noise=p/2

... Now, to reduce noise ...

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... I cannot release the secret key (lest everyone sees my data) ... but *I can release Enc(secret key)* noise=p/2

... Now, to reduce noise ...

... Homomorphically evaluate the decryption ckt!!!



noise=0

... I cannot release the secret key (lest everyone sees my data)

... but *I can release Enc(secret key)* 

noise=p/2

#### **KEY OBSERVATION:**

... the input Enc(b) and output Enc(b) have different noise levels ...



noise=0

... I cannot release the secret key (lest everyone sees my data) ... but I can release Enc(secret key) noise=p/2 **KEY OBSERVATION:** Regardless of the noise in the input Enc(b)... the noise level in the output Enc(b) is **FIXED** Enc(b) Decrypt Ctxt = Enc(b) Enc(Secret key) noise=0

... I cannot release the secret key (lest everyone sees my data) ... but I can release Enc(secret key) noise=p/2 **KEY OBSERVATION:** Regardless of the noise in the input Enc(b)... the noise level in the output Enc(b) is **FIXED** Enc(b) Decrypt Ctxt = Enc(b) Enc(Secret key) noise=0

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... I cannot release the secret key (lest everyone sees my data)

... but *I can release Enc(secret key)* 

noise=p/2

## **KEY OBSERVATION:**

Regardless of the noise in the input Enc(b)...

the noise level in the output Enc(b) is **FIXED** 

Enc(b)



noise=0

**Bottomline:** whenever noise level increases beyond a limit ...

... use bootstrapping to reset it to a fixed level

.

noise=p/2 noise=0

Bootstrapping requires homomorphically evaluating the decryption circuit ...

noise=p/2 noise=0

Bootstrapping requires homomorphically evaluating the decryption circuit ...

noise=p/2 Thus, Gentry's "bootstrapping theorem": If an enc scheme can evaluate its own decryption circuit, then it can evaluate everything