# CSE 521 Algorithms 

## Sequence Alignment

## CSE421 Algorithms

## Sequence Alignment

# CSEP 590 A Computational Biology Autumn 2013 

Lecture 2
Sequence Alignment

## Tonight

Last week's "quiz" \& homework
Sequence alignment
Weekly "bio" interlude - DNA replication
More sequence alignment

## "HW 0" Background Poll

In your own words, what is DNA? Its main role?
What is RNA? What is its main role in the cell?
How many amino acids are there? How many are used in proteins?
Did human beings, as we know them, develop from earlier species of animals?
What are stem cells?
What did Viterbi invent?
What is dynamic programming?
What is a likelihood ratio test?
What is the EM algorithm?

Don't worry, we'll talk about all this stuff before the course ends

How would you find the maximum of $f(x)=a x 3+b x 2+$ cx $+d$ in the interval $-10<x<25$ ?

## Evolution \& Scientific Literacy

"Human beings, as we know them, developed from earlier species of animals"
(avoiding the now politically charged word "evolution")
From 1985 to 2005, the \% of Americans
rejecting: declined from $48 \%$ to $39 \%$
accepting: also declined $45 \%$ to 40
uncertain: increased $7 \%$ to $21 \%$
In a 2005 survey, the proportion of adults who accept evolution in 34 countries (US, Europe, Japan...), the United States ranked 33rd, just above/below Turkey.

# Sequence Alignment 

Part I

Motivation, dynamic programming, global alignment

## Sequence Alignment

What
Why
A Simple Algorithm
Complexity Analysis
A better Algorithm:
"Dynamic Programming"

## Sequence Alignment

What
Why
A Dynamic Programming Algorithm

## Sequence Similarity: What

G G A C C A

TACTAAG

TCCAAG

## Sequence Similarity: What

## G G A C C A

TACTAAG


TCC-AAG

## Sequence Similarity: Why

Most widely used comp. tools in biology
New sequence always compared to sequence data bases
Similar sequences often have similar origin or function
Recognizable similarity after $10^{8}-10^{9} \mathrm{yr}$

## Sequence Similarity: Why

## Bio

Most widely used comp. tools in biology
New sequence always compared to data bases
Similar sequences often have similar origin or function
Recognizable similarity after $10^{8}-10^{9} \mathrm{yr}$
DNA sequencing \& assembly
Other
spell check/correct, diff, svn/git/..., plagiarism, ...

## BLAST Demo <br> http://www.ncbi.nlm.nih.gov/blast/

Taxonomy Report

## Try it! <br> pick any protein, e.g. hemoglobin, insulin, exportin,... BLAST to find distant relatives.

| 64 hits | 16 | orgs |  |
| :---: | :---: | :---: | :---: |
| 62 hits | 14 | orgs | [cellular organisms] |
| 57 hits | 11 | orgs |  |
| 38 hits | 7 | orgs | [Metazoa; Eumetazoa] |
| 36 hits | 6 | orgs |  |
| 26 hits | 5 | orgs | [;;; Vertebrata;;; Sarcopterygii] |
| 24 hits | 4 | orgs | [Amniota; Mammalia; Theria] |
| 20 hits | 1 | orgs | [Primates; ; Hominidae; Homol |
| 3 hits | 2 | orgs | [Rodentia; Sciurognathi; Muridae] |
| 2 hits | 1 | orgs | [Rattus] |
| 1 hits | 1 | orgs | [Mus] |
| 1 hits | 1 | orgs | [Cetartiodactyla; Suina; Suidae; Sus] |
| 2 hits | 1 | orgs | [Amphibia; ; ; ; ; Xenopodinae; Xenopus] |
| 10 hits | 1 | orgs | [Protostomia; ; ; Drosophila; ; ] |
| 2 hits | 1 | orgs | [; Nematoda;;;; ; Caenorhabditis] |
| 19 hits | 4 | orgs | [Fungi] |
| 10 hits | 1 | orgs | [; ; ; Schizosaccharomyces] |
| 9 hits | 3 | orgs | [Saccharomycotina; Saccharomycetes] |
| 8 hits | 2 | orgs | [Saccharomycetaceae] |
| 7 hits | 1 | orgs |  |
| 1 hits | 1 | orgs |  |
| 1 hits | 1 | orgs | [mitosporic Saccharomycetales;] |
| 2 hits | 1 | orgs | [Viridiplantae; ...Brassicaceae;] |
| 3 hits | 2 | orgs | [Alveolata] |
| 2 hits | 1 | orgs | [Haemosporida; Plasmodium] |
| 1 hits | 1 | orgs | [Coccidia; Eimeriida; Sarcocystidae;] |
| 1 hits | 1 | orgs | [other; artificial sequence] |
| 1 hits | 1 | orgs | [Viruses; dsDNA viruses, no RNA ...] |

## Terminology

String: ordered list of letters tataAg
Prefix: consecutive letters from front empty, T, TA, TAT, ...
Suffix: ... from end
empty, G, AG, AAG, ...
Substring: ... from ends or middle empty, TAT, AA, ...
Subsequence: ordered, nonconsecutive TT, AAA, TAG, ...

## Sequence Alignment

$a c, b c d b$<br>cadbd

$$
\begin{aligned}
& a c--b c d b \\
& c \\
& -c a d b-d-1
\end{aligned}
$$

Defn: An alignment of strings $\mathrm{S}, \mathrm{T}$ is a pair of strings $S^{\prime}, T^{\prime}$ (with dashes) s.t.
(1) $\left|S^{\prime}\right|=\left|T^{\prime}\right|$, and
(|S| = "length of S")
(2) removing all dashes leaves $S, T$

## Alignment Scoring

Mismatch $=-1$
Match $=2$

$$
\begin{array}{llllll}
a & c & b & c & d & b \\
c & a & d & b & d
\end{array}
$$

$$
\begin{array}{cccccccc}
a & c & - & - & b & c & d & b \\
- & c & a & d & b & - & d & - \\
-1 & 2 & -1 & -1 & 2 & -1 & 2 & -1 \\
\text { Value } & =3 * 2 & +5 * & (-1) & = & +1
\end{array}
$$

The score of aligning (characters or dashes) $x \& y$ is $\sigma(x, y)$.
Value of an alignment $\quad \sum_{i=1}^{\left|S^{\prime}\right|} \sigma\left(S^{\prime}[i], T^{\prime}[i]\right)$
An optimal alignment: one of max value
(Assume $\sigma(-,-)<0$ )

## Optimal Alignment: A Simple Algorithm

for all subseqs $A$ of $S$, $B$ of $T$ s.t. $|A|=|B|$ do align $A[i]$ with $B[i], 1 \leq i \leq|A|$ align all other chars to spaces compute its value retain the max
end

| $S=$ abcd | $A=c d$ |
| :--- | :--- |
| $T=w x y z$ | $B=x z$ |
| $-a b c-d$ | $a-b c-d$ |
| $w--x y z$ | $-w-x y z$ |

output the retained alignment

## Analysis

Assume $|\mathrm{S}|=|\mathrm{T}|=\mathrm{n}$
Cost of evaluating one alignment: $\geq \mathrm{n}$
$\begin{gathered}\text { How many alignments are there: } \\ \text { pick } \mathrm{n} \text { chars of } \mathrm{S}, \mathrm{T} \text { together }\end{gathered} \geq\binom{ 2 n}{n}$
say $k$ of them are in $S$
match these k to the k unpicked chars of T
Total time: $\geq n\binom{2 n}{n}>2^{2 n}$, for $n>3$
E.g., for $n=20$, time is $>2^{40}$ operations

## Polynomial vs Exponential Growth



## Asymptotic Analysis

How does run time grow as a function of problem size?

$$
n^{2} \text { or } 100 n^{2}+100 n+100 \text { vs } 2^{2 n}
$$

Defn: $f(n)=O(g(n))$ iff there is a constant c s.t.
$|f(n)| \leq c g(n)$ for all sufficiently large $n$.
$100 n^{2}+100 n+100=O\left(n^{2}\right) \quad$ [e.g. $\left.c=101\right]$
$\mathrm{n}^{2}=\mathrm{O}\left(2^{2 \mathrm{n}}\right)$
$2^{2 n}$ is not $\mathrm{O}\left(\mathrm{n}^{2}\right)$

## Big-O Example



## Utility of Asymptotics

"All things being equal," smaller asymptotic growth rate is better
All things are never equal
Even so, big-O bounds often let you quickly pick most promising candidates among competing algorithms
Poly time algs often practical; non-poly algs seldom are.
(Yes, there are exceptions.)

## Fibonacci Numbers <br> (recursion)

fibr(n) \{

$$
\text { if }(\mathrm{n}<=1)\{
$$ return 1; \} else \{ return fibr(n-1) + fibr(n-2);

Simple recursion, but many repeated subproblems!!

$$
\Rightarrow
$$

Time $=\Omega\left(1.61^{n}\right)$
\}
\}

## Call tree - start



## Full call tree



## Fibonacci, II (dynamic programming)

int fibd[n];
fibd[0] = 1;
fibd[1] = 1;
for(i=2; i<=n; i++) \{
fibd[i] = fibd[i-1] + fibd[i-2]; \}

Avoid repeated subproblems by tabulating their solutions

$$
\Rightarrow
$$

Time $=O(n)$
(in this case)
return fibd[n];

## Alignment by Dynamic Programming?

Common Subproblems?
Plausible: probably re-considering alignments of various small substrings unless we're careful.

Optimal Substructure?
Plausible: left and right "halves" of an optimal alignment probably should be optimally aligned (though they obviously interact a bit at the interface).
(Both made rigorous below.)

## Optimal Substructure (In More Detail)

Optimal alignment ends in 1 of 3 ways: last chars of S \& T aligned with each other last char of $S$ aligned with dash in $T$ last char of T aligned with dash in S
( never align dash with dash; $\sigma(-,-)<0$ )
In each case, the rest of S \& T should be
optimally aligned to each other

## Optimal Substructure

Optimal alignment ends in 1 of 3 ways: last chars of S \& T aligned with each other last char of $S$ aligned with dash in $T$ last char of T aligned with dash in S
( never align dash with dash; $\sigma(-,-)<0$ )
In each case, the rest of $S$ \& $T$ should be
optimally aligned to each other

# Optimal Alignment in $\mathrm{O}\left(\mathrm{n}^{2}\right)$ via "Dynamic Programming" 

Input: $\mathrm{S}, \mathrm{T},|\mathrm{S}|=\mathrm{n},|\mathrm{T}|=\mathrm{m}$
Output: value of optimal alignment
Easier to solve a "harder" problem:
$V(i, j)=$ value of optimal alignment of

$$
\begin{aligned}
& S[1], \ldots, S[i] \text { with } T[1], \ldots, T[j] \\
& \text { for all } 0 \leq \mathrm{i} \leq \mathrm{n}, 0 \leq \mathrm{j} \leq \mathrm{m} .
\end{aligned}
$$

## Base Cases

$V(i, 0)$ : first $i$ chars of $S$ all match dashes

$$
V(i, 0)=\sum_{k=1}^{i} \sigma(S[k],-)
$$

$\mathrm{V}(0, \mathrm{j})$ : first j chars of T all match dashes

$$
V(0, j)=\sum_{k=1}^{j} \sigma(-, T[k])
$$

## General Case

Opt align of $\mathrm{S}[1], \ldots, \mathrm{S}[\mathrm{i}]$ vs $\mathrm{T}[1], \ldots, \mathrm{T}[\mathrm{j}]$ :


Opt align of

$$
V(i, j)=\max \left\{\begin{array}{l}
V(i-1, j-1)+\sigma(S[i], T[j]) \\
V(i-1, j) \\
V(\sigma(S[i],-) \\
V(i, j-1) \\
+\sigma(-, T[j])
\end{array}\right\},
$$

for all $1 \leq i \leq n, 1 \leq j \leq m$.

## Calculating One Entry

$$
V(i, j)=\max \left\{\begin{array}{l}
V(i-1, j-1)+\sigma(S[i], T[j]) \\
V(i-1, j)+\sigma(S[i],-) \\
V(i, j-1)+\sigma(-, T[j])
\end{array}\right\}
$$



Mismatch $=-1$

## Example

Match $=2$

| i | j | 0 | 1 c | 2 a | 3 d | 4 <br> b | 5 d |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | 0 | -1 | -2 | -3 | -4 | -5 |
| 1 | a | -1 |  |  |  |  |  |
| 2 | c | -2 |  | C |  | (c,- | - -1 |
| 3 | b | -3 |  |  |  |  |  |
| 4 | c | -4 |  |  |  |  |  |
| 5 | d | -5 |  |  |  |  |  |
| 6 | b | -6 |  |  |  |  |  |

Mismatch $=-1$

## Example

Match = 2

| i | j | 0 | 1 c | 2 a | 3 d | 4 b | 5 $d$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | 0 | -1 | -2 | -3 | -4 | -5 |
| 1 | a | -1 |  |  |  |  |  |
| 2 | c | -2 |  |  |  |  |  |
| 3 | b | -3 | a |  | (-,a | $=-1$ |  |
| 4 | c | -4 |  |  |  |  |  |
| 5 | d | -5 |  |  |  |  |  |
| 6 | b | -6 |  |  |  |  |  |

Mismatch $=-1$

## Example

Match = 2


Mismatch $=-1$

## Example

$$
\text { Match }=2
$$



Mismatch $=-1$

## Example

Match = 2

| i | j | 0 | 1 c | 2 a | 3 d | 4 b | 5 $d$ | $\leftarrow T$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | 0 | -1 | -2 | -3 | -4 | -5 | Time = $\mathrm{O}(\mathrm{mn})$ |
| 1 | a | -1 | -1 | 1 |  |  |  |  |
| 2 | c | -2 | 1 |  |  |  |  |  |
| 3 | b | -3 |  |  |  |  |  |  |
| 4 | c | -4 |  |  |  |  |  |  |
| 5 | d | -5 |  |  |  |  |  |  |
| 6 | b | -6 |  |  |  |  |  |  |

Mismatch $=-1$
Match $=2$

## Example

|  | j | 0 | 1 | 2 | 3 | 4 | 5 |
| :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: |
| i |  |  | c | a | d | b | d |
| 0 |  | 0 | -1 | -2 | -3 | -4 | -5 |
| 1 | a | -1 | -1 | 1 | 0 | -1 | -2 |
| 2 | c | -2 | 1 | 0 | 0 | -1 | -2 |
| 3 | b | -3 | 0 | 0 | -1 | 2 | 1 |
| 4 | c | -4 | -1 | -1 | -1 | 1 | 1 |
| 5 | d | -5 | -2 | -2 | 1 | 0 | 3 |
| 6 | b | -6 | -3 | -3 | 0 | 3 | 2 |
|  | $\hat{c}$ |  |  |  |  |  |  |

## Finding Alignments: Trace Back

Arrows $=$ (ties for) max in $V(\mathrm{i}, \mathrm{j}) ; 3$ LR-to-UL paths $=3$ optimal alignments

| i | j | 0 | 1 c | 2 a | 3 $d$ | 4 b | 5 $d$ | $\leftarrow T$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 |  | (0) | -1 | -2 | -3 | -4 | -5 |  |
| 1 | a | -1) | -1 | (1) | 0 | -1 | -2 |  |
| 2 | c | -2 | (1) | 0 | (0) | -1 | -2 |  |
| 3 | b | -3 | (0) | (0) | -1 | (2) | 1 |  |
| 4 | C | -4 | -1 | -1) | -1 | (1) | 1 |  |
| 5 | d | -5 | -2 | -2 | (1) | 0 | (3) |  |
| 6 | b | -6 | -3 | -3 | 0 | (3) | (2) |  |

## Complexity Notes

Time $=O(m n)$, (value and alignment)
Space $=O(m n)$
Easy to get value in Time $=O(m n)$ and Space $=O(\min (m, n))$

Possible to get value and alignment in Time $=O(m n)$ and Space $=O(\min (m, n))$ (KT section 6.7)

## Complexity Notes

Time $=O(m n)$, (value and alignment)
Space $=O(m n)$
Easy to get value in Time $=O(m n)$ and Space $=O(\min (m, n))$

Possible to get value and alignment in Time $=O(m n)$ and Space $=O(\min (m, n))$, but tricky (DEKM 2.6)

## Significance of Alignments

Is "42" a good score?
Compared to what?

Usual approach: compared to a specific
"null model", such as "random sequences"

More on this next time; a taste today, for use in next HW

## Significance of Alignments

Is "42" a good score?
Compared to what?

Usual approach: compared to a specific
"null model", such as "random sequences"

Interesting stats problem; much is known

## Overall Alignment Significance, II Empirical (via randomization)

You just searched with $x$, found "good" score for $x$ : $y$
Generate N random " y -like" sequences (say $\mathrm{N}=10^{3}-10^{6}$ )
Align $x$ to each \& score
If $k$ of them have better score than alignment of $x$ to $y$, then the (empirical) probability of a chance alignment as good as observed $\mathrm{x}: \mathrm{y}$ alignment is $(\mathrm{k}+1) /(\mathrm{N}+1)$
e.g., if 0 of 99 are better, you can say "estimated $p<.01$ "

How to generate "random y-like" seqs? Scores depend on:
Length, so use same length as y
Sequence composition, so uniform $1 / 20$ or $1 / 4$ is a bad idea; even background $p_{i}$ can be dangerous
Better idea: permute y N times

## Generating Random Permutations

$$
\begin{gathered}
\text { for }(\mathrm{i}=\mathrm{n}-1 ; \mathrm{i}>0 ; \mathrm{i}--)\{ \\
\mathrm{j}=\text { random(0..i); } \\
\text { swap X[i] <-> X[j]; }
\end{gathered}
$$

\}


All $n$ ! permutations of the original data equally likely: A specific element will be last with prob $1 / n$; given that, a specific other element will be next-to-last with prob 1/(n-1), ...; overall: 1/(n!)

## Weekly Bio Interlude

DNA Replication

## DNA Replication: Basics



## Issues \& Complications, I

1st $\sim 10$ nt's added are called the primer In simple model, DNA pol has 2 jobs: prime \& extend
Priming is error-prone
So, specialized primase does the priming; pol specialized for fast, accurate extension


Still doesn't solve the accuracy problem (hint: primase makes an RNA primer)

## Issue 2: Rep Forks \& Helices

"Replication Fork": DNA double helix is progressively unwound by a DNA helicase, and both resulting single strands are duplicated
DNA polymerase synthesizes new strand 5' -> 3'(reading its template strand 3' -> 5')
That means on one (the "leading") strand, DNA pol is chasing/pushing
 the replication fork
But on the other "lagging" strand, DNA pol is running away from it.

## Issue 3: Fragments

Lagging strand gets a series of "Okazaki fragments" of DNA (~200nt in eukaryotes) following each primer
The RNA primers are later removed by a nuclease and DNA pol fills gaps (more accurate than primase; primed by DNA from adjacent Okazaki frag
Fragments joined by ligase

## Issue 4: Coord of Leading/Lagging



Alberts et al., Mol. Biol. of the Cell, 3rd ed, p258


## Issue 5: Twirls \& Tangles

Unwinding helix ( $\sim 10$ nucleotides per turn) would cause stress. Topoisomerase I cuts DNA backbone on one strand, allowing it to spin about the remaining
 bond, relieving stress
Topoisomerase II can cut \& rejoin both strands, after allowing another double strand to pass through the gap, de-tangling it.


## Issue 6: Proofreading

Error rate of pol itself is $\sim 10^{-4}$, but overall rate is $10^{-9}$, due to proofreading \& repair, e.g.
pol itself can back up \& cut off a mismatched base if one happens to be inserted
priming the new strand is hard to do accurately, hence RNA primers, later removed \& replaced
other enzymes scan helix for "bulges" caused by base mismatch, figure out which strand is original, cut away new (faulty) copy; DNA pol fills gap
which strand is original? Bacteria: "methylate" some A's, eventually. Euks: strand nicking

## Replication Summary

Speed: 50 (eukaryotes) to 500 (prokaryotes) bp/sec
Accuracy: 1 error per $10^{9} \mathrm{bp}$
Complex \& highly optimized Highly similar across all living cells

More info:
Alberts et al., Mol. Biol. of the Cell

# Sequence Alignment 

Part II
Local alignments \& gaps

## Variations

Local Alignment
Preceding gives global alignment, i.e. full length of both strings;
Might well miss strong similarity of part of strings amidst dissimilar flanks
Gap Penalties
10 adjacent spaces cost 10 x one space?
Many others
Similarly fast DP algs often possible

## Variations

Local Alignment
Preceding gives global alignment, i.e. full length of both strings;
Might well miss strong similarity of part of strings amidst dissimilar flanks
Gap Penalties
10 adjacent spaces cost 10 x one space?
Many others
Similarly fast DP algs often possible

## Local Alignment: Motivations

"Interesting" (evolutionarily conserved, functionally related) segments may be a small part of the whole
"Active site" of a protein
Scattered genes or exons amidst "junk", e.g. retroviral insertions, large deletions
Don't have whole sequence
Global alignment might miss them if flanking junk outweighs similar regions

## Local Alignment

Optimal local alignment of strings S \& T: Find substrings $A$ of $S$ and $B$ of $T$ having max value global alignment

$$
\begin{array}{ll}
S=a b c x d e x & A=c x d e \\
T=x x \times c d e & B=c-d e \quad \text { value }=5
\end{array}
$$

## Local Alignment: "Obvious" Algorithm

for all substrings $A$ of $S$ and $B$ of $T$ :
Align $\mathrm{A} \& \mathrm{~B}$ via dynamic programming
Retain pair with max value
end;
Output the retained pair
Time: $\mathrm{O}\left(\mathrm{n}^{2}\right)$ choices for $\mathrm{A}, \mathrm{O}\left(\mathrm{m}^{2}\right)$ for B , $\mathrm{O}(\mathrm{nm})$ for DP, so $\mathrm{O}\left(\mathrm{n}^{3} \mathrm{~m}^{3}\right)$ total.
[Best possible? Lots of redundant work...]

## Local Alignment in O(nm) via Dynamic Programming

Input: $\mathrm{S}, \mathrm{T},|\mathrm{S}|=\mathrm{n},|\mathrm{T}|=\mathrm{m}$
Output: value of optimal local alignment
Better to solve a "harder" problem
for all $0 \leq i \leq n, 0 \leq j \leq m$ :
$\mathrm{V}(\mathrm{i}, \mathrm{j})=$ max value of opt (global) alignment of a suffix of S[1], ..., S[i] with a suffix of T[1], ..., T[j]
Report best i,j

## Base Cases

Assume $\sigma(x,-) \leq 0, \sigma(-, x) \leq 0$
$\mathrm{V}(\mathrm{i}, 0)$ : some suffix of first i chars of S ; all match spaces in T; best suffix is empty

$$
V(i, 0)=0
$$

$\mathrm{V}(0, \mathrm{j})$ : similar

$$
V(0, j)=0
$$

## General Case Recurrences

Opt suffix align $\mathrm{S}[1], \ldots, \mathrm{S}[i]$ vs $\mathrm{T}[1], \ldots, \mathrm{T}[\mathrm{j}]$ :


## Opt align of

suffix of
$\mathrm{S}_{1} \ldots \mathrm{~S}_{\mathrm{i}-1}$ \&
$\mathrm{T}_{1} \ldots \mathrm{~T}_{\mathrm{j}-1}$

$$
V(i, j)=\max \begin{cases}V(i-1, j-1)+\sigma(S[i], T[j]) \\
V(i-1, j) & +\sigma(S[i],-\quad) \\
V(i, j-1) & +\sigma(-, T[j]) \\
0 & \begin{array}{l}
\text { opt suffix } \\
\text { alignment } \\
\text { has: } \\
2,1,1,0 \\
\text { chars of } \\
\text { s/T }
\end{array}\end{cases}
$$

for all $1 \leq i \leq n, 1 \leq j \leq m$.

## Scoring Local Alignments

|  | $j$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ |  |  | $x$ | $x$ | $x$ | $c$ | $d$ | $e$ |
| 0 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | a | 0 |  |  |  |  |  |  |
| 2 | b | 0 |  |  |  |  |  |  |
| 3 | c | 0 |  |  |  |  |  |  |
| 4 | x | 0 |  |  |  |  |  |  |
| 5 | d | 0 |  |  |  |  |  |  |
| 6 | e | 0 |  |  |  |  |  |  |
| 7 | x | 0 |  |  |  |  |  |  |

Again,
Finding Local Alignments
arrows
follow

|  |  |  |  |  |  |  |  |  |
| :--- | :--- | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
|  | $j$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| $i$ |  |  | $x$ | $x$ | $x$ | $c$ | $d$ | $e$ |
| 0 |  | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | a | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | b | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | c | 0 | 0 | 0 | 0 | 2 | 1 | 0 |
| 4 | x | 0 | 2 | 2 | 2 | 1 | 1 | 0 |
| 5 | d | 0 | 1 | 1 | 1 | 1 | 3 | 2 |
| 6 | e | 0 | 0 | 0 | 0 | 0 | 2 | 5 |
| 7 | x | 0 | 2 | 2 | 2 | 1 | 1 | 4 |
|  | $\uparrow$ |  |  |  |  |  |  |  |

## Notes

Time and Space $=O(m n)$
Space $O(\min (m, n))$ possible with time $\mathrm{O}(\mathrm{mn})$, but finding alignment is trickier

Local alignment: "Smith-Waterman"
Global alignment: "Needleman-Wunsch"

## Sequence Evolution

"Nothing in Biology Makes Sense Except in the Light of Evolution" - Theodosius Dobzhansky, 1973

Changes happen at random
Deleterious/neutral/advantageous changes unlikely/ possibly/likely spread widely in a population
Changes are less likely to be tolerated in positions involved in many/close interactions, e.g.
enzyme binding pocket
protein/protein interaction surface

## Alignment With Gap Penalties

Gap: maximal run of spaces in S' or T'

ab--ddc-d 2 gaps in $S^{\prime}$<br>a---ddcbd 1 gap in $T^{\prime}$

Motivations, e.g.: mutation might insert/delete several or even many residues at once matching mRNA (no introns) to genomic DNA (exons and introns)
some parts of proteins less critical

## Alignment With Gap Penalties

Gap: maximal run of spaces in $\mathrm{S}^{\prime}$ or $\mathrm{T}^{\prime}$

ab--ddc-d<br>a---ddcbd<br>2 gaps in S'<br>1 gap in T'

Motivations, e.g.: mutation might insert/delete several or even many residues at once matching mRNA (no introns) to genomic DNA (exons and introns)
some parts of proteins less critical

## A Protein Structure: (Dihydrofolate Reductase)



## Alignment of 5 Dihydrofolate reductase proteins

| mouse | $P 00375$ | $----M V R P L N C I V A V S Q N M G I G K N G D L P W P P L R N E F K Y F Q R M T T T S S V E G K Q N L V I M G R K ~$ |
| ---: | :--- | :--- |
| human | $P 00374$ | $----M V G S L N C I V A V S Q N M G I G K N G D L P W P P L R N E F R Y F Q R M T T T S S V E G K Q N L V I M G K K ~$ |

```
P00375 TWFSIPEKNRPLKDRINIVLSRELKEP----PRGAHFLAKSLDDALRLIEQPELASKVDM
P00374 TWFSIPEKNRPLKGRINLVLSRELKEP----PQGAHFLSRSLDDALKLTEQPELANKVDM
P00378 TWFSIPEKNRPLKDRINIVLSRELKEA----PKGAHYLSKSLDDALALLDSPELKSKVDM
P17719 TYFGVPESKRPLPDRLNIVLSTTLQESDL--PKG-VLLCPNLETAMKILEE---QNEVEN
P07807 TWESIPPKFRPLPNRMNVIISRSFKDDFVHDKERSIVQSNSLANAIMNLESN-FKEHLER
P00375
    VWIVGGSSVYQEAMNQPGHLRLFVTRIMQEFESDTFFPEIDLGKYKLLPEYPG
P00374
P00378
P17719
P07807
```

P00375
P00374
P00378
P17719
P07807
VLSEVQ------------EEKGIKYKFEVYEKKD---
VLSDVQ------------EEKGIKYKFEVYEKND---
VPADIQ------------EEDGIQYKFEVYQKSVLAQ
MPLGVQ------------EENGIKFEYKILEKHS---
LPPKVELPETDCDQRYSLEEKGYCFEFTLYNRK----
: : : **.* : : : :

CLUSTAL W (1.82) multiple sequence alignment http://pir.georgetown.edu/ cgi-bin/multialn.pl 2/11/2013

## Topoisomerase I



## Affine Gap Penalties



Gap penalty $=g+e^{*}($ gaplen -1$), g \geq e \geq 0$
Note: no longer suffices to know just the score of best subproblem(s) - state matters: do they end with '-' or not.

## Global Alignment with Affine Gap Penalties

$\mathrm{V}(\mathrm{i}, \mathrm{j})=$ value of opt alignment of $S[1], \ldots, S[i]$ with $T[1], \ldots, T[j]$
$G(i, j)=\ldots$, s.t. last pair matches $S[i] \& T[j]$ $F(i, j)=\ldots$, s.t. last pair matches $S[i] \&-$
$E(i, j)=\ldots$, s.t. last pair matches $-\& T[j]$

| $S$ | $T$ |
| :---: | :---: |
| $x-$ | $x-$ |
| $\times$ | $x$ |
| $x$ | - |
| - | $x$ |

Time: $\mathrm{O}(\mathrm{mn})$ [calculate all, $\mathrm{O}(1)$ each]

## Affine Gap Algorithm

Gap penalty $=g+e^{*}$ (gaplen-1), $g \geq e \geq 0$

$$
V(i, 0)=E(i, 0)=V(0, i)=F(0, i)=-g-(i-1)^{\star} e
$$

$V(i, j)=\max (G(i, j), F(i, j), E(i, j))$

| $\mathbf{S}$ | $\mathbf{T}$ |
| :---: | :---: |
| $x /-$ | $x /-$ |
| $x$ | $x$ |

$F(i, j)=\max (F(i-1, j)-e, V(i-1, j)-g)$
$E(i, j)=\underset{\text { old gap }}{\max (\underset{\text { new gap }}{E(i, j-1)-e}, \underset{\text { ne }}{V(i, j-1)-g})}$

| $x$ | - |
| :---: | :---: |
| - | $x$ |

Q. Why is the " $V$ " case a "new gap" when $V$ includes $E$ \& $F$ ?

## Other Gap Penalties

Score $=\mathrm{f}$ (gap length $)$
Kinds, \& best known alignment time
affine

$\mathrm{O}\left(\mathrm{n}^{2}\right)$ [really, $\mathrm{O}(\mathrm{mn})$ ]
convex

$O\left(n^{2} \log n\right)$
general

$O\left(n^{3}\right)$

## Summary: Alignment

Functionally similar proteins/DNA often have recognizably similar sequences even after eons of divergent evolution
Ability to find/compare/experiment with "same" sequence in other organisms is a huge win
Surprisingly simple scoring works well in practice: score positions separately \& add, usually w/ fancier gap model like affine
Simple dynamic programming algorithms can find optimal alignments under these assumptions in poly time (product of sequence lengths)
This, and heuristic approximations to it like BLAST, are workhorse tools in molecular biology

## Summary: Dynamic Programming

Keys to D.P. are to
a) identify the subproblems (usually repeated/overlapping)
b) solve them in a careful order so all small ones solved before they are needed by the bigger ones, and
c) build table with solutions to the smaller ones so bigger ones just need to do table lookups (no recursion, despite recursive formulation implicit in (a))
d) Implicitly, optimal solution to whole problem devolves to optimal solutions to subproblems

A really important algorithm design paradigm

## Seminars

## CSE 590C

"Reading and Research in Computational Biology"
Mondays, 3:30-4:30ish, EEB 026
http://www.cs.washington.edu/590c

## GENOME 521

"COMBI"
Wednesdays, 1:30-2:50 Foege S060
http://www.gs.washington.edu/news/combi.htm

