# **Hierarchical Matching Pursuit for Image Classification: Architecture and Fast Algorithms**



Liefeng Bo<sup>1</sup>, Xiaofeng Ren<sup>2</sup> and Dieter Fox<sup>1</sup> <sup>1</sup>University of Washington, <sup>2</sup>Intel Labs



# This work

- Hierarchical matching pursuit builds a feature hierarchy layer-by-layer using an efficient matching pursuit encoder.
- **Hierarchical Matching Pursuit (HMP)**
- Matching pursuit encoder consists of three modules: batch tree orthogonal matching pursuit, spatial pyramid max pooling, and contrast normalization;

# **Hierarchical Matching Pursuit Encoder**

Image Features



Second layer: sparse codes over patch-level features are aggregated across the whole image to produce image-level features.

- Recursively run matching pursuit encoder;  $\checkmark$
- Extract features from a typical  $300 \times 300$  image in less than 1 second;  $\checkmark$
- Outperform convolutional deep networks and SIFT based single layer sparse coding in terms of accuracy.

# **K-SVD (Dictionary Learning)**

K-SVD<sup>[1]</sup> learns a dictionary D and an associated sparse code matrix X from observations Y by minimizing the following reconstruction error

 $\min_{D,X} \|Y - DX\|_{F}^{2} \quad s.t. \ \forall i, \|x_{i}\|_{0} \leq K$ 

The problem can be solved in an alternating manner. In the first stage, D is fixed and only the sparse codes are computed by orthogonal matching pursuit.

 $\min ||y_i - Dx_i||^2 \quad s.t. ||x_i||_0 \le K$ 

In the second stage, each filter in D and its associated sparse codes x are updated simultaneously by Singular Value Decomposition ( $||d_k||_2 - 1$ )

$$||Y - DX||_F^2 = ||Y - \sum_{j \neq k} d_j x_j^T - d_k x_k^T||_F^2 = ||E_k - d_k x_k^T||_F^2$$

When the sparsity level K is set to be 1 and sparse codes are forced to be a binary(0/1), K-Means is exactly reproduced (no constraints on  $d_k$ ).



# **Object Recognition (Caltech-101)**

- Dictionary is learned by K-SVD on 1,000,000 sampled patches in each layer;
- Sparsity level in the first and second layers is set to be 5 and 10, respectively;
- Dictionary size is 3 times the filter size in the first layer and 1000 in the second layer;
- Matching pursuit encoder is run on  $16 \times 16$  image patches over dense grids with a step size of 4 pixels in the first layer and the whole image in the second layer;
- Train linear SVM on 30 images and test on no more than 50 images per category.
- Filters used in Discrete Cosine Transformation (DCT) and filters learned by K-SVD



#### **Batch Tree Orthogonal Matching Pursuit**

**Algorithm**: Batch Tree Orthogonal Matching Pursuit (BTOMP)

- Input: Dictionary D, Centers C, observation y, and the desired sparsity level K
- 2. Output: Sparse code x such that  $y \approx Dx$

3. Initialization: 
$$I = \emptyset$$
,  $r = y$ ,  $\alpha = \alpha^0 = C^\top y$ ,  $B = C^\top D$ , and  $x = 0$ 

4. For k = 1 : K

Choosing the sub-dictionary  $g_j$ :  $j = \operatorname{argmax}_k |\alpha_k|$ 5.

6. Selecting the new filter: 
$$\overline{k} = \operatorname{argmax}_{k \in g_j} |d_k^{\top} r|$$

- $I = I \cup \overline{k}$ 7.
- Updating the sparse code:  $x_I = (D_I^{\top} D_I)^{-1} D_I^{\top} y$ 8.

9. Updating 
$$\alpha$$
:  $\alpha = \alpha^0 - B_I x_I$ 

10. Computing the residual: 
$$r = y - D_I x_I$$

11. End

- K-Means is used to group the whole dictionary into the sub-dictionaries and associate the sub-dictionaries with the learned center matrix C;
- Line 5 selects the center filter *j* that best matches the current residual;
- Line 6 selects the filter within the sub-dictionary associated with the center *j*;
- Line 8 updates sparse codes with the incremental Cholesky decomposition;
- Line 9 computes the correlation between each center and the current residual;
- If the centers C are set to be the whole dictionary, BTOMP exactly recovers the batch (exact) orthogonal matching pursuit<sup>[1]</sup>.

#### 

**K-SVD and DCT with different filter sizes for the first layer** 

Filter size	3×3	$4 \times 4$	5×5	6×6	7×7	8×8
DCT (orthogonal)	69.9	70.8	71.5	72.1	73.2	73.1
DCT (overcomplete)	69.6	71.8	73.0	74.1	73.7	73.4
K-SVD	71.8	74.4	75.9	76.8	76.3	76.1

- **Spatial Pyramid Pooling** and **Contrast Normalization** improve recognition accuracy by about 2% and 3%, respectively. Large Dictionary with 10,000 filters in the second layer is slightly better than standard setting with 1000 filters.
- **Hierarchical Matching Pursuit with K=1 (zero norm)**: about 74.0%;
- **Running Time over a typical 300 × 300 image**

Algorithms	HMP (DCT)	HMP (K-SVD)	SIFT+SC	DN
Running time (Seconds)	0.4	0.8	22.4	67.5

**Comparisons with State-of-the-art (Single Feature based Algorithms)** SIFT based Single Layer Multiple Layers

1	$\frac{r}{r}$						١		
ł	HMP	ISPD <sup>[1]</sup>	CDBN <sup>[2]</sup>	<b>DN</b> <sup>[3]</sup>	HSC <sup>[4]</sup>	KDES-G <sup>[5]</sup>	SPM <sup>[6]</sup>	SIFT+SP <sup>[7]</sup>	Macrofeatures <sup>[8]</sup>
	76.8	65.5	65.5	66.9	74.0	75.2	64.4	73.2	75.7

[1] Kavukcuoglu, Ranzato, Fergus, and LeCun, CVPR 2009 [2] Lee, Grosse, Ranganath, and Ng, ICML 2009 [3] Zeiler, Krishnan, Taylor, and Fergus, CVPR 2010 [4] Yu, Lin, and Lafferty, CVPR 2011 (Parallel work) [5]Bo, Ren, and Fox, NIPS 2010 [6] Lazebnik, Schmid, and Ponce, CVPR 2006 [7] Yang, Yu, Gong, and Huang, CVPR 2009 [8] Boureau, Bach, LeCun, and Ponce, CVPR 2010

[1] Rubinstein, Zibulevsky, and Elad, Technical report, 2008

### **Matching Pursuit Encoder**

Matching pursuit encoder consists of three modules: BTOMP, Spatial Pyramid Max Pooling and Contrast Normalization.



**Spatial Pyramid Max Pooling** aggregates the sparse codes which are spatially close, using max pooling in a multi-level patch decomposition.

$$F(P) = \left[\max_{j \in P} |x_{j1}|, \dots, \max_{j \in P} |x_{jm}|\right]$$

**Contrast Normalization** is helpful since the magnitude of sparse codes varies significantly due to illumination and foreground-background contrast.

$$F(P) = \frac{F(P)}{\sqrt{\|F(P)\|^2 + \varepsilon}}$$

# **Scene Recognition (MIT-Scene)**

- This dataset contain 15620 images from 67 indoor scene categories;
- Train linear SVM on 80 images and test on 20 images per category;
- The experimental setting is same as with the Caltech-101 dataset except that the filter size is  $4 \times 4$  (cross validation).

	Algorithms	HMP	OB <sup>[1]</sup>	GIST <sup>[2]</sup>	ROI+GIST <sup>[2]</sup>	SIFT+SC
	Accuracy	41.8	37.6	22.0	26.0	36.9
[1] Li Su Xing and Fei-Fei NIPS 2010 [2] Quattoni and Torralba CVPR 2009						

# **Event Recognition (UIUC-Sports)**

- This dataset consists of 8 sport event categories with 137 to 250 images in each.
- Train linear SVM on 70 images and test on 60 images per category.
- The experimental setting is same as with the MIT-Scene dataset.

Methods	HMP	OB	SIFT+GMM <sup>[1]</sup>	SIFT+SC
Accuracy	85.7	76.3	73.4	82.7

[1] Li and Fei-Fei., ICCV 2007