## CSE 401 - LL Parsing Worksheet Sample Solutions - Week 4

1. Compute nullable and the FIRST and FOLLOW sets for each non-terminal in the following grammar:
```
A ::= x C B y
B ::= z | \varepsilon
C ::= y | B x
```

Solution:

| Non-Terminal | FIRST | FOLLOW | nullable |
| :--- | :--- | :--- | :--- |
| A | $x$ |  | no |
| B | $z$ | $x, y$ | yes |
| C | $x, y, z$ | $y, z$ | no |

Although for this problem it was not expected to show your work at this level of detail, the steps taken to compute these sets are reproduced below to illustrate the algorithm.

Note that for some applications of the FIRST/FOLLOW sets, a special "pseudo" production is used for practical reasons to represent a single instance of the start symbol followed by the special terminal " $\$$ " signifying the end of the input. If a grammar's FIRST/FOLLOW sets are considered in the context of this special "pseudo" production, such as when constructing an LR(0) state machine, then the special terminal " $\$$ " would be part of the FOLLOW sets for any non-terminal that can appear at the end of the input. Since this problem only asks for the FIRST/FOLLOW sets of the given grammar and there is no LR(0) context requiring the "pseudo" production, there is no need to include " $\$$ ".

| Step | Current Production | Updates |
| :---: | :---: | :---: |
| 0. | -- | Initially, for each non-terminal the FIRST and FOLLOW sets are empty and nullable is "no". For each terminal, the FIRST set simply contains that terminal. |
| 1. | A : $:=x$ C $\mathrm{B}^{\text {y }}$ | We start by examining the first production, $\mathrm{A}::=\mathrm{x}$ B C $y$. Since $x$ is the first symbol, we add FIRST[ $x]$ to FIRST[A], meaning FIRST[A] = $\{\mathrm{x}\}$. We also add FIRST[ y$]$ to FOLLOW[B], so FOLLOW[B] = \{y \}. However, we do not perform any additional updates yet -- although C is directly followed by $B$, there is no change to FOLLOW[C] |


|  |  | because $\operatorname{FIRST}[B]$ is currently empty. Likewise, although looking ahead reveals that some non-terminals will be nullable, the algorithm will not use that information until after the relevant production has been visited. |
| :---: | :---: | :---: |
| 2. | B : : $=$ z | We add FIRST[ z ] to $\operatorname{FIRST}[B]$, so FIRST $[B]=\{\mathrm{z}\}$. |
| 3. | B $: \mathbf{:}=$ ع | Since everything in the right side of the production is nullable, we change nullable[B] to "yes". |
| 4. | C : : = y | We add FIRST[y] to FIRST[C], so FIRST[C] = y y $\}$. |
| 5. | $\mathrm{C}::=\mathrm{B} x$ | Since $B$ is the first thing in the right side of the production, we add FIRST[B] to FIRST[C]. However, since $B$ is nullable, at this point we have enough information to also add FIRST[ x ] to FIRST[C], meaning FIRST[C] ends up being $\{\mathrm{x}, \mathrm{y}, \mathrm{z}\}$. In addition, we add FIRST $[\mathrm{x}]$ to FOLLOW[B], so FOLLOW[B] becomes $\{x, y\}$. |
| 6. | A : : $=\mathrm{xCB} \mathrm{C}$ | When we examine this production for the second time, we are able to make more updates as a result of the new information in the table. Since $C$ is directly followed by $B$, we copy FIRST[B] into FOLLOW[C]. But because $B$ is nullable, we also copy FIRST[y] into FOLLOW[C], so FOLLOW[C] becomes $\{y, z\}$. |
| 7. | B : : = z | No change. |
| 8. | B $: 1=\varepsilon$ | No change. |
| 9. | C : : = y | No change. |
| 10. | $\mathrm{C}::=\mathrm{B} x$ | No change. |
| 11. | A : : = x C B y | No change. Since we have now visited every production without changing anything, the algorithm can terminate. |

2. For each of the following grammars, identify whether or not the grammar satisfies the $\operatorname{LL}(1)$ condition. If the grammar is not LL(1), explain the problem. Hint: Although you are not required to follow the formal algorithm, you may find it helpful to examine the grammar in terms of the FIRST, FOLLOW, and nullable sets.
a) $X$ ::= a Y | Z

Y : := a | c
z : := b Y

Solution:

This grammar is $\mathrm{LL}(1)$.

This grammar satisfies the LL(1) condition because for every non-terminal, all productions have disjoint FIRST sets (and no non-terminals are nullable):

| Production | FIRST Set for Production |
| :--- | :--- |
| $X::=a$ Y | FIRST $[X]=\{a\}$ |
| $X::=Z$ | FIRST $[X]=\{b\}$ |
| $Y Y::=a$ | FIRST $[Y]=\{a\}$ |
| $Y Y::=c$ | FIRST $[Y]=\{c\}$ |
| $Z::=b$ Y | FIRST $[Z]=\{b\}$ |

b) $\mathrm{P}::=\mathrm{d} \mathrm{R}$
$\mathrm{R}::=0$ | S
$S::=g \mid 0 g$

## Solution:

This grammar is not $\mathrm{LL}(1)$.

This grammar does not satisfy the $\operatorname{LL}(1)$ condition because there are two productions for the non-terminal $R$ that both contain $\circ$ in their FIRST sets.

| Production | FIRST Set for Production |
| :--- | :--- |
| $P::=d R$ | FIRST $[P]=\{d\}$ |
| $R::=O$ | FIRST $[R]=\{0\}$ |
| $R::=S$ | FIRST $[R]=\{0, g\}$ |
| $S::=9$ | FIRST $[Y]=\{g\}$ |
| $S::=O g$ | FIRST $[Z]=\{0\}$ |

c) $J::=a \mathrm{~K} \mathrm{~L}$
$\mathrm{K}::=\mathrm{C} \mid \varepsilon$
L $::=c$

## Solution:

This grammar is not $\mathrm{LL}(1)$.

Although the productions for every non-terminal have disjoint FIRST sets, this grammar still doesn't satisfy the $\operatorname{LL}(1)$ condition. Since K is nullable, we must consider the FOLLOW set as well when checking for conflicts -- and because c is in the FIRST set for one production of K and the FOLLOW set for another, nullable production, this grammar isn't LL(1).

| Production | FIRST Set for Production | FOLLOW Set for Production |
| :--- | :--- | :--- |
| $J::=a$ K L | FIRST $[J]=\{a\}$ | FOLLOW $[J]=\varnothing$ |
| K $::=c$ | FIRST $[K]=\{c\}$ | FOLLOW $[K]=\{c\}$ |
| K $::=\varepsilon$ | FIRST $[K]=\varnothing$ | FOLLOW $[K]=\{c\}$ |
| L $::=c$ | FIRST $[L]=\{c\}$ | FOLLOW $[L]=\varnothing$ |

d) $\mathrm{J}::=\mathrm{a} \mathrm{K}$ L
$\mathrm{K}::=\mathrm{c} \mid \boldsymbol{\epsilon}$
L : := b

## Solution:

This grammar is $\mathrm{LL}(1)$.

By altering the previous grammar to change a single terminal from $c$ to $b$, the grammar becomes $\operatorname{LL}(1)$. Although the fact that the production $K::=\varepsilon$ is nullable means we still consider the FOLLOW set, the FIRST set for $\mathrm{K} \quad::=\mathrm{c}$ is disjoint from the FOLLOW set for K $::=\varepsilon$, and thus there is no violation of the $\operatorname{LL}(1)$ property.

| Production | FIRST Set for Production | FOLLOW Set for Production |
| :--- | :--- | :--- |
| $J::=a$ K L | FIRST $[J]=\{a\}$ | FOLLOW $[J]=\varnothing$ |
| K $::=c$ | FIRST $[K]=\{c\}$ | FOLLOW $[K]=\{b\}$ |
| $K::=\varepsilon$ | FIRST $[K]=\varnothing$ | FOLLOW $[K]=\{b\}$ |
| $L::=b$ | FIRST $[L]=\{b\}$ | FOLLOW $[L]=\varnothing$ |

3. The following grammar is not $\operatorname{LL}(1)$. Use the process described in lecture to change the grammar so that it generates an equivalent language but satisfies the $\operatorname{LL}(1)$ property. Remember that you should first remove indirect left recursion, then use the canonical process to deal with any remaining direct left recursion.
```
A ::= B! | x
B ::= C
C ::= A? | y
```


## Solution:

We choose an arbitrary order of B, C, A for replacement of non-terminals -- by strictly sticking to this order, we will ensure that all possible indirect left recursion is dealt with.

First, we start the process of making the indirect recursion into direct recursion by substituting the non-terminal $B$ for all of its productions:

```
A ::= C! | x
B ::= C
C ::= A? | y
```

We delete the $B$ non-terminal because it is no longer reachable, and substitute the non-terminal C :

```
A ::= A?! | y! | x
C ::= A? | y
```

Similarly, we delete the C non-terminal because it is no longer reachable. Next we consider substituting A, but since A is now the only non-terminal left in our grammar, there is no substitution to do and we are done removing indirect left recursion.

Then, we use the canonical approach for dealing with left recursion:

```
A ::= y!D | xD
D ::= ?!D | \varepsilon
```

We have now produced an LL(1) grammar, because the FIRST sets of the productions for each non-terminal are disjoint, and in the production that leads to $D$ being nullable, the follow set for $D$ is disjoint from the FIRST set for its non-null productions. Note that just addressing indirect and direct left recursion was enough for this problem, but is not enough to make all grammars $\operatorname{LL}(1)$-- some grammars require factoring of common prefixes or massaging the
boundaries between non-terminals, and there are some grammars that do not have an LL(1) equivalent.

